

Jan-Ulrich Sobisch

Three-Vow Theories
in Tibetan Buddhism



Sobisch
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DR. LUDWIG REICHERT VERLAG

Three-Vow Theories in Tibetan Buddhism

A Comparative Study of Major Traditions
from the Twelfth through Nineteenth Centuries

by
Jan-Ulrich Sobisch

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DR. LUDWIG REICHERT VERLAG

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Contents

	List of Abbreviations	xi
	Acknowledgements	xv
Chapter 1	Introduction	1
	1.1. Previous Research	2
	1.2. The Meaning of the Term "Three Vows"	9
	1.3. The Importance of the Three Vows for Tibetan Buddhism	11
	1.4. The Key Concepts of the Tibetan Three-Vow Theories	14
	1.5. Purpose and Procedure	15
Chapter 2	Vibhūticandra, Go-rams-pa, and Their Works of the Three-Vow Genre: Introductory Remarks	21
	2.1. Biographical Notes on Vibhūticandra	21
	2.2. The <i>Garland of Rays</i> of Vibhūticandra	23
	2.3. Biographical Notes on Go-rams-pa	26
	2.4. Go-rams-pa's Commentaries and Related Works on Sa-pan's <i>Clear Differentiation of the Three Vows</i>	28
	2.5. Topical Summary of Go-rams-pa's <i>General Topics</i>	30
	2.6. Preliminary Sketch of Some Historical Key Relationships	34
Chapter 3	Vibhūticandra's Explanation of All Three Systems of Vows, with Go-rams-pa's Reply	35

Chapter 4	Vibhūticandra on How the Three Vows are Possessed, with Go-rams-pa's Replies	67
	4.1. Vibhūticandra's Refutation of the Sa-skya-pa Doctrine of the Transformation and Same Nature of the Vows (<i>Garland of Rays</i> 14-24)	67
	4.2. Vibhūticandra's Theory of the Three Vows (<i>Garland of Rays</i> 25-30)	79
	4.3. Vibhūticandra's Refutation of the Theory that Teaches the Three Vows As Being Like a Support (<i>Garland of Rays</i> 31-33)	85
	4.4. Vibhūticandra's Explanation of the Meaning of "Possessing Three Vows" (<i>Garland of Rays</i> 34, 36-37)	86
Chapter 5	Go-rams-pa On the Sa-skya-pa Doctrine of the Three Vows	89
	5.1. The Quotation of the Relevant Vajra Utterance	89
	5.2. The Correct Explanation of the Meaning [of the Vajra Utterance]	90
	5.2.1. How the Vows are Transformed When Obtained Successively	90
	5.2.2. How the Nature of the Vows is the Same When All Three Vows are Possessed Together	94
Chapter 6	The Main Passages on the Three-Vow Controversy from the Treatises of Vibhūticandra and Go-rams-pa: Texts and Translations	97
Chapter 7	Go-rams-pa's Sa-skya-pa Doctrine of the Three Vows: Text and Translation	131
Chapter 8	The Remaining Parts of Vibhūticandra's <i>Garland of Rays</i> : Text and Translation	165
Chapter 9	The Three-Vow Theories of sGam-po-pa	177

	9.1. Biographical Notes on sGam-po-pa bSod-nams-rin-chen	178
	9.2. The Collected Works of sGam-po-pa bSod-nams-rin-chen	179
	9.3. The Teachings on the Three Vows in sGam-po-pa's Collected Works	184
	9.3.1. The Teaching on the Three Vows in <i>Work A</i>	185
	9.3.2. The Teaching on the Three Vows in sGam-po-pa's <i>Work B1, B2, and B3</i>	194
	9.3.3. Is There a Possible Connection Between <i>Work A</i> and <i>B2</i> ?	197
	9.4. Tibetan Texts and Translations of sGam-po-pa's <i>Work A</i>	201
	9.5. Parallel Edition of sGam-po-pa's <i>Work B1, B2, and B3</i>	212
Chapter 10	Karma-'phrin-las-pa on the Theory of the Three Vows: His Replies to the Questions of Ngo-gro and rGya-ston	217
	10.1. Biographical Notes on Karma-'phrin-las-pa-phyogs-las-rnam-rgyal	217
	10.2. The Replies of Karma-'phrin-las-pa	218
	10.3. Explanations of the Three Vows in Two Replies of Karma-'phrin-las-pa	219
	10.3.1. Karma-'phrin-las-pa's Reply to rGya-ston	221
	10.3.2. Karma-'phrin-las-pa's Reply to Ngo-gro Bla-ma	225
Chapter 11	Two of Karma-'phrin-las-pa's <i>Replies</i> about the Three Vows: Texts and Translations	241
	11.1. Text and Translation of the Ninth Question and Answer in the <i>Replies to rGya-ston</i>	243

	11.2. Text and Translation of the 24th Question from the <i>Replies to Ngo-gro</i>	249
Chapter 12	The Three-Vow Theories of Two Prominent Karma bKa'-brgyud-pa Masters of the Eighteenth and Nine- teenth Centuries	273
	12.1. Biographical Notes on Karma-nges-legs-bstan- 'dzin	273
	12.2. Karma-nges-legs-bstan-'dzin's <i>Good Vase</i>	274
	12.2.1. The Introduction in the <i>Good Vase</i>	275
	12.2.2. <i>Good Vase</i> Section 1 on the Vows of a House- holder (f. 4r-9r)	276
	12.3. The Three-Vow Theory in Karma-nges-legs' <i>Good Vase</i> Section 6	277
	12.4. Biographical Notes on Kong-sprul Blo-gros- mtha'-yas	281
	12.5. Kong-sprul's <i>All-Pervading Objects of Knowledge</i>	282
	12.6. The Three-Vow Theory in Kong-sprul's <i>All-Per- vading Objects of Knowledge</i>	283
	12.7. Text and Translation of Karma-nges-legs' <i>Good Vase</i> , Section 6	287
	12.8. Text and Translation of Kong-sprul's <i>All-Perva- ding Objects of Knowledge</i>	295
Chapter 13	The Development of the Key Three-Vow Concepts in the Karma bKa'-brgyud-pa Tradition	305
Chapter 14	The Three-Vow Doctrine of the 'Bri-gung-pa	329
	14.1. Biographical Notes on 'Jig-rten-mgon-po	329
	14.2. Biographical Notes on Shes-rab-'byung-gnas	335
	14.3. The <i>Same Intention</i> (<i>dGongs pa gcig pa</i>)	339

	14.4. The Three-Vow Theory of the <i>Same Intention</i>	341
	14.5. Topical Outline of 'Jig-rten-mgon-po's Relevant Vajra Utterances	342
	14.6. Texts and Translations of 'Jig-rten-mgon-po's Vajra Utterances from the <i>Same Intention</i>	355
Chapter 15	The Three-Vow Doctrines of the rNying-ma-pas	392
	15.1. Biographical Notes on mNga'-ris Paṇ-chen Padma dbang-rgyal	392
	15.2. mNga'-ris Paṇ-chen's <i>Clear Comprehension of the Three Vows</i>	393
	15.3. Biographical Notes on Lo-chen Dharma-shrī	395
	15.4. Lo-chen Dharma-shrī's <i>Commentary on the "Clear Comprehension"</i>	397
	15.5. Text and Translation of Lo-chen Dharma-shrī's <i>sDom gsum rnam nges 'grel pa</i> (Chapter 3)	401
Appendix A	Outline of Go-rams-pa's <i>General Topics</i>	449
Appendix B	Outline of Go-rams-pa's <i>Detailed Exposition</i>	461
Appendix C	Object, Motivation, Preparation, and [Post]-Completion	481
Bibliography	1. Works of Modern Scholarship	483
	2. Indian and Tibetan Works	497
Index	1. English Terms and Sanskrit Proper Nouns and Terms	509
	2. Tibetan Terms	549
	3. Tibetan Proper Nouns	557
	4. Tibetan Book Titles	566
	5. Sanskrit Book Titles	572
	6. Tibetan Book Titles in English Translation	574

List of Abbreviations

In addition to a few traditional Tibetan abbreviated titles, I have only abbreviated titles of a few major works of the three-vow genre that are constantly cited.

<i>Pervading All Objects of Knowledge</i>	Kong-sprul Blo-gros-mtha'-yas, <i>Theg pa'i kun las bñus pa gsung rab rin po' che'i mdzod bslab pa gsum legs par ston pa'i bñtan bcos shes bya kun khyab</i> (<i>Shes bya kun khyab mdzod</i>).
<i>Awakening of Vairocana</i>	<i>Mahāvairocanābhīṣambodhi[tantra]</i> (P vol. 5, no. 126).
<i>Clear Comprehension of the Three Vows</i>	mNga'-ris Pañ-chen Padma-dbang-rgyal, <i>Rang bzhin rdzogs pa chen po'i lam gyi cha lag sdom pa gsum mñam par nges pa zhes bya ba'i bñtan bcos</i> .
<i>Clear Differentiation of the Three Vows</i>	Sa-skya Pañḍita Kun-dga'-rgyal-mtshan, <i>sDom pa gsum rab tu dbye ba</i> .
<i>Commentary to the Clear Comprehension</i>	Lo-chen Dharma-shrī, <i>sDom gsum mñam par nges pa'i 'grel pa legs bñhad ngo mtshar dpag bñam gyi snye ma</i> .
<i>Detailed Exposition</i>	Go-rams-pa bSod-nams-seng-ge, <i>sDom pa gsum gyi rab tu dbye ba'i mñam bñhad rgyal ba'i gsung rab kyi dgongs pa gñal ba</i> .
<i>Garland of Rays</i>	Vibhūticandra, <i>Trisaṃvaraprabhāmālānāma</i> (<i>sDom gsum 'od kyi phreng ba</i>).
<i>General Topics</i>	Go-rams-pa bSod-nams-seng-ge, <i>sDom gsum rab dbye'i spyi don yid bzhin nor bu</i> .

Golden Key

Shākya-mchog-ldan, *sDom gsum gyi rab tu dbye ba'i bstan bcos kyi 'bel gnam mam par nges pa legs bshad gser gyi thur ma.*

Good Vase

Karma-nges-legs-bstan-'dzin, *sDom gsum mam par bstan pa nyer mkho'i bum bzang zhes bya ba rang 'dra'i rgyud la 'tshams par 'khril(!) ba'i bstan bcos.*

Removing Errors Regarding the Fundamental Transgressions

Grags-pa-rgyal-mtshan, rJe btsun, *rTsa ba'i ltung ba bcu bzhi pa'i 'grel pa gsal byed 'khrul spong.*

Replies to Ngo gro

Karma-'phrin-las-pa, *Dri lan drang ba dang nges pa'i don gyi snang byed ces bya ba ngo gro bla ma'i dris lan.*

Replies to rGya ston

Karma-'phrin-las-pa, *Dri lan padma dkar po'i chun po zhes bya ba rgya ston dris lan.*

Same Intention

rDo-rje-shes-rab, *Dam chos dgongs pa gcig pa yig cha.*

sGam-po-pa Work A

sGam-po-pa bSod-nams-rin-chen, *Collected Works (gSung 'bum)*, vol. 1, pp. 163-168.

sGam-po-pa Work B1

ditto, vol. I, pp. 158-159.

sGam-po-pa Work B2

ditto, vol. II, pp. 287-288.

sGam-po-pa Work B3

ditto, vol. II, pp. 294-295.

Tibetan-Chinese Dictionary

Bod rgya tshig mdzod chen mo.

Vajra-Peak Tantra

Vajras'ekharamahāgyuhyayogatantra (P vol. 5, no. 113).

Journals

JIABS	<i>Journal of the International Association of Buddhist Studies.</i>
JIP	<i>Journal of Indian Philosophy.</i>
JTS	<i>Journal of the Tibet Society.</i>
ZDMG	<i>Zeitschrift der Deutschen Morgenländischen Gesellschaft.</i>
JOAS	<i>Journal of the American Oriental Society.</i>

Other Abbreviations

P	Peking Tripitaka.
D	Derge Tripitaka.

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formally my teacher, revealed many aspects of academic studies to me and never tired of answering my questions over the last thirteen years.

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Hamburg, 23rd November 2001

mkhas pas gsung na ci yang bden.
"Anything is true if taught by a learned master."
(Tibetan Proverb)

"Are ... two opposed theories ... to be placed
on exactly the same level and accordingly to be
treated as incompatible and mutually exclusive?
Or are they complementary in the sense that
they somehow supplement each other? Or, again,
are they perhaps simply incommensurable ...?"
(D. Seyfort Ruegg 1989: 8)

CHAPTER 1

Introduction

Although all Tibetan Buddhist schools accepted, together with the three vehicles of auditors (*śrāvakas*), bodhisattvas, and Tantric adepts, the existence of three systems of vows, the question about whether these three vows coexist, and if so, how they coexist, often became the subject of intense scholastic discussion and even of sharp controversy. At least as early as the twelfth century, against a background of a great majority of Tibetan Buddhists holding all three vows, Tibetan religious masters began not only to describe the moral codes within the framework of each respective vehicle, but also to discuss the possibility—or indeed, the necessity—for a single person to practice all three systems of vows together. The related doctrinal discussions continue down to the present day. Its contributions form the as-yet largely unexplored "Three Vow" (*sdom pa gsum*) literature, constituting a distinct genre of Tibetan literature (the *sdom gsum bstan bcos*) and also the topic of related discussions in writings of other genres such as instructions (*zhal gdams*, etc.), replies (*zhus lan* or *dris lan*), and notes (*zin bris*, etc.).

Among the earliest Tibetan masters to present their opinions on how the three vows are to be practiced simultaneously were sGam-po-pa bSod-nams-rin-chen (1079-1153) of the Mar-pa bKa'-brgyud-pa, sKyob-pa 'Jig-rten-mgon-po (1143-1217) of the 'Bri-gung bKa'-brgyud-pa, rJe-btsun Grags-pa-rgyal-mtshan (1147-1216) of the Sa-skyapa school, and the Indian scholar Vibhūticandra (12th/13th century). Among these, the three-vow theories of the 'Bri-gung-pa and of Vibhūticandra already bear clear signs of being reactions to opinions expressed earlier. All these early views, moreover, triggered a flood of later commentaries, refutations, and counter-refutations reflecting the historical development of traditional Tibetan scholarship on this subject.

But no matter how elaborate these views became later on, they all appear to have been focused on the same vital point, namely on the identifying, controlling, and overcoming of the defilements (*kleśas*).¹ On this particular point, too, a historical process is apparent: In the two cases where the early versions of a particular theory display an emphasis on the Mantra vows to the obvious disadvantage of the "traditional" *prātimokṣa*,² they were either severely attacked by scholars of other traditions (as in the case of Vibhūticandra's doctrine), or were soon supplemented and "developed" by their later adherents (as in the case of sGam-po-pa's doctrine). The relatively late rNying-ma-pa doctrine that was first introduced by Klong-chen Rab-'byams-pa (1308-1363) and became widely known due to its propagation by mNga'-ris Paṅ-chen (1487-1542), also postulates a certain superiority of the Mantra vows, but right from the beginning it teaches the integration of the *prātimokṣa* with the higher vows and thereby avoids the danger of appearing to neglect the lower vows. Other systems, too, such as that of the Sa-skya-pas, underwent a high degree of refinement, as later adherents and commentators sought to make their doctrine "watertight" against the challenges of the intense scholastic debate that began in the thirteenth century.

1.1. Previous Research

Given the lack of previous modern scholarly work on the three-vow theme as a doctrinal problem, here I would like briefly to sketch some of the main scholarly work that has been published on the three separate vow systems respectively, namely on the Vinaya *prātimokṣa*, the bodhisattva vows, and Mantra vows. The Vinaya has been a subject of continued interest for modern scholars from early on. The reason is obvious: The material contained in the vinaya is certainly the single most important source for studies on the life of the historical Buddha and for studies on the historical reality of early Buddhism. Already as early as the last decades of the 1800s, i.e. between 1882 and 1897, the French Société Asiatique published Émile Senart's *Le Mahāvastu* with the Sanskrit text, introduction, and commentary in three volumes.³ In the following decades of the early twentieth century, a great number of text editions and translations or summaries were made available, such as L. Finot and É. Huber's *Le Prātimokṣasūtra des Sarvāstivādins* (1913) and C.M. Ridding and L. de La Vallée Poussin's *Bhikṣuṇīkarmavacana* (1920),⁴ to name only two. Ernst Waldschmidt published his analysis of the *Bhikṣuṇīprātimokṣa* in 1926, which was

¹ See Schmithausen (1987: 247) for a number of strong arguments for rendering "*kleśa*" not as "affliction" but as "defilement."

² For explanations of the term *pātimokkha/prātimokṣa*, see v. Hinüber (1985: 60 ff.).

³ See Yuyama (1979: 42).

⁴ See Yuyama (1979: 1 and 6).

followed in the 1950s by a number of publications such as Pachow's *A Comparative Study of the Prātimokṣa* (1955) and Härtel's *Karmavācanā* (1956). The same year also saw Frauwallner's pioneering *Earliest Vinaya* (1956), which deals with the fundamental problem of the history of the Buddhist vinaya and the "Buddhist church." The stream of publications has continued unbroken up to the present day, including both studies that take a broader approach to the vinaya, exemplified by Charles S. Prebish's *Buddhist Monastic Discipline* (1974), and those that focus on specialized topics, for example, Haiyan Hu-von Hinüber's *Das Poṣadhavastu* (1994).

The beginning of the twentieth century also witnessed an increased interest on the part of modern scholars in Mahāyāna literature. Early examples of the fruit of this interest are Louis Finot (1901), *Rāṣṭrapālāparipṛcchā: Sūtra du Mahāyāna*, and Cecil Bendall's 1902 edition of the *Śikṣāsamuccaya*, followed by his 1922 translation of the same work together with W.H.D. Rouse. In this area, too, publications continue to appear down to the present day.⁵ One of the first scholars to mention a certain tension between the ethics of auditors and bodhisattvas was Ernst Leumann.⁶ Such a tension has been later also pointed out by Seyfort Ruegg, illustrating it with quotations from the *Vimalakīrtinirdes'asūtra* and the *Prajñāpāramitāsūtra*.⁷ The conflict between their bodhisattva ethics and "orthodox" prātimokṣa becomes apparent through their teaching that one who is involved with the defilements is capable of producing the resolve for awakening, while one who has entered into the complete freedom from moral faults is not.

Two more recent works in particular should be mentioned here, namely Tatz (1986), *Asanga's Chapter on Ethics*, and Pagel (1995), *The Bodhisattvapīṭaka*. Tatz states that bodhisattva ethics has not only one, but three aspects, of which the prātimokṣa aspect "constitutes the bottom third" (p. 16). The other two aspects are the ethics of "collecting virtuous factors" (*kuśaladharmasamgraha*) and of "accomplishing the welfare of sentient beings" (*satvārthakriyā*).⁸ Given the bodhisattva's resolve for awakening, his "over-riding concern is to help others." This important side of the bodhisattva's practice underwent such an upward revaluation that now, with the flourishing of the Mahāyāna teachings, he may act with defilement, even when that means "committing what is 'sinful' in terms of prescribed prātimokṣa, or even in terms of natural morality" when the benefit for others can be achieved through that.⁹

⁵ Pagel (1995: 437-458) offers a detailed bibliography of studies in this field.

⁶ Ernst Leumann (1933-1936: 144 ff.).

⁷ Seyfort Ruegg (1969: 110 f.).

⁸ For these three, see the remarks below in section 2 of this chapter.

⁹ Tatz (1986: 24).

Pagel, too, discusses the nature of the relation between the bodhisattva's practice and the code of the Vinaya at length, and he shows convincingly that there has been a movement in the Mahāyāna from a strict adherence to the moral code of auditors to an acceptance of the "advanced" morality of the bodhisattva.¹⁰ A major contributing factor to such an "advanced" morality is the bodhisattva's new epistemological propositions that are perhaps best illustrated through these words of Candrakīrti's *Madhyamakāvatāra*:¹¹

If he sees [in] moral purity an own-being,

By that very reason, his morality is not pure.

Obviously "moral purity" is now viewed differently in the light of the doctrine of emptiness of own-being: If the bodhisattva understands the lack of own-being in an act that—on a more mundane level—is considered "morally impure," there is no defilement for him. But not only that, for if he furthermore practices with skill in means, the result is universal salvation. This is, for example, set forth in these words of the *Bodhisattvabhūmi*:¹²

Even in the case of [a transgression that] is sinful by nature, the bodhisattva acts with such skill in means that no sin is committed; rather, great merit arises.

With regard to this particular movement towards an "advanced morality," Pagel notes (p. 164):

That this process was slow is attested in several early Mahāyāna sūtras where we find the treatment of morality still bearing close resemblance to the ideal of early Buddhism.

Thus it appears that, by and by, the bodhisattva gained "practically infinite flexibility in the practice of morality" (p. 180). In later Mahāyāna works, the "traditional" moral integrity is assigned to the mundane surface-convention level (*lokasaṃvṛti*), where the bodhisattva is seen to observe the various commitments and vows and encourages beings to do likewise, and the "advanced morality" is allocated to the ultimate level. As Pagel observes (p. 163 f.):

The ultimate validity of the transactual *śīla* practice is challenged when "reality as it is" (*yathābhūta*) reveals itself as having the characteristics of same (*sama*), unborn (*anutpanna*) and calm (*śānta*), and to be operating beyond the categories of purity and impurity.

In other words, on the mundane level the bodhisattva practices according to the

¹⁰ Pagel (1995: 160-182).

¹¹ Tibetan text (ch. II, v. 3): *gal te de ni khrims dag rang bzhin lta// de phyir de ni tshul khrims dag mi gyur*. See Candrakīrti, *Madhyamakāvatāra*, edited by Louis de la Vallée Poussin (1907-1912: 37).

¹² Wogihara (1930-36: 165.26 ff.). Translation by Pagel (1995: 173).

auditor prātimokṣa, while from the viewpoint of absolute truth (*paramārthasatya*) "all endeavours in morality lose their meaning and become harmful to liberation since they obstruct conceptual 'unbecoming' by superimposing non-existent predicates on reality" (p. 164). This, however, would merely amount to a devaluation of the auditor prātimokṣa, were there not the important integral part of the two truth theory according to which "true realization (...) does not entail dispensation of the relative level but depends on the integration of both facets of reality" (*ibid.*). Thus we may also observe here an effort to integrate both vows into the practice of the bodhisattva.

With the expansion of investigations into Tibetan Buddhism from about the 1960s onwards, modern scholars also turned their attention to Tantric Buddhism. Among the first who published extensive studies on Tantric material were Ferdinand D. Lessing and Alex Wayman, with their translation of mkhas-grub-rje's *Fundamentals of the Buddhist Tantras* in 1968. Wayman continued his research in the Tantric field, producing *The Enlightenment of Vairocana* (published together with R. Tajima),¹³ *The Yoga of the Guhyasamājatantra* (1977), and other works. A great number of other authors, too, devoted books or chapters to Tantric studies; suffice it here to mention Stephan Beyer (1973), *The Cult of Tārā*; Jeffrey Hopkins (1975), *Tantra in Tibet*; Tadeusz Skorupski (1983), *The Sarvadurgatiparis'odhana Tantra*; and Geoffrey Samuel (1993), *Civilized Shamans*. Although the necessity for a study of the three-vow topic and genre had been recognized earlier, in particular by Tatz,¹⁴ most of those works do not take up the theme of the three vows at all, and those that do rarely allott it more than a sentence, or at the most, a paragraph.

The first author to have published a paper more closely related to the theme of the three vows was Gyurme Dorje (1991). His article, however, deals in its main part chiefly with the commitments (*samaya*) and vows (*samvara*) in connection with their definition (p. 73 ff.) or with the views of the nine vehicles (p. 74 f.), or as they exist in the causal vehicles (p. 75 ff.), in the outer and inner tantras, and in the *mahā*, *anu*, and *ātiyogas* (pp. 77-90). Only the last section (pp. 90-92) considers briefly the "Integration of the Three Vows." It consists primarily of a brief quotation from a work that he identifies as "Klong-chen-pa, *Phyogs-bcu-mun-sel*, Chapter 19."¹⁵ Gyurme Dorje's account, however, is not only confined to the reproduction

¹³ I have not seen the first edition of this book, but according to a catalogue it was published in 1987. The reprint was done in 1992.

¹⁴ Tatz (1986: 40).

¹⁵ G. Dorje (1987). I presume this to be Klong-chen-pa Dri-med-'od-zer, *gSang 'grel phyogs bcu mun sel dpal gsang ba'i snying po de kho na nyid nges pa'i rgyud kyi 'grel pa phyogs bcu'i mun pa thams cad mam par sel ba*, a detailed commentary on the
(continued...)

of a short section of one of Klong-chen-pa's works, but it is also limited by presenting only one of the six topics that constitute the rNying-ma-pa's doctrine of the three vows taught by Klong-chen-pa, as well as by mNga-ris Pan-chen, Lo-chen Dharma-shrī, and others (cf. Gyurme Dorje's account with the fifth part of Lo-chen Dharma-shrī's final chapter of his *Commentary on the "Clear Comprehension"*).¹⁶

Finally, two recent publications that treat the three vows directly, namely Ngari Panchen (1996) and Kongtrül Lodrö Tayé (1998), should be mentioned, both truly pioneering attempts to translate important though often difficult treatises. The first is a loose translation or paraphrase of mNga'-ris Pan-chen's *Clear Comprehension of the Three Vows* into English. According to its front cover, the book contains the commentary of Dudjom Rinpoche, i.e. the *sDom gsum nam nges 'bru 'grel*, in English translation by Khenpo Gyurme Samdrub and Sangye Khandro. It appears, however, that the main part of the book is more adequately described as a transcript of the translation of an oral teaching by Khenpo Gyurme Samdrub on Dudjom Rinpoche's work, edited perhaps to some extent on the basis of the Tibetan text. The work is useful as a general introduction to the three vows, but its value for a detailed study of the special rNying-ma-pa doctrines of the three vows is limited. The main problem of the book is, I think, that the English renderings of key concepts of mNga'-ris Pan-chen's root text are often questionable and that—due to the lack of a more precise understanding of some of the vital terms—on occasion passages from the commentary are only translated in a very loose manner. The part from the basic verses, for example, which actually says something like: "[The practice of the vows] is perfectly complete [through that which is to be] prevented [and through] the purpose" (*dgag dgos yongs rdzogs*), where "[that which is to be] prevented" (*dgag*) and "the purpose" (*dgos*) have the same referent, namely the defilements, that are to be prevented (which is the activity that is connected with the vows) and through which one is not to be bound (which is their purpose), is translated on pp. 141 and 172 as "[he] must fully perfect what to reject and what to accept," where "accept" is probably understood through the meaning of the verb *dgos pa*, "to be necessary," and where "what to reject and what to accept" obviously have two referents instead of having only one (and the same).

Another passage from the basic verses whose loose English rendering leads to a number of misinterpretations is found on pp. 142 and 172, where what should

¹⁵(...continued)

Guhayagarbhatantra. A reproduction from a print from the A-'dzom 'Brug-pa Chos-sgar blocks was published in Paro, 1975, by Ngodup.

¹⁶ The final chapter of the *Commentary on the "Clear Comprehension"* will be translated in chapter 15 of this book. Its fifth part teaches that the three vows are, through their vital points, not incompatible (*gnad kyis mi 'gal ba*).

perhaps be rendered as "since the place [i.e. person] from which [the vows] are taken, [and] the volitional impulses [and] rituals [through which they are taken] are ascertained as separate, [their] distinctive aspects are not mixed"¹⁷ is translated as: "without confusing their distinctions, the vows, the intention, and the ritual are all individually accomplished." Here "the place from which [the vows] are taken" (*blang yul*, i.e. the teacher) is understood as the vows themselves, probably because *blang yul* has been misunderstood as "the object (*yul*) that is taken (*blang*)," "ascertained" (*nges [pa]*) is rendered as "accomplished," the construction with *phyir* ("since") is neglected altogether, and the key concept "[the vow's] distinctive aspects are unmixed" (*rang ldog ma 'dres*)—originally the conclusion—is rendered "without confusing their distinctions."

As a consequence, the passage from Dudjom Rinpoche's commentary that follows in the translation (on p. 142) is hardly understandable. It states:

Each category of vows is received according to the intention maintained while receiving them. The rituals through which the vows are received are all different. At the time that one receives a vow, one embraces the nature of that vow. Then, as the next vow is received, the essence of what one already holds transforms into the next, without presenting any conflict. Each vow category will never deteriorate if it is maintained according to its own status. For example, the prātimokṣa precepts are taken for the duration of a lifetime, whereas the bodhisattva and Mantra vows are taken until the essence of enlightenment is realized.

The Tibetan text says something more like:

Now, since the three vows are ascertained as separate regarding their place [i.e. person] from which one takes them, the volitional impulse through which one takes them, [and] the duration for which they are taken, too, through the different rituals for taking them, even though the nature [of the vows] are transformed, the distinctive aspects of each [vow] continue to persist unmixed, because there is no possibility for these distinctive aspects to have a common basis, for after they are obtained, they continue to persist individually without decay. That is the case, because one accepts the prātimokṣa for as long as one lives, and the bodhisattva vows until one fully awakes [to Buddhahood on] the seat [of awakening (Skt. *bodhimanda*)], but at the time [of] obtaining the Mantra vows, the conditions for damaging or the causes for losing [the two above-mentioned vows] are not taught.¹⁸

¹⁷ The Tibetan text is: *de yang blang yul bsam pa cho ga mams// so sor nges phyir rang ldog ma 'dres yin*. See part 1 of the translation in chapter 15.

¹⁸ For the Tibetan text see part 1 of chapter 15. My translation represents Lo-chen (continued...)

The second book that I should mention is an English translation of the fifth chapter of Kong-sprul's *Pervading All Objects of Knowledge*. The book is a useful contribution in some ways. It is, for example, very helpful in that it presents the outline of Kong-sprul's work with exactly the same sub-chapters and sections and in that the root-verses are marked (in bold letters). Much effort has also been undertaken in the annotation. The translation, however, is frequently misleading. Often the translators miss the subject of a sentence, even if it is marked with *ni*, or they overlook simple constructions expressing assertion and reason such as ... *ste* ... *phyir*. In sum, the work is far from a word-by-word translation, since in almost every sentence one or more words are left untranslated, or words are introduced into the translation for which there is no equivalent in the Tibetan text. Sometimes one even finds gross distortions of the meaning that were not noticed during the process of later editing. For example, a verse (p. 301) that asserts that "there have been in both India and Tibet many opinions about how [the vows] persist" (*gnas tshul 'phags bod gnyis su bzhed srol mang*), is rendered as "Indian scholars differ from Tibetan scholars in their assertions on how all three vows coexist."

Let me take this opportunity to ascertain that it is not my intention to discredit the efforts of others in an indiscriminate manner. Everyone, including myself, is bound to make mistakes and err on occasion. The point I would like to make is that the authors of both books, like many others, choosing as their subject at least in parts of their works the practices of Tantric Buddhism, aim at English reading Buddhists as their primary target group. And in doing so, these authors accept, in my opinion, a great responsibility. Anyone familiar with tantric studies knows that in the past this delicate subject has more often than not been misrepresented even in scholarly publications, although in these one is more likely to find an informed and more carefully balanced approach. But the vast majority of non-specialist readers is neither taking note of scholarly studies, nor do they have the means to judge the accuracy of translations from such languages as Sanskrit or Tibetan. As a result, the non-specialist reader for his information is often found depending solely on such books as the ones that are under scrutiny here.

His Holiness the Dalai Lama has once said that books on Tantra were never really meant for a larger public. Nowadays, however, he feels that it is better to read a book presenting correct views on Tantra than to continue with one's erroneous ideas on the nature of the Tantric path.¹⁹ Careful studies on Tantra are therefore

¹⁸(...continued)

Dharma-shri's *Commentary on the Clear Comprehension*, fol. 297r, which bDud-'joms Rinpoche, fol. 192r, repeats almost *verbatim*.

¹⁹ See the foreword to Glenn H. Mullin (1991): *The Practice of Kalachakra*. Snow Lion, (continued...)

certainly welcome, but in many cases it would have been better had the author(s) concentrated on single topics rather than to attempt to translate complete works.

1.2. The Meaning of the Term "Three Vows"

(*sdom pa gsum*, Skt. *trisaṃvara*)

In general, the Buddhist scholars of Tibet conceived the "three vows" (*sdom pa gsum*) according to the Tantric tradition, namely as referring to the vows of the auditor *prātimokṣa*, the bodhisattvas, and the Tantric adepts. But there are also other meanings of the term, some of them attested already in Indian literature, all of which were apparently known to most of the Tibetan authors who dealt with the subject in any detail. In the *Abhidharmakośa*, for example, the "three vows" apply to the three moral observances, i.e. the observances of individual liberation (*so thar gyi sdom pa*), of [guarding against] impurity (*zag med kyi sdom pa*), and of concentrative absorption (*bsam gtan gyi sdom pa*).²⁰ Tibetan authors have also noted the use of the term in the Vinaya as relating to the vows of householders (*upāsaka*), novice monks (*śramaṇera*), and full monks (*bhikṣu*). The *Abhidharmakośa*, commenting on the term as used in the Vinaya, offers an explanation for the possession of these three vows by a single person, which must be regarded as a three-vow theory in itself, for it says: "[The three vows persist] separately, [but] they are not incompatible."²¹ This early "three vow theory" of the *Abhidharmakośa* also involves a discussion of the

¹⁹(...continued)

Ithaca, New York, p. 12.

²⁰ See *Abhidharmakośa*, ch. IV, v. 13cd: *saṃvaraḥ prātimokṣākhyo dhyānajo' nāsravas tathā*. See Pradhan (1967: 205); for the Tibetan text, see *P* vol. 115, no. 5591, *gu* 202b ff. "The observance of *prātimokṣa* is the morality of the beings of the spheres of desire" (*prātimokṣasaṃvara iḥatyānāṃ kāmāvacaraṃ śīlam*/), see Pradhan (1967: 205). "The observance of *dhyāna* is the morality of the spheres of form" (*dhyānasaṃvaro rūpāvacaraṃ śīlam*, *ibid.*). It is possessed by "the one who possesses that which is produced by *dhyāna*" (*dhyānajena tadanvitāḥ*, see *Abhidharmakośa*, ch. IV, v. 17b). "The persons who are saints are provided with the observance that is without evil influence" (*āryapudgalā anāsraveṇa saṃvareṇa samanvāgatāḥ*/), see Pradhan (1967: 208). See also Pagel (1995: 168, fn. 226), who includes some interesting remarks on these three categories. For a discussion of the *so thar*, *bsam gtan*, and *zag med kyi sdom pa* in a Tibetan work of the nineteenth/twentieth century, see Mi-pham (1846-1912), *mKhas 'jug*, pp. 181 ff.

²¹ *Abhidharmakośa*, ch. IV, v. 14d, *P* vol. 115, no. 5591, *gu* 203b: *tha dad de dag 'gal ba med*. See also, Pradhan (1967: 206): ... *prīthak te cāvirodhinaḥ*. For a Tibetan discussion of this point, see Sangs-rgyas-rgya-mtsho, *dPal ldan gso ba 'rig pa'i khog 'bugs*, pp. 475 f.

unmixed (*ma 'dres pa*, Skt. *avyāmisra*) state of the vows, of their separate defining characteristics (*mtshan nyid tha dad pa*, Skt. *prthaglakṣaṇa*), their different occasions [of transgression] (*gzhi'i khyad par*, Skt. *nidānaviśeṣād viśeṣaH*), and the greater number of rules (*bslab pa'i gzhi*) of the higher vows respectively, through which a greater number of occasions of transgression are avoided. Here also some undesirable consequences of wrong positions are discussed, for example that from an inclusion (*'dus pa*) of the lower vows within the higher ones, it would follow that a monk who returns his vows would lose all three vows (*gsum char yang btang*). In general, however, if a householder takes higher vows, he does not lose the preceding vow. Therefore, it is said, the vows are also not incompatible (*'gal ba med*).²²

Other enumerations of "three vows" are also mentioned in Tibetan works.²³ The vows of body, speech, and mind (*lus ngag yid gsum gyi sdom pa*) of the "basket [of scriptures] of auditors" (*śrāvakaṭīka*), and the vows of refraining from [morally] wrong behaviour (*nyes spyod sdom pa'i tshul khrims*) and of gathering virtuous factors (*dge ba chos sdud kyi tshul khrims*) and establishing the benefit for sentient beings (*sems can don byed kyi tshul khrims*) of the "basket [of scriptures] of the Mahāyāna [i.e. of the bodhisattvas]" (*mahāyānaṭīka* or *bodhisattvaṭīka*).²⁴ Even within the Mantra division (*gsang sngags kyi rgyud sde*) there exist several sets of three vows:²⁵ The vow of the production of the resolve for awakening (*sems bskyed kyi sdom pa*), the vow of the stage of production (*bskyed rim gyi sdom pa*), and the stage of perfection (*rdzogs rim gyi sdom pa*), again a set of vows of body, speech, and mind (*sku gsung thugs kyi sdom pa*), and finally the vows of the prātimokṣa, the bodhisattva, and the Tantric adept (*so thar byang sems rig pa 'dzin pa'i sdom pa*).

²² See *P* vol. 115, no. 5591, *gu* 202b-3b. Technically this means that when a householder becomes a novice monk, he still possesses the previous householder vows consisting of five rules. The ten precepts of the novice monk include the same five rules of the householder once again, but with a greater number of occasions to observe them, plus five more vows which are specific vows of the novice monk. Although he thus actually received fifteen vows altogether, the novice monk is usually described as holding ten vows.

²³ The following lists of vows can be found in Go-rams-pa, *General Topics*, p. 205, fol. 11v-r.

²⁴ The corresponding Sanskrit terms for the last three vows are *saṃvaraśīla*, *kuśaladharma-saṃgrāhakaśīla*, and *sattvānugrāhakaśīla*. Cf. mNga'-ris Paṅ-chen, *Clear Comprehension of the Three Vows*, p. 25 l. 6; *Bodhisattvabhūmi*, Wogihara (1930-36: 138 ff.); Bodhibhadra, *Bodhisattvasaṃvara*, 168-5-8; and Pagel (1995: 168 ff.).

²⁵ According to Go-rams-pa, *General Topics*, p. 205, fol. 12r.

1.3. The Importance of the Three Vows for Tibetan Buddhism

Already when Tibetans came into contact with Indian Buddhism for the first time, they received either prātimokṣa ordinations, or bodhisattva vows,²⁶ or Tantric initiation. Very soon, however, the question of whether these vows were always correctly transmitted became a topic of debate.²⁷ The seventeenth century master Karma-chags-med (1613-78),²⁸ for example, recorded an early instance of an incorrect transmission of the three vows. According to him, some rNying-ma-pas of a very early period erroneously believed that they had received the three vows merely by obtaining the initiations of the nine vehicles (*theg pa dgu*). As a consequence, they carefully maintained and observed the prātimokṣa vows without actually having received them. This error, however, is not considered to be a major defect since the rules of the Vinaya were observed, but it "does possess one fault, [in so far as] no great benefit will arise [from practicing so]."²⁹ In the same text he also informs us of a correctly transmitted system which was followed by Padmasambhava, King Khri-srong-lde'u-btsan, and (twenty-four of) his subjects (who are actually considered to be great adepts):³⁰

²⁶ According to Bu-ston's History of Buddhism, it was Śāntarakṣita who ordained the first seven Tibetans (*sad mi mi bdun*). See, János Szerb (1990: fol. 141b). Śāntarakṣita, who was referred to by Tibetans as *mKhan-po* Bodhisattva, also transmitted the bodhisattva vows, for example, to gSal-snang of Mang-yul (fol. 140a). See also, E. Obermiller (1931: 187 ff.).

²⁷ R.A. Stein (1972: 144), presents an interesting law decreed by the king Khri-srong-lde'u-btsan that already reveals a conflict between monks and Tantric adepts.

²⁸ Karma-chags-med is best known for his fusion of the Mahāmudrā and *rDzogs-pa-chen-po* traditions (*phyag rdzogs zung 'jug*). His presentation of the three vows in his *Ri chos mtshams kyi zhal gdams*, ch. 5 (*ca*), provides a very interesting summary of different Tibetan systems. Where I was able to investigate his descriptions further, I found that he accurately presented the most essential points. His sketches, however, do not include citations, but rather seem to be recapitulations of the oral teachings he had received. This teaching was given by speaking through a hole in the wall of his cave to one of his disciples.

²⁹ Karma-chags-med, *Ri chos*, fol. 27v (p. 76): *ma dag snga 'gyur rmying ma'i chos lugs la// mdo dbang la sogs theg dgu'i dbang thob nas// sdom pa gsum ka thob par rlom nas kyang // 'dul khrims sdom pa gzhan ni mi zhu bar// chos gos snam sbyar gyon nas srung sdom gzabs// de ni o rgyan chen po'i lung bstan las// sdom pa dbang gi(s) thob par rtsi ba yin// 'dul ba'i bsten (bstan) pa nyams pa'i rtags su gsungs// 'di la nyes 'gal chen po ma mchis te// 'dul khrims ma zhus 'dul khrims srung ba des// phan yon chen po mi 'byung skyon cig gda'// 'di ni mdo kham shar phyogs mtha' nas dar.*

³⁰ *O rgyan rje* 'bangs [*nyer lnga*], the names of these twenty-four "subjects" are listed in the *Tibetan-Chinese Dictionary*, p. 910. "*rje*" denotes the king, thus this compound is to be understood as "Padmasambhava [and the] twenty-five [disciples, i.e.] the king [and] the

(continued...)

The three vows are received in sequence and separately maintained.
 At the time when one has acquired adroitness in [the practice of] channels
 and winds,
 one does not lose the prātimokṣa vows
 even if one has dwelled in such [practices] as the path of desire;
 and the monk vows are not lost
 [even] if [enemies of the teachings dwelling on?] the ten fields have been
 killed through wrathful mantras [and] black magic.³¹

This practice, however, goes completely against both Buddhist monastic ethics and all social norms. It is known that strong reservations about erroneous, literal Tantric practice were expressed by some important figures in early Tibetan Buddhist history, such as the king of mNga'-ris Gu-ge, Ye-shes-'od, the translator Rin-chen-bzang-po, the Indian master Atiśa, and his disciple 'Brom-ston. It would seem that they either had doubts about the authenticity of Mantra practices in general,³² or they intended

³⁰(...continued)
 subjects."

³¹ Karma-chags-med, *Ri chos*, fol. 27r (p. 75): *sdom gsum rim gyis zhus shing so sor srung // rtsa lung las su rung ba de yi tshe// chags lam la sogs brten par byas na yang // so sor thar pa'i sdom pa mi 'chor zhing // drag sngags ngan mthus zhing bcu bsgal ba na// dge slong sdom pa 'chor bar mi 'gyur ba*. Evidently the practice of sexual union and mactation (*sbyor sgrol*) is referred to. Mactation, or "ritual slaughter," is here linked with the term *zhing bcu*. In the *Tibetan-Chinese Dictionary* we find the following explanation (p. 2389): "It is necessary that ten conditions are fulfilled in an enemy of the teachings who is to be 'liberated' (i.e. killed, *bsgal byar gyur pa*) according to the Tantric teachings." These ten conditions are then listed as: *bstan pa bshig pa dang / dkon mchog la smad pa/ dge 'dun gyi dkor 'phrog pa/ theg chen la smod pa/ bla ma'i sku la bsdo ba/ rdo rje spun grogs sun 'byin pa/ sgrub la bar gcod byed pa/ brtse ba snying rje gtan nas med pa/ dam tshig sdom pa dang bral ba/ las 'bras la log lta*. It is interesting to note that this kind of practice is associated with the same King Khri-srong-lde'u-btsan who also issued the decrees which restricted Tantric practice. See ftns. 27 and 32.

³² Tibetan historians repeat again and again the story that IHa-bla-ma Ye-shes-'od became dissatisfied with the abuses or mispractices of the Buddhist Tantric traditions in Tibet in his time, and that as a consequence he sent Rin-chen-bzang-po (958-1055) to Kashmir to investigate the authenticity of Tantric teachings. See Samten G. Karmay (1979: 150 ff.); see also Seyfort Ruegg (1981: 224 ff.); Chattopadhyaya (1967: 291 ff.).

Rin-chen-bzang-po returned to Tibet as a Mantra practitioner himself, but he remained a critic of the Tantras of the Ancient Tradition; see Roerich (1976: vol. 1, pp. 102, 204 ff.); see also, Chattopadhyaya (1967: 293 ff.). See also Khri-lde-srong-btsan's decree on restricting *vajrayāna* translations, reproduced in N. Simonsson (1957: 260); and Bu-ston, *Chos byung*, fol. 130r-v, for the time of Ral-pa-can = Khri-gtsug-lde-btsan(!); and Padma-dkar-po, *Chos* (continued...)

to restrict these practices in some way.³³ In particular, several authors voiced their concern about a certain "Red Master" (*Ācārya dMar-po*) and his followers.³⁴ In the period shortly before Atiśa's coming to mNga'-ris (presumably 1042), the *Ācārya dMar-po* spread the three vows in that area.³⁵ Karma-chags-med describes his system of obtaining the three vows as a system where higher vows are obtained successively by transformation (of the lower ones) and the lower vows are completely abandoned.³⁶ The monks with Mantra vows are permitted to associate with women. As a result the *Ācārya's* following "increased greatly" and these so-called

³²(...continued)

byung, fol. 168v, for the time of Khri-lde-srong-btsan.

³³ Mi-la-ras-pa and sGam-po-pa are said to have expressed their disapproval of 'Bromston's attitude to keep the Mantra practices secret; see Roerich (1976: vol. 1, 261). Atiśa did not allow the *guhyaḥbhīṣeka* and the *prajñābhīṣeka* for celibates, i.e. for the full monk (*bhikṣu*) and the celibate householder (*brahmacārin*); see Atiśa, *Bodhipathapradīpa*, in: Eimer (1978: text edition, l. 259 ff.); see also Seyfort Ruegg (1981: 213 ff.). Other Tibetan authors explain that Atiśa only intended to encourage Vinaya practice and that such teachings were intended to attract those of inferior capacity; see, for example, Klong-chen-pa, *bSam gtan ngal gso*, fol. 139v-140r.

³⁴ See, for example, 'Jig-rten-mgon-po, *sDom gsum gnad gcig*, fol. 2r; Las-chen Kun-dga'-rgyal-mtshan, *bKa' gdams kyi rnam par thar pa*, fol. 64r. See also Seyfort Ruegg (1981: 220 ff.); Chattopadhyaya (1967: 291 ff.). Concrete references to the *Ācārya dMar-po* are scarce and I do not know of any publication that goes beyond the facts presented by Seyfort Ruegg. There is also an interesting note on a blue-robed monk of the Sammitiya school who practiced sexual union. On this see Giacomella Orofino (1992: vol. 2, 622, n. 27). A blue-robed master (*shams thabs sngon po can*) is often associated with the *Ācārya dMar-po* in Tibetan historiographical literature.

³⁵ For the date 1042, see for example Paṇ-chen bSod-nams-grags-pa, *bKa' gdams chos 'byung*, fol. 3v. The connection of mNga'-ris with *Ācārya dMar-po's* activities is made for example in 'Jig-rten-mgon-po, *sDom gsum gnad gcig*, fol. 2r.

³⁶ Karma-chags-med, *Ri chos*, p. 74: *de nas byang chub sems bskyed zhus pa yis// so thar sdom pa byang sems sdom par 'gyur// de nas 'dul khrims gcig kyang srung mi dgos// (...)* *de nas gsang sngags dbang bzhi zhus pa yis// byang sdom de'ang sngags kyi sdom par 'gyur// de nas byang sdom bslab pa bsrung mi dgos//*

Thereafter, by receiving the [ritual of] producing the resolve for awakening (*bodhicitta*) [i.e. the bodhisattva vows],

the prātimokṣa vows turned into the bodhisattva vows.

After that, none of the rules of the Vinaya had to be maintained (...)

Thereafter, by receiving the four initiations of the Mantra,

these bodhisattva vows too turned into the vows of Mantra.

After that, the training of the bodhisattva vows did not have to be maintained.

"householder monks" (*gser khyim pa*)³⁷ spread "everywhere in mNga'-ris, dBus and gTsang." This passage ends with the statement: "All learned ones censure and refute [this doctrine], calling it the 'perverted doctrine of Ācārya dMar-po.'"³⁸

1.4. The Key Concepts of the Tibetan Three-Vow Theories

Motivated by feeling the need to reject such incorrect doctrines and to integrate correct Mantra practices into mainstream Tibetan Buddhism, Tibetan scholars began to investigate the three vows seriously, and they began to develop a terminology for discussing their different concepts. I shall not attempt to begin to explain the terminology here in this introduction, but I would like to provide a preliminary idea of the main problems that were considered and discussed in the Tibetan works on the three vows.

At the earliest recorded stage of development there are two essentially different positions on the interrelations of the three vows. The first emphasizes the superiority of Mantra. According to this position, the vows are different and the higher ones are more powerful. Interestingly, however, this particular initial position led again to two quite different concepts of the vows and to correspondingly different terminologies. On the one hand, sGam-po-pa asserts that the natures of the vows are different (*ngo bo tha dad*); he refers, for example, to the fact that the three vows are established in different sections of the teachings and are obtained for different durations, etc.³⁹

Vibhūticandra, on the other hand, as the second proponent postulating the vows as different, explains that they are so because they consist of "distinct entities of their own" (*rdzas gzhan tha dad*).⁴⁰ Furthermore, the opinion that the higher vows are more powerful is connected by sGam-po-pa with the higher capacity of the

³⁷ The *gSer-khyim-pa* were people who wore the yellow robes of a monk but lived like householders, thus corrupting the rules of the Vinaya. It is to be noted that a tradition of *gSer-khyim-pas* was still to be found in twentieth-century Ding-ri, where they formed one fifth of the entire population. Whether this particular tradition can be traced back to the time of the Red Master is at present unknown. See Barbara Aziz (1978: pp. 76-94).

³⁸ That the doctrine of Ācārya dMar-po was rejected in Tibet seems to be the case at least with regard to the system of the three vows that is ascribed to him. On the other hand it appears that certain teachings of the Red Master have survived in Tibet. See, for example, Seyfort Ruegg (1981: 220). Furthermore, a miniature colour picture of him is displayed in the *Secret Visions of the Fifth Dalai Lama*, see Samten Karmay (1980: XI, picture no. 4). On p. 74 he is referred to as the person who has introduced the cult of dPal-ldan-lha-mo (of whom the Fifth Dalai Lama had visions) to Tibet.

³⁹ sGam-po-pa, *Work A* 5.

⁴⁰ Vibhūticandra, *Garland of Rays* 23.

Tantric yogi. Whenever an "internal conflict arises" (*nang thug byung na*) between the higher and the lower vows, the yogi should prefer to practice according to the higher vow, which, despite the conflict with the lower vows, does not constitute a fault because of the yogi's greater insight (*prajñā*), means, and higher intention.⁴¹ Vibhūticandra, on the other hand, explains the greater power of the Mantra vows in accordance with his postulation of the vows persisting as distinct entities of their own: The Mantra vows, which are like the sun, outshine (*zil gyis gnon*) the two lower vows, which are like the stars and moon.⁴² When the Mantra vows are obtained, the lower vows remain in a "dormant mode" (*bag la nyal*).⁴³

The second of the two essentially different positions concerning the three vows emphasizes their sameness. 'Jig-rten-mgon-po, for example, teaches that all three vows have the same vital point (*gnad gcig*), namely the abandoning of the ten non-virtues through all three vows alike.⁴⁴ The vows are three, nevertheless, because "the possessor of the vows has changed" (*bdag po 'phos pa*),⁴⁵ i.e. has become a bodhisattva or a Tantric adept. rJe-btsun Grags-pa-rgyal-mtshan, on the other hand, does not directly allude to a sameness of the vows, but he states clearly that in Mantra practice, too, the prātimokṣa and bodhisattva vows are to be carefully maintained and continued.⁴⁶ Later on, Go-rams-pa (1429-1489), for example, elaborates this position and postulates the three vows as having the "same nature" (*ngo bo gcig*).⁴⁷ rJe-btsun Grags-pa-rgyal-mtshan speaks, furthermore, of a change with regard to the three vows. But according to him, it is not the possessor of the vow who changes, instead the respective lower vow turns ('gyur) into the respective higher one.⁴⁸ Later on this position is again elaborated by teaching the transformation (*gnas 'gyur*) of the vows.⁴⁹

1.5. Purpose and Procedure

Let me now briefly describe the purpose and procedure of my research. My purpose is to carry out three main objectives of doctrinal description and historical

⁴¹ sGam-po-pa, *Work A* 8 and 9.

⁴² Vibhūticandra, *Garland of Rays* 27.

⁴³ Vibhūticandra, *Garland of Rays* 25.

⁴⁴ rDo-rje-shes-rab, *Same Intention*, vajra utterance 1.24.

⁴⁵ rDo-rje-shes-rab, *Same Intention*, vajra utterance 1.25.

⁴⁶ Grags-pa-rgyal-mtshan, *Removing Errors Regarding the Fundamental Transgressions*, fol. 18r-v.

⁴⁷ Go-rams-pa, *General Topics*, for example on fol. 72v.

⁴⁸ Grags-pa-rgyal-mtshan, *Removing Errors Regarding the Fundamental Transgressions*, fol. 48v.

⁴⁹ See, for example, Go-rams-pa, *General Topics*, fol. 72v ff.

interpretation:

1. To identify and describe the main early three-vow doctrines of Tibet,
2. to differentiate between the different strands of the related doctrinal discussion by identifying the earliest proponents of the different doctrines and their later followers and respondents, and
3. to describe the historical development of the key doctrinal terms, as far as this is possible. Here I will try to take into consideration the influences that the different strands may have exercised on each other.

When attempting to trace the different strands of doctrine, the development of the terms within them, and the influences between them, one immediately is faced with the problem of concretely documenting the later influence of early authors. Here, even though all our authors and their dates are fairly well known (and even the dates of composition of many of their works can be established), our conclusions in these regards must remain tentative, for the accessibility of each work even to scholars of the same tradition remains still very much unknown. Thus we face not only the problem of whether, for example, the works of the slightly earlier seventh Karma-pa Chos-grags-rgya-mtsho (1454-1506) and of Karma-'phrin-las-pa (1456-1539) were actually known to mNga'-ris Paṅ-chen (1487-1542) of the rNying-ma-pas, but also whether, for example, Karma-nges-legs-bstan-'dzin (1700s) drew from the same sources as Karma-'phrin-las-pa, for the latter identifies as the originator of what he accepts as his "own tradition" (*rang lugs*) sGam-po-pa, while the first says that he derives his almost identical doctrine from the third Karma-pa Rang-byung-rdo-rje (1284-1339). Even though it appears that the third Karma-pa was more likely the originator, since the terms and concepts used by both Karma-'phrin-las-pa and Karma-nges-legs are already quite developed and point rather to the thirteenth than to the twelfth century, one still has to be quite careful about this conclusion, since we still have much more to learn about sGam-po-pa and his works, and no treatise on the three vows of the third Karma-pa has turned up as yet.

Another factor limiting the strength of my conclusions is that since this is the first time the problem of the three vows is addressed in a Western academic work, my investigation to a large extent consists of the mapping out of wide, previously unexplored terrain, and that this wide comprehensiveness can only be gained at the expense of less attention to every detail and particular (which is not the case when one concentrates on a single textual tradition). Once I decided to write a dissertation on the theme of the three vows, my first aim was to find out whether there exist different competing doctrinal systems. These I found in abundance. I very soon discovered that in order to appreciate properly even one author's work, I would have to investigate the rivalling doctrines as well. I therefore undertook to identify the most prominent proponents of Tibetan three-vow doctrines and then began to translate the relevant passages of their works. During the process of translation, I

mainly directed my attention to the first two of the three above-stated objectives. The third objective—namely, describing the historical development—was dealt with only after the translations were completed.

Even though I cannot hope in my translations to convey all of my authors' profound intentions in each and every aspect, I nevertheless have tried to render their works as faithfully as possible. In those cases where the full meaning of a passage remained unclear to me, my aim was still to follow in the translation the structure of the Tibetan text as closely as possible and to translate each Tibetan word with what seemed to me the most suitable English equivalent. While translating I tried, whenever time and opportunity allowed it, to consult learned Tibetans of different traditions. Among the numerous scholars who were kind enough to submit themselves to my pestering with many questions the first was, at the beginning, my friend Ngawang Tsering of Nurla, Ladakh, who also was the one who first introduced me to the theme of the three vows. Although his primary focus is very much as an adherent of the 'Bri-gung bKa'-brgyud-pa, he is also well versed in other bKa'-brgyud-pa and rNying-ma traditions. Later on I consulted Nub-pa Rin-po-che and mKhan-po dKon-mchog-bkra-shis of the Drikung Kagyu Institute in Dehra Dun, India, as well as mKhan-po dKon-mchog-rgyal-mtshan of the 'Bri-gung tradition, dGe-shes Thub-bstan-ngag-dbang, Hamburg, Ācārya Tshul-khrims-rnam-dag, formerly of Rumtek College, Sikkim, and my colleague rDo-rje-dbang-phyug of the University of Hamburg. I also had the opportunity to go through most of my translations with my teacher Prof. David P. Jackson, Hamburg.

The above sketch of my purpose and procedure readily reveals certain limitations of my work. First of all, it would have been desirable to investigate each tradition in much more detail through the many commentaries that exist on them, instead of using only two or three of the most prominent works of each tradition. But the lack of time and the already alarming bulkiness of my materials prevented me from further probing into them. I also had to give up following one particular and very promising strand of the discussion in Tibet, namely that which began with Tsong-kha-pa Blo-bzang-grags-pa's (1357-1419) reply to rJe-btsun Grags-pa-rgyal-mtshan's *Removing Errors Regarding the Fundamental Transgressions*,⁵⁰ and was furthered by Śākya-mchog-ldan's (1408-1507) reply to the Tsong-kha-pa.⁵¹ An investigation that would have done justice to these three eminent masters could have easily doubled the time I had already spent and would certainly have doubled the number of pages of the final study, too. Yet another obvious limitation is that Indian works have largely been excluded. Here the main reason is simply that, except for

⁵⁰ In: Tsong-kha-pa Blo-bzang-grags-pa, *gSang sngags kyi tshul khrims*, vol. 1, pp. 375-512.

⁵¹ In: Śākya-mchog-ldan, *'Khrul spong gi brgal lan*, vol. 23, pp. 105-296.

Vibhūticandra's *Garland of Rays*, no "proper" three-vow treatise of Indian origin came into my hands (and even that work is said to have been composed in Tibet), although we have ample reason to believe that discussions of the theme existed in India.⁵² Many other Tibetan three-vow treatises turned up during my work, but their proper investigation must be postponed because of limitations of time and space. A few of the works that had to be left out are:

Tsong-kha-pa Blo-bzang-grags-pa's (1357-1419), *gSang sngags kyi tshul khrims*, containing a reply to Grags-pa-rgyal-mtshan's *rTsa lung 'khrul spong*.

Shākya-mchog-ldan's (1408-1507), *'Khrul spong gi brgal lan*, containing Śākya-mchog-ldan's reply to Tsong-kha-pa.

Mi-bskyod-rdo-rje, Karma-pa VIII (1507-1554), *'Jig rten gsum mgon dgongs gcig rnam bshad*, a large commentary on 'Jig-rten-mgon-po's *Same Intention*.

Padma-dkar-po, 'Brug-chen IV (1527-1592), *sDom pa gsum gyi rgyan*. 3 vols., basic verses and autocommentary. A basic work of the 'Brug-pa tradition.

sDom pa gsum gyi snying po. A large work despite the title.

Rang zhin(!) rdzogs pa chen po mam nges bstan bcos. This is a commentary of doubtful authorship on mNga'-ris Pañ-chen's *Clear Comprehension of the Three Vows*.

Dharmabhadra, dNgul-chu (1772-1851), *sDom gsum gyi bslab bya'i sdom tshig*, dGe-lugs-pa.

Grub-pa'i-rdo-rje, dByangs-can (1809-1877? 1887?), *sDom pa gsum gyi mi mthun phyogs dran tshul*, dGe-lugs-pa, disciple of dNgul-chu Dharmabhadra.

Mi-pham (1846-1912), *sDom gsum ngo bo gcig*, which, although it clearly stands in the tradition of the rNying-ma-pas, appears to include reflections of masters from other lineages, such as of 'Jig-rten-mgon-po of the 'Bri-gung-pas.

Karma-nges-don-bstan-rgyas, sMan-sdong-mtshams-pa (1879?-1921? 1960?), *sDom gsum rags bsdu*s and *sDom gsum 'rags(!) bsdu*s byang chub, a Karma-bka'-brgyud-pa work and autocommentary with obvious rNying-ma-pa influences.

dGe-'dun-rin-chen, dGe-bshes Brag-phug (1926-), *sDom gsum rgyan gyi*

⁵² Kong-sprul, *Pervading All Objects of Knowledge* 4, and Karma-nges-legs, *Good Vase* 3, for example, report of a three-vow theory of Abhayākara-gupta, and of several different systems having existed in India.

- mchan 'grel*, a word-by-word commentary of Padma-dkar-po's *sDom gsum gyi rgyan*.
- bSod-nams-chos-'grub, Glag-bla (20th c.), *sDom gsum gyi dris lan nyi 'od snang ba*, a modern reply in the tradition of the rNying-ma-pas.
- Karma-sangs-rgyas-chos-'phel (?-?), *gZhung lugs bshad bya'i yari lag sdom gsum zur rgyan*.
- ?, *sDom gsum kha khong gi mams bshad*, Microfilm NGMPP. Commentary on Go-rams-pa's *sDom gsum kha skong*, maybe by Ngag-dbang-chos-grags?
- ?, *sDom gsum ldan pas nyin zhag phrugs gcig*, Microfilm NGMPP.
- ?, *sDom gsum nam nges las 'phros*, Microfilm NGMPP, a further discussion on mNga'-ris Pañ-chen, *Clear Comprehension of the Three Vows*.
- ?, *sDom gsum nam nges mchan 'grel*, Microfilm, NGMPP, a word-by-word commentary on mNga'-ris Pañ-chen, *Clear Comprehension of the Three Vows*.

* * *

I did not attempt to establish by philological criteria any lineages of textual transmission. Except in a very few cases, the authors of the sources that I have used mention only the originator of their teaching such as "sGam-po-pa," "Grags-pa-rgyal-mtshan," etc., and additionally perhaps the teacher from whom they have received teachings on the three vows. And even in these cases, the naming of alleged "originators" has to be taken with a grain of salt—in some cases their mention appears to be rather an act of devotion than a record of historical truth. For the Sa-skya-pa lineage, for example, we read again and again in treatises of all the various traditions that the Sa-skya-pa doctrine of transformation (*gnas 'gyur*) and same nature (*ngo bo gcig*) of the vows originated with, or at least appeared in, Sa-pan's *Clear Differentiation of the Three Vows*. This is certainly not the case, for such terms were never used there. In the Karma bKa'-brgyud-pa tradition, to take another example, most authors refer to sGam-po-pa as the originator of their teachings. But after sGam-po-pa, the doctrine taught in that tradition appears to be so much expanded and "improved" that hardly a single key term from the works of the later tradition can be found in the relevant passages of sGam-po-pa's collected works. And, as mentioned before, one author of the 1700s, namely Karma-nges-legs, refers in particular to the third Karma-pa as the originator of those same teachings that are ascribed by others to sGam-po-pa. In short, detailed future investigation of such doctrinal transmissions will have to focus more exclusively on single textual traditions.

Vibhūticandra, Go-rams-pa, and Their Works of the Three-Vow Genre: Introductory Remarks

One of the earliest and most widely known treatises of the three-vow genre is Vibhūticandra's *Garland of Rays*. Although it is quoted and commented upon in numerous works by later Tibetan scholars, it certainly received its most detailed attention and criticism from the fifteenth-century scholar Go-rams-pa, in one of his commentaries on Sa-pan's *Clear Differentiation of the Three Vows*, namely the *General Topics*. This work also includes a detailed exposition of Go-rams-pa's own tradition on the three vows. Both works, the *Garland of Rays* and the *General Topics*, will also be the subject of the following chapters three through eight. Before going on to discuss the theories and doctrines of these two works (in chapters three to five) and present their texts and translations (in chapters six to eight), I would like here to introduce briefly the authors of both works through some biographical notes and remarks about their writings.

2.1. Biographical Notes on Vibhūticandra⁵³

In the history of Tibetan Buddhism, Vibhūticandra is probably best known for his direct transmission of the perfection-stage practices of the six-limbed yoga (*ṣaḍaṅgayoga*) of the *Kālacakrat Tantra* that had been transmitted to him by the Indian great adept Śavaripa, while his most controversial work appears to be his treatise on the three vows. Vibhūticandra was born in the latter half of the twelfth century in the region of Varendra in East India. He received full monastic ordination and studied in Magadha at the monastic university of Vikramaśīla, and he pursued further studies in the area of Orissa and in Bengal at the monastic complex of Jagaddala. His teachers included Vikhyātadeva, *Dharmadāsa (Chos-'bangs), and the Kashmirian great scholar Śākyaśrībhadrā (1140s-1225), who became his principal guru. With Śākyaśrībhadrā he travelled for eleven years in Tibet, starting around 1204, learning innumerable topics of Mantra and Mahāyāna. It is known that they spent the summer retreat of 1206 at Srin-po-ri in Central Tibet. During this time the master was invited by, among others, 'Bri-gung 'Jig-rten-mgon-po (1143-1217).⁵⁴ Khro-phu Lo-tśā-ba Byams-pa'i-dpal (1172-1236), the Tibetan interpreter of Śākyaśrī, reports that

⁵³ These notes are based on Cyrus Stearns' pioneering article, "The Life and Tibetan Legacy of the Indian *Mahāpaṇḍita* Vibhūticandra," pp. 127-171.

⁵⁴ On 'Jig-rten-mgon-po, see the biographical notes in chapter 14, section 1.

Vibhūticandra had made a slanderous remark about the 'Bri-gung-pa, whereupon the master exclaimed: "[He is] a Buddha! It is not right to say that!" And he sent Vibhūticandra to 'Bri-gung to confess his sin and build a temple. According to Khrophu Lo-tsā-ba he did just that.⁵⁵

Śākyaśrī and his entourage spent the rainy season retreat of 1209 (or according to Sa-paṇ 1210) in Sa-skya.⁵⁶ During this time they met with rJe-btsun Grags-pa-rgyal-mtshan (1147-1216), then the senior teacher of Sa-skya. His nephew Kun-dga'-rgyal-mtshan (1182-1251), who seems to have received the epithet "Sa-skya Paṇḍita" from Śākyaśrī, received teachings from the master.⁵⁷ According to Tāranātha, Vibhūticandra was the only junior *paṇḍita* who refused to prostrate before Grags-pa-rgyal-mtshan. This story, however, appears to have been fabricated later in order to establish Vibhūticandra's opposition to the Sa-skya-pa doctrinal positions, and its earliest known source is Tāranātha's *Appendix to the Instructions on Vajrayoginī*, written around 1618.⁵⁸

In 1213 Vibhūticandra accompanied Śākyaśrī to Pu-rang in mNga'-ris. In the next year the master returned to Kashmir, and Vibhūticandra may have done the same for a brief while, or he went directly from Pu-rang to Nepal. In the following time in the Kathmandu valley he emphasized intense study and practice of the *Kālacakratāntra*. After some time he travelled once again to Tibet, where, having become extremely fluent in the Tibetan language, he translated many works into Tibetan. According to Padma-gar-dbang, Vibhūticandra's treatise on the three vows, the *Garland of Rays*, was composed during this time.⁵⁹ Tāranātha (p. 485) says that Vibhūticandra stayed in 'Bri-gung-gling during that time and became very influential. It is not quite clear what "'Bri-gung-gling" refers to (Byang-chub-gling, the name of the monastery that was founded by 'Jig-rten-mgon-po and that is otherwise known as 'Bri-gung-mthil?), and Stearns correctly points out that Vibhūticandra is not

⁵⁵ For Śākyaśrībhadra's reply to Vibhūticandra concerning the 'Bri-gung-pa, see Jackson (1990: 20-21, n. 10), and (1994: 69 f.).

⁵⁶ On that date, see Sa-paṇ, *rJe btsun rin po che'i niam thar*, Lam 'bras slob bshad, vol. 1, fol. 28r, l. 5, *Sa skya pa'i bka' 'bum*, vol. 5, p. 148-14. Cf. Jackson (1987: 35, n. 37).

⁵⁷ On receiving that epithet, see van der Kuip (1983: 100 and n. 290).

⁵⁸ Tāranātha, *rDo rje rnal 'byor gyi 'khrid yig mthong ba don ldan gyi lhan thabs 'od brgya 'bar*, p. 484.

⁵⁹ Padma-gar-dbang, *Zab chos sbas pa mig 'byed kyi chos bskor las paṇ che sha wa dbang phyug gi snyan rgyud rdo rje gsum gyi bla ma brgyud pa'i niam thar dad pa'i mnga chen*, fol. 24b. This lineage history of the Kālacakra teachings is, according to Stearns, by far the most important source on the life of Vibhūticandra.

mentioned in the biography of 'Bri-gung-gling-pa (a.k.a. Shes-rab-'byung-gnas).⁶⁰ 'Bri-gung-gling-pa, however, spent most of his time after 'Jig-rten-mgon-po's passing in 1217 in Ti-se and other places and visited 'Bri-gung a few times, but always only for very brief periods.

After this second visit to Tibet, Vibhūticandra returned again to Nepal where the most significant spiritual event of his life occurred, i.e. his vision of the great adept Śavaripa, who taught him the yoga of the six limbs, of which Vibhūticandra eventually became a master and chief transmitter. After this event he spent another three years in Tibet, having been invited by his former disciple Ko-brag-pa (1179-1249). He especially taught the yoga of the six limbs, but it is also said that he taught the *Garland of Rays* to Chos-sku-'od-zer (1214-1292). His biographer reports that after his return to Nepal, Vibhūticandra lived for many more years, obtained the supramundane achievements (*siddhi*) of a yogi and left no body at death.

2.2. The *Garland of Rays* of Vibhūticandra

Vibhūticandra's *Garland of Rays of the Three Vows* (Tib. *sDom gsum 'od kyi phreng ba*, Skt. *Trisaṃvara-prabhāmālā*) is one of the most controversial writings on the three vows. It covers about three folios in the Peking and Derge *Tanjur* collections, and its short colophon states it was "composed by the great scholar Vibhūticandra of Jāgatala(!), East India, [and] translated by himself (*rang 'gyur du mdzad pa*)." This formulation, which uses the honorific form *mdzad pa*, shows that the colophon for this work was written by someone different from the author himself, possibly the editor of the canon (i.e. Bu-ston). Stearns (p. 147) points out that:

Vibhūticandra is the author of at least eight texts found in the Peking edition of the *Bstan 'gyur*. Six of those eight he translated by himself or with the help of a Tibetan translator, as well as at least another twenty-five works written by other Indian teachers.

In other words, the *Garland of Rays* is not the only work he translated by himself. Moreover, none of the colophons of the works composed or translated by Vibhūticandra are written in the first person (Stearns, p. 156). Nevertheless, Tāranātha, in his *Appendix to the Instructions on Vajrayoginī* (p. 485), believes for certain the *Garland of Rays* to be a forgery. For this he offers only one reason:

Since the text of the *Garland of Rays* has clear signs (*sang sngon rtags can*) of being a Tibetan composition (*bod rtsom*), it was certainly not composed by Vibhūticandra.⁶¹

He is, however, the only one who reports such a suspicion. None of the scholars

⁶⁰ On Shes-rab-'byung-gnas, see the biographical notes in chapter 14, section 2.

⁶¹ I shall return to the discussion of what such a "clear sign" could be at the beginning of chapter 3, p. 35.

who quoted the work or referred to it, such as the various rNying-ma, Sa-skya, bKa'-brgyud and dGe-lugs masters, expressed such a suspicion.⁶² Especially Bu-ston Rin-chen-grub, who translated at least one of Vibhūticandra's works from Sanskrit to Tibetan and who certainly did possess the ability to distinguish between Indian and Tibetan compositions, included it in his edition of the *Tanjur* with the statement that it was composed by Vibhūticandra.⁶³ Also Tāranātha's supposition that it had been written by a dGe-bshes of Srin-po-ri or a partisan of the 'Bri-gung-pa does not ring true, since the bKa'-gdams-pa's position is also criticized, and since the position upheld by Vibhūticandra himself does not bear the slightest resemblance to that of 'Bri-gung 'Jig-rten-mgon-po. Since Tāranātha's argument is not in the least convincing, I see no reason to assume that the author of the *Garland of Rays* was other than Vibhūticandra.

Vibhūticandra's translation of his *Garland of Rays* is in verses of seven syllables. I have divided the text according to topics into forty-six sections that contain between three and six or seven lines each (section forty-one has eighteen as an exception). Their main topics shall be explained in chapters three and four.

The text comes down to us in at least two quite different traditions. While versions *D* and *P* differ only slightly through some minor orthographical variants, the text given in full in Go-rams-pa's *General Topics* differs not only through orthographical variants, but occasionally also to quite an extent in its wording. Thus, to quote only some examples, we have:

P and D

[1f] "the lower (or: last) [period] of the teachings" (*bstan pa'i gsham*)

[1i] "understood merely a part" (*phyogs re'i cha tsam rtogs*)

[1o] "the rays of the sun of my intelligence" (*bdag blo nyi ma'i 'od zer*)

Go-rams-pa's General Topics

"the tail (or: remainder) of the teachings" (*bstan pa'i mjug*)

"expressed merely a part" (*phyogs re'i cha tsam brjod*)

"the sun disc of my intelligence" (*bdag blo nyi ma'i dkyil 'khor*)

⁶² These scholars include such eminent masters as dGa'-gdong-pa, Go-rams-pa, Karma-'phrin-las-pa, Karma-chags-med, Lo-chen Dharma-shrī, Mi-pham, Tsong-kha-pa and sDe-srid Sangs-rgyas-rgya-mtsho.

⁶³ Stearns, p. 157. The work translated by Bu-ston is the *sGrub thabs mdor byas kyi dka' 'grel* (*Piṇḍīkṛtasādhanapañjikā*), *P* vol. 62, no. 2701.

[4d] "the Vinaya of the prātimokṣa" (*so sor thar pa'i 'dul ba*)

"the vows of prātimokṣa" (*so sor thar pa'i sdom pa*)

[26c] "at the time of arising" (*shar ba'i tshe*)

"if [it] has arisen" (*shar gyur na*)

[10c] "from a guru who possesses the correct ritual" (*bla ma cho ga tshad ldan las*)

"from a guru who possesses the good marks" (*bla ma mtshan nyid tshad ldan las*)

These few examples are not only cases of synonyms like *gsham* and *mjug*, but also variants that may suggest an intervention in the text such as 'od zer becoming *dkyil 'khor*, and 'dul ba becoming *sdom pa*. In addition, we have a number of even greater divergences:

[44e] *tshe 'di nyid la sangs rgyas 'gyur*

tshe 'dir sangs rgyas 'grub par gsungs

[4f] *so sor thar pa'i tshul yin no*

so thar sdom pa'i gtong tshul yin

[27h] *des thob tshul dang gtong ba'i tshul*

des na gtong thob gnas pa'i tshul

All in all, it seems to be quite clear that we are not simply dealing with scribal errors. One possibility is that the original translation has later been revised, perhaps by Vibhūticandra himself, while the first 'draft' was already in circulation. That would explain some corrections that appear to be secondary, such as (27h:) "the way of obtaining and the way of abandoning" and "the way of abandoning, obtaining, and maintaining," and (4f:) "the way of the prātimokṣa" and "the way of abandoning prātimokṣa." It is rather doubtful that these corrections were made to improve the translation from the Sanskrit, since one can hardly imagine that Vibhūticandra—if he indeed translated the work himself—made such gross mistakes (also: *dkyil 'khor*/'od zer in 1o!) in translating his own work. Instead he might have changed the text (perhaps in both the Sanskrit and the Tibetan versions) on the basis of factual considerations. It is also conceivable that one or even both of our versions underwent a period of oral transmission, which would explain a number of differences that have no relevance for the meaning (such as my examples 1f, i, o, 26c, and even such examples as 4d). In any case, it does not seem likely that Go-rams-pa changed the basic text to fit it in with his 'polemical needs,' since also those parts that are left

uncriticized by him show significant variations.⁶⁴

2.3. Biographical Notes on Go-rams-pa (1429-1489)

Go-rams-pa was one of the most influential Tibetan Buddhist writers of the mid to late fifteenth century.⁶⁵ His birth in Kham province in East Tibet in the year 1429 coincided with the founding of Ngor Evam-chos-ldan monastery in gTsang by the great Ngor-pa Kun-dga'-bzang-po (1382-1456).⁶⁶ He received his first ordination at the age of ten by Kun-dga'-'bum and began his studies under him by learning to read and write, and by learning by heart a great number of writings such as the five treasures of Maitreya, the works of Rong-ston Shes-bya-kun-rig (1367-1449) and g.Yag-ston Sangs-rgyas-dpal (1348-1414).⁶⁷ From him he also received his initial training in epistemology (*pramāṇa*) and the Perfections Vehicle (*pāramitāyāna*). According to the *Ngor Abbatial History* he studied at first under a teacher named Shes-rab-dpal.⁶⁸ One time after he had heard many teachings and practiced an evocation ritual of Mañjuśrī he dreamt that a sword came in his hand that he quickly

⁶⁴ For such a variation, see the example of *Garland of Rays* 4f above.

⁶⁵ This account is based on three sources. The main source is the most lengthy and informative of the presently available biographies, the *Kun mkhyen bsod nams seng ge'i nam par thar pa*, composed by his successor in rTa-nag Thub-bstan-mnam-rgyal monastery, Kong-ston dBang-phyug-grub. In my account I mainly rely on its presentation by van der Kuijp (1983: 119 ff.). The other sources I used are Sangs-rgyas-phun-tshogs, *Ngor Abbatial History*, fol. 7rv; and Ko-shul-grags-pa-'byung-gnas and rGyal-ba-blo-bzang, *Gangs can mkhas grub rim byon ming mdzod*, pp. 260 ff.

Other (as yet unexplored) biographies of Go-rams-pa are Glo-bo mKhan-chen (1456-1532, Go-rams-pa's disciple), *rJe btsun mkhas pa'i dbang po bsod nams seng ge'i nam par thar pa nyi ma'i 'od zer* (24 fols.), which is contained in his collected works, vol. ka, fols. 178-202 (not available to me); and A-mes-zhabs Ngag-dbang-kun-dga'-bsod-nams (1597-1659), *Kun mkhyen bsod nams seng ge'i nam par thar pa dad pa rgya mtsho'i rlabs phreng nam par g.yo ba* (138 fols.), which is contained in his collected works, vol. ya, no. 2, written during the first half of the seventh month of 1625. This biography has just recently become available through the NGMPP in Hamburg.

⁶⁶ His place of birth is given by Kong-ston as 'Bom-lung-mda', located in mDo-khams. Van der Kuijp points out (n. 364) that according to another source his place of birth has been sGom, a district of Go-'o-rong (which should be read Go-bo-rong). Van der Kuijp's source for this is Ngor-chen dKon-mchog-lhun-grub and Ngor-chen Sangs-rgyas-phun-tshogs, *Dam pa'i chos kyi 'byung tshul legs pa bshad pa bstan pa rgya mtshor 'jug pa'i gru chen zhes bya ba rtsom 'phro kha skong*, New Delhi, 1973, fol. 76 ff.

⁶⁷ According to the *Ngor Abbatial History*, fol. 7v, he began these studies at the age of eight.

⁶⁸ Kong-ston adds another name, i.e. dKa'-bcu-pa sByin-bzang.

removed from its scabbard and waved around in the sky. It appeared that this (activity?) covered all of dBus, gTsang, and Khams. Thereafter he was known as "Go-ram(sic!)."⁶⁹ In 1448, at the age of nineteen, he went to Central Tibet and entered a Na-lendra college where he met Rong-ston Shes-bya-kun-rig (1367-1449).⁷⁰ After Rong-ston's death one year later (1449) he headed for sKyed-tshal monastery, which had been founded by Byams-chen Rab-'byams-pa Sangs-rgyas-'phel (1411-1485) in the same year. Having stayed there for a little over three years he proceeded in 1453 to Ngor Evam-chos-ldan monastery to embark on Mantra studies. At the age of twenty-six he received full ordination from Ngor-chen, Mus-chen dKon-mchog-rgyal-mtshan (1388-1456), then second abbot of Ngor at Ngor E-wam-chos-ldan, and Sangs-rgyas-dpal-grub, and heard many teachings from them.⁷¹ According to his disciple and biographer Kong-ston (p. 12), Go-rams-pa received the teachings on Sa-pan's three-vow treatise, the *Clear Differentiation of the Three Vows*, "many times" from Gung-ru Shes-rab-bzang-po (1411-1476) at Ngor.⁷² According to the *Ngor Abbatial History*, Go-rams-pa had also studied at gSang-phu [Ne'u-thog] and 'Bras-yul [rDzong-dkar] sKyed-[mo]-tshal.

According to the *Gangs can*, Go-rams-pa engaged at the age of thirty-one (i.e. in ca. 1460) in controversies with a number of scholars who taught diverging interpretations of tantras. He is said to have kept a tight schedule of ten teaching-sessions per day at that time, while teaching at both sKyed-tshal and Ngor. In 1472 he founded Thub-bstan-rnam-rgyal monastery in the rTa-nag valley.⁷³ In 1483 at the age of fifty-three he became the sixth abbot of Ngor, a position he retained until

⁶⁹ This should be "Go-rams," the full version of which is "Go-bo Rab-'byams-pa." "Go-bo" is a place near Derge where he was born, and Rab-'byams-pa denotes the highest kind of dGe-bshes degree.

⁷⁰ Na-lendra monastery was founded by Rong-ston at Phan-yul in 1436. See Jackson (1989b: 6 ff.).

⁷¹ According to *Gangs can*, p. 261, he entered Ngor already at the age of twenty-five and was fully ordained two years later. It is also mentioned there that he received from Mus-chen the *Path with Its Fruit* (*Lam 'bras*) teachings. When he himself became a master of Sūtra, Mantra, and the sciences, he had altogether studied the *Path with Its Fruit* teachings under eighteen great masters.

⁷² Shes-rab-bzang-po was the sixth abbot of Na-lendra. According to Kong-ston, Go-rams-pa received the teachings in 1454 or 1455. Jackson (1989b: 15 f.) describes Shes-rab-bzang-po as an outstanding teacher and scholar who came to Ngor in 1453. Khenpo Appéy (p. 56) lists among his works a "General-Topics work on the *Clear Differentiation of the Three Vows*, etc." (*sDom gsum rab dbye'i spyi don sogs*).

⁷³ This is mentioned in all sources. rTa-nag is the valley of the river rTa-nag-po, which flows from the north into the gTsang-po at about 88°35' East. See Ferrari (1958: 157, n. 586).

1486, when he handed over the abbatial throne to dKon-mchog-'phel (1445-1514?).⁷⁴ Again according to the *Gangs can* he visited Sa-skya two times, at the age of fifty-one and fifty-nine. Go-rams-pa died after his second visit to Sa-skya on his way back to rTa-nag, when he was not quite sixty.⁷⁵

Go-rams-pa spent his whole life composing treatises that added up to more than a hundred compositions in thirteen volumes. They reflect his wide range of scholarship, covering such topics as epistemology (vols. II-III), Vinaya and Abhidharma (vol. IV), Madhyamaka (vols. IV-V), the Perfections Vehicle (vol. VI-VIII), the three vows (i.e. commentaries on Sa-paṇ's *Clear Differentiation of the Three Vows*, vol. IX), and Mantra (vols. X-XIII).

2.4. Go-rams-pa's Commentaries and Related Works on Sa-paṇ's *Clear Differentiation of the Three Vows*

The ninth volume (*ta*) of Go-rams-pa's collected works in the Derge edition is entirely devoted to Sa-skya Paṇḍita's *Clear Differentiation of the Three Vows* and closely related themes. The six treatises that are listed there (nos. 57-62) add up to more than 170 folios. These are:

57. *The Exposition of the Clear Differentiation of the Three Vows: The Shining Intention of the Buddha's Teachings* (*sDom pa gsum gyi rab tu dbye ba'i mnam bshad rgyal ba'i gsung rab kyi dgongs pa gsal ba*). This work was written in 1463 at 'Bras-yul rDzong-dkar sKyed-mo-tshal. It is a detailed commentarial exposition that follows Sa-paṇ's *Clear Differentiation of the Three Vows* verse by verse. For an outline of its subject-headings, see Appendix B.
58. *The General Topics of the Clear Differentiation of the Three Vows: A Wishfulfilling Jewel* (*sDom gsum rab dbye'i spyi don yid bzhin nor bu*). This work was written two years earlier, i.e. in 1461, at the same place as no. 57, and it contains thematic discussions of the general topics of Sa-paṇ's three-vow treatise. Its contents are summarized briefly in section five of this chapter. For an outline of its subject-headings, see Appendix A. Both of these works (i.e. nos. 57 and 58) were, according to Jackson (1983: 16), standard works in the seminaries in twentieth-century Khams.
59. *The Treatise of the Three Vows [Containing] the Replies to Questions: Removing Errors [Regarding] the Three Vows* (*sDom pa gsum gyi bstan bcos dris shing risod pa'i lan sdom gsum 'khrul spong*). This work was written about a decade and a half later in 1476 at rTa-nag. It is a writing with "a polemical slant ...

⁷⁴ See Jackson (1989a: 53).

⁷⁵ For the second visit and his sudden death afterwards, see Jackson (1989b: 20 ff.).

written in reply to questions about or objections to the contents of the *sDom gsum rab dbye*" [i.e. the *Clear Differentiation of the Three Vows*] (Jackson 1983: 16). It was Go-rams-pa's answer to Shākya-mchog-ldan's "challenge," the one-hundred and eight questions about Sa-paṇ's *Clear Differentiation* set down in the *sDom gsum rab dbye la dri ba legs pa* (*Collected Works*, vol. 17, pp. 448-462). Ten other scholars also took up the challenge and wrote answers, but Shākya-mchog-ldan was not satisfied with any of the answers and undertook to write the *Golden Key* (see also Jackson 1983: 17 f.).

60. *The Essential Summary of the Topics of All Sūtras and Tantras That is Completely Removing the Darkness of the Mind* (*mDo rgyud kun gyi don bsdus pa snying po yid kyi mun pa nram par sel ba*). This work is not dated. Being an outline of the subject-headings of Sa-paṇ's *Clear Differentiation of the Three Vows* it is also referred to as the *Summary of the Clear Differentiation of the Three Vows* (*sDom gsum rab dbye'i bsdus don*).
61. *The Supplement for the Clear Differentiation of the Three Vows: The Good Explanation That Clarifies the Basis, Path, and Fruit* (*sDom pa gsum gyi rab tu dbye ba'i kha skong gzhi lam 'bras gsum gsal bar byed pa'i legs bshad 'od kyi snang ba*). This work was written in 1478 at rTa-nag. Jackson (1983: 16), says: "(This) ... text ... was written to complete the original *Clear Differentiation of the Three Vows*, adding the promised but missing section on the basis (*gzhi*), path (*lam*), and fruit (*'bras bu*). This book later gave rise to other related works by Go-rams-pa: a summary [i.e. see no. 62 below], and his *Dris lan padma bzhad pa* [*Replies to Questions: A Blossoming Lotus*]. This supplement also inspired commentaries by later scholars such as Mang-thos Klu-sgrub-rgya-mtsho, Ngag-dbang-chos-grags, Chos-rnam-rgyal and even the modern scholar mKhan-po Sangs-rgyas-bstan-'dzin."
62. *The Summarized Topics of the Supplements for the [Clear Differentiation of the] Three Vows* (*sDom gsum kha skong gi bsdus don*). This work was written at rTa-nag (after 1474).⁷⁶ It is a summary of the above writing (no. 61).

For the present thesis I have used work no. 58 above, which also contains Vibhūticandra's *Garland of Rays* in full and its discussion by Go-rams-pa (cf. chapter 2.2., p. 23 ff.), and a large section on the original position of the Sa-skyapas according to Go-rams-pa. I have abbreviated this work throughout this study as *General Topics (of the Clear Differentiation of the Three Vows)*. In my footnotes I have often relied on explanations given in Go-rams-pa's work no. 57 above. This

⁷⁶ Thub-bstan-rnam-rgyal monastery was founded by Go-rams-pa in 1474, so that one would suppose that any work written there was composed after that date.

work is abbreviated throughout as *Detailed Exposition (of the Clear Differentiation of the Three Vows)*.

2.5. Topical Summary of Go-rams-pa's *General Topics*

Before focusing on those sections of Go-rams-pa's *General Topics* that deal in a critical manner with Vibhūticandra's *Garland of Rays* and his own doctrines on the three vows, I would like to summarize briefly the contents of the whole treatise. The main part of the work begins on folio 4r with the teaching that all the practices of the Buddhist doctrine are summarized within the practice of the three vows. After a discussion of how the vows of the prātimokṣa, bodhisattvas, and Tantric adepts are taught through scriptures (in seven folios), there follows an exhaustive investigation (*mtha' dpyad*) of the subject to be taught, i.e. the three vows. This is followed by a short exposition of the main topics of the vows in general, referring to other classifications of the vows such as the three observances described in the *Abhidharma*,⁷⁷ or the vows of the two stages of Mantra practice, namely of production and perfection (*utpattikrama* and *sampannakrama/niṣpannakrama*), etc. After identifying then the three vows relevant to this treatise as the vows of the prātimokṣa, the bodhisattvas, and the Tantric adepts, these are taught under six headings:

1. identification of the nature of the three vows,
2. the rituals for obtaining the vows,
3. the training for maintaining the vows,
4. the ways to repair damaged vows,
5. the investigation of how the vows are maintained and how lost, and
6. how the three vows are practiced by a person who possesses all three.

The section concerning us most is the fifth, which is also the longest, consisting as it does of thirty-one folios. It has two main parts, of which the first, i.e. the investigation of how the vows are maintained, is divided into three sub-sections:

	— different modes of having the same and different natures
5. Investigation of how the vows are (1) maintained ...	— Go-rams-pa's own tradition
	— refutation of Vibhūticandra's critique

⁷⁷ For these three observances, see fn. 20.

(2) ... and how lost	
6. How the three vows are practiced by a person who possesses all three	

The first sub-section is devoted to an investigation of different modes of maintaining the vows as having the same and as having different natures. Three theories maintaining different natures for the vows, i.e. Vibhūticandra's, Abhayākara Gupta's, and the bKa'-gdams-pa's theories, are refuted. This first sub-section furthermore contains a refutation of those Sa-skyapa-s who mistake the views of others as their own tradition, such as dGa'-gdong-pa.⁷⁸ The refutation of Vibhūticandra's theory (fols. 62v-66r) is presented in translation in chapter six, pp. 97 ff., together with the relevant verses of Vibhūticandra's *Garland of Rays*. In the second sub-section of part one Go-rams-pa presents what he accepts as his own tradition of how the vows are maintained (fols. 70v-75v). I will present my discussion of its main topics in chapter five (pp. 89 ff.), and its text and translation in chapter seven, pp. 131 ff. The third sub-section of part one (fols. 75v-77v) is a refutation of Vibhūticandra's critique of the Sa-skyapa system as found in his *Garland of Rays*. Instead of presenting this after what Go-rams-pa presents as his own tradition (as in his *General Topics*) I have incorporated this part into my translation of Vibhūticandra's *Garland of Rays* and its critique by Go-rams-pa (in chapter six, pp. 97 ff.), since this has the advantage of presenting the material following the structure of Vibhūticandra's text (see my "Structure of Presentation" at the end of this chapter).⁷⁹

I have mentioned above that the fifth main section ("investigation of how the vows are maintained and how lost") has two main parts, the first of which is

⁷⁸ dGa'-gdong-pa Chos-rgyal-dpal-bzang (1400s). He was a disciple of sPos-khang-pa Rin-chen-rgyal-mtshan (1400s), who was in turn a disciple of Bla-ma-dam-pa bSod-nams-rgyal-mtshan (1312-1375). Both sPos-khang-pa and dGa'-gdong-pa have written extensive commentaries on Sa-paṇ's *Clear Differentiation of the Three Vows*, but only sPos-khang-pa's work, which was written in 1427 towards the end of his life, is presently available: *sDom gsum gyi rab tu dbye ba'i gzhung lugs legs par bshad pa*, vols. 1-3, Kunsang Topgyel and Mani Dorji, Thimpu, Bhutan, 1979.

⁷⁹ With regard to this parallel presentation of both texts, however, one must bear in mind that Vibhūticandra wrote at the beginning of the thirteenth century, referring to rJe-btsun Grags-pa-rgyal-mtshan's earlier work on the Tantric pledges (i.e. the *Removing Errors Regarding the Fundamental Transgressions*), whereas Go-rams-pa refuted Vibhūticandra about two-hundred and fifty years later in 1461—i.e. after an intervening period that has been very fruitful with regard to the scholastic refinement of the Sa-skyapa teachings.

described in the preceding paragraph. The second part, i.e. "investigation of how the vows are lost," and the sixth and last part of the *General Topics*, i.e. "how the three vows are practiced by a person who possesses all three vows" will be presented in this book together with what Go-rams-pa presents as his own tradition (in chapter five, pp. 89 ff.; with summary; and chapter seven, pp. 131 ff., with text and translation). The full subject-heading outline (*sa bcad*) of Go-rams-pa's *General Topics* and of his *Detailed Exposition*, together with a concordance to Sa-pan's *Clear Differentiation of the Three Vows* are given in the appendices A and B at the end of this book.

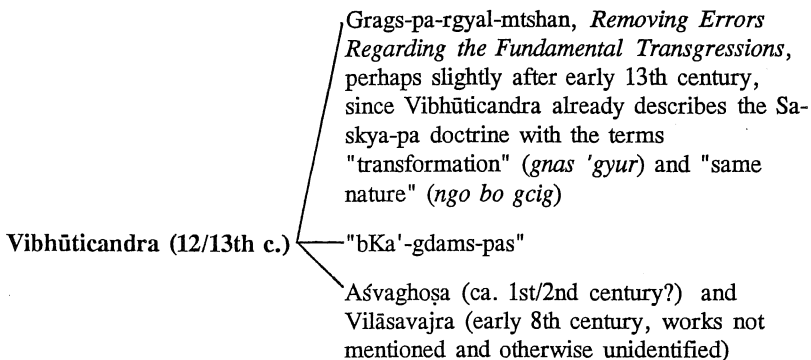
Structure of Presentation

Chapter	<i>Garland of Rays</i>	<i>General Topics</i>	Theme
3 (summary) and 6 (text and translation)	sections 2-3 section 5 sections 9-12	fols. 62v-63r fol. 63r fol. 63r-v	Vibhūticandra on the three vows and Go-rams-pa's refutation
4.1. (summary) and 6 (text and translation)	sections 14-17 (quotations)	-	Vibhūticandra's refutation of the Sa-skyapa's
<i>ditto</i>	sections 18-24	fols. 75v-77v	-"- and Go-rams-pa's counter refutation
4.2. (summary) and 6 (text and transl.)	sections 25-30	fols. 63v-65v	Vibhūticandra's own theory and his refutations of other theories; Go-rams-pa's refutation; Vibhūticandra's summary
4.3. (summary) and 6 (text and transl.)	sections 31-33	fol. 65v	
4.4. (summary) and 6 (text and transl.)	sections 34, 36-37	fol. 65v-66r	

5.1. (summary)		fols. 70v-72r	Go-rams-pa own tradition (<i>rang lugs</i>):
5.2.1. (summary)		fols. 72r-73v	quotation
5.2.2. (summary) (text and translation of these in 7)		fols. 73v-77v	transformation of the vow's same nature; this part also contains:
		fols. 77v-80r	Go-rams-pa on the loss of vows
8.	remaining sections not discussed in <i>General Topics</i> : 1, 4, 6-8, 13, 35, 38-46		

2.6. Preliminary Sketch of Some Historical Key Relationships

In the following diagrams I would like to sketch in a preliminary way some of the historical key relationships that I have found indicated within the main works investigated in the following chapters. Possible predecessors of the authors are shown above the author, the doctrines criticized by him to the right.



Grags-pa-rgyal-mtshan (1147-1216)

Sa-pan (1182-1251)

Go-rams-pa (1429-1489)

- On specific points, he refers to 'Bri-gung-pa doctrines, though not by name (for instance on whether monk vows survive death).
- "bKa'-gdams-pas"
- Abhayākara-gupta (d. 1125)
- Vibhūticandra (12/13th century)
- dGa'-gdong-pa (a previous—i.e. early fifteenth century—Sa-skyapa commentator on Sa-Pan's *Clear Differentiation of the Three Vows*)

Vibhūticandra's Explanation of All Three Systems of Vows, with Go-rams-pa's Reply

Among the earliest works on the three systems of vows that were composed in Tibet was Vibhūticandra's *Garland of Rays*, presumably first written in Sanskrit and then translated by the author himself into Tibetan probably at some time during the 1220s, a work that was destined to become one of the most controversial treatments of the matter.⁸⁰ Since the system of vows of the Sa-skyas was among those that were criticized by Vibhūticandra, it is not surprising that one of the most competent scholars of that tradition, an outstanding master of both philosophical and Tantric studies, Go-rams-pa bSod-nams-seng-ge, undertook the task not only to refute the criticism, but also to launch a severe counter attack against what Vibhūticandra accepts as his own tradition of how to practice the three vows together.⁸¹

In this and the following four chapters, I would like to present a summary and discussion of Vibhūticandra's treatment of the three vows in his *Garland of Rays* and of its refutation in Go-rams-pa's *General Topics*. The present chapter focuses on the first thirteen sections of the *Garland of Rays* in which Vibhūticandra explains individually each of the three vows through seven aspects: their support, purpose, ritual, duration, nature, persistence/maintaining, and loss.⁸²

The first part of the *Garland of Rays* contains three passages that are remarkably parallel in their construction, namely sections one to four, five to nine, and ten to thirteen. This parallelism is remarkable, because such a construction is more typical for a Tibetan composition and is not found to the same extent in Indian compositions. If one would find the same kind of systematic organization throughout the whole work, Tāranātha would indeed have had a good point when he observed that the *Garland of Rays* shows "clear signs of being a Tibetan composition." This is, however, not the case, and in weighing the arguments (presented in chapter 2.2., p. 23 ff.), I tend more to believe that Vibhūticandra might have modelled his presentation of the vows in these sections 2-13 after a Tibetan composition, or he

⁸⁰ Cf. my remarks in chapter 2, section 1.

⁸¹ On the life and works of Go-rams-pa, see chapter 2, sections three through five.

⁸² I have divided Vibhūticandra's *Garland of Rays* into forty-six sections according to the subjects discussed in them. The sections contain, in general, between three and six or seven lines (section forty-one contains eighteen lines as an exception).

might have even copied them, or parts of them, from somewhere else, much as he had copied the greater part of section 41 from a Tantric work of Vilāsavajra.⁸³

The structure of sections 2-13 can be shown by the following chart:

Theme	prātimokṣa vows	bodhisattva vows	Mantra vows
beings who are the support of the vows	section 2, line 1	5, l. 1	10, l. 1
purpose of the vows	2, l. 2	5, l. 2	10, l. 2
ritual for obtaining the vows	2, l. 3	5, l. 3	10, l. 3
duration for which the vows are obtained	2, l. 4	5, l. 4	10, l. 4
nature of the vows	2, ll. 5-7	6, ll. 1-4 (relative <i>bodhicitta</i>) 7, ll. 1-4 (absolute <i>bodhicitta</i>)	11, ll. 1-3
how the vows persist / how they are maintained	3, ll. 1-4	8, ll. 1-4	12, ll. 1-5
loss of vows	4, ll. 1-6	9, ll. 1-4	13, ll. 1-4

Garland of Rays 2. The beings who are the support of the prātimokṣa vows are "men and women of the three continents." They take them for the purpose of liberating themselves from saṃsāra, through the correct ritual of a preceptor (*upādhyāya*) and a master (*ācārya*) for as long as they live. The nature of the prātimokṣa is "restraint of primarily body and speech with regard to harm together with the [mental] basis [for harming others]." Vibhūticandra identifies this tradition of prātimokṣa as in general that of the Vaibhāṣikas.

⁸³ Vilāsavajra, *Dam tshig gsal bkra*, P vol. 83, no. 4744. See for this section chapter 8, pp. 165ff. Vilāsavajra, Tib. sGeg-pa'i-rdo-rje, is often erroneously rendered "Lilavajra." The form "Vilāsavajra" is given in the colophon to his *Mañjuśrījñānasattvasya Pāramārthā Nāmasaṃgīti*. See Ronald M. Davidson (1981: 6, fn. 18).

The expression "by men and women of the three continents" refers to the four continents of Mount Meru, excluding the Northern continent of "Harsh Sounds" (*sgra mi snyan*; Skt. [*Uttara*]-*kuru*), where morality is impossible. The standard scriptural reference on this point is the first part of Vasubandhu's *Abhidharmakośa* [bḥāṣya], ch. IV, v. 43:

Human beings, with the exception of eunuchs,
hermaphrodites, and [the beings in] Kuru (...).⁸⁴

This is, as is also stated in line seven of *Garland of Rays* 2, and as observed by Go-rams-pa (*General Topics*, p. 218, fols. 38v f.), held by the Vaibhāṣikas. There exists, however, a controversial discussion on whether the restriction "men and women" (i.e. human beings) is meant for each and every aspect of the prātimokṣa discipline. Go-rams-pa, too, remarks (*ibid.*) that the Sautrāntikas hold that the vow of approximation (*upavāsa*)⁸⁵ can arise in animals, too, because they take such stories as that of the serpent demon (*nāga*) gZhon-nu-tsam-pa⁸⁶ from the '*Dul ba lung*'⁸⁷ and that of the hare from the *Stories of Former Births* (Skt. *Jātakas*) literally.⁸⁸

Shākya-mchog-ldan (*Golden Key*, fol. 15r), too, refers to "such stories as of the hare, the otter, etc., in the *Stories of Former Births*," but according to him the story

⁸⁴ Pradhan (1967: 226): *ṛṇām asaṃvaro hitvā śaṇḍhapaṇḍadvidhākṛtīn// kurūṣ ca saṃvaro 'py evaṃ devānām ca ṛṇām trayāḥ*. In Tibetan (*P* vol. 115, 204-4-4): *za ma ma ning sgra mi snyan// mtshan gnyis ma gtogs mi rnam la// sdom min sdom pa'am de bzhiṅ du// lha la'arig mi rnam la gsum mo*.

⁸⁵ *Upavāsa* is often translated as "one-day fast." It is a practice of householders that belongs to the prātimokṣa and consists of abstaining from the "four roots" (killing, stealing, sexual misconduct, telling lies), from alcohol, fancy outfits and ornaments, high and vast beds, and the afternoon-meal—therefore *upavāsa* is perhaps more appropriately translated as "approximation," in the sense of imitating the behaviour of ordained persons for the period of one day. In fact already the *Aṅguttara-Nikāya* speaks of *arahataṃ anukaromi* ("imitating the arhat," IV, 249, I, 211) and (among other abstinences) of *virato vikālabhojanā* ("desisting from afternoon-meal," IV, 250).

⁸⁶ This story is perhaps connected with the story of Nāgākumāra, see Panglung (1981: 8-10).

⁸⁷ What Tibetan scholars often describe collectively as the '*Dul ba lung*' is the vast body of literature of the *Vinayavastu* (*P* vols. 41-42), the *Vinayavibhaṅga* (vols. 42-43), the *Vinayaśūdrakavastu* (vol. 44), and the *Vinayottaragrantha* (vol. 45). As far as I know, no Sanskrit equivalent for '*Dul ba lung*' exists. The Tibetan term seems to express a sense of "canonicity" of the works listed above. Cf. *Tibetan-Chinese Dictionary*, "'*dul ba lung sde bzhi*."

⁸⁸ See also Go-rams-pa, *Detailed Exposition*, p. 132, fol. 26v. For the story of the Hare from the *Śaśajātaka*, see Ludwig Alsdorf (1974): "Kleine Schriften," (Glasenapp-Stiftung Bd. 10), A. Wezler (ed.), Franz Steiner Verlag, Wiesbaden, pp. 347-363.

of the serpent demon appears in the *Sūtra of the Wise and the Foolish*.⁸⁹ Additionally he refers to the well-known contemporary of the Buddha, the patron Anāthapiṇḍada,⁹⁰ who is said to have conferred the vow of approximation upon others based on the basic scripture of the Sautrāntikas. Unfortunately I could not identify these stories. In general, however, a serpent demon is not admitted to the saṃgha,⁹¹ but the story to which the Sautrāntikas refer seems to relate to a situation where one or more serpent demons were admitted to a vow of approximation ritual.⁹²

Another problem is whether the Vaibhāṣika's position that is expressed in the above-cited passage of the *Abhidharmakośa* is also valid for the Mahāyāna prātimokṣa. Vibhūticandra remains silent on this point, but Go-rams-pa observes (*General Topics*, fol. 39r) that the Vaibhāṣika position only holds for the ritual held in common (*cho ga thun mong*) with the auditors, while the case is different for the uncommon ritual of the Mahāyānists (*thun mong ma yin pa'i theg chen gyi cho ga*). When based on the latter, the Mahāyāna prātimokṣa may arise in other than human beings, too, since it arises on the basis of the production of the resolve of the Mahāyānists.

Go-rams-pa also draws some further distinctions (*Detailed Exposition* fol. 27v). He subdivides the uncommon ritual (of the Mahāyānists) into those rituals that have died out and those that have not (*cho ga nub pa dang cho ga ma nub pa*).⁹³ The still-existent ritual (*cho ga ma nub pa*),⁹⁴ that is explained in the *Realization of Amoghapāśa*⁹⁵ and resembles the refuge that precedes the production of resolve of

⁸⁹ *Damamūkonāmasūtra* ('Dzangs blun zhes bya ba'i mdo), P vol. 40, no. 1008. A work that has been translated in the ninth century from the Chinese version, the *Hsien-yü-ching* of the fifth century.

⁹⁰ Khyim-bdag mGon-med-zas-sbyin, see Panglung (1981: 78 and *passim*).

⁹¹ See Panglung (1981: 8), the story of Nāgakuṃāra.

⁹² The problem is also referred to in Sa-pan, *Clear Differentiation of the Three Vows*, ch. I, v. 20: *mdo sde pa mams dud 'gro sogs// 'gro ba gzan la'ang skye bar bshad*. The canonical basis for that story is *Vinaya* I 87: a serpent demon is ordained in human form, but at the end he has to leave and he receives the advice "observe [the vow of] approximation!" (*upoṣaṭhaṃ upavasa*); see also Frauwallner (1956: 77).

⁹³ Two examples of the former are the *Cho 'phrul bstan pa'i mdo* (*Āryabuddhabalādhāna-prātihāryavikurvāṇanirdeśanāmamahāyānasūtra*), P vol. 34, no. 853, and Asaṅga, *Yogācārā-bhūmiviniścayasamgrahaṇī*. This part of Go-rams-pa's commentary alludes to Sa-pan, *Clear Differentiation of the Three Vows*, ch. I, v. 30.

⁹⁴ Referring to Sa-pan, *Clear Differentiation of the Three Vows*, ch. I, v. 31.

⁹⁵ For the *amoghapāśa* rituals of Śākyaśrībhadrā, see P vol. 79, nos. 3682-85. These are rituals found in the tantra-commentary section of the canon, consisting of an evocation (*Sam-kṣiptāṃghapāśasādhana*, no. 3682), an offering ritual (*Amoghapāśabalividhi*, no. 3682), an (continued...)

the Mādhyamikas, is, on the other hand, a prātimokṣa ritual, because it is a refuge vow. But it is also compatible with the uncommon Mahāyāna ritual because the vow is taken until awakening is obtained. Thus it is not a ritual independent from the ritual of the auditors, and it is actually not an uncommon ritual proper, but it is compatible with it because of the duration of its vow. Both kinds of these uncommon rituals (or rituals compatible with the uncommon ones), i.e. those that are no longer in use and those that still are, are summarized as "present-day rituals" (*da ltar gyi cho ga*). It may seem odd to call a died-out ritual a "present-day ritual," and the only explanation that I can think of is that the ones that have died out are probably subsumed under "present-day rituals" because they belong to the teaching period of Śākyamuni Buddha.⁹⁶ In contradistinction to those, there also existed rituals of ancient times (*sngon gyi cho ga*)⁹⁷ that were performed by the great saints of the past and probably independent from the Buddha Śākyamuni's teaching, such as the one that is mentioned in the sūtra that was requested by the householder Drag-shul-can,⁹⁸ where Maitreya and Mañjuśrī confer vows to a multitude of beings. Such sūtras furthermore do not explain how the actual ritual was performed. Thus they belong to the sphere of saints and are not suitable for common beings.⁹⁹ Go-rams-pa sums it up by saying that "at present, except for the vow of approximation, there does not exist [any more] a ritual for taking the prātimokṣa that belongs to the system of the bodhisattvas" (*Detailed Exposition* 28r). In other words, the Mahāyāna prātimokṣa is only obtained through the common ritual, i.e. the ritual of the auditors that is then endowed with the production of resolve through which the prātimokṣa vows turn into the Mahāyāna vows.

That the prātimokṣa vows are abandoned at death is without dispute between Vibhūticandra and the Sa-skyapa. The standard reference is as before *Abhidharmakośa* (ch. IV, v. 38), stating that "the Vinaya prātimokṣa is abandoned

⁹⁵(...continued)

approximation-vow ritual (*Posadhakaraṇīya*, no. 3684), as well as an instruction on the former (*Amoghapāśapoṣadhavidhyānmāya*, no. 3685).

⁹⁶ Here the term "present-day ritual" is explained in the context of the "uncommon [Mahāyāna] rituals" (*thun mong ma yin pa'i cho ga*). In the context of the Vinaya proper, the term refers to the actual ritual for conferring the vows through the master and preceptor, while "ritual of ancient times" (*sngon gyi cho ga*) appears to refer to the way the Buddha himself conferred vows, which is actually not considered to be a "ritual" in the proper sense. See mTsho-sna-pa, 'Dul ſik, pp. 57 ff.

⁹⁷ Referring to Sa-paṇ, *Clear Differentiation of the Three Vows*, ch. I. v. 31.

⁹⁸ *Āryagrhapatyugraparipṛcchānāmahāyānasūtra*, P vol 23, no. 760.

⁹⁹ See Sa-paṇ, *Clear Differentiation of the Three Vows*, ch. I, v. 33.

(...) because of abandoning the training, at the time of death (...).¹⁰⁰ Go-rams-pa refers to this verse in his *Detailed Exposition* (fol. 24v), commenting upon Sa-paṇ's *Clear Differentiation of the Three Vows* (ch. I, v. 5b-e), where the verse is quoted in full. Some lines before Sa-paṇ had made the remark: "[The prātimokṣa vow] persists as long as one lives, at the time of death the vow is abandoned."¹⁰¹

One of the reasons for Sa-paṇ's statement that the prātimokṣa is lost at death was the teaching of the somewhat earlier master 'Bri-gung-pa sKyob-pa 'Jig-rten-gsum-mgon (1143-1217), who maintained, according to his main disciple sPyan-snga Shes-rab-'byung-gnas (1187-1241), in one of the better-known vajra utterance's of his *Same Intention* (ch. III, no. 8), that the prātimokṣa is not abandoned at death.¹⁰² This particular topic of the *Same Intention* is closely connected to at least three other topics, i.e. that the nature of the vows is non-information (*avijñaptirūpa*),¹⁰³ that conception (*saṃjñā*) is most important with regard to transgressions,¹⁰⁴ and that the three non-virtues of the mind (from among the ten non-virtues) are the main things to be abandoned.¹⁰⁵ It is again noteworthy with regard to Tāranātha's claim that the *Garland of Rays* might have been forged by the 'Bri-gung-pas that the *Garland of Rays*, too, concerning the duration of the prātimokṣa and through its statement that the vows are "restraint of primarily body and speech" does not accord with this particular 'Bri-gung-pa teaching (cf. chapter 2.2., p. 23).

That the practitioner of prātimokṣa vows abandons "harm together with [the mental] basis [for harming others]" has become the standard formulation in probably all

¹⁰⁰ P vol. 115, gu, 218-3-4: *bslab pa phul dang shi 'phos dang // ... so sor thar pa'i 'dul ba g tong*.

¹⁰¹ Sa-paṇ, *Clear Differentiation of the Three Vows*, ch. I, v. 2cd: *ji srid 'tsho yi bar du yin// shi ba'i tshes na sdom pa g tong*.

¹⁰² For the *Same Intention*, see chapter 14, section 3.

¹⁰³ rDo-rje-shes-rab, *Same Intention*, ch. III, no. 5: *sdom pa'i ngo bo mam par rig byed ma yin pa'i gzugs su bzhed*. On the nature of the vows with regard to *viññapti* and *avijñaptirūpa*, see my remarks below, pp. 41 ff. on "non-information" (*avijñaptirūpa*) see Schmithausen (1986) n. 137.

¹⁰⁴ rDo-rje-shes-rab, *Same Intention*, ch. III, no. 6: *lung ba mtha' dag la ... 'du shes gtso bar 'gyur bar bzhed*.

¹⁰⁵ rDo-rje-shes-rab, *Same Intention*, ch. III, no. 7: *yid kyi mam pa gsum gtso bor spong dgos par bzhed*. These points are especially opposed in Sa-paṇ, *Clear Differentiation of the Three Vows*, ch. I, vv. 1-4. Furthermore, the discussion has been picked up in a controversial manner by Shākya-mchog-ldan, too. For this, see his *Golden Key*, fols. 2r-10v, questions I, 1-3. For Go-rams-pa's comments on these, see his *Treatise of the Three Vows [Containing Replies to Questions]* (see p. 29), fol. 3r-4r.

Tibetan traditions,¹⁰⁶ and it appears to reflect a formulation of the *Awakening of Vairocana*.¹⁰⁷

Vibhūticandra himself has identified the tradition of his teaching of the prātimokṣa vows as being that of the "Śrāvaka-Vaibhāṣikas." In this connection, Kong-sprul Blo-gros-mtha'-yas (1813-1899) has made some interesting summarizing remarks in his great "encyclopedia," the *Pervading All Objects of Knowledge* (vol. II, pp. 36 f.), with regard to the positions of the different philosophical schools of Buddhism. He sketches the Vaibhāṣika position on the prātimokṣa vows in the following way:

The Vaibhāṣikas [hold] the nature of the [prātimokṣa] vows to be material, [depending on the particular moment of their existence] consisting in both information (Skt. *viññaptirūpa*) and non-information (Skt. *aviññaptirūpa*).¹⁰⁸ [The vows] are connected [to] the person by means of the rope consisting in 'possession' (*prāpti*).¹⁰⁹

A little further down he explains in more detail:

Based on a information of others, such as of the master and the preceptor, the first moment of the correctly obtained morality of the prātimokṣa vow arises as [having] the nature of both, i.e. information and non-information. From the second moment onwards [the vow] is possessed within the mental stream of consciousness as [having] the nature of non-information as long as no cause of losing the vow occurs. And the seven material [bodily and vocal] courses of deeds such as abandoning killing [that are] a section of this vow, too, exist by that reason in the first moment as both [information and non-information], and from the second [moment] onwards as non-information; therefore, intending that first moment [of the obtainment of the vows, the Vaibhāṣikas] say: "[The vows] are both [information and non-

¹⁰⁶ Cf. for example Go-rams-pa, *General Topics*, p. 234, fol. 70v, p. 236, fol. 73v; Lo-chen Dharma-shrī, *Commentary on the "Clear Comprehension,"* fol. 301r; sGam-po-pa, *Works A 3*; Karma-'phrin-las-pa, *Replies to rGya-ston 2*.

¹⁰⁷ For the *Awakening of Vairocana* tantra, see fn. 508.

¹⁰⁸ "Information" (*viññaptirūpa*) is with regard to the vows for example the oral transmission of the vows from master to disciple and the disciple's accepting of the vows. "Non-information" (*aviññaptirūpa*) is the immediate consequence of the manifest action that conditions the eventual effect of that action. Cf. Vasubandhu, *Abhidharmakośa [and Bhāṣya]*, ch. IV, vv. 2 ff. See also Schmithausen (1986) n. 137.

¹⁰⁹ Kong-sprul, *Pervading All Objects of Knowledge*, vol. II, p. 36: *bye smras sdom pa'i ngo bo nam par rig byed dang rig byed ma yin pa gang rung gis bsduṣ pa'i gzugs can/ gang zag dang thob pa thag pa lta bus sbrel ba/* [read 'brel ba]. See p. 49 (*Garland of Rays 3*).

information]."¹¹⁰

Kong-sprul also explains other systems (pp. 36-37):

[The system of the Sautrāntikas]: The arising of a later mental stream of consciousness from an earlier one, such as the thought "I am a householder (*upāsaka*)," and "I am a novice monk (*śramaṇera*)," [etc.], that arises anew through the activity of the respective three words¹¹¹ that precede the [formal] ritual, is a complete transformation. They accept just that as the nature of the vows.¹¹²

[The system of the Yogācāras]: Since the *Vijñaptivādins (*nam rig smra ba*) maintain the basic consciousness (*ālayavijñāna*), they accept bodily activities, too, such as vows, to be the continuation of mind. If, however, they say that the nature of the vow is purely the resolution [to abandon non-virtue], the continuation [of the vow] would be interrupted at occasions such as distraction and unconsciousness.¹¹³ And if they say that [the nature of the vows is that they are] merely propensities, [the vows] would not be lost even when the cause of loss occurs.¹¹⁴ Therefore they assert that [the vows] are both the continuum of the resolution to abandon [non-virtue] and the seeds that are its traces deposited in the basic consciousness.¹¹⁵

¹¹⁰ Kong-sprul, *Pervading All Objects of Knowledge*, vol. II, p. 36: *yang dag par blangs pa'i tshul khrims so thar gyi sdom pa skad cig dang po de/ mkhan slob sogs gzhan gyi mam par rig byed la bsten nas/ nam pa rig byed dang rig byed ma yin pa gnyis ka'i ngo bor skye/ skad cig gnyis pa phyin nas sdom pa gtong ba'i rgyu ma byung gi bar du mam pa rig byed ma yin pa'i ngo bor rgyud la ldan pa yin la sdom pa de'i nang gses srog gcod spong ba sogs las lam gzugs can bdun yang de'i rigs pas skad cig dang por gnyis ka dang / gnyis pa phyin chad rig min gyi gzugs su yod pa'i phyir skad cig dang po der dgongs nas gnyis ka yod do zhes pa'o*. Cf. also Vasubandhu, *Abhidharmakośabhāṣya*, ch. IV, vv. 13, 16.

¹¹¹ In Tibetan: *dge bsen yin no* and *dge tshul yin no*.

¹¹² Kong-sprul, *Pervading All Objects of Knowledge*, vol. II, p. 36: *tshig gsum so so'i las cho ga sngon du 'gro ba'i sgo nas/ sems phyir zhing 'byung bar dge bsen yin no/ dge tshul yin no snyam pa lta bu'i sems kyi rgyud nga ma las phyi ma skye ba ni yongs su 'gyur ba ste de tsam sdom pa'i ngo bor 'dod*.

¹¹³ On the problem of a connecting link between the last moment of mind before and the first one after unconscious states, see Schmithausen (1987: 4, 245, n. 16).

¹¹⁴ On propensities not getting lost, see pp. 81 f. for my reference to Vasubandhu's verse in my remarks on Go-rams-pa's refutation of *Garland of Rays* 25 and 26.

¹¹⁵ Kong-sprul, *Pervading All Objects of Knowledge*, vol. II, p. 36 f.: *nam rig smra bas kun gzhi 'dod pa'i phyir sdom pa sogs lus kyi las kyang sems kyi rgyun du khas blangs te sdom pa'i ngo bo sems pa kho na la byas na g.yeng ba dang sems med pa'i skabs sogs su rgyun chad par 'gyur zhing / bag chags tsam la byas na gtong rgyu byung ba'i tshes na'ang* (continued...)

[The system of the Mādhyamikas who proclaim] "own-emptiness:" Because they do not accept a basic consciousness, they assert [the vows] accompanied by the resolution to abandon.¹¹⁶

Candrakīrti maintained¹¹⁷ in his [*Madhya?*]*parīcāśandhaprakaraṇa*¹¹⁸ [the nature of the vow] as the matter that consists in non-information and the Lord 'Bri-gung-pa and sTag-tshang Lo-tsa-ba [Shes-rab-rin-chen] (1405-?), too, have taught them emphatically in this way.¹¹⁹

Go-rams-pa's Refutation (*General Topics* 62v). Go-rams-pa discusses two points in his refutation, namely the "motive for taking up the prātimokṣa [vows]" and Vibhūticandra's statement that the vows are obtained "through the correct ritual of a preceptor (*upadhyāya*) [and] a master (*ācārya*)." With regard to "the motive for taking up the prātimokṣa," Go-rams-pa argues that "it is also not necessary that thinking about one's benefit be an element of the motive for taking up the prātimokṣa [vows], because in general if one is endowed with a motive of renunciation [i.e. the intention to attain freedom], that will be prātimokṣa, and with that renunciation there are three kinds of production of the resolve to attain awakening (*bodhicitta*) [according to the three different goals aimed at]."¹²⁰ Referring to Sa-paṇ's *Clear Differentiation of the Three Vows* (ch. III, v. 145) Go-rams-pa says in another work (*Detailed Exposition*, p. 169, fol. 101v): "If one is not endowed with the resolution to renounce saṃsāra, even though one takes the vows of ordination, it will not become prātimokṣa, as in the biographies of Ānanda's two nephews and of gCung-mdzes-pa'i-dga'-bo (Sundarānanda)."¹²¹

The view that prātimokṣa vows are obtained through renunciation is also expressed in the *Abhidharmakośa* (ch. IV, v. 15):

¹¹⁵ (...continued)

mi giong bar 'gyur bas spong ba'i sems pa'i rgyud dang / bag chags kyi sa bon kun gzhi la bzhaq pa dang bcas pa la 'dod do.

¹¹⁶ Kong-sprul, *Pervading All Objects of Knowledge*, vol. II, p. 37: *rang stong pas kun gzhi khas mi len pas spong ba'i sems pa de dang mshungs ldan dang bcas pa la 'dod pa yin no.*

¹¹⁷ Here Kong-sprul uses the honorific form *mdzad*, indicating that he follows this position rather than the ones listed before.

¹¹⁸ P vol. 99, no. 5267.

¹¹⁹ Kong-sprul, *Pervading All Objects of Knowledge*, vol. II, p. 37: *zla grags kyis dbu ma phung po lnga par nmam par rig min gyi gzugs su mdzad la/ rje 'bri gung pa stag tshang lo tsā mams kyis kyang de ltar rtsal du bton nas bshad do.*

¹²⁰ For the Tibetan text, see p. 98.

¹²¹ For "Ānanda's nephews," see Panglung (1981: 7). Sundarānanda took the vows to please the Buddha, but thought constantly of his wife; see Tucci (1949: 454).

Through undertaking the abandoning of the five things,
the eight things, the ten things, all of the things to be abandoned,
[one obtains the qualities of respectively] a householder (*upāsaka*), the vow
of approximation (*upavāsastha*),
a novice monk (*śramaṇera*), and a full monk (*bhikṣu*).¹²²

In short, Go-rams-pa says that "renunciation" is the proper volitional impulse of *prātimokṣa*, but not "thinking about one's benefit" (*rang don yid byed*). In this regard I would like to make two observations. The first is that Vibhūticandra, like other masters, too, mentions a purpose of the *prātimokṣa* vows. Note for example Atiśa's *Sarvasamayasaṃgraha*:

Furthermore the first vow [i.e. the *prātimokṣa* vow] is for the sake of oneself alone, the middle vow [i.e. the bodhisattva vow] is for the sake of others alone, and the last vow [i.e. the Mantra vow] is for the sake of both.¹²³

Or Karma-nges-legs, who mentions in his *Good Vase* (fol. 2r) that the Hīnayāna has the small burden of only one's own purpose (*rang don kho na'i khur chung ngu*), while the Mahāyāna has the great burden of both one's own benefit and that for all others (*rang gzhan gnyis ka'i don gyi khur chen po*).

Let me also point out that in the parallel passages of the bodhisattva vow section (*Garland of Rays* 5b) and of the Mantra vow section (*Garland of Rays* 10b), too, Vibhūticandra states the purpose of the respective vows, using the Tibetan terms *don du* (*Garland of Rays* 2b), *phyir* (5b) and *don* (10b). Such statements of a purpose of the respective vows are also well attested in other works, such as the two works quoted above. Go-rams-pa also does *not* object against the purposes stated for the bodhisattva and Mantra vows.

My second observation is that Go-rams-pa reformulates Vibhūticandra's line. In restating Vibhūticandra, he says "the thinking about one's benefit as a part of the motive [for taking up the *prātimokṣa* vows]," where Vibhūticandra says "in order to liberate themselves from *saṃsāra*" (*rang nyid 'khor ba bsgral don du*). In other words, the statement of a purpose appears now as a statement that forms a part of a motive.

¹²² In Tibetan (*P* vol. 115, 197-5-1): *spang bya lnga brgyad [b]cu dang ni// thams cad spong ba mmos pa las// dge bsnyen dang ni bsnyen gnas dang // dge tshul ched dang dge slong nyid*. And in Sanskrit Pradhan (1967: 206): *pañcāṣṭadaśasarvebhyo varjyebhyo viratigrahāt/ upāsakopavāsasthaśramaṇoddeśabhikṣutā*.

¹²³ Atiśa, *Sarvasamayasaṃgraha*, *P* vol. 81, no. 4547, 211-5-6: *yang dang po'i sdom pa ni bdag 'ba' zhig gi don no/ bar ma'i sdom pa ni gzhan 'ba' zhig gi don no/ phyi ma'i sdom pa ni gnyi ga'i don no*.

Go-rams-pa, based on *Abhidharmakośa* (ch. IV, v. 15) and the *Clear Differentiation of the Three Vows* (ch. III, v. 145), makes a correct statement when he says that, in general, "if one is endowed with the motive of renunciation, that will be *prātimokṣa*," and that "it is not necessary that thinking about one's benefit be an element of [that] motive." And Vibhūticandra, too, correctly states the purpose of the "[set of] vows of the Śrāvaka-Vaibhāṣikas," namely "to liberate themselves from *saṃsāra*"—at least in the light of the above statements of Atiśa and Karma-nges-legs. Go-rams-pa, however, appears to find some fault in line 2b of the *Garland of Rays*, namely that it states an incorrect or unnecessary element of the motive for taking up *prātimokṣa*. And it furthermore appears to me that in restating Vibhūticandra, he slightly changed the intended meaning of that particular line in such a way that it became more vulnerable to his criticism. This and other restatements further down distort the sense, in my opinion, not enough to denounce them as out-and-out polemical, but they certainly do suit Go-rams-pa when he tries to make a point.

In this connection Go-rams-pa also refers to "three kinds of production of the resolve to attain awakening [according to the three different spiritual goals aimed at]" that are connected with the renunciation that he had identified as the proper motive of *prātimokṣa*. These are the three types of resolve for awakening that might go together with that renunciation, i.e. the resolve of auditors, solitary Buddhas, and perfectly awakened Buddhas.¹²⁴

Generally speaking, Go-rams-pa distinguishes the production of the resolve for awakening of the auditors from that of the Mahāyāna (*Detailed Exposition* p. 152, fols. 67r f.). Of these the production of the resolve of the auditors is furthermore divided into three productions of the resolve by means of the kind of awakening aimed at (*thob bya byang chub kyi sgo nas mam pa gsum yod*, fol. 67v). Thus there are the productions of the resolve for the auditor-arhat, for the solitary Buddha, and for the perfectly awakened Buddha, but nowadays there is very little observance of these rituals, since the present period is the so-called "period of time of the scriptures(?)" (*lung gi dus*). This seems to be the last one of three periods of the auditors doctrine, the period of its decline.¹²⁵

¹²⁴ See Sa-paṇ, *Clear Differentiation of the Three Vows*, ch. II, v. 1: *nyan thos mams la sems bskyed gsum// dgra bcom rang rgyal sangs rgyas so*. See also, for example, E.B. Co-well and R.A. Neil, *Divyāvadāna* 209.16: *kaiścic chrāvakabodhau cittam utpāditam kaiścit pratyekāyām bodhau cittam utpāditam kaiścid anuttarāyām samyaksaṃbodhau cittam utpāditam*.

¹²⁵ Perhaps it is the "period of time of the scriptures" in that sense, that only the texts survive, but no real practice. The other two periods are the "period of the fruit" (*'bras bu'i dus*) and the "period of achieving" (*sgrub pa'i dus*). The *lung gi dus* is sometimes followed (continued...)

Go-rams-pa then continues (fol. 67v): "With regard to the production of the resolve of the Mahāyānists there are two, i.e. the Madhyamaka-system and the Cittamātra-system. Only these two constitute the production of the resolve for the highest awakening. The ways of the production of the resolve for the three awakenings [mentioned above] are not [so]."

With regard to the production of the different kinds of resolve for awakening that go together with what Go-rams-pa identifies as the proper motive of the *prātimokṣa*, i.e. renunciation, he also points out that "according to the *Vaibhāṣika*'s own scriptures, too, there exists the taking up of *prātimokṣa* by means of the production of a resolve for supreme awakening, for as the *Three Hundred Verses* (*gsum brgya pa*) teaches:

One is promoted (*dbang bskur*) to the glory of perfect awakening (*rdzogs pa'i byang chub*)."

The work cited here is a commentary from the Vinaya section of the *Tanjur*, the *Trīṣatakārikāvyākhyāna* by Vinītadeva.¹²⁶ The same passage is also quoted by Go-rams-pa in his *Detailed Exposition* (p. 163, fol. 89v), where he comments on the *Clear Differentiation of the Three Vows*, ch. III, v. 11, a verse dealing thematically with the question whether certain rituals are properly termed "initiation" (*dbang bskur*). There the intention was to show that the term *dbang bskur* appears also in Vinaya scriptures, though with a different meaning.¹²⁷

The subject of the second point of Go-rams-pa's refutation of *Garland of Rays* 2 is Vibhūticandra's statement that the vows are obtained "through the correct ritual of a preceptor [and] a master." In contradistinction to that, Go-rams-pa remarks that the taking up of *prātimokṣa* also includes such methods as self-arising ordination,

¹²⁵ (...continued)

by a *rtaks kyi dus* (period of time of mere tokens), when only outer signs (like robes, etc.) are left of the Buddha's teachings. To identify the source for these and for the specific calculation that is connected with them seems to be a hopeless case, since there are more than a dozen rivalling systems. See for example Obermiller (1931: 103 f.), and Lamotte (1988: 192-198).

¹²⁶ Vinītadeva, *Tshig le'ur byas pa sum brgya pa'i mam par bshad pa*, P vol. 127, no. 5628 (commentary on the *Vinayavibhaṅga of the Bhikṣuprātimokṣa* of the Mūlasarvastivādins, cf. Yuyama, 1979: 19 ff.). Text according to *Derge* (no. 4126, fol. 165v): *rdzogs pa'i byang chub ni yang dag par rdzogs pa'i byang chub ste/ de nyid dpal yin la de mnod pa ste/ thob pa'i phyir dbang bskur ba dang/ dbang gtod par byed ces bya ba'i bar du'o*. The "*Three Hundred Verses*" here have of course nothing to do with the "*Three-Hundred Verse Tantra*" that will be mentioned several times later on.

¹²⁷ Go-rams-pa, quoting the *Three-Hundred Verses*, reads *rdzogs pa'i sangs rgyas* in his *Detailed Exposition*, whereas he reads *rdzogs pa'i byang chub* in the *General Topics*.

ordination by gnosis (*jñāna*) realization, and [conferring] ordination by uttering the words "come hither!"¹²⁸ This probably refers to a passage of the *Abhidharmakośa-bhāṣya*,¹²⁹ where the Vinaya-Vibhāṣikas (*sic!*) are quoted to the effect that there exist ten types of ordination (*bye brag tu smra ba 'dul ba pa mams na re nam pa bcus bsnyen par rdzogs so zhes zer ba*).

It is interesting to note that Go-rams-pa himself presents a list of the various types of ordinations in his *Detailed Exposition* (p. 164, fol. 90r). The list, however, contains only nine types of ordination and stems from a source simply called "lung" (perhaps the '*Dul ba lung?*').¹³⁰ It differs in some minor respects from the list presented by La Vallée Poussin in his translation of the *Abhidharmakośabhāṣya* from Chinese¹³¹ and from that of the Tibetan canonical version. As Go-rams-pa lists them:

- 1) Self-ordination: *sangs rgyas dang rang sangs rgyas rang byung gyis bsnyen par rdzogs* (i.e. as practiced by the Buddha himself and by solitary Buddhas);
- 2) By gnosis (*jñāna*) realization: *lnga sde bzang po ye shes khong du chud pa* (i.e. as in the case of the five ascetics who heard the first sermon in Varanāsi);¹³²
- 3) By a Message: *mchod sbyin ma phrin gyis* (i.e. as in the case of Dharmadinnā);
- 4) Accepting [Buddha] as Teacher: *'od srung chen po ston par khas blangs pa* (i.e. as in the case of Mahākāśyapa);
- 5) Being Summoned: *grags pa la sogs pa tshur shog* (i.e. as in the case of Grags-pa=Yaśas);¹³³
- 6) Accepting Eight Heavy Rules: *skye dgu'i bdag mo lci ba'i chos brgyad khas blangs pa* (i.e. as in the case of Mahāprajāpati);
- 7) Answering [a?] Question: *bram ze po ta ya na'i bus dris pa'i lan ldon pa* (i.e. as in the case of Po-ta-ya-na[?]);¹³⁴

¹²⁸ Cf. the list below, nos. 1), 2) and 5). "Self-arising" ordination perhaps in the sense, that a Buddha like Śākyamuni or a solitary Buddha awakens by himself and thus automatically possesses the prātimokṣa vows.

¹²⁹ Vasubandhu, *Abhidharmakośa*, ch. IV, v. 26, P vol. 115, 199-3-3; Pradhan (1967: 212).

¹³⁰ For the '*Dul ba lung*', see fn. 87. The most likely source here is the *Vinayavastu*.

¹³¹ La Vallée Poussin (1980: ch. IV, v. 26, pp. 60 ff.).

¹³² La Vallée Poussin (1980: 60, n. 3): through *duḥkhe dharmajñānakṣānti*; P has *nges par 'jug pas*; the *Tibetan-Chinese Dictionary* specifies: *mihong lam skyes tshe ye shes khong chud kyis*.

¹³³ In La Vallée Poussin (1980: 60), and n. 4: Ajñāta; *Tibetan-Chinese Dictionary*: Śāriputra.

¹³⁴ In La Vallée Poussin (1980: 61): Sodāyin (also in the Skt. *Abhidharmakośabhāṣya*),
(continued...)

8) Repeating Refuge Three Times: *bzang sde'i tshogs drug cu skyabs gsum khas blangs pa* (i.e. as in the case of the groups of the sixty Bhadravargas);

9) Motion before the assembly accompanied by three such questions: *gsol bzhi'i las* (Skt. *jñapticatūrtam karma*), i.e. requesting ordination once and repeating that request three times.¹³⁵

Apart from the fact that similar lists from Go-rams-pa's *Detailed Exposition* and the *Tibetan-Chinese Dictionary* differ in their order from both the *Abhidharmakośa*'s versions, all sources except the *Detailed Exposition* have as no. 9 "by ten full monks (*bhikṣu*)," as in the case of the people in Madhyadeśa. A tenth point is completely missing in Go-rams-pa's list.¹³⁶

Sa-pan in his *Clear Differentiation of the Three Vows* (ch. II, v. 18) also refers to some of these types of ordination, namely nos. 2-5. Both Sa-pan and Go-rams-pa accept these types of ordinations as ancient rituals, however, they are said to be no longer practicable today. The modern reader may find it strange that what Go-rams-pa is criticizing in Vibhūticandra's system as being left out are thus only some ancient rituals that are admittedly no longer practiced. But all scholastics strive for theoretical completeness in basic doctrinal formulations, definitions, etc., and this is what Go-rams-pa seems to be doing here.

Go-rams-pa (*General Topics*, p. 217, fol. 37r) has furthermore himself made some observations with regard to the "object [i.e. the person] from whom prātimokṣa vows are received" (*so thar blang ba'i yul*). According to the Vaibhāṣikas the eight prātimokṣa vows (i.e. including the vow of approximation) have to be received from fully ordained monks (Skt. *bhikṣu*).¹³⁷ The Sautrāntikas, on the other hand, hold that the vows from the approximation vow (*upavāsa*) up to those of the householders (*upāsaka*) may be taken "from anyone who is able," i.e. including not fully ordained

¹³⁴ (...continued)

P and *Tibetan-Chinese Dictionary*: *legs (s)byin*.

¹³⁵ This is the common ordination, cf. *Śrāvaka bhūmi* 42,1 f.; in Tibetan: *P* 110, no. 5537, p. 44-3-4.

¹³⁶ Detailed information on probably all ten types of ordination is contained in Bo-dong Paṅ-chen Phyogs-las-rnam-rgyal, *Encyclopedia Tibetica*. Section 15-19 of vol. 21 [pp. 435-661] are devoted to that subject alone. Section 15 deals with the types 1, 2 and 5, section 16 with type 4, section 17 with types 6 and 7, and section 18 with type 3. The last section probably deals with types 8-10. These sections of the *Encyclopedia* were composed by Bo-dong-pa 'Jigs-med-grags-pa himself.

¹³⁷ See also Go-rams-pa, *Detailed Exposition*, fol. 26r, and Karma-nges-legs, *Good Vase*, fol. 6v.

persons.¹³⁸ In the case of the Mahāyāna prātimokṣa there are two rituals. In the case of the first one, the common ritual (*cho ga thun mong ba*), the matter is the same as explained before, i.e. there are the two views of the Vaibhāṣikas and Sautrāntikas. In the case of the uncommon Mahāyāna prātimokṣa (*thun mong ma yin pa'i theg chen so thar*) there exist passages in the scriptures according to which the full monk vows were transferred by non-ordained persons such as Maitreya and Mañjuśrī,¹³⁹ or according to which some vows were taken up by oneself,¹⁴⁰ excluding, however, the full monk vows, because it is not suitable to accept them "from everyone." This matter, says Go-rams-pa, has been explained by Asaṅga. The uncommon ritual, however, either belongs exclusively to the sphere of the saints (*āryas*), or it has been lost.

Garland of Rays 3. The topic of this section is how the prātimokṣa vows persist. Vibhūticandra continues to explain the prātimokṣa according to the Vaibhāṣikas, i.e. he presents the standard example of "the vow that is like a burden that remains on the person who is like an ox, being connected by the means of the rope of having obtained it."

This explanation is also found in the Tibetan commentaries on the Vinaya, for example in mTsho-sna-ba Shes-rab-bzang-po's *Vinayasūtra* commentary. mTsho-sna-ba explains his own tradition in the following way:¹⁴¹

Here, according to the Vaibhāṣikas, the vow that is like a burden is bound to the person who is like an ox with the rope-like entity of the conditioned factor dissociated [from thought] (*viprayuktasaṃskāra*)¹⁴² [of] possession (*prāpti*), and remains [there].

¹³⁸ Cf. Sa-paṅ, *Clear Differentiation of the Three Vows*, ch. I, v. 20: *blang ba'i yul yang dge bsnyen sogs// gang yang rung las blang bar gsungs//*; see also Go-rams-pa, *Detailed Exposition*, p. 132, fol. 26v, and Karma-nges-legs, *Good Vase*, fol. 6v (*mchan*).

¹³⁹ Go-rams-pa refers to the *Āryamahāprātihāryanirdeśa*, P vol. 24, no. 760,22.

¹⁴⁰ Go-rams-pa refers to the *Yogācārābhūmiviniścayasamgraha*, P vol. 110-111, no. 5539.

¹⁴¹ mTso-sna-ba Shes-rab-bzang-po, 'Dul ba mdo rtsa'i nman bshad nyi ma'i 'od zer legs bshad lung gi rgya mtsho, fol. 238v: 'dir (*mchan*: rang lugs) bye brag smra ba ltar na gang zag glang po lta bu la/ sdom pa khal lta bu de/ thob pa ldan min 'du byed kyi rdzas nan thag lta bus bsdams te gnas pa'o.

¹⁴² These forces serve as models of explanation for a number of things, such as transference of merit, rebirth, or the obtaining of vows. The lists of fourteen or sixteen of such forces in the Abhidharma-literature appear to have no single integrating principle. Collet Cox says: "Often for different doctrinal reasons in the case of each force, a dissociated force is proposed and its existence is accepted in order to account for some experientially accepted or doctrinally necessary activity." See Collet Cox, *Disputed Dharmas*, p. 73.

Go-rams-pa's Refutation (*General Topics* 63r). The central point of Go-rams-pa's reply appears to be this: If Vibhūticandra, with the Vaibhāṣikas,¹⁴³ accepts such an obtaining of the prātimokṣa vows as he does in *Garland of Rays* 3, namely that "the vow persists after being connected [to the person] by means of the rope of 'possession,'" he must also accept that the higher vows persist in the same way, i.e. "after being connected by possession." Since this "connecting" is understood in the context of the prātimokṣa vows as leading to a possession of the vow as something material, such a way of obtaining the higher vows is unacceptable for Go-rams-pa, since even though the Sa-skya-pas accept the prātimokṣa vows as material (*gzugs can*), they hold the bodhisattva vows to be non-material (*gzugs can min* or *gzugs min*).¹⁴⁴ It is, however, Vibhūticandra's position that all three vows exist as "three distinct entities of their own."¹⁴⁵ As is so often the case in such debates, Go-rams-pa criticizes Vibhūticandra's doctrine from the background of his own, i.e. the Sa-skya-pa's doctrine, and not within the framework of Vibhūticandra's own position.¹⁴⁶

***Garland of Rays* 5.** Exactly parallel to the construction of *Garland of Rays* 2, Vibhūticandra explains that the beings who are the support of the bodhisattva vows are "anyone among gods and men," that the purpose of the vows is the protection of all beings without exception, that they are obtained through the correct ritual¹⁴⁷ of a master, and that their duration is until one arrives at the seat of awakening.

The seat of awakening (Tib. *byang chub snying po*, Skt. *bodhimaṇḍa*) is, according to Edgerton, the platform or terrace under the bodhi-tree on which the Buddha sat when he awakened. "Until [they arrive at] the seat of awakening" means that one takes the bodhisattva vows until oneself has become a Buddha. Other interpretations of that phrase are mentioned in Atiśa's(?) *Bodhimārgapradīpapaṇjikā*

¹⁴³ *Abhidharmakośa* II 36cd (62,18): *prāptyapṛāptiḥ svasantānapatitānām*.

¹⁴⁴ Cf. Sa-pan, *Clear Differentiation of the Three Vows*, ch. I, v. 4: "The vows of the auditors are not consciousness (*viññāna*), they hold that they arise from body and speech. Since the vows are material, they are lost at the time of death." *nyan thos sdom pa nam rig min// lus ngag las ni skye bar 'dod// sdom pa gzugs can yin pa'i phyir// shi ba'i tshe na sdom pa gtong*. And in the same work, I, 6: "Since the bodhisattva vows arise from mind, they are not material." *byang chub sems dpa'i sdom pa ni// sems las skyes phyir gzugs can min*.

¹⁴⁵ See pp. 212 ff. for *Garland of Rays* 23 and my subsequent discussion.

¹⁴⁶ Further interesting material is contained in Go-rams-pa, *General Topics*, p. 206, fols. 14v ff., or his answer to Shākya-mchog-ldan's first question in *Golden Key*, fols. 10v ff., i.e. *Dris shing rtsod pa'i lan*, fol. 3r.

¹⁴⁷ See p. 59 for some notes on "correct rituals" in my remarks on *Garland of Rays* 10.

on fol. 284r.¹⁴⁸ The two meanings "seat of great awakening in Bodhgaya," and "*akanīṣṭha*" (Tib. 'og min gyi gnas) of the *Ghanavyūhasūtra* (P 778) are meanings requiring further interpretation (*drang ba'i don*, Skt. *neyārtha*): They are called "pith/essence/heart" (*snying po*, Skt. *maṇḍa*) because the Buddha obtained the final meditative concentration (*vajropamanāmasamādhi*)¹⁴⁹ there. With regard to the definitive meaning (*nges pa'i don*, Skt. *nūthārtha*) one does not say that these places where the final meditative concentration is achieved are "essence of awakening," because in the absolute truth (*don dam pa*, Skt. *pāramārtha*) the "essence of awakening" is the sphere (*dhātu*) of all phenomena (*chos thams cad kyi dbyings*).¹⁵⁰

Go-rams-pa's Refutation (fol. 63r). Go-rams-pa refutes the idea that one needs to obtain the bodhisattva vows through the ritual of a master, because the taking of these vows in front of an image of the Buddha, etc., by oneself alone is taught in both the Madhyamaka system and the system taught by the followers of Cittamātra. Furthermore, he criticizes Vibhūticandra's statement that the bodhisattva vows are taken until awakening is obtained as an internal contradiction in Vibhūticandra's own treatise, though he himself holds this to be generally correct.

Go-rams-pa makes in both his *General Topics* and the *Detailed Exposition*¹⁵¹ several remarks with regard to the ritual of the bodhisattva vows of the Mādhyamikas and of the followers of Cittamātra. He presents these under three headings, i.e. "ritual," "basis," and "object." Regarding the ritual (*cho ga*) of the Mādhyamikas, he refers to Nāgārjuna's *Bodhicittotpādaśādhī* (P vol. 103, no. 5361 and 5405), Jetāri's *Bodhicittotpādasamādānaśādhī* (P vol. 103, no. 5363 and 5406), and Śāntideva's *Bodhicaryāvatāra* (P vol. 99, no. 5272). The Cittamātra system is to be known from rJe-btsun Grags-pa-rgyal-mtshan's *Bodhisattva Vows*¹⁵², a commentary on Candragomin's *Bodhisattvasaṃvaraviṃś'aka* (P vol. 114, no. 5582) that is based on the *Bodhisattvabhūmi*. The differences between the Madhyamaka and Cittamātra system are to be known in detail from Sa-paṅ's *Great Ritual for Producing the Resolve According to the Madhyamaka System*,¹⁵³ and from the *Bodhicaryāvatāra*

¹⁴⁸ Commenting on verse 8: *byang chub snying po'i mthar thug par// mi ldog pa yi sems* (...)// for which see fol. 274v.

¹⁴⁹ *Mahāvīryūtpatti* 560: *rdo rje lta bu'i ting nge 'dzin*.

¹⁵⁰ See also Sherburne (1983: 34).

¹⁵¹ Go-rams-pa, *General Topics*, p. 212, fols. 26r-28r; Go-rams-pa, *Detailed Exposition*, pp. 152 f., fols. 67v f.

¹⁵² Grags-pa-rgyal-mtshan, *Clearly Teaching the Bodhisattva Vows: A Detailed Exposition of the Twenty Verses* (*Byang chub sems dpa'i sdom pa gsal bar ston pa shlo ka nyi shu pa'i rnam par bshad pa*).

commentary of his disciple lHo-pa Rin-chen-dpal.¹⁵⁴ Go-rams-pa says that those who do not differentiate between these two systems invent their own rituals in order to deceive the poorly educated and to please the ignorant.¹⁵⁵

With regard to the "basis" (*rten*), Go-rams-pa remarks that according to the Mādhyamikas anyone who understands the sense or meaning may produce the vows.¹⁵⁶ According to those who hold the Cittamātra view, the vows can only be produced by persons who possess one of the seven classes of prātimokṣa vows. In this regard he also refers to Atiśa's *Bodhipathapradīpa* (P vol. 103, no. 5343).¹⁵⁷

With regard to the "object" (*yu*), he remarks that both the Mādhyamikas and those who hold the Cittamātra view maintain that the vows may be obtained from a guru or in front of an image of the Buddha.¹⁵⁸ In general, other texts in which these matters are dealt with are the following. For the Madhyamaka system the standard source is Śāntideva's *Śikṣāsamuccaya* (P vol. 102, no. 5336), according to which the bodhisattva vows may also be obtained by meditating that the Buddhas and bodhisattvas are actually present.¹⁵⁹

For the Cittamātra system the standard source is the *Bodhisattvabhūmi*.¹⁶⁰ But here the text only refers to a situation where the vows are retaken because something that leads to expulsion from the order (*pārājika*) has occurred.¹⁶¹

¹⁵³ (...continued)

¹⁵³ Sa-pan, *dBu ma lugs kyi sems bskyed kyi cho ga*, pp. 264-273.

¹⁵⁴ On lHo-pa see Jackson (1987: 117, n. 23 *passim*). This very rare work, which records the teachings of Sa-pan, survives in a Kham's xylograph. A photocopy is in the possession of Prof. Jackson.

¹⁵⁵ Go-rams-pa, *Detailed Exposition*, p. 169, fol. 101v, commenting on Sa-pan, *Clear Differentiation of the Three Vows*, ch. III, v. 146.

¹⁵⁶ Go-rams-pa, *General Topics*, p. 218, fols. 39r f.; Go-rams-pa, *Detailed Exposition*, pp. 153 f., fols. 69v ff. See also fn. 253.

¹⁵⁷ Go-rams-pa, *General Topics*, p. 219, fols. 39v f.; see also Sa-pan, *Clear Differentiation of the Three Vows*, ch. II, v. 5; and Go-rams-pa, *Detailed Exposition*, p. 153, fols. 68v f.

¹⁵⁸ Go-rams-pa, *General Topics*, p. 218, fols. 37v f.

¹⁵⁹ Śāntideva, *Śikṣāsamuccaya*, 186-4-3: *yang na de lta bu'i dge ba'i bshes gnyen med na phyogs bcu na bzhugs pa'i sangs rgyas dang byang chub sems dpa' rnam mngon sum du bsgoms nas/ sdom pa dang bdag gi stobs dang yang sbyar nas blangs ste/ (...)*. See also Bendall and Rouse (1971: 12-13); Tatz (1985: 34); Sherburne (1983: 91 ff.).

¹⁶⁰ Wogihara (1930-36: 181.16-18): *tato bodhisattvena tathāgatapratimāyāḥ purataḥ svayam eva bodhisattvaśīlasaṃvarasamādānaṃ karaṇīyaṃ* (Tib. 98a).

¹⁶¹ For the term *pārājika*, see v. Hinüber (1985: 62) with further bibliographical notes. See also Atiśa, *Bodhipathapradīpa*, fols. 274v-277v, verses 24-31.

An example for a system where Cittamātra and Madhyamaka are blended is found in Tsong-kha-pa's *Byang chub gzhung lam*.¹⁶² The two works mentioned here that blend the "two great chariots" are Bodhibhadra's *Bodhisattvasaṃvaravidhi* (P vol. 103, no. 5362) and Abhayākara Gupta's *Bodhisattvasaṃvaragrahaṇavidhi* (P vol. 103, no. 5365).¹⁶³

Go-rams-pa, too, explains the differences between the bodhisattva vow ritual of the Mādhyamikas and the followers of Cittamātra in some detail.¹⁶⁴ They differ with regard to their rituals for obtaining them (*ma thob pa thob par byed pa'i cho ga*), their fundamental transgressions (*rtsa ba'i lung ba*), their methods for repairing them (*phyir bcos kyi tshul*), and their training (*bslab par bya ba*).

Regarding the duration of the bodhisattva vows, Go-rams-pa agrees, in general, that the bodhisattva vows are taken "until awakening is obtained." This agreement seems to be limited to the acquiring of the bodhisattva vows from its own specific ritual, i.e. where one neither has taken the Mantra vows yet, nor acquired the bodhisattva vows through an initiation (where all three vows are obtained through a single ritual). In the latter cases the bodhisattva vows would be of the same nature as the Mantra vows.¹⁶⁵

Sa-paṇ also says in his *Clear Differentiation of the Three Vows* that the vows exist as long as the mind is unimpaired.¹⁶⁶ Go-rams-pa remarks with regard to this verse in his *Detailed Exposition*:

The bodhisattva vows exist as long as the mind that projects the maintaining [of that resolve] is unimpaired, or as long as [the vow] is not destroyed through an opposing factor such as a fundamental transgression, because [the vow] arises from the wish as it is projected [by] the mind, [i.e.] "until awakening."¹⁶⁷

¹⁶² P vol. 154, no. 6145, 123-4-3: *slob dpon byang chub bzang po dang a bhya ka ras kyang sdom pa len pa'i cho ga shing rta chen po gnyis kyi lugs bsres nas mdzad pa (...).*

¹⁶³ See also Tatz (1986: 138).

¹⁶⁴ Go-rams-pa, *Detailed Exposition*, pp. 152 f., fols. 67v ff.

¹⁶⁵ For the implications of such subtle distinctions, see p. 139 for the translation of *General Topics*, fols. 72-75, with several models for taking up the three vows in succession.

¹⁶⁶ Sa-paṇ, *Clear Differentiation of the Three Vows*, ch. I, v. 6cd: ... *ji srid sems ma nyams// de yi bar du sdom pa yod*.

¹⁶⁷ Go-rams-pa, *Detailed Exposition*, fol. 25r: *byang sems kyi sdom pa ni bsrung ba'i 'phen sems ma nyams pa'am/ rtsa lung sogs mi mthun phyogs kyiis ma nyams pa de srid du yod de/ byang chub ma thob kyi bar la sogs pa 'phen sems ji ltar 'dod pa las skyes pa'i phyir*. See also p. 60 for the problem of the duration of the bodhisattva and Mantra vows.

Go-rams-pa's criticism that it is "nowhere at all (...) taught that there is a difference of duration regarding the Mantra and bodhisattva vows" cannot be understood as a difference in the wording alone, because this appears to be the standard wording in other traditions, too. Atiśa, for example, says in his *Sarvasamayasaṃgraha*:¹⁶⁸

Furthermore, the first vow persists as long as one lives, the middle vow until [one arrives at the] "seat of awakening" (*bodhimāṇḍa*), and the final vow as long as space persists.

What Go-rams-pa is perhaps aiming at is that the significance of both statements "until awakening" and "as long as space exists" is the same, i.e. that both vows persist until the last being is saved from saṃsāra. This is quite obvious for the bodhisattva vow, but it presupposes a specific interpretation of the formulation "as long as space exists," which I have not as yet been able to find in Go-rams-pa's writings.

Garland of Rays 9. In this section Vibhūticandra teaches the causes for a loss of the bodhisattva vows. They are: Striving for one's own selfish benefit, indulging in the four black factors—thus abandoning the production of the resolve—and obtaining the result of awakening. While abandoning the vow at the time of obtaining awakening is compared to leaving behind a ship after crossing the water, the other factors are as incompatible with the vow as heat is incompatible with cold.

The "Four Black Factors" are according to Śāntideva's *Śikṣāsamuccaya*:¹⁶⁹

- 1) to deceive the preceptor (*upādhyāya*), the master (*ācārya*), the guru, and the object of one's offerings or of one's acquisition of merit, i.e. the triple gem, etc., and living beings;
- 2) to produce resentment in others;
- 3) to speak of those who have entered the Mahāyāna with dispraise, etc.;
- 4) to approach others with deceit, etc.

¹⁶⁸ P vol. 81, no. 4547, p. 211-5-7: *yang dang po'i sdom pa ni ji srid 'tsho'i bar du gnas so/ bar ma'i sdom pa ni snying po byang chub kyi bar du'o/ phyi ma'i sdom pa ni nam mkha' ji srid gnas kyi bar du'o*. For the "seat of awakening," see p. 50.

¹⁶⁹ Śāntideva, *Śikṣāsamuccaya*, 199-2-3 ff., quoting a sūtra belonging to the *Ratnakūṭa* collection: *dkon mchog brtsegs pa las/ 'od bsrungs byang chub sems dpa' chos bzhi dang ldan pa'i byang chub kyi sems brjed par 'gyur te/ gzhi (read: bzhi) gang zhe na/ 'di lta ste/ mkhan po dang slob dpon dang bla ma dang sbyin gnas la slu ba dang / gzhan 'gyod pa med pa dag la 'gyod pa nye bar bsgrub pa dang / theg pa chen po la yang dag par zhugs pa'i sems can rnam la bsnags pa ma yin pa dang mi snyan pa dang brjed pa ma yin pa dang / tshigs su bcad pa ma yin pa 'byin pa dang / sgyu dang g.yos gzhan la nye bar spyod kyi lhag pa'i bsam pas ma yin pa zhes gsungs so*. See also Bendall and Rouse (1971: 53).

Go-rams-pa's Refutation (fol. 63r). Go-rams-pa is here only concerned with another "internal contradiction" in Vibhūticandra's teaching. Since, according to Go-rams-pa, Vibhūticandra teaches in *Garland of Rays* 2 that the thinking about one's benefit is necessary as an element of the motive of taking up the prātimokṣa vows, any bodhisattva who takes up a prātimokṣa vow will lose his bodhisattva vows, because according to *Garland of Rays* 9 striving for one's own selfish benefit is a cause for losing the bodhisattva vows. He also points out that such a taking up of prātimokṣa vows exists in Vibhūticandra's system, namely in *Garland of Rays* 27: "If a Tantric adept takes a lower vow for the sake of any of his trainees (...)." ¹⁷⁰

This reference, however, rather shows that the bodhisattva vows are not lost, because it is said "for the sake of any of his trainees," which can hardly be interpreted as "own selfish benefit." If "own selfish benefit" really is the necessary motive for taking up the prātimokṣa, the reference to *Garland of Rays* 27 would rather show that a bodhisattva is unable to take up the prātimokṣa vows if he tries to do so for the benefit of others, for example to be able to pass on prātimokṣa vows to one of his disciples.

Go-rams-pa illustrates Vibhūticandra's examples of "heat and cold" and of the "ship" in the section where he paraphrases the content of Vibhūticandra's *Garland of Rays*:

When a mind that consists in the volition of one's own benefit is produced, and one engages in the four black factors, one abandons [the production of the resolve] like contact [with something] cold is lost when [one has] very close contact [with something] hot. When one obtains unsurpassable awakening one abandons [the production of the resolve] like a ship is abandoned when one has reached the other shore of a river. ¹⁷¹

In other words, one abandons the production of the resolve, since "own benefit" and "indulging in the four black factors etc." on the one hand, and the bodhisattva's resolve on the other are as incompatible as heat and cold; and because the obtainment of perfect awakening is like reaching the other shore where one abandons the ship.

That Go-rams-pa does not accept the abandonment of the bodhisattva's production of the resolve when awakening is obtained is explained in connection with

¹⁷⁰ Vibhūticandra, *Garland of Rays* 27: gal te rig pa 'dzin pa yis// gdul bya gang dang gang don du// 'og ma'i sdom pa blangs pa na.

¹⁷¹ Go-rams-pa, *General Topics*, p. 229, fol. 61r-v: rang don yid byed kyi sems bskyed pa dang / nag po'i chos bzhi la spyod pa na gtong ste/ tsha reg stobs che ba nye ba na grang reg gtong ba bzhin no/ bla na med pa'i byang chub thob pa na gtong ste/ chu'i pha rol phyin pa na gru gtong bzhin no.

the Mantra vows in his *General Topics* (p. 231, fol. 63v). He overlooks in his criticism that Vibhūticandra's account of the bodhisattva vows is *not* written from the point of view of a threefold possession of the vows, but within the framework of the bodhisattva vows that exist as a vow-system in its own right, and as they have also been taught by many other masters such as Atiśa (see for an example, p. 58).

Garland of Rays 10. The construction of this verse is again parallel to the first four lines of *Garland of Rays 2* and to *Garland of Rays 5*. Vibhūticandra says that the Mantra vows are obtained by persons who are possessors of the merit of the four classes, etc., that their purpose is the production of perfection of everything desired or needed for oneself and others, that they are obtained from the guru who possesses the good marks, and that they persist as long as space exists.

The expression "possessors of the merit of the four classes" refers to the four caste-classes of India (*rigs bzhi*, Skt. *catvāro varṇāḥ*, *Mahāvīryūtpatti* 3865 ff). These are the caste-class of priests (Skt. *brāhmaṇavarṇa*, Tib. *bram ze rigs*), rulers (Skt. *kṣatriyavarṇa*, Tib. *rgyal rigs*), farmers, etc.¹⁷² (Skt. *vaiśyavarṇa*, Tib. *rje rigs*), and servants (Skt. *śūdravarṇa*, Tib. *dmangs* or *gdol rigs*). In the Tantric literature these four are sometimes associated with the four classes of tantras (*rgyud sde bzhi*), i.e. action, practice, yoga, and highest yoga tantra (Skt. *kriyā, caryā, yoga* and *niruttarayogatantra*, Tib. *bya ba, spyod pa, mal 'byor*, and *mal 'byor bla na med pa'i rgyud*), in such a way that the highest caste (*brāhmaṇa*) is associated with the lowest of the tantra classes (*kriyātantra*) and so forth.¹⁷³ Kong-sprul, for example, quotes in his *Pervading All Objects of Knowledge*¹⁷⁴ the *Dur khrod rmad du byung ba*:

For the sake of improving (*gdul don du*) the castes of the priests,
rulers, farmers (etc.), and servants,
the tantras were taught [by the Buddha] as four divisions,
i.e. the action, practice, yoga, and highest yoga tantra.¹⁷⁵

But there are also other systems that arrange the tantras differently, which is indicated by Vibhūticandra through "etc." These other systems and their proponents

¹⁷² The *vaiśyavarṇa* contains also herdsmen, merchants, and artisans.

¹⁷³ Sherburne (1983: 167 ff., 184, n. 9); Wayman (1973: 33, table 1); Lessing and Wayman (1968: 100, n. 1).

¹⁷⁴ Kong-sprul, *Pervading All Objects of Knowledge*, vol. II, pp. 572 ff.; especially p. 577.

¹⁷⁵ *Śrīcakrasaṃvaratantrarājādibhūtaśmaśānālaṃkāranāma*, P no. 57, vol. 3, 32-5-2: *bram ze rgyal rigs rje rigs dang // dmangs rigs gdol pa gdul don du// rgyud kyang nam pa bzhi rgsungs te// bya spyod mal 'byor bla med do*. Kong-sprul has a mistake in the first line: *bram ze rje'u (? rgyal!) rigs rgyal (? rje'u!) rigs dang*.

or textual sources are according to Go-rams-pa and Kong-sprul.¹⁷⁶

2 classes

outer and inner tantra	Abhayākara-gupta, Buddhaguhya	Kong-sprul
outer and secret tantra	<i>Vajra-Peak Tantra</i>	Go-rams-pa
action and yoga tantra	<i>Trailokyavijayamahākālpa-rāja</i> (<i>P</i> vol. 5, no. 115)	Go-rams-pa

3 classes

action, combined (<i>gnyis ka</i>) or practice, and yoga tantra	Buddhaguhya	Kong-sprul, Go-rams-pa
	Vilāsavajra, Kun-snying	Kong-sprul
	<i>Sarvarahasyanāmatantra</i> (<i>P</i> vol. 5, no. 114)	Go-rams-pa
yoga-practice and action tantra, and tantra of the way of the perfections	<i>sNgags don mnam gzigs</i> (by dPal-ldan Byang-chub- mchog?)	Go-rams-pa

4 classes

action, practice, yoga, and highest yoga tantra	"according to most of the tantras and commentaries"	Kong-sprul
	According to the Tantric songs (<i>gur las</i>)	Go-rams-pa

¹⁷⁶ See Kong-sprul, *Pervading All Objects of Knowledge*, vol. II, pp. 572 ff., and Go-rams-pa, *Detailed Exposition*, p. 158, fols. 79r ff.

5 classes

yoga, combined, practice, action, and realization tantra; or action, practice, yoga, great yoga, and highest great yoga tantra	Śāntipa Nāgārjuna <i>Śrīḍākāṛṇavamahāyoginī-tantra</i> (P vol 2, no. 19)	Kong-sprul, Go-rams-pa Kong-sprul Go-rams-pa
action, practice, yoga, highest tantra, and tantra without evil (? <i>ngan pa med pa'i rgyud</i>)	the Indian Vajrapāṇi	Go-rams-pa
action, practice, yoga, highest yoga, and yoginī tantra	<i>Rab tu sgron gsal</i> (i.e. <i>Śrīcakrasaṃvaramaṇḍalop-āyikāratnapradīpodyotanāma</i> , P vol. 51, no. 2161?)	Go-rams-pa

6 classes

action, fundamental (<i>rtsa ba</i>), practice, yoga, highest yoga (differentiated again in <i>bla ma</i> and <i>bla med</i> ?)	?	Kong-sprul
tantra of sūtra investigation (<i>mdo sde rtog pa'i rgyud</i>), action, practice, yoga, secret yoga, and limit of the secret (<i>gsang ba'i mtha'</i>)	<i>bDe mchog rtsa rgyud</i>	Go-rams-pa

7 classes

action, practice,
investigation (*rtog pa*, Skt.
kalpa), combined, yoga,
great yoga, and highest yoga
tantra

Atiśa

Kong-sprul

Furthermore, if arranged into four classes, there exist different systems of assigning a tantra class to a class of persons, such as to persons of sharp or dull faculties, or to persons with many or few defilements.¹⁷⁷ Go-rams-pa treats the four tantra classes in his *Detailed Exposition* in some detail on fols. 122v-132v.

With regard to the qualities of the guru who confers the three vows simultaneously through Tantric initiation, Go-rams-pa mentions in his *Detailed Exposition* (p. 163, fol. 88r) four factors through which the person who is the basis obtains the three vows. (1) The lineage of gurus must be intact (*bla ma brgyud pa ma nyams*); (2) there should not be any disorder with regard to the ritual (*cho ga 'khrugs par ma gyur pa*) that consists of three parts, i.e. preparation, actual ritual, and conclusion; (3) the guru should understand how to "assemble" the outer and inner dependent origination (*phyi dang nang gi rten 'brel bsgrig mkhyen*); and (4) he should be able to plant the seeds of the four spheres (Skt. *kāya*) into the five constituents of the person and the eighteen elements that constitute the psycho-physical continuum, i.e. the six senses, their objects, and sensory perceptions (Skt. *skandha*, *dhātu*, and *āyatana*—the latter being the first twelve of the eighteen elements that are the *dhātu*—) of the disciple (*slob ma'i phung kham skyed mched la sku bzhi'i sa bon thebs nus pa*).¹⁷⁸

¹⁷⁷ For such systems for people with sharp or dull faculties (*dbang po brtul po*, *dbang po mon po*) or few or many defilements (*nyon mongs pa chung ngu*, *nyon mongs pa chen po*), etc., see Kong-sprul, *Pervading All Objects of Knowledge*, vol. II, p. 577.

¹⁷⁸ For topics 3) and 4) see Sa-paṇ, *Clear Differentiation of the Three Vows*, ch. III, vv. 87 f., and Go-rams-pa, *Detailed Exposition*, p. 166, fol. 95r. For the necessity of unimpaired rituals, whether for conferring Tantric initiation or any other vow, see also *Clear Differentiation of the Three Vows*, ch. III, vv. 11 ff. and ch. III, vv. 65-66, and Go-rams-pa, *Detailed Exposition*, p. 163, fol. 89r-v, p. 164, fol. 90v, and p. 165, fols. 92r-93v f.,—see also fol. 94r where it is explained (with reference to *Clear Differentiation of the Three Vows*, ch. III, vv. 68-73) that even though rituals do not exist in the absolute truth—since nothing, not even Buddhahood, exists there—they do exist in the relative truth (and thus have to be
(continued...)

Go-rams-pa's Refutation (fol. 63v). Go-rams-pa raises two objections against Vibhūticandra's statements concerning the durations for which the bodhisattva and Mantra vows are obtained. Without quoting an example, he claims at first for the statement that the bodhisattva's resolve is abandoned when awakening is obtained that it contradicts many scriptures of Sūtra and Tantra. That the bodhisattva's resolve is abandoned when awakening is obtained is taught by Vibhūticandra in his *Garland of Rays* 9 ("[since resolve is like] a ship").

Secondly, he finds again an "internal contradiction," namely concerning Vibhūticandra's statement that the Mantra vows are maintained as long as space exists. He quotes a tantra from the highest class (*niruttaratantra*), according to which "the root of the Dharma is the resolve for awakening (*bodhicitta*), to give it up is the fifth [fundamental transgression]." Unfortunately, I could not find a text called *sNgags sdom gyi dam tshig la rtsa lung bcu bzhi* in the canon, but two other well known treatises have literally the same precept, namely Aśvaghōṣa's *Vajrayānamūlāpatti*, with these lines appearing on p. 279-1-4, and the *Vajrayānamūlāpattisaṃgraha*, no. 3303, with these same lines on 278-2-3.¹⁷⁹

To show that the continuation of the bodhisattva's resolve is a vital element of the pledges of the lower tantras, too, Go-rams-pa refers to some tantras from those classes. First he refers to the *Sarvamaṇḍalasāmānyavidhi-guhyatantra*, generally considered to be an action tantra.¹⁸⁰ The vows stated there are quoted by Go-rams-pa, but as far as I can see, except for vowing to firmly establish love for living beings, the resolve for awakening (*bodhicitta*) proper is not mentioned.¹⁸¹

For the class of practice tantras, Go-rams-pa refers to the *Awakening of Vairocana*. Here four fundamental transgressions are listed, of which the second one is indeed the abandonment of the resolve for awakening (*bodhicitta*):

"To abandon the holy Dharma, to abandon the resolve for awakening (*bodhicitta*), to act stingily, and to harm sentient beings."¹⁸²

¹⁷⁸(...continued)

performed accurately).

¹⁷⁹ The Tibetan text is: *chos kyi rtsa ba byang chub sems// de spong ba ni lnga pa yin*.

¹⁸⁰ *dKyiil 'khor thams cad kyi spyi'i cho ga gsang ba'i rgyud*, P vol. 9, no. 429.

¹⁸¹ *Go-rams-pa, General Topics*, p. 223, fol. 49r: *srog chags rnam la byams pa'i sems// rtag tu brian pa nye bar bzhag*. See also Kong-sprul, *Pervading All Objects of Knowledge*, vol. II, p. 144; for bodhisattva vows in action and practice tantras in general, see Lessing and Wayman (1968: 140-155); for a short introduction to action tantras in general, see Go-rams-pa, *rNam bshad*, fol. 83r-v.

¹⁸² P no. 126, vol. 5, 268-3-6: *dam pa'i chos spong ba dang / byang chub kyi sems gtong ba dang ser sna byed pa dang sems can la gnod pa byed pa'o*. See also Go-rams-pa, *General* (continued...)

For the class of yoga tantras, he refers to the *Śrīparamādyanāmamahāyānakalparāja*, according to which, too, it is a fundamental transgression to abandon the resolve for awakening (*bodhicitta*).¹⁸³ Kong-sprul¹⁸⁴ lists the first two fundamental transgressions of the same source as "abandoning the preliminary resolve [for awakening]" (*smon sems spong ba*) and "abandoning the actualization of the resolve [for awakening]" (*'jug sems spong ba*).¹⁸⁵

Regarding the fifth fundamental transgression of the highest tantra class, it is also interesting to note that rJe-btsun Grags-pa-rgyal-mtshan clarifies that "*bodhicitta*" refers here only to the preliminary resolution for awakening:

1. The nature of the transgression: To abandon the preliminary resolve for awakening, i.e. to turn away from the volitional impulse "I will achieve buddha[hood] for the benefit of all sentient beings."¹⁸⁶

To "abandon the preliminary resolution" means to think in the following way:

¹⁸²(...continued)

Topics, p. 224, fol. 49v, where a more elaborate version of these vows is quoted from the same source; see also, Kong-sprul, vol. II, pp. 146-7; Wayman (1992: 47); and Tajima (1992: 329-30); for a short introduction to practice tantras in general, see Go-rams-pa, *Detailed Exposition*, fol. 84r.

¹⁸³ *dPal mchog dang po zhes bya ba theg pa chen po'i rlog pa'i rgyal po*, P vol. 5, no. 119, a major yoga tantra, see Go-rams-pa, *General Topics*, p. 224, fol. 50v, and Kong-sprul, *Pervading All Objects of Knowledge*, vol. II, p. 150.

¹⁸⁴ Kong-sprul, *Pervading All Objects of Knowledge*, vol. II, p. 150.

¹⁸⁵ For a short introduction to yoga tantras in general, see Go-rams-pa, *Detailed Exposition*, fols. 84r f., for a short introduction to highest tantras in general, see Go-rams-pa, *Detailed Exposition*, fol. 85r. For the preliminary resolution (for awakening, Skt. *praṇidhi*) and the actualization (of that resolution, Skt. *prasthāna*), see Śāntideva, *Bodhicaryāvatāra*, ch. I, vv. 15-17, probably the most prominent passage for the Mādhyamikas: *byang chub sems de mdor bsdu na// nam pa gnyis su shes bya ste// byang chub smon pa'i sems dang ni// byang chub 'jug pa nyid yin no// 'gro bar 'dod dang 'gro ba yi// bye brag ji ltar shes pa ltar// de bzhin mkhas pas 'di gnyis kyis// bye brag rim bzhin shes par bya// byang chub smon pa'i sems las ni// 'khor tshe 'bras bu che 'byung yang // ji ltar 'jug pa'i sems bzhin du// bsod nams rgyun chags 'byung ba min//* (P vol. 99, no. 5272, p. 245-3-1).

In Śāntideva's other great work on the bodhisattva practice, the *Śikṣāsamuccaya*, we find this discussion within the first chapter, on pp. 185-3-8 ff. (P vol. 102, no. 5336): *byang chub kyi sems de ni nam pa gnyis te/ byang chub tu smon pa'i sems dang byang chub tu chas pa'i sems so/* (...). See also Bendall and Rouse (1971: 9 ff.).

¹⁸⁶ Grags-pa-rgyal-mtshan, *Removing Errors Regarding the Fundamental Transgressions*, p. 248, fol. 49r: *dang po lung ba'i ngo bo nyid ni byang chub kyi sems smon pa btang ba ste/ sems can thams cad kyi don du sangs rgyas bsgrub par bya'o snyam pa'i bsam pa las log pa'o*.

To abandon [the preliminary resolution] with a downhearted [mind, thinking]: "How can awakening be established by someone like me?" And to abandon the preliminary resolve by thinking: "I shall quickly establish [the state of] an arhat and a solitary Buddha."¹⁸⁷

Furthermore rJe-btsun Grags-pa remarks (fol. 50r) that the vow of preliminary resolve is also formed during the preparation [of an initiation] (*sta gon gyi skabs su*) and during the entering part (*'jug pa'i skabs su*), when one accepts the general vows of the five Buddha-families (*rigs lnga'i sdom pa spyir khas blangs pa*).

Thus, to sum up, the reason Go-rams-pa discusses the bodhisattva's resolve in connection with the Tantric pledges appears to be that from the point of view of a person who possesses all three vows, one neither abandons the bodhisattva's resolve when Mantra vows are obtained, nor does one abandon it when awakening is obtained, because it is a Tantric pledge never to abandon the root of the Dharma, i.e. [preliminary] resolve for awakening.

Garland of Rays 11. In the canonical tradition of the text, the theme of this section is defined in line three simply as: "[This] is the vow of the Tantric adept" (*rig 'dzin sngags kyi sdom pa'o*). The parallel passages of the prātimokṣa vows (*Garland of Rays* 2e-g) and of the bodhisattva vows (*Garland of Rays* 6-7 for relative and absolute *bodhicitta* respectively) teach at this point the respective natures of the vows. In the textual tradition of Go-rams-pa, however, that line reads "[this] is how to obtain the vows of the Tantric adept" (*rig 'dzin sdom pa'i thob tshul yin*). In fact it appears that the first line refers to the way how the Mantra vows are obtained, and the second one refers to their nature:

Being introduced to the purport of connate gnosis,
one restrains [oneself] from all stains of mental construction.

Go-rams-pa's Refutation (fol. 63v). Go-rams-pa's refutation deals solely with the nature of the Mantra vows, even though his textual tradition of the *Garland of Rays* does not mention the nature of the vows, but speaks instead of how to obtain them. However that may be, his technical argument could be applied to both themes. He points out that the introduction to connate gnosis occurs only from the fourth initiation upwards.¹⁸⁸ Vibhūticandra's explanation excludes therefore the three lower

¹⁸⁷ *Ibid.* fol. 50r: *bdag lia bus byang chub ga la 'grub ces zhum pas btang ba dang / myur du dgra bcom pa dang rang sangs rgyas bsgrub par bya'o// zhes sems pas smon pa btang ba'o.*

¹⁸⁸ The four initiations of the highest tantra class are (1) the vase initiation (Skt. *kalaśābhīṣeka*, Tib. *bum pa'i dbang*), (2) the secret initiation (*guhyābhīṣeka*, *gsang ba'i* (continued...))

tantra classes, and the first three initiations of the highest tantra class, too. Go-rams-pa refuses, however, to elaborate on this theme, since this treatise is not the proper place for such a discussion.¹⁸⁹

Vibhūticandra appears to be teaching here indeed from the point of view of the highest tantra alone. In general, the vows of each tantra class are considered to be complete at the end of their respective rituals. Thus Kong-sprul, for example, says:

In short, at the end of performing the ritual of each respective initiation [of the different tantra classes] the pure Mantra vows are obtained in the perfect way.¹⁹⁰

But from the point of view of the highest tantra, the completeness of the Mantra vows is reconsidered. Kong-sprul says:

The Mantra vows are called "incomplete" [or] "almost complete" in the lower tantra classes.

[They are called] "perfectly complete" in the higher [ones].¹⁹¹

And he explains that the former masters held that the vows of the action and practice tantras are incomplete, that they are almost complete in the yoga tantras, and that they are fully complete only in the highest tantras.¹⁹²

Garland of Rays 12. With these five lines Vibhūticandra explains the way of the persistence of the vows of the Tantric adept. They persist through the practice of the two stages of meditation, i.e. of production and perfection (Skt. *utpattikrama* and *utpannakrama*/*niṣpannakrama*, Tib. *bskyed rim* and *rdzogs rim*), and through worshipping one's body (i.e. the deity of one's meditation, Tib. *yi dam kyi lha*) intensively with the five objects of the senses (i.e. through the practices of the deity).

¹⁸⁸(...continued)

dbang), (3) the wisdom-gnosis initiation (*prajñājñānābhīṣeka*, *shes rab ye shes kyi dbang*), and (4) the fourth initiation (*caturthābhīṣeka*, *dbang bzhi pa*).

¹⁸⁹ Go-rams-pa indeed avoids the discussion of Tantric themes in the *General Topics* as much as possible, and wherever he is compelled to refer to Mantra, he confines himself to a few remarks (cf. fols. 80r f.), or he refers in general to other works.

¹⁹⁰ Kong-sprul, *Pervading All Objects of Knowledge*, vol. II, p. 139: *mdor na so so'i dbang gi cho ga grub pa'i mtha' ru sngags sdom mam par dag pa rdzogs pa'i tshul du thob pa yin no*.

¹⁹¹ Kong-sprul, *Pervading All Objects of Knowledge*, vol. II, p. 140: *rgyud sde 'og mar ma rdzogs phal cher rdzogs// gong mar yongs rdzogs sngags sdom zhes byar 'gyur*.

¹⁹² *Ibid.*: *thob tshul rgya che chung gi dbang gis rgyud sde 'og ma bya spyod gnyis ni ma rdzogs pa'i sngags sdom/ mal 'byor rgyud ni phal cher rdzogs pa'i sngags sdom/ gong ma bla med du yongs su rdzogs pa'i sngags sdom zhes bya ba'i tha snyad kyang thob par 'gyur ba mkhas pa snga ma nmams bzhed do*.

This is the source of everything needed and desired (which denotes the *dharmakāya* for one's own sake, and the *nirmāṇakāya* for the sake of others).

Go-rams-pa's Refutation (fol. 63v). Go-rams-pa states that the Mantra vows do not necessarily persist on the basis of the two stages of meditation, because it has also been taught that the Mantra vows persist if, after obtaining initiation, the pledges and vows are observed, even though one does not practice.

rJe-btsun Grags-pa-rgyal-mtshan taught in his *Removing Errors Regarding the Fundamental Transgressions* (p. 235, fols. 2r f.) that there are four types of persons: (1) The most exalted ones who realize within this lifetime, (2) the exalted ones who realize in the moment of death, (3) the medium ones who realize in the intermediate state, and (4) the lowest ones who realize in subsequent lifetimes. The latter ones are again of three types: (1) those who realize in the next life, (2) those who realize after seven lives, and (3) those who realize after sixteen lives. Of these it is said that they attain the supramundane achievements of the yogis (*siddhi*) in one of the next lives even though they do not meditate (*ma bsgoms par yang dngos grub 'thob*) if they are without transgressions (*gal te ltung ba med gyur na*)—the scriptural authority for the last point is Padmasambhava's *Samayapaṇḍita* [ka?]¹⁹³ (*P* vol. 56, no. 2353) and an unidentified text called *gSang ba'i mdzod* (see below). Go-rams-pa follows the same pattern in his *Detailed Exposition* (p. 169, fol. 101r-v) and presents additional sources, but he mentions liberation in the intermediate state (*bar do*) only indirectly through a quotation from the *Śrījñānatilakayoginītantra* (*P* vol. 2, no. 14).

A slightly different pattern is maintained by the Mongolian dGe-lugs-pa master Ngag-dbang-dpal-ldan (1797-?) from Urga, who wrote in his *rGyud gzhung gsal byed* that there are three types of fruits in the highest tantra.¹⁹³ According to him, the highest fruit is Buddhahood, of which there are again three different ways to obtain it, i.e. in this life, in the intermediate state (*bar do*), and in subsequent lifetimes (*skye brgyud nas*). Of these results the first one, i.e. Buddhahood in this lifetime, is obtained if a practitioner of the two stages obtains the illusory body (*sgyu lus*). The second result is obtained by a practitioner of the stage of production (*bskyed rim*) who obtains the illusory body in the intermediate state. If he cultivates the remaining paths based on that obtainment, he obtains Buddhahood. The third kind of result, i.e. Buddhahood in subsequent lifetimes, is gained by people who merely obtain initiation and maintain the pledges and vows (*dbang thob nas dam tshig dang sdom pa srung ba tsam zhig*). These persons do not become Buddhas in

¹⁹³ Ngag-dbang-dpal-ldan, *gSang chen rgyud sde bzhi'i sa lam nram gzhag rgyud gzhung gsal byed*, fols. 39v ff.

this lifetime or in the intermediate state; however, it is taught that they definitely will become Buddhas after seven or sixteen lives (*de dag ni tshe 'di dang bar dor 'tshang mi rgya yang skye ba bdun nam bcu drug tshun chad du nges par 'tshang rgya bar gsungs*).¹⁹⁴

Vibhūticandra, too, refers to such a teaching when he says:¹⁹⁵

If [one is] without transgressions, even though not meditating,
[Buddhahood] is obtained in one's sixteenth life.

That one does not need to meditate in order to obtain the supramundane achievements is clearly expressed in the *gSang ba'i mdzod*.¹⁹⁶

If one possesses the gift of completely pure initiation
one will be initiated in [subsequent] lives.

Through that one will obtain in one's seventh life

supramundane attainments, even though one does not meditate.

In a related passage of the Mantra chapter of Sa-pan's *Clear Differentiation of the Three Vows* (ch. III, v. 158c) and in Go-rams-pa's *Detailed Exposition* (p. 170, fol. 103v) it is explained that it is through the vow alone that the virtue of renunciation continues. Sa-pan says: "For someone who is without vows, the continuity of virtue does not exist" (*sdom pa med la dge rgyun med*). Go-rams-pa elaborates: "For someone who is without vows, the continuous stream of virtue that consists in the resolution to abandon [non-virtue] does not exist" (*sdom pa med pa la spong sems kyi dge rgyun chags pa med*). It is thus expressed that the supramundane achievements are attained through pure vows, and not necessarily through meditation (although the latter might speed up the process). Without pure vows, however, virtue is discontinued and Buddhahood, the ultimate supramundane achievement cannot be obtained.

The teaching that Buddhahood will be obtained through keeping one's vows even without meditation focuses on the purity of vows, and precisely that seems to be the intention and purport of the teaching. Later authors, however, have again

¹⁹⁴ See also Atiśa, *Sarvasamayasaṃgraha*, P vol. 81, no. 4547, p. 211-4-2 with a short remark on results in the next, the seventh, and the sixteenth lifetime.

¹⁹⁵ *Garland of Rays* 44 f-g: *ma bsgoms gyur kyang lung med na// skye ba bcu drug nyid kyis thob*.

¹⁹⁶ This quotation is according to Grags-pa-rgyal-mtshan, *Removing Errors Regarding the Fundamental Transgressions*, fol. 2v, and Go-rams-pa, *Detailed Exposition*, fol. 101v: *dbang bskur yang dag sbyin ldan na// skye zhing skye bar dbang bskur 'gyur// de yis skye ba bdun na ni// ma bsgoms par yang dngos grub 'thob*.

stressed the practice when they gave detailed explanations on how to keep the Tantric pledges pure. Such maintenance of the purity of the pledges involves, according to their expositions, quite a number of meditation practices.¹⁹⁷

¹⁹⁷ See, for example, Karma-chags-med, *Ri chos*, fol. 87 f.

Vibhūticandra on How the Three Vows are Possessed, with Go-rams-pa's Replies

Having taught in the previous first part of his *Garland of Rays* the three vows from the point of view of each vow respectively, Vibhūticandra turns next to the possession of the three vows together. This part of his treatise consists of four main parts: 1.) a refutation of the Sa-skyapa doctrine of transformation and same nature of the vows, 2.) what Vibhūticandra accepts as his own tradition with regard to these points, 3.) a refutation of the bKa'-gdams-pa doctrine that the three vows are like the support and the supported, and 4.) his own explanation of the true meaning of "possessing three vows."

4.1. Vibhūticandra's Refutation of the Sa-skyapa Doctrine of the Transformation and Same Nature of the Vows (*Garland of Rays* 14-24)

The first four sections of the second part of Vibhūticandra's treatise are quotations from different tantras, of which the first three (14-16) teach that (14) members of the four retinues,¹⁹⁸ if they also possess their respective proper trainings, are permitted—one may even make a monk a tantric adept;¹⁹⁹ (15) that among the three

¹⁹⁸ The four retinues ('*khor bzhi*) are defined as full monk, full nun, and male and female householder (Skt. *bhikṣu*, *bhikṣuṇī*, *upāsaka* and *upāsikā*, Tib. *dge slong pha ma gnyis dang // dge bsnyen pha ma gnyis te bzhi//*). The term is also confirmed in Pāli (*catuparisa*). See *Aṅguttara-Nikāya* II, 132 (PTS), where the term is explicitly defined as above.

¹⁹⁹ The "*Three-Hundred Verses Tantra*" [*Treatise*] teaches that Tantric initiation is suitable for anyone among the members of the four retinues who possesses a proper training. Thus, except for the approximation vow (*upavāsastha*), for which see my remarks in fn. 85, the pure training of full monks and nuns, as well as of male and female householders is the sufficient basis. Go-rams-pa (*General Topics*, p. 206, fol. 14r) states:

... *dkyil chog phal cher las/*

- (1) *rang gi bslab ldan 'khor bzhi po//*
- (2) *theg chen blo can rnam la ni//*
- (3) *de bzhiṅ gshegs pas gsungs pa yi//*
- (4) *yang dag cho ga rjes su gñang //*

In most of the rituals of [conferring initiation into] a maṇḍala it is said that:

- (4) The perfect ritual that has been taught
- (3) by the Tathāgata is imparted
- (1) to the four retinues who possess their respective trainings

(continued...)

bases²⁰⁰ the full monk (*bhikṣu*) is considered to be the highest and the householder the lowest, and (16) that the three vows that are possessed by a properly ordained person are the *prātimokṣa*, *bodhisattva*, and Mantra vows.

The fourth quotation, which teaches the three vows through the simile of smelting ore and the alchemists elixir, will be discussed in more detail in chapter 13 (pp. 305 ff.) in connection with teachings that Karma-'phrin-las-pa received from the seventh Karma-pa. The verse that appears to stem from a text called *The Teaching of the "Hundred-Thousand [Verse?] Tantra [or: Tantras]" Treatise: The Establishment of the Gnosis of Suchness* (*rGyud 'bum pa'i lung de kho na nyid ye shes grub pa*) and that has been introduced into the discussion by the Sa-skya-pa master Grags-pa-rgyal-mtshan says:²⁰¹

Through [the process of] smelting, iron, copper and silver appear,
by means of specific particularities of ores.

Through the elixir that turns [metals] into gold,
all are turned into gold.

Similarly, by means of the particularities of mind,
the vows of the three families also [come to be].²⁰²

If one enters into this great maṇḍala,
they are called "[vows of] the Tantric adepts."

Garland of Rays 18-20. These three verses deal with the refutation of a same nature of the vows. Without mentioning the Sa-skya-pas by name, Vibhūticandra refers to

¹⁹⁹ (...continued)

(2) [and] who are endowed with the Mahāyāna "attitude".

Then he continues by explaining that line (1) indicates the ritual of imparting the *prātimokṣa* vows, line (2) indicates the ritual of the *bodhisattva* vows, and line (3)-(4) of the Mantra vows.

²⁰⁰ The term "three bases" (*rten gsum*) obviously refers here the twin groups of fully ordained male and female, male and female novice, and male and female householders.

²⁰¹ Grags-pa-rgyal-mtshan, *Removing Errors Regarding the Fundamental Transgressions*, fol. 48v. The "*Hundred-Thousand [Verse?] Tantra [or: Tantras?]" [Treatise]* will be discussed in connection with the *Replies to Ngo gro*, section 23 (p. 236), and in chapter 13.

²⁰² This refers not to the three usual vows of this context, but to the vows of the auditors, solitary Buddhas, and *bodhisattvas*. The term means literally "the three possessors of *gotra*," (*rigs can gsum*), implying the vows of those who belong to one of the three said families. Cf. *Commentary to the Clear Comprehension*, fol. 302r, with the same connotation; Grags-pa-rgyal-mtshan, *Removing Errors Regarding the Fundamental Transgressions*, fol. 48v, where it is explained that the metals of the first part of the example refer to the members of these families; and see *Chinese-Tibetan Dictionary*, p. 2687, "*rigs can gsum*," where the term is explained in this way.

"some," who say with regard to the meaning of these quotations that the three vows are possessed in the way of a transformation and a same nature. And thus, says Vibhūticandra, if a fundamental transgression against a higher vow occurs, the lower vows are also lost (*Garland of Rays* 18). The intended meaning, however, of "possessing the three vows" is not that the three vows are lost all at once because they have the same nature (*Garland of Rays* 19). The reason is the following. If the prātimokṣa vow of abandoning tactile contact with women is lost by a fundamental transgression against the fourteenth Tantric pledge that forbids abusing women, that would be an overextension of the term "loss," since otherwise one could argue by analogy that the gathering of rain clouds in the sky causes the crops of the earth to wither (*Garland of Rays* 20).²⁰³

Go-rams-pa's Refutation (fol. 75v). At first Go-rams-pa declares himself a proponent of the theory of the same nature of the three vows. He does not admit, however, that the vows are lost simultaneously when a higher vow is lost, since any given vow is only lost through the causes of loss specific to it. He then launches a counter-attack through scholastic argumentation, in which he has proven himself so skilled. He says: [Consider] your critique against losing a lower vow if a fundamental transgression against a higher vow occurs. Is it a critique:

1. against the idea that the occurrence of a fundamental transgression of a higher vow necessarily implies the loss of a lower vow, or
2. against the mere possibility of such a loss?

Because in the first case the critique is not acceptable, since the Sa-skyapas did not maintain that, and in the second case, it would follow for you that the lower vow of guarding against tactile contact with women would be lost through the transgression that consists in verbally abusing a woman,²⁰⁴ because there indeed does exist the case where a loss of the lower vow through the occurrence of a fundamental transgression against a higher vow takes place: The bodhisattva vows are lost when a fundamental

²⁰³ The meaning of the stated reason is this: If the prātimokṣa vow that prohibits tactile contact and the Mantra pledge that forbids the abusing of woman really would be of the same nature, a fundamental transgression of the Mantra pledge would also entail the loss of the prātimokṣa vow. But according to Vibhūticandra, both vows are not of the same nature, as sunshine and rain are also not of the same nature. If sunshine and rain would be of the same nature, it would follow that the crops would wither through rain, too, since the crops do wither through (excessive) sunshine and since rain and sunshine are of the same nature.

²⁰⁴ For the fourteenth fundamental transgression of Mantra, see Aśvaghōṣa, *Vajrayānamūlāpatti*: she (! shes) rab rang bzhin bud med la// smod par byed pa bcu bzhi pa. See also Grags-pa-rgyal-mtshan, *Removing Errors Regarding the Fundamental Transgressions*, p. 255, fol. 64r: shes rab kyi ngo bo bud med la smod pa.

transgression against the fifth Tantric pledge (of abandoning the preliminary resolve of the bodhisattvas) occurs.²⁰⁵

In general, Vibhūticandra does not seem to refer in his refutation to Sa-pan's *Clear Differentiation of the Three Vows*. While the date of composition of Vibhūticandra's *Garland of Rays* is not exactly known, the *Clear Differentiation of the Three Vows* was dated by one traditional source to approximately 1232.²⁰⁶ A similarly late date of composition for the *Garland of Rays* appears to be highly unlikely considering the suggested sequence of the events of Vibhūticandra's life (see chapter 2.1., p. 21). Stearns (1996: 152, n. 87) mentions a passage of dPa'-bo-gtsug-lag-'phreng-ba's *Chos 'byung* (vol. I, p. 524) where he claims that Vibhūticandra composed his *Garland of Rays* after having seen the concept of "transformation of the three vows" (*sdom gsum gnas 'gyur*) in the *Clear Differentiation of the Three Vows*, but Stearns notes correctly that there is no reference to that concept in the *Clear Differentiation of the Three Vows* at all. But the terms "transformation" (*gnas 'gyur*) and "same nature" (*ngo bo gcig*) that Vibhūticandra uses for describing the Sa-skyapa position in *Garland of Rays* 18, and that are also used by later Sa-skyapa authors such as Go-rams-pa, do also not appear in Grags-pa-rgyal-mtshan's *Removing Errors Regarding the Fundamental Transgressions*. Grags-pa-rgyal-mtshan speaks rather vaguely of a "turning" (*'gyur*) of the lower vows into the respective higher ones (fol. 48v), and except for mentioning at one point that in Mantra practice, too, the prātimokṣa and bodhisattva vows are to be carefully maintained and continued (fol. 18r-v), he does not directly allude to a sameness of the vows. At the time when Vibhūticandra was in Sa-skyapa with his guru Śākyaśrībhadrā (see chapter 2.1.), however, this terminology might have already been a part of the oral tradition.

Beginning with Sa-pan's *Clear Differentiation of the Three Vows* and its various subsequent commentaries, the Sa-skyapas refined their theories of the three vows much further. When Vibhūticandra criticizes the Sa-skyapa position that the vows have the same nature by saying that according to that position, a loss of a lower vow would occur through a loss of a higher vow, Go-rams-pa defends the Sa-skyapa theory in a highly sufficient way by teaching the aspects of the respective vows as existing apart from their same nature. With regard to the nature and aspects of the vows, Go-rams-pa explains, for example, that what turns—in the course of

²⁰⁵ The fifth fundamental transgression, according to the Sa-skyapa doctrine formulated by Grags-pa-rgyal-mtshan, refers to the loss of the preliminary resolution. See Grags-pa-rgyal-mtshan, *Removing Errors Regarding the Fundamental Transgressions*, p. 248, fol. 49r: *byang chub kyi sems smon pa btang ba*. See also Aśvaghoṣa, *Vajrayānamūlāpatti*: *chos kyi rtsa ba byang chub sems// de spong ba ni lnga pa yin*.

²⁰⁶ See Jackson (1987: 64 ff.).

transformation—into the *nature* of the bodhisattva vow is the resolution to abandon (*spong sems*) that discards opposing factors (*mi mthun pa'i phyogs*) (fol. 72v). Such a transformation does not lead to an abandoning of the specific rules like "abandoning at death" that are acquired through the prātimokṣa vow rituals, and thus they continue to exist as the particular aspects of these vows.

When Mantra vows are obtained, the other vows are pervaded by the means that take the fruit as the path (*'bras bu lam byed kyi thabs kyi zin pa*, fol. 72v). Therefore, even though the three vows have the same nature after two complete transformations, the individual vows are only lost through their specific causes of loss.²⁰⁷

In principle, the same applies to a situation where all the three vows are obtained from the single ritual of a Tantric initiation. Here, however, the vows arise as having the same nature right from the beginning (fol. 73r). But since they do not have different aspects stemming from rituals other than that of a Tantric initiation, they are lost together and simultaneously when a fundamental transgression against the Mantra pledges occurs. Technically, this is not because of their same nature, but because of their non-differentiation outside of the Mantra vows.

Moreover, these prātimokṣa and bodhisattva vows that are obtained from the initiation are not the specific vows as they are taught and transmitted through their respective rituals, i.e. the different householder or full-monk vows etc., but they are prātimokṣa and bodhisattva vows in their most rudimentary sense. One obtains them during the preparation of the ritual of initiation when one takes refuge and produces the resolve for awakening.²⁰⁸ Since these are not the specific vows of the various prātimokṣa and bodhisattva rituals, here too it is not the case that the specific prātimokṣa vow that protects against tactile contact with women is lost through the loss of the fourteenth pledge of Mantra, since such a specific vow can only be acquired through the ritual of obtaining the full-monk vows.

In sum, if someone were to make remarks like the ones found in Vibhūticandra's *Garland of Rays* 19/20 on the basis of a fully refined Sa-skyapa theory of the three vows as presented by Go-rams-pa, his attacks would be without foundation, since 1) he would fail to notice the Sa-skyapa's differentiation between nature and aspects and thus would miss the fact that according to this position the vows are of the same nature, while the specific vows are only lost through their specific causes; 2) he would also fail to observe the fact that the vows that are

²⁰⁷ This is explained in great detail by Go-rams-pa later on, see pp. 89 ff.

²⁰⁸ See Go-rams-pa, *General Topics*, p. 210, fol. 22r; see also Grags-pa-rgyal-mtshan, *dBang bskur ba'i cho ga*, pp. 86 ff., especially fol. 39v; and his *Removing Errors Regarding the Fundamental Transgressions*, p. 258, fol. 47r-v; unfortunately none of these sources treat the matter in greater detail.

obtained from a single ritual (and that are indeed lost simultaneously) do not possess the specific rules that are only transmitted through the respective *prātimokṣa* and *bodhisattva* vow rituals. On the other hand the Sa-skyapa doctrine at Go-rams-pa's time represents a development of about two-hundred and fifty eventful years. That is to say, one has to keep in mind that Vibhūticandra criticized a system whose development at that time is not known in all details, and thus we may suppose in favour of Vibhūticandra that he argued against the very few theoretical statements that were maintained by the Sa-skyapa's of his time.

But how did Vibhūticandra understand the Sa-skyapa's doctrine of the same nature of the three vows? In order to get an idea of this, one has to know Vibhūticandra's own basic assumptions with regard to the vows. If I read *Garland of Rays* 23-24 correctly, his most basic assumption would be that the vows are entities (of their own) (*rdzas*—whatever that might have meant to him here). Thus he says (*Garland of Rays* 24), describing his understanding of the Sa-skyapa doctrine, "three vows ... possessed as a single entity." If that would be their position, it would be indeed hardly possible to lose a vow without the other vows being affected in the same way. It might well have been this undesirable interpretation of Grags-pa-rgyal-mtshan's remarks that has led to the differentiation into nature and aspects of the vows.

When we now turn to Go-rams-pa's answer, we will see that he chooses to refute Vibhūticandra using the typical modes of Tibetan scholastic argumentation. For this purpose Go-rams-pa first restates Vibhūticandra's observation as a consequence together with its reason (fol. 75v):

*bud med la smod pa'i ltung ba byung bas bud med la reg pa'i sdom pa
gtong bar thal/ gong ma'i ltung ba byung bas 'og ma'i sdom pa gtong ba
yod pa'i phyir/*

[Consequence:] "It would follow that the vow [prohibiting] tactile contact with woman would be lost because of the loss of [the fourteenth Tantric pledge concerning] abusing women occurred, ..."

[Reason:] "... since [in your system] there is the loss of lower vows through the occurrence of the loss of a superior [vow]."

Then Go-rams-pa analyzes in his answer the reason "since lower vows are lost when loss of higher vow occurs" (which is originally stated by Vibhūticandra as a thesis) in such a way that two intentions are possible (fol. 75v):

1. [Does] the occurrence of a fundamental transgression of a higher [vow] necessarily imply the loss of a lower [vow]? (*gong ma'i rtsa ltung byung na 'og ma gtong pas khyab*).

2. [Or] do you criticize [the mere] possibility of [such] a loss? (*gtong srid pa la skyon brjod pa yin*).

With regard to the first possible intention, Go-rams-pa simply answers that they (i.e.

the Sa-skyapa) did not maintain the matter as such. He does not go into any detail here since he has explained the two cases of successively obtained vows and vows obtained from a single ritual elsewhere, namely in the section through which he presents what he accepts as his own tradition (*rang lugs*).²⁰⁹ In principle the first possibility is refuted because each vow is lost due to causes that are specific to it.

Secondly, Go-rams-pa continues by analysing the reason in such a way that it could have been meant by Vibhūticandra as a criticism of the mere possibility of such a loss. If that would be the case, he could be easily refuted by citing a single instance where lower vows are lost when a loss of higher vows occurs. And Go-rams-pa presents his counter-evidence by giving the example of the occurrence of a fundamental transgression against the fifth Tantric pledge, i.e. losing the preliminary resolve, through which the bodhisattva vows are lost as a consequence. Thereby he is now able to turn the opponent's critique back at him. Go-rams-pa states (fol. 75v):

*bud med la smod pa'i ltung bas bud med la reg pa'i sdom pa giong bar thal/
gong ma'i rtsa ltung byung bas 'og ma giong ba yod pa'i phyir tel rtsa ltung
lga pa byung na byang sems kyi sdom pa giong ba'i phyir/*

(...) it would follow [for you] that the [lower] vow [of guarding against] tactile contact with women would be lost, through the transgression that consists in abusing a woman, because there [indeed] does exist [the case where] a loss of the lower vow through the occurrence of a fundamental transgression against a higher [vow takes place], since the bodhisattva vows are lost when a fundamental transgression [against the] fifth [Tantric] pledge occurs.

Go-ram-pa's counterexample, however, is not entirely convincing. If this should be a case where a lower vow is lost *as a consequence* of losing a higher vow, one would expect some sort of sequence in the loss, i.e. that the Mantra vow is lost first and the bodhisattva vow afterwards. But is this the case in Go-rams-pa's example? It rather appears to me that a single cause—the loss of the preliminary resolution—has the loss of both vows as its effect.

Garland of Rays 21. Vibhūticandra asks the rhetorical question whether it would be suitable to practice rituals other than those that were taught by the Buddha. It is, however, not quite clear what exactly is meant by "rituals" (*cho ga*). But since he refers to the losing and obtaining of vows, it appears that he is talking about the rituals for conferring the three vows. Thus, if a teacher explains the losing of vows in the way of the "same nature," i.e. as stated in *Garland of Rays* 19 and 20, he confers the vows in the wrong way, i.e. not as taught by the Buddha.

²⁰⁹ See pp. 89 ff.

Go-rams-pa's Refutation (fol. 76r). Go-rams-pa—not without some irony—turns the words of his opponent back against Vibhūticandra himself in an over-literal, and for the modern reader almost mean way. He refers to the second pledge from among the fourteen fundamental pledges of Mantra, namely the "transgressing the teachings of the Sugata,"²¹⁰ and answers that since there exists a losing of the lower vows through that fundamental transgression, it would follow for Vibhūticandra that it is possible to practice differently from the Buddha's teachings, since whoever "transgresses [in his practice or conduct] against the teachings of the Sugata" obviously practices differently from the Buddha's teachings. Go-rams-pa's remark is probably (at a less "polemical" level) also hinting at the fact that "the teachings of the Sugata" include the *prātimokṣa* and *bodhisattva* vows, and thus that by this definition a Mantra vow would be lost through a transgression against a rule of one of the lower vow systems (for which see the previous discussion in *Garland of Rays* 18-20).

Garland of Rays 22. Vibhūticandra turns now to a refutation of the transformation of vows. Such a consideration is impossible between things that are diametrically incompatible, he says, since otherwise one could argue by analogy that the sun transforms into the water of the river Ganga.

Go-rams-pa's Refutation (fol. 76r). Go-rams-pa does not accept such an understanding of transformation. If transformation would be impossible between incompatible things, a sentient being's consciousness could not transform into the gnosis of the Buddha, the consciousness of an ordinary being could not transform into the consciousness of a saint (*ārya*), the realization of the lower vehicle could not transform into the realization of the higher vehicle, etc. Furthermore, since transformation between things that are not incompatible is meaningless, there would be no transformation at all in Vibhūticandra's tradition.

Go-rams-pa's concept of transformation is the arising of a new consciousness that leaves no place for the previous one, since both are incompatible and cannot exist together. The arising of the gnosis of the Buddha (*sangs rgyas kyi ye shes*) leaves no place for a sentient being's consciousness (*sems can gyi shes pa*), since the latter is incompatible (*'gal ba*) with the Buddha's gnosis. This concept of incompatibility corresponds with the standard examples from the traditional scholastic logic of Tibet, i.e. the incompatibility of a pillar and a flask (*ka bum gnyis*), of something

²¹⁰ See Aśvaghōṣa, *Vajrayānamūlāpatti*: *bde gshegs bka' las 'das pa ni// ltung ba gnyis pa yin par bshad*. See also Grags-pa-rgyal-mtshan, *Removing Errors Regarding the Fundamental Transgressions*, p. 243, fol. 39r.

permanent and a thing (*rtag dngos gnyis*), and of fire and water (*me chu gnyis*): there is no thing that can be both.

This kind of transformation is also involved in Go-rams-pa's explanation of the transformation of for example the prātimokṣa into the bodhisattva vows (*General Topics* fol. 72v): after one has completely abandoned the inferior volitional impulse of the auditors, i.e. to pursue peace and happiness merely for oneself, that very resolution to abandon that discards opposing factors becomes the nature of the bodhisattva vows.²¹¹ This constitutes an improving of Grags-pa-rgyal-mtshan's example of smelting ore and of the alchemists elixir that was criticized by Vibhūticandra.²¹²

Vibhūticandra, for whom the vows are three distinct entities of their own (*Garland of Rays* 23), evidently understood Grags-pa-rgyal-mtshan's example as advocating the transformation of one vow-entity into another one, and he understood the "same nature of the vows" as "being of one single entity" (cf. *Garland of Rays* 24). In that case, indeed, the vows would be lost simultaneously as criticized in *Garland of Rays* 20. Furthermore, if such a transformation through the magical elixir of the alchemists would be possible, a magical transformation of the sun into the water of the river Ganga would be possible, too, as criticized in *Garland of Rays* 22.

Garland of Rays 23-24. In preparing to establish what he accepts as his own tradition of the three vows, Vibhūticandra investigates unacceptable meanings of "possessing three vows."

1. If such a possession is meant for a single mental stream of consciousness, that consciousness would become three separate ones, because the properties possessed (i.e. the vows) are distinct entities of their own (*Garland of Rays* 23).
2. If it is meant for earlier and later moments of the mental stream of consciousness, then the statement "possessing three" would be meaningless (*Garland of Rays* 24).
3. If it is meant as a possession of a single entity within a single mental stream of consciousness, the procedure for losing and obtaining the vows would become one (*Garland of Rays* 24).²¹³

²¹¹ See pp. 89 ff. for more details.

²¹² See also the seventh Karma-pa's reply to the theory of transformation and same nature in Karma-'phrin-las-pa, *Replies to Ngo-gro* 5, discussed and translated in chapter 11, section 2, pp. 249 ff.

²¹³ It would be meaningless to assume more than one ritual for obtaining vows that are one and the same entity—after the entity is obtained through one ritual, it would already be (continued...)

Go-rams-pa's Refutation (fol. 76r). Go-rams-pa turns exactly the same arguments back at his opponent and claims to have involved him in a total logical or dialectical defeat.

Let me first analyze what is stated in Vibhūticandra's *Garland of Rays* 23-24. Having dealt with same nature (*ngo bo gcig*) and transformation (*gnas 'gyur*) in the preceding verses, Vibhūticandra now focuses on a critique of how the Buddha's statement "possessing three [vows]" is understood by the Sa-skya-pas, i.e. by those who set out to interpret Grags-pa-rgyal-mtshan's work. Vibhūticandra's basic assumption seems to be that the vows exist as entities (*rdzas*). Since this question is not at all addressed in Grags-pa-rgyal-mtshan's *Removing Errors Regarding the Fundamental Transgressions*, Vibhūticandra's assumption is at least not in direct conflict to something stated in the basic text of the criticized tradition.

Based on this presupposition, i.e. the "substantiality" of the vows, Vibhūticandra analyzes a "threefold possession" with regard to the place where the three vows exist, i.e. the mental stream of consciousness. And he describes three modes of such an existence together with their resulting problems:

- 1) a simultaneous possession of three vow entities (that would result in three mental streams of consciousness);
- 2) a non-simultaneous possession (that would make it superfluous to call it a "threefold possession"); and
- 3) another simultaneous possession, but as a single entity (that would result in the becoming one of the procedures for losing and obtaining the vows).

Let me dwell for a moment on Vibhūticandra's own view concerning the mode of existence of the three vows (unfortunately, he explains his view only very briefly.) It seems to imply up to two lower "dormant" (*bag la nyal ba*) vows side by side with one higher vow that appears to be in a sort of "active mode."²¹⁴ Vibhūticandra describes the earlier lower vows as "dormant," a state that he unfortunately does not explain in any detail, but that may have a parallel in the theory of the "latent

²¹³(...continued)

complete. See also Sa-paṃ, *Clear Differentiation of the Three Vows*, ch. I, v. 9, where the argument that the rituals etc. would become one is directed against the 'Bri-gung-pas who claim that the intention of "as long as one lives" (*ji srid 'tsho yi bar*) with regard to the *prātimokṣa* is actually intending the mind, i.e. "as long as the mind exists;" see rDo-rje-shes-rab, *Same Intention*, ch. III, no. 8, and Go-rams-pa, *Detailed Exposition*, fol. 25v.

²¹⁴ It is odd that Vibhūticandra chooses the term "dormant" (Skt. *anuśaya*, Tib. *bag la nyal ba*) in this important part of his own theory. One would rather expect something more neutral, such as "propensities" (Skt. *vāsanā*, Tib. *bag chags*).

defilements" (*anuśaya, phra rgyas*) by the Sautrāntikas as presented in the *Abhidharmakośa* (ch. V, v. 1, 222-4-1 f.). According to this theory, a "latent defilement" is neither something that is associated with the mind (*saṃprayukta, mtshungs par ldan pa*), nor something that is not associated with the mind, because it is not a distinct entity (*adravyāntaratva, rdzas gzan ma yin pa*). A "latent defilement" is rather a defilement in a "sleeping mode" (*prasupta, nyal ba*), while "being completely wrapped up" (*pariyavasthāna, kun nas dkris pa*) refers to a defilement in an "awakened mode" (*prabuddha, sad pa*). The "sleeping mode" is furthermore explained as "unmanifested" (*asaṃmukhībhūta, mngon sum du ma gyur pa*) and like a "seed" (*bīja, sa bon*), and the "awakened mode" as "manifested" (*saṃmukhībhāva, mngon sum du gyur pa*). This particular seed is furthermore characterized as "[that which has] the ability to arouse the defilement" (*kleśotpādanaśakti, nyon mongs pa skyes pa'i mthu*).²¹⁵

An interesting feature is that we here in the *Abhidharmakośa* have something that is neither associated nor not associated with the mind, nor is it "an entity of its own." This describes the relation of the latent defilements with the mind. I assume that this is a model parallel to Vibhūticandra's own view of the three vows. That would mean that the dormant vows are neither associated nor not associated with the mind, and that they are also not "an entity of their own," i.e. they cannot be perceived apart from the mind. In other words, they are unmanifested and latent, but still possessing a certain capacity to be functional.²¹⁶ That the vows are, according to Vibhūticandra, "distinct substances of their own" (*Garland of Rays* 23) does not contradict this Abhidharma interpretation, since this obviously does not describe the relation of the vows with the mental stream, but the relation of the vows with one another. If three distinct vow-entities were manifest simultaneously, it would lead to the absurd consequence drawn in Vibhūticandra's *Garland of Rays* 23, namely that the mental stream of consciousness would become threefold. Therefore Vibhūticandra seems to maintain a view according to which the highest vow is manifest in a single stream of consciousness, while the lower vows are in a dormant state, i.e. neither associated with the mind—and thus avoiding the absurd consequence—nor not-associated with the mind, because they are not "a distinct entity of their own," i.e. not perceivable apart from the mind, and thus possessing a certain capacity to be functional.

If, as I assume, Vibhūticandra had indeed such a model in mind when he taught

²¹⁵ For such theories of the Sautrāntikas, see Seyfort Ruegg (1969: 473 ff.), with further references in his notes; Schmithausen (1987: 4 f., 248 n. 30, with references to Japanese scholarship, 353 n. 495, 377 n. 602).

²¹⁶ Although they will not be manifested in such a way that they would outshine higher vows as long as these are manifested, see *Garland of Rays* 27.

"dormant" and "manifested" vows, he was probably trying to avoid not only the problems involved with a simultaneous possession of the vows (since the vows are not simultaneously manifest, and since they are not believed to be a single entity), but also the problem of non-simultaneous existence of the three vows, since such a mode of existence would provide also the two lower vows an existence when a higher vow exists, even though it would be a non-manifested or latent existence. Go-rams-pa's claim (in his refutation of *Garland of Rays* 23-24) that according to Vibhūticandra the lower vows cease ('gags pa) when further vows arise would be baseless, unless one would equate "to cease" with "being latent" or "dormant."

Let us turn now to Go-rams-pa's refutation. Technically, what Go-rams-pa is trying to do here is the standard debate strategy of turning the opponent's argumentation against him and manoeuvring him into a position where he can neither deny the established reason (i.e. he cannot say *rtags ma grub*), nor simply state that he accepts what is being proved (i.e. he cannot say 'dod), nor claim that the pervasion does not hold (i.e. he cannot say *khyab pa ma byung*). If the proponent is successful, the opponent is caught up in these "three spheres" ('*khor gsum*) of being unable to give any of the three above answers, which in this system of scholastic dialectics means total defeat. In order to do so, he turns the first argument of Vibhūticandra's *Garland of Rays* 23 back at his opponent:

It follows that the basic consciousness that is the substratum that possesses [the property] would [consist of] three distinct entities, because [you hold that] the propensities of the three vows—i.e. the properties possessed—are distinct entities.²¹⁷

In traditional Tibetan debate, the opponent now has three options. First of all, he may deny that the reason is established (*rtags ma grub*), i.e. he may claim that the properties possessed are not three distinct entities. But that is impossible because he himself has admitted to that by using it as his own reasoning in the last line of *Garland of Rays* 23 (*ldan chos rdzas gzhan tha dad phyir*). Secondly, he may simply state that he accepts what is being proved ('dod). But this, too, is impossible, because that would have the impossible consequence of a triple mental stream of consciousness for a single person.²¹⁸

The third option, i.e. to claim that the pervasion does not hold (*khyab pa ma byung*), is the problem. Could the opponent deny the pervasion or not? The pervasion would be like this: If the property possessed, i.e. the propensities of the three vows, are distinct entities, that mental stream of consciousness is [identical

²¹⁷ Here one hopes in vain for any evidence presented by Go-rams-pa that would support his assumption that propensities (Skt. *vāsanā*) such as the vows are distinct entities of their own.

²¹⁸ See *Garland of Rays* 23.

with] the three distinct entities.²¹⁹ If the opponent would admit to that, of course the absurd consequence would follow that the mental stream of consciousness is threefold. Go-rams-pa then continues his "debate," in which the opponent is now desperately trying to escape the "triple sphere" (i.e. the inability to answer in any of the three traditional ways) by proposing a non-simultaneous possession of the vows, and finally, since that would lead to a further absurd consequence, through a possession as the same nature. In that case the trap would be sprung, because now the opponent would be unable to deny the pervasion, too: The mental stream of consciousness is made up of three essentially identical entities, i.e. the stream of consciousness is the whole and the identical entities are its parts. In that case the stream that cannot be perceived as different from its parts must also be three, because its parts are three.

4.2. Vibhūticandra's Theory of the Three Vows (*Garland of Rays* 25-30)

Garland of Rays 25-26.

In the first section Vibhūticandra teaches that a given vow remains in the basic consciousness in a dormant way if a higher vow is taken. This is illustrated in the second section through the example of the sun, moon, and stars. If the moon, that represents the bodhisattva vows, arises, the light of the stars, i.e. the functioning of the prātimokṣa vows, vanishes in so far as it is overpowered or outshone and becomes latent. When the rays of the sun, that represents the highest vows in this example, shine forth, the moonlight vanishes (i.e. becomes latent).

Go-rams-pa's Refutation (fol. 63v). Go-rams-pa raises several arguments against Vibhūticandra that I have listed as nos. 1-6 below.

1. What is the reason for the lower vows to be dormant? Are they a) not manifest because the apprehending mode of higher and lower vows are incompatible, or b) because their remaining together simultaneously is incompatible, just as the tactile sensations of heat and cold.²²⁰ The first (a) is incorrect because you have already admitted²²¹ that the abandoning of harm of the prātimokṣa vows pervades the achieving of benefits for others of the bodhisattva vows (i.e. that benefitting others is included within not harming others).²²² The second (b) is also incorrect because this would be

²¹⁹ In Tibetan: *ldan chos sdom pa gsum gyi bag chags rdzas tha dad yin na nram shes kyi shes rgyud de rdzas tha dad yin.*

²²⁰ See *Garland of Rays* 9d.

²²¹ See *Garland of Rays* 33.

²²² In other words, any benefitting of others is automatically also not harming them, but
(continued...)

incompatible with the teaching of the possession of three vows in the tantras quoted above.

2. If the *prātimokṣa* is unmanifested for a bodhisattva, the morality of abandoning morally wrong behaviour²²³ that was taught by Asaṅga, Dīpaṅkara and the elder Bodhibhadra²²⁴ as the seven classes of *prātimokṣa* would also be unmanifested. That complete awakening can be achieved without training in the threefold morality of the bodhisattva's conduct²²⁵ is taught by you alone.

3. If the bodhisattva vows are not manifested for a Tantric adept, the achievement of complete awakening by such a person would be a great wonder.

4. For a person who has taken the initiation of the vajra master of the two higher classes of tantras the respective pledges of the five families do exist manifestedly, and the common pledges would exist in an unmanifested way.

5. If you teach that the monk vows are propensities (*bag chags*) remaining in the basic consciousness, you have to accept that the propensities are lost after death, since *prātimokṣa* is admittedly lost at death. In that case it would not be necessary to practice learning, reflection or meditation basing oneself on maintaining the morality, because learning, reflection, meditation etc. are similar, i.e. their propensities would vanish at the time of death.

6. Your example of the sun, moon, and stars is not taught in any of the correct authoritative scriptures.

²²²(...continued)

there are instances of not harming others that are not actually an achieving of benefits for them. Or, in still other words, the bodhisattva vows are a particular instance of the *prātimokṣa* vows, and the Mantra vows are a particular instance of both lower vows. For this point, see the seventh point of "possible successions of taking up the three vows," p. 94, and the footnote.

²²³ In Tibetan: *nyes spyod spong ba'i* (or: *sdom pa'i*) *tshul khrims*, "the morality of abandoning (or: controlling) [morally] wrong behaviour," defined in the *Tibetan-Chinese Dictionary* as *mi dge ba bcu po thams cad dug bzhin du spong ba'i so thar ris bdun gyi khrims te*, "The rules of the seven classes of *prātimokṣa* that abandon all the ten non-virtues as if they were poison." The first part of the threefold morality of the bodhisattvas (for which see also fn. 24).

²²⁴ For Asaṅga, see his *Mahāyānasūtrālaṅkāra*, Lévi (1982: ch. XVI, pp. 108 f., Tome 1, Texte). For Atiśa, see his *Bodhipathapradīpa*, 34-2-5 ff.; see also Sherburne (1983: 96 f.). For "Sthavira" Bodhibhadra (gNas-brtan-byang-bzang), see below.

²²⁵ In Tibetan: *byang chub sems dpa'i* (*spyod pa*) *tshul khrims nam pa gsum*. See the remarks in section 2 of chapter 1. For the paramount importance of these in Mahāyāna practice, see Go-rams-pa, *Detailed Exposition*, p. 166, fol. 95v.

The arguments nos. 2-4 may be summarized as this: Unmanifested or dormant is the same as non-existent.²²⁶ In that case complete Buddhahood cannot be achieved because it was taught that the morality of the *prātimokṣa* and the *bodhisattva* vows are necessary for achieving it. The Tantric adept of the highest tantra would only possess the respective pledges of the five families.

The first argument is based on the supposition that manifested and dormant vows do not remain together because of some sort of incompatibility. Vibhūticandra's example of the sun, moon, and stars, however, suggests that the lower vows remain together with the higher ones, just as the stars and the moon, too, remain in the sky after sunrise. The becoming dormant of the lower vows appears not so much to be caused by incompatibility, as for example in Go-rams-pa's teaching where the inferior volitional impulse is impossible to exist when the volitional impulse of Mahāyāna was produced (see p. 75), but by the greater power of the highest vow, that outshines the lower ones.²²⁷

The fifth argument refers to the famous verse of Vasubandhu:

The one who is established in good conduct and possesses learning and reflection
applies himself to meditation.²²⁸

The first line of the commentary says;

Those who wish to see the truths guard right from the beginning their moral conduct.²²⁹

The issue is the problem of the transference of the supramundane insight of the saints to ordinary beings. According to this theory, the teaching of the Buddha creates the propensities of listening (*śrutavāsanā*) in ordinary beings. These propensities exist together with the basic consciousness (*ālayavijñāna*) without being a part of it, and they also serve as antidotes against it.²³⁰ Already in his argumentation concerning Vibhūticandra's *Garland of Rays* 23, Go-rams-pa has interpreted Vibhūticandra in such a way that the vows that are the property of the

²²⁶ But see my remarks on Vibhūticandra's teaching of dormant vows on p. 76.

²²⁷ See again p. 76 for Vibhūticandra's teaching of dormant vows.

²²⁸ Vasubandhu, *Abhidharmakośa*, ch. VI, v. 5: *vr̥ttasthaḥ śrutacintāvān bhāvanāyām prayujyate*, where *vr̥tta* is synonymous to *śīla*, see fn. 229 for the line from the commentary. The Tibetan is: *tshul gnas thos dang bsam ldan pas// bsgom pa la ni rab tu sbyor//* (P vol. 115, 243-5-6 ff., read *bsam ldan* instead of *bsam gtan*).

²²⁹ Vasubandhu, *Abhidharmakośabhāṣya*, ch. VI, v. 5: *satyāni hi draṣṭūkāma ādīta eva śīlaṃ pālayati*. In Tibetan (243-5-6): *bden pa mams mthong ba 'dod pa ni dang po kho nar tshul khrims srung bar byed do*.

²³⁰ See Schmithausen (1987: 80, 370 ff., n. 580-582).

basic consciousness are possessed in the form of propensities.²³¹ If that would be so, such a propensity may be lost or abandoned, as in the case of the monk vows that are admittedly lost at the time of death (Vibhūticandra's *Garland of Rays* 2). In that case, other properties such as those of learning, reflection, and meditation would be lost, too, and it would be useless to practice these. But for Go-rams-pa the fact that the monk vows are lost at the time of death has nothing to do with being a propensity, rather it is a consequence of the way in which they were obtained, i.e. through a ritual that limits the duration of that vow to "as long as one lives."²³² This, however, is also accepted by Vibhūticandra in the following section.

Garland of Rays 27. If a Tantric adept (who only possesses the Mantra vows) takes a lower vow for the sake of a disciple (i.e. in order to be able to confer that vow to him),²³³ the lower vow does not outshine the higher vow. The higher vows, on the other hand, do not prevent the lower vows from arising. The ways of obtaining, losing, and maintaining the vows continue according to their respective rituals.

The statement that the vows continue according to their respective rituals suggests that a Tantric guru who previously obtained the lower vows through their specific rituals is thereafter also able to confer those vows (as long as he has not lost or abandoned them), even though he possesses them only in a dormant way. "Unmanifested" appears therefore not to be equivalent to "ineffective" or even "non-existent." The vows are obtained, they continue to exist effectively, and they are lost, for example through transgressions or at death. While the outshining in the later Karma bKa'-brgyud-pa system is an outshining of infractions of the lower vows alone (see the respective section in chapter 13, pp. 321 ff)—with the consequence that one does not lose the lower vows if a transgression occurs—the outshining in Vibhūticandra's system appears to cause a change of the mode of existence of the whole vow, i.e. from "manifest" to "dormant," and, in case of a fundamental transgression, it prevents an arising karmic result caused by the loss of a vow from unfolding its harmful effects (cf. *Garland of Rays* 28). But if "dormant" has the connotation of "latent," Vibhūticandra fails to explain *how* such a vow can continue

²³¹ *General Topics* p. 237, fol. 76r, l. 6: *ldan chos sdom pa gsum gyi bag chags rdzas tha dad yin pa'i phyir*.

²³² See Go-rams-pa, *General Topics*, fols. 18v-19r.

²³³ According to the Vaibhāṣikas and Sautrāntikas all the vows of ordination must be obtained from a fully ordained monk. According to the Sautrāntikas, however, the householder vows can also be obtained from another householder. See Go-rams-pa, *General Topics*, p. 217, fol. 37r. Thus, in general, a Tantric guru who bestows ordination must possess the particular vow to be bestowed himself.

to be effective and in fact also violable in a latent state. In other words, if their effectiveness or violability is not an indicator for their being latent or active, or for their being dormant or manifested, what exactly characterizes and distinguishes such states?

Go-rams-pa's Refutation (fol. 64v). Go-rams-pa argues again by pointing out an internal contradiction: How can one take up a *prātimokṣa* vow for the sake of others if according to Vibhūticandra one's own benefit is a part of the motive for taking up *prātimokṣa* vows?²³⁴

Garland of Rays 28. There are many propensities in the basic consciousness but there exists only one mental stream of consciousness (for a person). A person is unstained by the karmic result of a transgression against a lower vow as long as the higher vows continue, just as the disappearing of the stars and the moon cannot obscure the light of the sun as long as the sun itself remains in the sky.

I understand here that the mental stream of consciousness of a Tantric adept is dominated by the superior set of vows, namely the Mantra vows, while his basic consciousness, on the other hand, may have many propensities. Even if karmic results caused by a transgression against lower vows arise, he will not experience them as long as his mental stream of consciousness continues to be dominated by the higher vows. Thus neither completely functional lower vows (as in *Garland of Rays* 27), nor lower vows damaged by transgressions appear to be of any influence for the higher vow. Perhaps this could be expressed through the following simile: When several strong horses draw a cart, it does not make a difference whether the servants accompanying it help pushing it forward or not. In other words, for a Tantric adept whose Mantra vows remain intact, there is no difference whether his lower vows exist (in a dormant state) or not at all.

Such a theory, however, is in a sharp contrast to all other still-current theories of the three vows that teach with the greatest care the maintaining and continuing of the lower vows. Vibhūticandra's attitude in this respect resembles the description of the system of the so-called "Red Master" (*ācārya dmar-po*) in the writing of seventeenth century master Karma-chags-med, who characterized it in these words (*Ri chos*, p. 74):

Thereafter, by receiving the [ritual for] producing the bodhisattva's resolve, the *prātimokṣa* vows turned into the bodhisattva vows.

After that, none of the Vinaya rules had to be maintained (...)

²³⁴ But see pp. 43 f. for the question whether "one's own benefit" was taught by Vibhūticandra as a necessary element for taking up the *prātimokṣa*.

Thereafter, by receiving the four initiations of the Mantra, these bodhisattva vows, too, turned into the vows of Mantra.

After that, the training of the bodhisattva vows did not have to be maintained.

Other theories, however, actually stress the preserving of the lower vows *through* the higher ones. For example, according to Lo-chen Dharma-shrī, explaining the theory of the rNying-ma-pas, one will not lose the monk vows through intercourse, if one realizes that activity as the yoga of the deity.²³⁵ Or the theory of sKyob-pa 'Jig-rten-mgon-po who teaches that the drinking of nectar that has been successfully transformed from alcohol is consistent also with the Vinaya, while alcohol as such should not even be used in Tantric ritual.²³⁶

Go-rams-pa's Refutation (fol. 64v). Go-rams-pa replies that Vibhūticandra is inconsistent when he teaches on the one hand (in section 40) that great harm will occur if the bodhisattva vows are damaged, and on the other hand that one will not be stained by karmic results even though one has transgressed a lower vow. If Vibhūticandra would object that when he teaches the defects of transgressing the bodhisattva vows, he refers only to damage in connection with a possession of each vow individually, but not with a possession of the highest vow (or a threefold possession?), this is wrong, because that would be in contradiction with his teaching that all the ways of losing, obtaining and continuing for all three vows remain according to their respective rituals.

The last section of the *Garland of Rays*, that teaches the results of damage to or loss of the vows, indeed appears to be written from the view-point of each respective vow, just as the first section teaches each vow-system as existing in its own right (cf. p. 60). The "outshining," however, appears to be taught strictly from the point of view of Mantra.

Garland of Rays 29. On the other hand, the continuation of the lower vows cannot protect when one transgresses against a higher vow. Without the sun, the moon and stars remain in darkness.

Go-rams-pa's Refutation (fol. 65r). If that were so, someone falling back to the Hīnayāna from the Mahāyāna could not obtain the auditor's awakening.

²³⁵ See Lo-chen Dharma-shrī, *Commentary to the Clear Comprehension*, part five, translated on p. 431.

²³⁶ See rDo-rje-shes-rab, *Same Intention*, ch. V, no. 24 (vol II, *nya*, fols. 32 ff.), translated on p. 373.

Furthermore, according to the example, the bodhisattva vows cannot protect if the Mantra vows have not been taken up right in the beginning, since without the sun, the moon remains dark. This contradicts Vibhūticandra's teaching of the benefits of the lower vows.

Garland of Rays 30. The explanation of the elixir that turns metals into gold had in mind dominance and supreme qualities of the Mantra vows.²³⁷ During daytime all the light is referred to as sunlight.

Go-rams-pa's Refutation (fol. 65v). Since the elixir turns substances into gold, it is an example for transformation.

4.3. Vibhūticandra's Refutation of the Theory that Teaches the Three Vows As Being Like a Support (*Garland of Rays 31-33*)

Garland of Rays 31-33. The example that explains the lower vows to be a basis or support like earth, water, and a ship, is wrong. Aśvaghoṣa and Vilāsavajra have taught the vows as "that which is pervaded" and "the pervader," i.e. that the prātimokṣa vows (the pervader) are pervading the bodhisattva vows (the pervaded). To apply the concepts of "support" and "the supported" to that is an error,²³⁸ since while all instances of the pervaded—the establishing of benefit of the bodhisattva vows—are at the same time instances of the pervader—the abandoning of harm for others—something that is the supported can never also be the support: The accomplishment of benefit in the case of the bodhisattva vows is pervaded by abandoning harm. Would not even a cowherd understand the pervaded and the pervading as being of one substance?

I was unable to trace this particular example to a bKa'-gdams-pa treatise, but I found examples of a similar type in other sources. For example, in a treatise of mKhas-grub-rje dGe-legs-dpal-bzang-po (1385-1438)²³⁹ I found in the passage that contains what he presents as his own tradition (*rang lugs*) the example of a *marakata* jewel that is placed in unsullied water in a clean tub. The same example is used to illustrate an (unspecified) theory by Lo-chen Dharma-shrī (fol. 299v), and one also

²³⁷ See my discussion of that example in the respective section of chapter 13, pp. 314 ff.

²³⁸ I as yet have not been able to locate a passage discussing the three vows through the concepts of "the support" (*rten*) and "the supported" (*brten*), or of "that which is pervaded" (*khyab bya*) and "the pervader" (*khyab byed*) in the canonical writings of either Vilāsavajra or Aśvaghoṣa.

²³⁹ mKhas-grub-rje dGe-legs-dpal-bzang-po, *sDom gsum nam gzhaḡ*, fol. 95r.

finds it in the writings of Karma-'phrin-las-pa (1456-1539),²⁴⁰ where it is attributed to the dGe-ldan-pas. mKhas-grub-rje and Karma-'phrin-las-pa point out that the three vows are, according to this theory, of different natures or substances, and that the lower ones become branches of the higher ones. Only Dharma-śrī describes this system additionally as "the support and the supported." Karma-chags-med (1613-1678) ascribes a similar system to Tsong-kha-pa and mentions as its main feature only "the support and the supported." The example quoted by Karma-chags-med differs from the above in so far as the jewel is replaced in it by the reflection of the moon.

Go-rams-pa's Refutation (fol. 65v). If Vibhūticandra does not accept himself Aśvaghōṣa's and Vilāsavajra's teaching of the "pervaded" and "the pervader," he cannot refute others through it, because neither party of the debate has accepted the reason. If he accepts it, he has involved himself in a total defeat, since it would follow that the two lower vows are of a single entity (while he teaches in *Garland of Rays* 23 that the vows are three distinct entities of their own).

4.4. Vibhūticandra's Explanation of the Meaning of "Possessing Three Vows" (*Garland of Rays* 34, 36-37)

Garland of Rays 34. Therefore it is not acceptable to apply the possession of the three vows to a single Tantric adept. Otherwise the two lower vows would arise without the need of any specific ritual of their own. The rituals of the three vows were not taught by the Buddha as existing in common for all three.

Even though he appears to have accepted previously (in *Garland of Rays* 27) the continuation of the respective rituals of obtaining, losing, and maintaining the lower vows, here he seems to deny such a threefold possession "in the real sense" and he seems to prefer to understand a Tantric adept simply as a Tantric adept, regardless of whether he possesses lower vows, too, or not (especially in section 36 below). His position seems to be that the previous teaching was only provisional and for the sake of the trainees at the time of the path (especially in section 37 below).

Go-rams-pa's Refutation (fol. 65v). Go-rams-pa criticises Vibhūticandra's conclusion that the lower vows would arise without their proper rituals if "possessing three [vows]" is applied to the vows of the Tantric adept. Go-rams-pa's strategy is the following. After repeating the "nonsensical" conclusion, he states a consequence (*prasaṅga*) which is impossible to accept for Vibhūticandra: "It would follow that

²⁴⁰ Karma-'phrin-las-pa, *Replies to Ngo-gro* 9.

the [different] kinds [of] realizations of the three vehicles would arise without the need to cultivate the two lower vehicles." The next step is that he shows that one would have to draw that pointless conclusion if one would argue parallel to Vibhūticandra's conclusion above based on the following fact: "Because the three kinds of realizations are complete in the Mahāyāna path of seeing alone."

At this point, Go-rams-pa "foresees" Vibhūticandra's answer (not fully explicit in the text, but reconstructible because of the predictable alternatives in a debate): "Although the three kinds of realization are present in the path of seeing of the Mahāyāna, they do not arise without cultivation, because they are cultivated earlier in the path of preparation." To which Go-rams-pa "answers": "If you think that they do arise being cultivated in the Mahāyāna path of application, it follows that this would not be acceptable." Why not acceptable? In order to refute Vibhūticandra, Go-rams-pa uses now Vibhūticandra's own argument (adapted to this new topic): "Because the Buddha did not teach in common the methods for cultivating the three kinds of realization." The last line in Go-rams-pa's answer concludes: "You have accepted all three [points]." These must therefore be (1) the fact that three kinds of realizations are complete in the Mahāyāna path of seeing alone, (2) that all the three kinds of realization arise by cultivating the Mahāyāna path of preparation, and (3) that the Buddha did not teach in common the methods for cultivating the three kinds of realization.

Garland of Rays 36-37. The intention of praising the possession of three vows as better than being simply a Tantric adept does not refer to the fruit. If it did, the Omniscient One would be of a higher and lower type. The difference between possessing one and three vows is for the sake of the trainees at the time of the path. There is a difference in so far as one vow—that is a distinct entity—may vanish, while the other vows remain existent.

On the level of the fruit, there is only one Buddha, i.e. the fully perfected one, and not three of respectively increased realization. The possession of the different vows was only taught for the level of the path.

Go-rams-pa's Refutation (fol. 65v). If that were the case, the praise of the Perfections Vehicle (*prajñāpāramitā*) by way of the closeness of the fruit would not be a praise by virtue of its being a result.

This refers to the beginning verses of praise in the *Abhisamayālaṅkāra*. That praise is, according to Go-rams-pa, a parallel case of a praise of the fruit, the Perfection of Insight, by way of its closeness. The closeness is, of course, the closeness of the result.

Go-rams-pa on the Sa-skya-pa Doctrine of Three Vows

The section of Go-rams-pa's *General Topics* that has the most to do with three-vow theories, namely "the investigation of how the vows are maintained and lost," consists of, as I have mentioned before, three parts that explain how the vows are maintained. The first of these three parts contains the refutation of Vibhūticandra's doctrine of the three vows as presented above in chapter 4.2. (and refutations of other doctrines, too, on which see the topical outline of chapter 2, section 5). In the present chapter, I would like to present the second part on how the vows are maintained, namely Go-rams-pa's exposition of what he accepts as his own tradition (*rang lugs*). This section of Go-rams-pa's *General Topics* begins on fol. 70v and has again two parts: The quotation of the "vajra utterance" with regard to the matter to be explained and the correct explanation of its meaning.²⁴¹

5.1. The Quotation of the Relevant Vajra Utterance

Before stating the actual "vajra utterance," i.e. an authoritative statement that is unfalsifiable and as unbreakable as a vajra, Go-rams-pa explains that the general nature of prātimokṣa is to abstain from harming others, together with the mental basis for harming others; in addition to that, the wish to benefit others and to enter into beneficial activities is the bodhisattva vow;²⁴² and in addition to that, to maintain pure awareness is the vow of the Tantric adept.²⁴³

Of the two, i.e. the auditor prātimokṣa and the bodhisattva or Mahāyāna prātimokṣa, only the latter is suitable as a basis for both the taking and persistence of the Mantra vows. If one has first obtained the auditor prātimokṣa, this is

²⁴¹ These parts are, according to the subject outline, topic 3.3.3.5.1.2.1. *bshad bya rdo rje'i tshig 'god pa*, and topic 3.3.3.5.1.2.2. *de'i don tshul bzhin du bshad pa*.

²⁴² For the natures of these two vows in the *Awakening of Vairocana*, see fn. 508.

²⁴³ On this point, see Grags-pa-rgyal-mtshan, *Removing Errors Regarding the Fundamental Transgressions*, p. 258, fol. 48v: "[The vow of] the Tantric adept is to partake in [these vows] after blessing them through the gnosis of the appearance of the deity" (*rig pa 'dzin pa ni de dag kyang lha'i rnam pa'am [pa'i?]/ ye shes kyis [kyi?] byin gyis brlabs nas longs spyod pas ...*).

transformed into the bodhisattva prātimokṣa when one takes the bodhisattva vows.²⁴⁴ Otherwise the bodhisattva prātimokṣa arises when the preliminary resolve of the bodhisattvas is produced.²⁴⁵

When a monk produces the resolve for awakening, his prātimokṣa turns into the bodhisattva prātimokṣa, and when he receives Tantric initiation, all the vows turn into the vows of a Tantric adept. The vajra utterance that is cited for proving that is *The Teaching of the "Hundred-Thousand [Verse?] Tantra [or: Tantras?]" [Treatise]: The Establishment of the Gnosis of Suchness*.²⁴⁶

Through [the process of] smelting, iron, copper and silver appear by means of specific particularities of ores.

Through the elixir that turns [metals] into gold, all are turned into gold.

Similarly, by means of the particularities of mind the vows of the three families also [come to be].

If one enters into this great maṇḍala, they are called "[vows of] the Tantric adepts."

5.2. The Correct Explanation of the Meaning [of the Vajra Utterance]

The second part of Go-rams-pa's explication of the Sa-skya-pa system of the three vows has again two parts: The manner of transformation when the three vows are obtained successively²⁴⁷ and how the nature is the same when all three vows are possessed together.²⁴⁸

5.2.1. How the Vows are Transformed When Obtained Successively

Seven ways of possible successions of taking up the three vows are explained here:

1. The auditor prātimokṣa, the bodhisattva vows, and the Mantra vows are taken successively.

2. The Mahāyāna prātimokṣa is taken through producing the bodhisattva's resolve, and thereafter the bodhisattva vows and the Mantra vows are obtained.

Concerning the first of these, Go-rams-pa says that the "previously obtained

²⁴⁴ For such a transformation, see fn. 249.

²⁴⁵ For the arising of the bodhisattva prātimokṣa through the bodhisattva's preliminary resolve, see fn. 250.

²⁴⁶ This passage is the same as the one quoted on p. 68. The text will be discussed in connection with the *Replies to Ngo-gro* 23 (p. 236).

²⁴⁷ But see below, fn. 257.

²⁴⁸ See the subject outline, topic 3.3.3.5.1.2.2.1. *rim gyis blangs pa'i tshé gnas 'gyur ba'i tshul*, and topic 3.3.3.5.1.2.2.2. *gsum char ldan pa'i tshé ngo bo gcig pa'i tshul*.

auditor prātimokṣa [vows] are transformed into the bodhisattva vows or the bodhisattva prātimokṣa." And he continues "for after one has completely abandoned the inferior intention to pursue peace and happiness merely [for] oneself, that very resolution to abandon that discards opposing factors becomes the nature of the bodhisattva vows."²⁴⁹

Concerning the second case, there exists no transformation of the auditor prātimokṣa, because those vows were previously not obtained. Instead the Mahāyāna prātimokṣa exists right from the beginning as the nature of the bodhisattva vows, and

²⁴⁹ This is a crucial point for the understanding of the Sa-skyapa system of the transformation and same nature of the vows. Let me first of all differentiate here between the bodhisattva vows and the bodhisattva or Mahāyāna prātimokṣa. The bodhisattva vows are self-obtained or obtained from the guru's ritual and have four roots according to the Yogācāra system as explained by Candragomin in his *Bodhisattvasaṃvaraviṃśaka*, verses 6-7, which is a condensation of the ethics chapter of the *Bodhisattvabhūmi*; or they have nineteen or twenty roots according to the Mādhyamikas. They are nineteen according to Śāntideva's *Śikṣāsamuccaya*, which quotes the *Ākāśagarbhasūtra*, and twenty according to the *Ratnakūṭa*; see Dudjom Rinpoche (1991: 235). The bodhisattva or Mahāyāna prātimokṣa is transformed from the auditor prātimokṣa or arises when the auditor prātimokṣa has not been obtained before the resolve of the bodhisattvas is produced (*General Topics* fol. 71r).

The point has been made earlier by Go-rams-pa (*General Topics*, fol. 63r) that the main element of taking up the prātimokṣa vows is renunciation, i.e. the strong desire to attain peace and happiness through freedom from saṃsāra. In the vehicle of the auditors, however, this is limited to oneself, and thus one speaks from the Mahāyāna point of view of "the inferior intention to pursue peace and happiness merely for oneself." When the resolve of the bodhisattvas, namely the wish to obtain Buddhahood for the sake of all sentient beings, is produced, there exists within that the element of abandoning one's own peace and happiness for all beings. Through that the inferior intention of the auditors is removed, and that resolution of benefitting sentient beings, of which the abandoning of killing, stealing, etc.—the rules of prātimokṣa—is an element, becomes the nature of the bodhisattva vows, since the resolution to abandon harm for beings is not only not discarded, but included within the resolve to benefit beings. It is only the narrow scope of one's own personal happiness that is replaced by the taking care of all sentient beings. Therefore there is no cause at all for a loss of the previously obtained prātimokṣa vows when the bodhisattva's resolve is produced. On the contrary, through this process of abandoning the inferior intention and the continuation of the resolution to abandon the harming of others, this prātimokṣa continues to exist as the bodhisattva prātimokṣa.

This is Go-rams-pa's explanation for transformation and same nature. The auditor prātimokṣa has been transformed into the bodhisattva or Mahāyāna prātimokṣa, and the nature of the bodhisattva vows, namely to benefit sentient beings, is the same as the nature of the [bodhisattva] prātimokṣa, since this prātimokṣa of the bodhisattvas is without the inferior intention of the auditors and endowed with the bodhisattva's resolve.

when the bodhisattva vows are actually produced, they come into being as of the same nature with the Mahāyāna prātimokṣa by way of adding a quality to what is of the same nature.²⁵⁰

3. and 4. After obtaining the auditor prātimokṣa there are two ways of taking up the Mantra vows without having taken up the bodhisattva vows. The scriptural authority for this is the "*Hundred-Thousand [Verse?] Tantra[s?]*" [*Treatise*]:

Similarly, through the particularities of mind,
the vows of the three kinds also [come to be].

If one enters into this great maṇḍala,

[they are called "vows of the Tantric adepts."]

Thus, says Go-rams-pa, "one who is established in the vows of [any of] the three families [auditors, solitary Buddhas, and bodhisattvas] [may] take the Mantra vows."²⁵¹ The "two ways of taking up the Mantra vows without having taken up the bodhisattva vows" must be then 1) the taking up of the auditor prātimokṣa and 2) the taking up of the prātimokṣa of solitary Buddhas previous to the taking up of the

²⁵⁰ According to Go-rams-pa, the Mahāyāna prātimokṣa exists right from the beginning as of the nature of the bodhisattva vows, because the bodhisattva's resolve, which precedes the actual production of the bodhisattva vows, includes the element "for the sake of all sentient beings," which in turn includes the element of abstaining from harming others, the nature of the prātimokṣa. Thus the nature of the prātimokṣa exists through the bodhisattva's resolve. This, however, is not the auditor prātimokṣa with its inferior intention, but the Mahāyāna prātimokṣa with its scope of all sentient beings, and it also does not exist with any of the particular rules of for example the monk vows, because these vows are only obtained through their particular rituals. The bodhisattva prātimokṣa that has not been transformed from the auditor prātimokṣa, exists as of the nature of the prātimokṣa, namely abstaining from harming beings through abandoning the ten non-virtues, to which the quality of the bodhisattva vow is added when that vow is actually produced, i.e. the benefitting of beings through practicing the ten virtues. This point is clearly stated for the Mantra vows (*General Topics*, fol. 73v): "And if [the lower vows] have not already been taken, one takes in the Mantra vows alone the three [vows,] namely the vow of abandoning to harm others together with its [mental] base, [the vow of] establishing the benefit for others, and the vow of endowing all these with [the means of] taking the fruit [i.e. the spiritual goal] as the path [of practice]." And on the same folio for the two lower vows: "Therefore, if one possesses the bodhisattva vows, one certainly possesses two [i.e. both the bodhisattva prātimokṣa vows and the bodhisattva vows proper] (...)."

²⁵¹ It is quite possible to read the example of the elixir in this way, since iron, etc., which refers according to Grags-pa-rgyal-mtshan (*Removing Errors Regarding the Fundamental Transgressions*, fol. 48v) to the training of the auditors, etc., is directly transformed into gold, which refers to the Mantra vows. This is also suggested through the passage of fol. 74v: "because when iron, copper and silver come into contact with the elixir that turns things into gold, they all become just a heap of gold with no differentiation of substances such as 'iron.'"

Mantra vows.²⁵²

5. After obtaining the bodhisattva vows that were not preceded by prātimokṣa, one takes up the Mantra vows. This is possible on the basis of the Madhyamaka tradition.²⁵³

6. Taking up the Mantra vows without any preceding vow.²⁵⁴ This is established through rJe-btsun bSod-nams-rtse-mo, who taught that if someone says: "Right from the beginning I request initiation in order to take the highest supramundane achievement of Mahāmudrā," then he is to be initiated from the beginning.²⁵⁵ Here the three vows arise as having the same nature of Mantra and no transformation is involved.²⁵⁶

7. After taking the Mantra vows, one obtains the lower vows afterwards. The vows arise as the nature of the Mantra vow and no transformation is involved.²⁵⁷

²⁵² For the self-ordination of solitary Buddhas, see the list on p. 47, item no. 1.

²⁵³ That it is a Madhyamaka position that one may take the bodhisattva vows without preceding prātimokṣa vows is held by the Sa-skyapas, but it is denied by the bKa'-gdams-pas and dGe-lugs-pas. For both Atiśa and Tsong-kha-pa the prātimokṣa is the basis of the bodhisattva vows; see Atiśa, *Bodhipathapradīpa*, v. 20, p. 21-1-2, and Tsong-kha-pa, *Byang chub gzhung lam*, pp. 115-5-7 ff. A short discussion of the Sa-skyapa's position may be found in Grags-pa-rgyal-mtshan, *Byang chub sems dpa'i sdom pa*, pp. 321-2-6 ff. See also, Tatz (1982: 22-23), and (1986: 17). The Sa-skyapa's position is said to have been transmitted by Kha-che Paṇ-chen, or at least he clearly differentiated the two main lineages. See, for example, Sa-pan's reply to the question of Chag-lo on this point (*Sa skya pa'i bka' 'bum*, vol. 5, pp. 409-414). Atiśa of course upheld the Yogācāra tradition in this case.

²⁵⁴ That the three vows are taken in a succession, and that the practitioner is expected to take prātimokṣa and bodhisattva vows before receiving a Tantric initiation may be the common procedure in Tibet, but it is by no means the only possible way to take initiation, as is often claimed by western authors; see for example, Samuel (1993: 206).

²⁵⁵ bSod-nams-rtse-mo, *dPal kyai rdo rje'i dkyil 'khor du slob ma smin par byed pa'i cho ga dbang gi chu bo chen mo*, no. 20.

²⁵⁶ It might be added that the lower vows exist in this case only as the nature, namely abstaining from harm through abandoning non-virtue and benefitting beings through practicing virtue. The specific rules of for example the monk vows as a part of the seven classes of prātimokṣa can only be obtained through the specific ritual of obtaining the monk vows. See fn. 250.

²⁵⁷ Even though it was taught above that a transformation is involved in the successive process of obtaining the vows, here this is not the case, because whichever vow arises after the Mantra vows are obtained is already pervaded by the means that take the fruit as the path and thus there is no need for a transformation. This last point might therefore be considered to be beyond the subject outline "The manner of transformation when the three vows are obtained successively" (topic 3.3.3.5.1.2.2.1. *rim gyis blangs pa'i tshe gnas 'gyur ba'i tshul*).

Thus, in the specific sense explained above, the vows of the auditors are "transformed" in that the inferior intention of the auditors is removed, and the resolve to benefit sentient beings, of which the abandoning of one's own peace and happiness is an element, becomes the nature of the bodhisattva vows. And both the *prātimokṣa* and the bodhisattva vows are "transformed" in that through Tantric initiation they are pervaded by the means that takes the fruit as the path. One cannot speak of any transformation in any other way than that, especially not in a general sense, since the bodhisattva vows are a particular instance of the *prātimokṣa* vows, and the Mantra vows are a particular instance of both lower vows.²⁵⁸

5.2.2. How the Nature of the Vows is the Same When All Three Vows are Possessed Together

The second part of Go-rams-pa's explanation of the meaning of the vajra utterance deals with the manner of same nature when all three vows are possessed together.²⁵⁹

In whichever of the above stated seven ways the vows are taken, if the Mantra vows are present in the mental stream of consciousness, all three vows exist as of the nature of the Mantra vows. All previous vows are transformed, and those vows that have not been obtained earlier are obtained as their natures through the Mantra vows alone, namely the nature of the *prātimokṣa* as abandoning harm for others together with its mental base and the nature of the bodhisattva vows as establishing benefit for others.

Thus when one possesses the bodhisattva vows, one certainly possesses both [bodhisattva *prātimokṣa* and bodhisattva] vows, and when one possesses the Mantra

²⁵⁸ Go-rams-pa (*General Topics*, fol. 73r) here contrasts the "universal" (*spyir*) with the "specific" (*bye brag*). The bodhisattva vows are a particular instance of the *prātimokṣa* vows, because there the defilements are to be controlled in a more specific way. While the defilements are to be removed in the *prātimokṣa* in order to secure higher types of births or to obtain liberation *for oneself*, they are much more so to be controlled in the bodhisattva's activities since he works *for the benefit of all beings*. The same principle holds for the Mantra vows: here the Mantra vows are a particular instance of both lower vows, because in taking the fruit as the path, the defilements are dealt with in a specific way. Thus, generally speaking, one cannot say that *prātimokṣa* is transformed into the bodhisattva vows, because the *prātimokṣa* still exists as something that has to be continued—it is merely the inferior volitional impulse (*dman sems*) that is abandoned and replaced by the bodhisattva's volitional impulse. Similarly, after the Mantra vows have been taken, both lower vows are to be continued after being pervaded by the means that take the fruit as the path.

²⁵⁹ See the subject outline, topic 3.3.3.5.1.2.2.2. *gsum char ldan pa'i tshe ngo bo gcig pa'i tshul*.

vows, one certainly possesses all the three vows.²⁶⁰ And if fundamental transgressions against the two lower vows are committed without a special purpose such as "for the benefit of sentient beings," then for that person there occurs the fundamental transgression against the second pledge of the Mantra consisting in going "beyond the Sugata's Mantra teachings;" and if he behaves with "disregard for the branches," i.e. through transgressions against the prātimokṣa other than the infractions entailing expulsion and through fundamental transgressions against the bodhisattva vows, then, too, there occurs for him the second fundamental transgression of the Mantra of going "beyond the Sugata's teachings;" and if he behaves without such disregard, but also without a special purpose, then he transgresses against the branch vows of the Mantra teaching, as mentioned in the passage: "Going beyond the restrictions of the two vows without a purpose ...;" and if he acts with a special purpose such as "benefiting sentient beings," he transgresses against nothing at all.²⁶¹

The following section of the *General Topics* is devoted to refuting Vibhūticandra's refutation of the Sa-skya-pa position (*Garland of Rays* 18-24; *General Topics* fols. 75v-77v). I have included Go-rams-pa's answer in my parallel translation in chapter six. The main topics have been discussed in chapters three and four.

The next section in the *General Topics* discusses the loss of vows (fol. 77v-78r). The main point here is that when a person obtains the three vows in a gradual succession and thus through their specific rituals, a vow may only be lost when the cause specific to it occurs. Thus, for example, a Tantric adept monk may lose his monk vows at death or abandon them at some time because there is a special purpose for

²⁶⁰ See Go-rams-pa, *General Topics*, p. 236, fol. 74r, l.4: "This necessity for the three vows to be possessed when Mantra vows are taken is seen to be purely the tradition explicated by rJe-btsun Sa-skya-pa and his sons, the infallible intention of Sūtra and Tantra, because ... whether the two lower vows of this [i.e. our] system precede or not, it is ascertained that the three vows are possessed when the Mantra vows are possessed, [while] it can be seen that other systems have not engaged in even a trifling analysis [of the matter]." (*sngags sdom dang ldan na sdom pa gsum dang ldan dgos pa 'di yang mdo rgyud kyi dgongs pa phyin ci ma log pa rje btsun sa skya pa yab sras kyis bkral ba'i lugs 'ba' zhiḡ tu snang ste [...] lugs gzhan dag gis ni dpyad pa tsam yang ma zhugs par snang zhiḡ 'di pa'i lugs kyi sdom pa 'og ma gnyis po sngon du song ma song gang yin kyang sngags sdom thob pa'i tshes sdom pa gsum ldan du gtan la phab pa'i phyir ro/*)

²⁶¹ The explanations in this section are clearly based on the model of obtaining the vows in a successive manner, because only when one has obtained for example a specific prātimokṣa vow through its specific ritual is it possible that there arises for example an infraction that entails expulsion from the order.

it such as the benefit of beings, but since both occurrences are not a cause for the loss of the higher vows, these are kept. Or, if he commits an infraction of the monk vows entailing expulsion without a special purpose, he loses the monk vows and the Mantra vows, because the second fundamental transgression against the Mantra vows has occurred.²⁶² He does not lose the bodhisattva vows, because no cause for their loss has occurred and they were obtained through their own specific ritual. On the other hand, if the vows were not obtained through their specific rituals, but through Tantric initiation alone, all three vows will be lost when there occurs a fundamental transgression against the Mantra vows, because the three vows are merely differentiated into three by way of their being aspects of simply the Mantra vows.

The final section of Go-rams-pa's *General Topics* (fols. 79v ff.) explains how to practice the three vows. Here it is explained that as long as there is no special purpose such as the benefit of sentient beings, the Tantric adept monk should outwardly observe the conduct of the auditors, while he inwardly rejoices on the meaning of Mantra practices such as of the *Guhyasamājatantra*. That the practice of the bodhisattva vows is not even mentioned with a single word in this section simply shows that Go-rams-pa naturally assumes them as the basis for the practices of the Tantric adept monk. The final section of this last part of the *General Topics* is a very interesting discussion of how each vow is practiced as possessing all three vows.

²⁶² The second fundamental transgression occurs when one transgresses the teachings of the Sugata (*bde bar gshegs pa'i bka' las 'das*). See *Vajrayānamūlāpatti*, P vol. 69, no. 3308, and Grags-pa-rgyal-mtshan, *Removing Errors Regarding the Fundamental Transgressions*, p. 243, fols. 39r ff.

**The Main Passages on the Three-Vow Controversy
from the Treatises of Vibhūticandra and Go-rams-pa:
Texts and Translations**

Having discussed in chapters three and four the basic aspects from Vibhūticandra's work and Go-rams-pa's replies, here I will present the relevant Tibetan texts and translations of these passages in their entirety. For the ease of the reader, I have presented the Tibetan text and the translation of the respective passage on facing pages (whenever technically possible).

Vibhūticandra's Garland of Rays 2

gling gsum skyes pa bud med kyis//
 rang nyid 'khor ba bsgal²⁶³ don du//
 mkhan slob cho ga tshad ldan las//
 ji srid 'tsho yi bar dag tu//
 gnod pa gzhi²⁶⁴ dang bcas pa la²⁶⁵//
 gtso cher lus ngag sdom pa de²⁶⁶//
 nyan thos bye brag smra ba'i sdom//

Go-rams-pa (p. 230, fol. 62v, l. 6 ff.):

de la so thar len pa'i bsam pa'i yan lag tu rang don yid byed dgos pa yang ma yin
 te/ spyir nges 'byung gi bsam pas zin na so thar du 'gyur ba las nges 'byung de la
 yang byang chub tu sems bskyed pa gsum yod pa'i phyir dang / bye brag tu smra ba
 rang gi gzhung las kyang byang chub mchog tu sems bskyed pa'i sgo nas so thar len
 pa yang yod pa'i phyir te/ sum brgya pa las/ rdzogs pa'i byang chub dpal nod dbang
 bskur yin/ zhes bshad pa'i phyir ro//

mkhan slob kyi cho ga kho na las len dgos par 'dod pa yang mi 'thad de/ rang
 byung gi bsnyen par rdzogs pa dang / ye shes khong du chud pas bsnyen par rdzogs
 pa dang / tshur shog la ma khyab pa'i phyir ro//

Vibhūticandra's Garland of Rays 3

gang zag glang po²⁶⁷ 'dra ba la//
 sdom pa khal dang 'dra ba de²⁶⁸
 thob pa'i thag pas sbrel²⁶⁹ bar gnas²⁷⁰//
 so sor thar pa'i gnas tshul yin//

²⁶³ P: 266a; General Topics: bgrol (i.e. bkrol?).

²⁶⁴ D: bzhi.

²⁶⁵ P + D: las.

²⁶⁶ General Topics: ste.

²⁶⁷ P + D: bu.

²⁶⁸ P + D: zhig.

²⁶⁹ General Topics: spre/?

²⁷⁰ D: bas na, General Topics: bar nas.

Vibhūticandra's *Garland of Rays* 2

The restraint of primarily body and speech
 with regard to²⁷¹ harm, together with the [mental] basis [for harming others],
 through the correct ritual of a preceptor (*upādhyāya*) [and] a master (*ācārya*)
 by men and women of the three continents
 for as long as they live
 in order to liberate themselves from *samsāra*²⁷²
 is [the set of] vows of the Śrāvaka-Vaibhāṣikas.

Go-rams-pa (p. 230, fol. 62v, l. 6 ff.):

"It is also not necessary that the thinking about one's benefit be an element of the motive for taking up the *prātimokṣa* [vows], because in general if one is endowed with a motive of renunciation [i.e. the intention to attain freedom] that will be *prātimokṣa*, and with that renunciation there are three kinds of production of the resolve to attain awakening [according to the three different spiritual goals aimed at]; and also because according to the *Vaibhāṣika*'s own scriptures, too, by means of the production of a resolve for supreme awakening there exists also the taking up of *prātimokṣa*. For as the *Three-Hundred [Verses]* (*gsum brgya pa*) teaches:

"One is promoted (*dbang bskur*) to the glory of perfect awakening (*rdzogs pa'i byang chub*)."

It is also not permissible to hold that [the *prātimokṣa* vows] must be taken exclusively through a ritual conducted by a preceptor [and] a master, because [this would] not include self-arising [i.e. spontaneous?] ordination, ordination by gnosis (*jñāna*)-realization, and [transmitting] ordination by uttering the words "come hither!"

Vibhūticandra's *Garland of Rays* 3

The vow, which is like a burden,
 remains on the person, who is like an ox,²⁷³
 being connected by means of the rope of possession (Skt. *prāpti*).
 That is how the *prātimokṣa* vows persist.

²⁷¹ Or, if we read *las* according to *P + D*, "restraint from."

²⁷² Or, if we read *bkrol* according to *General Topics*, "in order to be liberated themselves from *samsāra*?"

²⁷³ For *glang bu*, Jäschke has "young bullock."

Go-rams-pa (p. 230, fol. 63r l. 3):

*thob pa'i thag pas sbrel nas gnas zhes pa yang bye brag tu smra ba'i lugs kyi thob
pa khas len na/ byang sems dang sngags sdom yang thob pas sbrel nas gnas par khas
len dgos te/ gang zag gi rgyud kyi bsdus pa'i yon tan thams cad la/ gang zag de
dang sbrel byed kyi thob pa yod par 'dod pa'i phyir te/*

thob dang ma thob rang rgyud du//

rtogs²⁷⁴ pa nmams kyi

*zhes gsungs pa'i phyir/ de lta bu'i thob pa khas mi len na ni so thar gyi sdom pa la
'ang thob pa don med do//*

Vibhūticandra's Garland of Rays 5

lha mi la sogs gang rung gis//

'gro ba ma lus skyob²⁷⁵ pa'i phyir//

slob dpon cho ga tshad ldan las//

ji srid byang chub snying po'i bar//

Go-rams-pa (p. 230, fol. 63r, l. 5):

*byang chub sems kyi sdom pa thob pa'i tshul yang slob dpon gyi cho ga las thob
dgos pa'i nges pa med de/ rten gyi drung du rang nyid kyi len pa dbu ma lugs dang
/ sems tsam lugs gnyis ka las bshad pa'i phyir ro//*

*dus byang chub ma thob kyi bar du len pa spyir 'thad kyang khyed rang la nang
'gal te/ sngags sdom ni nam mkha' gnas kyi bar len par khas blangs shing / sngags
sdom dang byang sems kyi sdom pa la dus rgyun ring thung gi khyad par gang nas
kyang ma bshad pa'i phyir ro//*

Vibhūticandra's Garland of Rays 9

rang don blangs dang nag po yi//

chos bzhi la sogs²⁷⁶ zhugs pa dang //

'bras bu dag ni thob pa na//

tsha grang gru²⁷⁷ bzhin sems bskyed gtong //

²⁷⁴ Read: *gtogs*.

²⁷⁵ D: *bskyab*; *General Topics*: *skyab*(?).

²⁷⁶ *General Topics*: *log par*. This reading does not make sense, since we already have *nag po*, but not *chos bzhi log par zhugs pa* alone. *log par* appears to be a scribal abbreviation in a *dBu med* script for *la sogs*.

²⁷⁷ D: *grub*.

Go-rams-pa (p. 230, fol. 63r l. 3):

Regarding your statement "[the vow] persists (...) being connected [to the person] by means of the rope of possession," if you accept the obtainment [of the prātimokṣa vows] according to the Vaibhāṣika-system, then you must accept that the bodhisattva and Mantra vows, too, persist after being connected by possession, because with regard to all qualities that are included within the [mental] stream [of consciousness] of a person, you hold that there exists a possessing [of these qualities] that connects [them] to [this] person, for as it is said (*Abhidharmakoś'a*, 2,36 cd):

"Possession" and "Non-possession" [refer to *dharma*s]

belonging to one's [mental] stream [of consciousness] (...).

If you do not accept such a possession [in these cases] then [to speak of] a possession would be meaningless also regarding the prātimokṣa vows.

Vibhūticandra's *Garland of Rays* 5

In order to protect all beings without exception,
anyone among gods and men

[may obtain the bodhisattva vows] through the correct ritual of a master
until [they arrive at] the seat of awakening.

Go-rams-pa (p. 230, fol. 63r, l. 5):

Also [with regard to] the way of possession of the bodhisattva vows, it is not certain that one needs to possess [them] through the ritual of a master, because the taking [of these vows] in front of an image [of the Buddha, etc.,] by oneself [alone] is taught in both the Madhyamaka system and the Cittamātra system.

[To hold that] the duration [of the bodhisattva vows is that they are] taken until one attains awakening is generally correct, but there you have an internal contradiction [in your own system], because you accept that the Mantra vows are taken [for a period] as long as space remains,²⁷⁸ and nowhere at all is it taught that there is a difference of duration regarding the vows of Mantra and of the bodhisattva.

Vibhūticandra's *Garland of Rays* 9

When one strives for [one's] own selfish benefit
indulges in such things as the four black factors, or
obtains the result [i.e. awakening],

[one] abandons the production of the resolve [since these are like] heat and cold [and
like] a boat.

²⁷⁸ In *Garland of Rays* 10d: *nam mkha' ji srid gnas bar du*.

Go-rams-pa (p. 230, fol. 63r, l. 6):

*gtong tshul la rang don yid byed skyes pa na byang sems kyi sdom pa gtong ba ni
khyed rang gis khas blangs pa dang 'gal te/ byang sems kyi sdom ldan gyis so thar
gyi sdom pa blangs pa yod pa dang / so thar gyi sdom pa blangs pa'i bsam pa'i yan
lag tu rang don yid byed dgos par khas blangs pas so thar len pa'i tshe gtong bar
thal ba'i phyir ro//*

Vibhūticandra's Garland of Rays 10

*rigs bzhi la sogs bsod nams ldan//
rang gzhan dgos 'dod phun tshogs don²⁷⁹//
bla ma mtshan nyid²⁸⁰ tshad ldan las//
nam mkha' ji srid gnas bar du//*

Go-rams-pa (p. 231, fol. 63v, l. 2):

*byang chub thob pa na sems bskyed kyi sdom pa gtong bar 'dod pa ni spyir mdo
rgyud kyi lung du ma dang 'gal ba yang 'dir ma spros la/ khyed rang gis sngags
sdom byang chub thob kyang gnas par 'dod pa dang yang 'gal te/ sngags sdom gyi
dam tshig la rtsa ltung bcu bzhi las/ chos kyi rtsa ba byang chub sems/ de spong ba
ni lnga pa yin/ zhes pa dang / dpal mchog las/ byang chub sems ni dor mi bya/ zhes
pa dang / de bzhin mam snang mngon byang dang / gsang ba spyi rgyud sogs rgyud
phal che ba las sngags sdom gnas pa la byang chub kyi sems mi gtong bar dgos par
gsungs pas so//*

²⁷⁹ *General Topics: sum tshogs.* Go-rams-pa understood the theme of this section as "duration for which Mantra vows are obtained" (see below, *General Topics* fol. 63v). Thus, even if one reads *phun sum tshogs* (instead of *phun tshogs don*), one would have to understand "for the purpose of" in the second line.

²⁸⁰ *P + D: cho ga*, "from a guru's correct ritual."

Go-rams-pa (p. 230, fol. 63r, l. 6):

Regarding the way of losing [the bodhisattva vows], [what you maintain, i.e. that] the bodhisattva vows are lost if the resolve of attaining one's own benefit is produced contradicts what you yourself accept. Because [in what you accept as your own tradition, cases of] taking up the prātimokṣa vows by a possessor of bodhisattva vows do exist,²⁸¹ and it would follow that [the bodhisattva vows] would be lost at the time of taking up the prātimokṣa vows, because [you] have already accepted [in *Garland of Rays* 2, see p. 98] that the volition [to gain] one's own benefit is necessary as an element of the motive of taking up the prātimokṣa vows.

Vibhūticandra's *Garland of Rays* 10

Among the four classes, etc., possessors of the merit [obtain Mantra vows] for the sake of [producing] to perfection [everything] desired or needed [for] oneself and others,²⁸² as long as space exists, from a guru who possesses the good marks.

Go-rams-pa (p. 231, fol. 63v, l. 2):

[You] hold that the vow of [the bodhisattva's] resolve [for Buddhahood] is lost when awakening is obtained. Even though in general [this opinion of yours] contradicts many scriptures of Sūtra and Tantra, I will not go into detail here. But this also contradicts what you hold about the Mantra vows remaining even though awakening is obtained. For it is said in the *Fourteen Fundamental Transgressions Against the Pledges of the Mantra Vows*: "The root of the Dharma is [the bodhisattva's] resolve for awakening (*bodhicitta*), to give it up is the fifth [fundamental transgression]," and the *Śrīparamādyānāmamahāyānakalparāja* states: "Do not discard the [bodhisattva's] resolve for awakening (*bodhicitta*)!", and similarly it is taught in the majority of the tantras such as the *Awakening of Vairocana* and the *Sarvaṃaṇḍalasāmānyavidhiguhyatantra* that for the persistence of the Mantra vows, one must not discard the resolve for awakening.

²⁸¹ See *Garland of Rays* 27 (p. 120): *gal te rig pa 'dzin pa yis// gdul bya gang dang gang don du// 'og ma'i sdom pa blangs pa na//*: "If a Tantric adept / takes a lower vow / for the sake of any of his disciples (...)."

²⁸² In this respect, the *dharma*kāya is desirable for one's own sake and the *nirmāṇakāya* is desirable for the sake of others.

Vibhūticandra's Garland of Rays 11

*lhan skyes ye shes brda*²⁸³ *don 'phrod*²⁸⁴//
nam rtog dri ma kun las sdom//
rig 'dzin sdom pa'i thob tshul yin/²⁸⁵

Go-ram-pa (p. 231, fol. 63v, l. 4):

sngags sdom gyi ngo bo lhan skyes ye shes kyi brda don 'phrod pa la 'dod pa yang
rgyud sde 'og ma gsum la ma khyab cing bla med la yang dbang gsum pa nas ye
shes skye ba yod kyang brda don 'phrod pa bzhi pa nas yod pa sogs ni 'dir skabs ma
ying pas ma spros so//

Vibhūticandra's Garland of Rays 12

sku gsung thugs kyi rgyal mtshan la//
*rim gnyis yid bzhin nor bus*²⁸⁶ *spras*//
*'dod yon lnga yis kun*²⁸⁷ *nas mchod*//
*dgos 'dod kun gyi 'byung gnas sa*²⁸⁸//
rig 'dzin sdom pa'i gnas tshul yin//

Go-rams-pa (p. 231, fol. 63v, l. 5):

gnas tshul rim gnyis kyi nyams len la brten nas gnas dgos pa yang der ma nges tel
nyams len med kyang dbang bskur thob nas dam tshig dang sdom pa bsrungs na
sngags sdom gnas pa sus kyang 'gog mi nus pa'i phyir rol//

Vibhūticandra's Quotations from Tantras

(Vibhūticandra's Garland of Rays 14, 15, 16, 17):

[14] *rgyud ni sum brgya pa las ni*//
'khor bzhi po la rjes su gnang //
*rang gi*²⁸⁹ *bslab*²⁹⁰ *pa dang yang ldan*//
dge slong rdo rje 'dzin pa bya//
gsungs so ...

²⁸³ General Topics: *brda*'.

²⁸⁴ P + D: *spro*.

²⁸⁵ P + D: *rig 'dzin sngags kyi sdom pa'o*, "[this] is the vow of the Tantric adept."

²⁸⁶ P + D: *gyis*.

²⁸⁷ P: 266b.

²⁸⁸ General Topics: *pa*.

²⁸⁹ General Topics: *nyid*; fol. 58v.

²⁹⁰ General Topics: *slob*.

Vibhūticandra's *Garland of Rays* 11

Being introduced to the purport of connate gnosis
 one restrains [oneself] from all stains of mental construction.
 [This] is how to obtain the vows of the Tantric adept.

Go-ram-pa (p. 231, fol. 63v, l. 4):

[You] hold that being introduced to the purport of connate gnosis is the nature of the Mantra vows. But this [opinion of yours] does not include the three lower tantra classes, and in the highest [tantra class], too, the arising of gnosis exists [only] from the third initiation, while being introduced [to connate gnosis] occurs [only] from the fourth initiation [upwards], etc. But since this is not the [proper] place [for such a discussion], I will not elaborate [on it here].

Vibhūticandra's *Garland of Rays* 12

One adorns the victorious banner of body, speech and mind
 with the wish-fulfilling jewels of the two stages [of meditation],
 and worships [it] intensively with the five objects of the senses.
 It is the source of everything needed or desired.
 [This] is how the vows of the Tantric adept persist.

Go-rams-pa (p. 231, fol. 63v, l. 5):

[With regard to] how [the Mantra vows] persist, there is no certainty that [the vows] must persist based on the practice of the two stages [of meditation], because no one can deny that Mantra vows [actually] persist if, after obtaining initiation, the pledges and vows are observed, even though one does not practice [the two stages of meditation].

Vibhūticandra's Quotations from Tantras**(Vibhūticandra's *Garland of Rays* 14, 15, 16, 17):**

[14] From the *Three-Hundred [Verse] Tantra*:

[Members of] the four retinues [if] they also possess
 their [respective] trainings, are permitted.

One may [even] make a monk a tantric adept.

[15] ... *dus kyi 'khor lo las//*
rten ni gsum las dge slong mchog
'bring ni dge tshul yin par 'dod//
khyim na gnas pa tha ma'o//²⁹¹

[16] *gzhan yang rdo rje rtse mo las//*
gal te de ni rab byung na//
sdom pa gsum dang yang dag ldan//
so sor²⁹² thar dang byang chub sems//
rig 'dzin sngags kyi sdom pa'o//

[17] *gzhung 'bum pa ni²⁹³ 'di skad du//*
rdo yi rigs kyi bye brag bzhus²⁹⁴//
lcags dang zangs dang dngul du 'byung //
gser 'gyur rtsi yi dngos po yis//
kun kyang gser du 'gyur ba bzhin//
de bzhin sems kyi bye brag gis//²⁹⁵
rigs can gsum gyi sdom pa yang //
dkyil 'khor chen po 'dir zhugs na//
rdo rje 'dzin pa zhes bya'o//

Vibhūticandra's Garland of Rays 18

gsungs pa'i don la 'ga' zhig²⁹⁶ ni//
sdom gsum gnas 'gyur²⁹⁷ ngo bo gcig
gong²⁹⁸ ma'i rtsa ltung byung ba na//
'og ma gtong zhes sgrogs pa yod//

²⁹¹ This line is omitted in *P*.

²⁹² *P*: *so*.

²⁹³ *P* + *D*: *las*.

²⁹⁴ *P* + *D*: *bzhu*.

²⁹⁵ *P* + *General Topics*: om. this line.

²⁹⁶ *General Topics*: *kha cig*.

²⁹⁷ *P* + *D*: *gyur*.

²⁹⁸ *D*: p. 1403.

[15] From the (*Paramādibuddha*) *Śrī Kālacakratantra*:

Among the three bases the full monk [/nun] (*bhikṣu/bhikṣuṇī*) is the highest,
novice monks [/nuns] are maintained to be medium
[and] the householders are lowest.²⁹⁹

[16] Furthermore from the *Vajra-Peak Tantra*:

If that [person] is ordained,
he possesses the three vows properly,
[i.e.] the vows of *prātimokṣa*, of *bodhisattvas*
and of the Tantric adept.

[17] In the *Basic Hundred Thousand [Verse Tantra or Tantras?]* it is said:

Through [the process of] smelting, iron, copper and silver appear,³⁰⁰

[due to] specific particularities of ores.

Through the elixir by which [metals] turn into gold,
all are turned into gold.

Similarly, due to the particularities of mind,
the vows of the three families also [come to be].

If one enters into this great *maṇḍala*,
they are called "[vows of] the Tantric adepts."

Vibhūticandra's *Garland of Rays* 18

Regarding the meaning of these quotations, some say that

the three vows [are possessed in the way of] a transformation [and] of a same
nature.

If a fundamental transgression [against] a higher [vow] occurs,
the lower [vows are also] lost.

²⁹⁹ This line is omitted in *P*. Verses from this lost *Mūlatantra* survive only in the commentaries, in this case the *Vimalaprabhāṭika*, *Śrīmañjuśrīyaśas* (Rare Buddhist Text Series 12), Sarnath, Varanasi, 1994, vol. 2, p. 4, and p. 146.

³⁰⁰ The Tibetan text has here "*lcags dang zangs dang dngul du 'byung*," i.e. "appear as iron, copper, and silver." But cf. p. 369, where *du* is omitted (even though the meter is the same). Since the text corresponds without the *du* better with the passage "*de bzhin sems kyi bye brag gis*" below, I suppose that the original omitted the *du*.

Vibhūticandra's Garland of Rays 19

de la cung zhig brtag par bya//
*gsum ldan gsungs pa'i dgongs pa'i don*³⁰¹//
*gcig ldan gtong ba*³⁰² *dgongs pa min//*
rigs pas kyang ni shin tu gnod//

Vibhūticandra's Garland of Rays 20

bu med la smod lung ba yis//
*reg pa'i*³⁰³ *sdom pa gtong ngam ci//*
gal te gtong na ha cang thal//
*mkha' la sprin chen 'khrigs*³⁰⁴ *pa yis//*
*sa yi lo tog*³⁰⁵ *skam*³⁰⁶ *zhes sgre*³⁰⁷//

Go-rams-pa (p. 237, fol. 75v, l. 1):

gsum pa rtsod pa spang ba ni/ 'od phreng mkhan po na re/ rgyud 'bum pa'i lung gi
don la sdom gsum gnas 'gyur ngo bo gcig pa dang / gong ma'i rtsa lung byung na
'og ma gtong bar 'dod pa mi 'thad de/ ngo bo gcig na gtong ba'i tshes sdom pa gcig
po de nyid gtong bar 'gyur bas gsum ldan gyi gtong ba'i don mi gnas pa'i phyir ro//

gzhan yang bud med la smod pa'i lung ba byung bas bud med la reg pa'i sdom pa
gtong bar thal/ gong ma'i lung ba byung bas 'og ma'i sdom pa gtong ba yod pa'i
phyir/ 'dod na mkha' la sprin chen 'khrigs pas lo thog nyams par 'gyur ro//

³⁰¹ General Topics: *pa yis*.

³⁰² P + D: *spong ba'i*.

³⁰³ P + D: *srung*.

³⁰⁴ P: *'khrig*.

³⁰⁵ General Topics: *thog*.

³⁰⁶ General Topics: *skem*.

³⁰⁷ P + D: *bsgre*.

Vibhūticandra's *Garland of Rays* 19

Let us examine this a bit.

The intended meaning of "possessing the three [vows]"

is not that [the three vows] are lost [all at once because they] have the same [nature].

This is also completely disproven by [the following] reasoning:

Vibhūticandra's *Garland of Rays* 20

How could it be that the [prātimokṣa] vow of [abandoning] tactile contact [with women] is lost

by a [fundamental] transgression [against the fourteenth Tantric pledge that forbids] abusing women?

If that were a loss [of the vows], that would be an overextension [of the term "loss"].

It would be like arguing by analogy:

"By the gathering of the [rain] clouds in the sky, the crops of the earth wither."

[What follows is Go-rams-pa's summary of Vibhūticandra's criticism of what is accepted in the Sa-skya-pa tradition (*Garland of Rays* 18,19,20):]

Go-rams-pa (p. 237, fol. 75v, l. 1):

3.3.3.5.1.3. The rejection of criticisms. [Vibhūticandra], author of the *Garland of Rays*, said:

"To hold that 'the three vows are transformed [and of a] same nature' and that the lower [vows] are lost when a fundamental transgression against a superior vow occurs is not acceptable in regard to the meaning of the quotation of the *"Hundred-Thousand [Verse?] Tantra[s]?" [Treatise]*, since if they were of one nature this would imply that when the loss occurs, just this single vow [to which the three are reduced] is lost, so that meaning 'loss of the three [vows] possessed' does not hold." [*Garland of Rays* 18-19]

"Furthermore, it would follow that the vow [prohibiting] tactile contact with women would be lost because the loss of [the fourteenth Tantric pledge concerning] abusing women occurred, since [in your system] there is the loss of lower vows through the occurrence of the loss of a superior [vow]. If you hold that, [it is like saying that] the crops wither by the gathering of the rain clouds in the sky." [*Garland of Rays* 20]

[Having summarized Vibhūticandra's *Garland of Rays* 18,19, and 20, Go-rams-pa replies:]

Go-rams-pa (p. 237, fol. 75v, l. 6):

*de la sdom pa gsum ngo bo gcig yin kyang gtong ba'i tshe cig char du gtong mi dgos
te/ thun mong ma yin pa'i gtong rgyu mams kyis sdom pa de nyid gtong gi gzhan mi
gtong ba'i phyir ro// gong ma'i rtsa ltung byung na 'og ma gtong ba la skyon brjod
pa de gong ma'i rtsa ltung byung na 'og ma gtong bas khyab pa la skyon brjod pa
yin nam/ gtong srid pa la skyon brjod pa yin/ dang po liar na mi 'thad de/ de liar
du khas ma blangs pa'i phyir ro// gnyis pa liar na bud med la smod pa'i ltung bas
bud med la reg pa'i sdom pa gtong bar thal/ gong ma'i rtsa ltung byung bas 'og ma
gtong ba yod pa'i phyir te/ rtsa ltung lnga pa byung na byang sems kyi sdom pa
gtong ba'i phyir/*

Vibhūticandra's Garland of Rays 21

*gzhan yang sdom pa gtong thob rgyu//
sangs rgyas gsungs las gzhan pa yi//
cho ga mkhan du byed rigs sam//*

Go-rams-pa's summary of Vibhūticandra's Garland of Rays 21:

*gzhan yang sdom pa thob gtong gi rgyu sangs rgyas kyis gsungs pa las gzhan du
byed rigs par thal/ gong ma'i rtsa ltung 'og ma'i gtong rgyu yin pa'i phyir/*

Go-rams-pa's Answer (p. 237, fol. 76r, l. 3):

*gzhan yang sdom pa gtong thob kyi rgyu sangs rgyas kyi gsungs pa las gzhan du
byed rigs par thal/ bde gshegs bka' 'das kyi ltung ba byung bas 'og ma'i sdom pa
gtong ba yod pa'i phyir/*

Vibhūticandra's Garland of Rays 22

*gzhan yang 'gal ba ltag sprod³⁰⁸ la//
gnas gyur rtsi³⁰⁹ ba³¹⁰ rigs ma yin//
rigs na nyi ma'i dkyil 'khor 'di//³¹¹
ganga'i chu bor³¹² 'gyur³¹³ zhes bsgre³¹⁴//*

³⁰⁸ General Topics: sprad.

³⁰⁹ General Topics + D: brtsi.

³¹⁰ General Topics + D: ba'i.

³¹¹ P: 267a.

³¹² P + D: las.

³¹³ P + D: gyur.

³¹⁴ General Topics: sgre.

Go-rams-pa (p. 237, fol. 75v, l. 6):

[Answer:] Even though the three vows are of the same nature, it is not necessary that they are lost simultaneously when a loss [of a higher vow occurs], since a given vow is lost through the causes of loss specific to it, but not the other vows. This critique [of yours], against losing a lower [vow] if a fundamental transgression against a higher [vow] occurs: is it a critique against [the idea that] the occurrence of a fundamental transgression of a higher [vow] necessarily implies the loss of a lower [vow]? Or is it a critique of [the mere] possibility of [such] a loss? In the first case it is not acceptable, because [we] did not maintain [the matter] as such. In the second case, it would follow [for you] that the [lower] vow [of guarding against] tactile contact with women would be lost, through the transgression that consists in abusing a woman, because there [indeed] does exist [the case where] a loss of the lower vow through the occurrence of a fundamental transgression against a higher [vow takes place], since the bodhisattva vows are lost when a fundamental transgression [against the] fifth [Tantric] pledge occurs.

Vibhūticandra's *Garland of Rays* 21

Furthermore, [regarding] the causes of losing and obtaining the vows, would it be acceptable to act as a practitioner of rituals that are different from the Buddha's teachings?

Go-rams-pa's summary of Vibhūticandra's *Garland of Rays* 21:

"Furthermore it would follow that it would be possible for the causes of obtaining and abandoning the vows to function differently from how the Buddha taught [them], because [in your system] the fundamental transgression against a superior [vow] is the cause [of] loss of a lower [vow]."

Go-rams-pa's Answer (p. 237, fol. 76r, l. 3):

[Answer:] Furthermore it would follow [for you] that it is possible to act differently from the teachings of the Buddha [in regard to] the cause of abandoning and obtaining the vows, because there does exist the loss of a lower vow through the occurrence of a [fundamental] transgression that consists in "transgressing the teachings of the Sugata."

Vibhūticandra's *Garland of Rays* 22

Furthermore, the consideration [of] a transformation between [things] diametrically incompatible is impossible. If it were possible, then one might argue by analogy that this sun could transform itself into the water of the river Ganga.

Go-rams-pa's Summary:

*gzhan yang sdom pa 'og ma gong mar gnas 'gyur ba mi 'thad par thal/ gong 'og
'gal ba'i phyir/ 'gal yang gnas 'gyur na nyi ma'i dkyil 'khor 'di gang ga'i chu bor
gnas 'gyur bar thal lo//*

Go-rams-pa's Answer (p. 237, fol. 76r, l. 4):

*'gal ba la gnas 'gyur brtsi ba mi 'thad ces smra ba ni gnas 'gyur gyi don cung cad
tsam yang ma rtogs pa ste/ sems can gyi shes pa sangs rgyas kyi ye shes su gnas
'gyur ba med par thal/ de gnyis 'gal ba'i phyir/*

*gzhan yang khyed kyi lugs la gnas 'gyur gtan mi srid par thal/ 'gal ba la gnas
'gyur mi srid par khas blangs shing mi 'gal ba la gnas 'gyur gyi don med pa'i phyir/*

*gzhan yang 'gal ba la gnas 'gyur mi srid pa'i don 'di la so so skye bo'i shes pa
'phags pa'i shes par gnas 'gyur ba mi srid par thal ba dang / theg dman gyi rtogs
pa theg chen gyi rtogs par gnas 'gyur ba mi srid pa sogs ji srid lce'i dbang po ngal
bar ma gyur gyi bar du brjod par bya'o//*

Vibhūticandra's Garland of Rays 23

des na rang gis brtags³¹⁵ nas³¹⁶ blang //
sdom gsum ldan zhes gsungs pa de//
shes rgyud gcig la rtsi³¹⁷ na ni//
gcig tu 'dod kyang gsum du 'gyur//
ldan chos rdzas gzhan tha dad phyir//

Vibhūticandra's Garland of Rays 24

'on te snga phyi la rtsi³¹⁸ na//
gsum ldan zhes pa'i sgra don med//
'on te sdom gsum rdzas gcig tu//
shes rgyud gcig la ldan zhe na//
gtong thob cho ga gcig tu 'gyur//

³¹⁵ *General Topics*: fol. 59r.

³¹⁶ *P + D*: la

³¹⁷ *D + General Topics*: brtsi.

³¹⁸ *D + General Topics*: brtsi.

Go-rams-pa's Summary:

"Furthermore it would follow that it would not be acceptable for a lower vow to transform into a higher vow, because higher and lower [vows] are incompatible. If they could transform [from one to the other] even though they are incompatible, it would follow that this sun could transform itself into the river Ganga."

Go-rams-pa's Answer (p. 237, fol. 76r, l. 4):

[Your] statement that it is not acceptable to consider transformations [occurring between] incompatible things, [shows that] you have not understood in the least the meaning of "transformation;" [if we understand it in your way], it would follow that there could be no transformation of a sentient being's consciousness into the gnosis of the Buddha, because these two are incompatible.

Furthermore it would follow that transformation would be [utterly] impossible in your tradition, because [you] hold that transformation is impossible between two incompatible entities and [because] transformation between [something] not incompatible is meaningless.

Furthermore, with regard to the matter that transformation between two incompatible entities is impossible, it would follow that a transformation of the consciousness of an ordinary sentient being into the consciousness of a saint (*ārya*) would be impossible, and it would be impossible that the realization of the lower vehicle transforms into the realization of the great vehicle, etc.—such cases could be enumerated until one's tongue became exhausted.

Vibhūticandra's *Garland of Rays* 23

Thus, one [should] accept [what is correct] after [due] examination!

If you consider that the [Buddha's] mentioning of "possessing the three vows" [was meant] for a single mental stream of consciousness, even though you hold that [the mental stream] is a single one, it will become three [separate ones],

because the properties possessed are distinct entities of their own.

Vibhūticandra's *Garland of Rays* 24

Whereas if you consider [it to refer to] earlier and later [moments in the stream of consciousness],

then the expression "possessing three" would be meaningless.

Whereas if you say that the three vows are possessed

as a single entity within a single mental stream of consciousness,

[it would follow that] the procedures for losing and obtaining [the vows] would become one.

Go-rams-pa's Summary:

*gzhan yang sdom pa gsum ldan pa'i don shes rgyud gcig la dus mnyam du ldan na
ldan gzhi'i shes rgyud de nyid rdzas tha dad pa gsum du thal/ ldan chos sdom pa
gsum po rdzas tha dad yin pa'i phyir/ gal te shes rgyud snga phyi la brtsi na sdom
pa gsum dang ldan pa'i don mi gnas par thal/ gang rung cig skyas pa'i tshe gcig
'gags nas med pa'i phyir ro// 'on te sdom pa gsum shes rgyud gcig la rdzas gcig tu
ldan na gtong thob cho ga gcig tu 'gyur ro// zhes zer ro//*

Go-rams-pa's Answer (p. 237, fol. 76r, l. 6):

*yang ldan gzhi'i kun gzhi'i mam shes de rdzas tha dad pa gsum du thal/ ldan chos
sdom pa gsum gyi bag chags rdzas tha dad yin pa'i phyir/*

*gal te kun gzhi shes rgyud snga phyi la brtsi'o snyam na 'o na gsum ldan gyi
don med par thal/ gang rung gcig skyas pa'i tshe cig shog³¹⁹ 'gags pa'i phyir[/]*

*'on te kun gzhi la sdom gsum gyi bag chags ngo bo gcig tu ldan no zhe na/ 'o
na gtong thob kyi cho ga gcig tu 'gyur ro zhes brjod na thal 'gyur gsum gyi 'khor
gsum khas blangs te/ gzhan la skyon de ltar du brjod cing / rang nyid kyis kun gzhi
la sdom gsum bag la nyal gyi tshul du ldan pa dang / kun gzhi bag chags mang po
can/ yin kyang shes rgyud tha dad med/ ces khas blangs pa'i phyir ro//*

Vibhūticandra's Garland of Rays 25

des na so thar sdom pa yis//³²⁰

byang chub mchog gi sems blangs nas³²¹//

dang po de ni kun gzhi la//

bag la nyal ba'i tshul du gnas//

rig 'dzin sdom pa thob pa na//

'og ma gnyis ka bag la nyal//

³¹⁹ Read: *shos*.

³²⁰ P + D: *so thar ldan pas*.

³²¹ P + D: *na*.

Go-rams-pa's Summary:

"Furthermore, if the meaning of "possessing three vows" [is that they are] possessed at the same time in a single mental stream of consciousness, it would follow that the mental stream itself that is the basis of possession would [consist of] three distinct entities, because the property possessed [by the entity], [i.e.] the three vows, [consist of three] distinct entities. If you consider [the vow to be possessed by] earlier and later [states of] the mental stream of consciousness, it would follow that the meaning of "possessing three vows" would not hold, because when any single one [of the three vows] is produced, [the preceding] one would be cancelled out and annihilated. However, if the three vows were possessed in a single stream of consciousness as a single entity, the procedure of losing and obtaining [for all the three vows] would become one."

Go-rams-pa's Answer (p. 237, fol. 76r, l. 6):

Furthermore, it follows that the basic consciousness, the substratum that possesses [the property], would [consist of] three distinct entities, because [you hold that] the propensities of the three vows—i.e. the properties possessed—are distinct entities.

If [you object]: "Earlier and later [moments in] the mental stream of consciousness [of] the basic consciousness should be taken into consideration ..., " then in that case it would [indeed] follow [for you] that "possessing three" would be meaningless, because when any [further vow] arises, the other [earlier vow] ceases [according to you]!

You may object: "But the propensities of the three vows in the basic consciousness are possessed as the same nature!" But in this case if you say that the procedures for obtaining and losing [the vows] would become one, you accept [defeat because of being involved in] the three spheres of the three consequences (*thal 'gyur gsum gyi 'khor gsum*). Because while you criticize others in this way, you yourself at the same time have asserted that the three vows are possessed within the basic consciousness in a dormant way, and that even though the basic consciousness has many propensities, it does not have separate mental streams of consciousness.

Vibhūticandra's *Garland of Rays* 25

Therefore, after one takes [the vow of] the resolve for supreme awakening, with possession of the *prātimokṣa* vows the first [vow, i.e. the *prātimokṣa* vow], remains in the basic consciousness in a dormant way.

If one takes the vows of a Tantric adept, both former [vows] will [remain] dormant.

Vibhūticandra's *Garland of Rays* 26

*dper na mkha' la rgyu skar*³²² *shar//*
cung zad snang bar byas gyur mod//
*zla ba'i dkyil 'khor shar gyur na*³²³ *//*
*skar 'od nyams mod 'jig rten snang*³²⁴ *//*
*rita bdun tsha zer shar*³²⁵ *ba na//*
zla ba'i 'od nyams 'jig rten gsal//

Go-rams-pa (p. 231, fol. 63v, l. 6):

da ni gsum ldan gyi 'dod tshul la dpyad par bya ste/ gong ma thob pa'i tshe 'og ma mngon gyur du med par bag la nyal du 'dod na gong 'og 'dzin stangs 'gal bas mngon gyur du mi 'byung ba yin nam tsha reg dang grang reg ltar lhan cig mi gnas 'gal yin pas mngon gyur du mi 'byung ba yin/

dang po ltar na 'dzin stangs 'gal ba ni shin tu mi 'thad de/ byang sems kyi sdom pa ni gzhan la phan pa bsgrub pa yin la/ so thar gyi sdom pa ni gzhan la gnod pa spong ba'i bsam pa can yin pa gang zhig gzhan la phan pa sgrub pa la gzhan la gnod pa spong bas khyab par khyed rang gis kyang khas blangs zhing don la yang gnas pa'i phyir ro//

gnyis pa ltar na/ gsum ldan gyi gang zag mi srid par thal ba las de ltar du yang mi 'thad de/ gong du drangs pa'i rgyud bzhi las gsum ldan gsungs pa'i phyir ro//
gzhan yang byang sems kyi sdom pa ldan pa la so thar gyi sdom pa mngon gyur du mi 'byung na nyes spyod spong ba'i tshul khrims kyang mngon gyur du med par 'dod dgos te/ nyes spyod spong ba'i tshul khrims ni thogs med dang / mar me mdzad dang / gnas brtan byang bzang rnams kyis so thar ris bdun la bshad pa'i phyir ro//

sngags sdom dang ldan pa'i gang zag la byang sems kyi sdom pa smon 'jug gnyis po mngon gyur du 'byung mi srid na gang zag des rdzogs pa'i byang chub sgrub pa ni shin tu ngo mtshar te/ byang chub sems dpa'i spyod pa tshul khrims rnam pa gsum la ma bslabs par rdzogs pa'i byang chub bsgrub pa ni khyod kho na las thos kyi/ sangs rgyas sras dang bcas pa rnams kyis ma gsungs so//

³²² P + D: *skar ma*.

³²³ P + D: *ba'i tshe*.

³²⁴ P + D: *gsal*.

³²⁵ P + D: *byung*.

Vibhūticandra's *Garland of Rays* 26

[26] For example, the arising [of] stars in the sky
may illuminate [the world] a little bit.

But if the moon arises,

the light of the stars vanishes [and] it illuminates the world.

When the sun shines forth,³²⁶

the moonlight vanishes [and] they illuminate the world.

Go-rams-pa (p. 231, fol. 63v, l. 6):

Now let us examine [Vibhūticandra's] theory of "possessing three [vows]." If you hold that the lower [vows] are dormant (*bag la nyal*) in an unmanifested way (*mngon gyur du med pa*) when higher [vows] are taken, then let me ask: [Do the lower vows] not arise as something manifest because the mode of apprehending the higher [and] lower [vows] are incompatible? Or is it because their remaining together simultaneously is incompatible, just as the tactile sensations of heat and cold [cannot arise simultaneously]?

If it is as in the first [case], i.e. incompatibilities [of] the apprehending mode, then it is utterly incorrect, because the bodhisattva vows are for achieving benefits for others, and the prātimokṣa vows possess the volitional impulse of abandoning harm for others, and [regarding these] not only have you accepted that achieving benefit for others is pervaded by avoiding harm for others, but also this is actually the case!

If it is as in the second [case], it would follow that a person possessing three [vows] would be impossible and consequently this would also be incorrect as such, because "possessing three [vows]" is taught in the four tantras quoted above.

Furthermore, if [you hold that] the prātimokṣa vows are unmanifested in someone who possesses the bodhisattva vows, [you] have to accept that the morality of abandoning [morally] wrong behaviour would also be unmanifested [in him], because the morality of avoiding [morally] wrong behaviour was taught by Asaṅga, Dīpaṅkara and the elder Bodhibhadra as the seven classes of prātimokṣa.

If the bodhisattva vow in its two main aspects of the preliminary resolve and the actualization of the resolve [for awakening] (*smon 'jug [pa'i sems bskyed]*, Skt. *praṇidhi*, *prasthāna*) would not directly manifest in a person who possesses the Mantra vows, the achievement of complete awakening by such a person would be a great wonder. That complete awakening can be achieved without training in the threefold morality of the bodhisattva's conduct I hear from you alone, but it has not been taught by the Buddhas and bodhisattvas.

³²⁶ *ra bdun* = *saptāśva*, "having seven horses," i.e. the sun. The seven horses symbolize the seven days of the week; see Monier-Williams 1150, col. 2. *tsha zer can* = *tigmāṃśu*, "whose rays are hot," i.e. the sun; *ibid.* 446, col. 2.

gzhan yang rdo rje mkha' 'gro dang / saṃ bhu ṭi dang / rdo rje rtse mo las/ ji ltar
 dus gsum mgon po mams// zhes sogs smon pa sems bskyed dang 'jug pa sems bskyed
 gnyis rigs lnga spyi'i dam tshig tu gsungs pas rgyud sde gong ma gnyis kyi rdo rje
 slob dpon gyi dbang thob pa'i gang zag la rigs lnga so so'i dam tshig mngon gyur
 du yod cing spyi'i dam tshig bag la nyal ba'i tshul du yod par khas len dgos par
 'gyur ro//

gzhan yang gsum ldan gyi dge slong gi rgyud kyi kun gzhi la gnas pa'i dge
 slong gi sdom pa'i bag chags de so thar gyi sdom pa yin nam ma yin gal te yin na
 dge slong de shi 'phos pa'i tshe dge slong gi sdom pa'i bag chags gtong bar thal/
 de'i tshe so thar gtong ba'i phyir/ rtags khyab khas/ 'dod na dge slong shi 'phos pa'i
 rdzas [rjes!] su shes rgyud la dge slong gi bag chags med par 'gyur cing / de 'ang
 'dod na thos bsam sgom pa sogs la 'ang mshungs pas gzhi tshul khrims la gnas nas
 thos bsam sgom gsum byed pa la dgos pa med par 'gyur ro// zhes sogs smras na
 cang mi gsung bar 'gyur ro// gal te kun gzhi'i steng gi so thar gyi sdom pa'i bag
 chags de nyid so thar gyi sdom pa ma yin na gang zag de'i rgyud la so thar gyi
 sdom pa gtan med par 'gyur te bag chags de las gzhan pa'i so thar gyi sdom pa
 gzhan med par khas blangs pa'i phyir ro//

Furthermore, since [with] the words "Just as the protectors of the three times..."³²⁷ etc. the production of the preliminary resolve and the actualization of the resolve [for awakening] is taught in the *Vajradāka-* and *Saṃpuṭatantra*³²⁸ and in the *Vajra-Peak Tantra*, as the general pledges of the five [Buddha]-families, [you] would have to accept that for a person who has taken the initiation of the vajra master of the two higher classes of tantras the respective pledges of the five families do exist as something manifest, and the common pledges do exist in an unmanifested way.

Furthermore, are the propensities (*bag chags*) of the monk vows that remain in the basic consciousness of the mental stream of consciousness of a monk who is in possession of the three [vows] prātimokṣa vows or not? If they are [prātimokṣa vows], it would follow that this monk will lose the propensities of monk vows at the time of the death, since prātimokṣa is lost at that time. But you have already accepted the reason and the logical pervasion.³²⁹ If you accept that consequence (*prasaṅga*), [it would follow that] the propensities of the monk [vows] would not exist in [his] mental stream of consciousness after³³⁰ the monk has died. And if you accept that, too, it would not be necessary to practice learning, reflection or meditation basing oneself on keeping the morality, because learning, reflection, meditation and so forth are similar [i.e. their propensities would vanish at the time of death]. If I argue against you in this way, you will become silent.³³¹ If those very propensities of the prātimokṣa vows that [remain] in the basic consciousness are *not* the prātimokṣa vows, then it would be the case that the prātimokṣa vows in the mental stream of consciousness of such a person never existed at all, because you maintain that there are no other prātimokṣa vows besides these propensities.³³²

³²⁷ *Vajradākatantra*, paṭala 12, *Saṃpuṭatantra*, kalpa 3, prakāṣa 31. These tantras are still unpublished. T. Skorupski (1983) *Sarvadurgatipariśodhana Tantra*, p. 146, Sanskrit and Tibetan texts with introduction, English translation and notes, Delhi.

³²⁸ *Śrīvajradākamahātantrarāja* (P vol. 2, no. 18)? *Saṃpuṭanāmamahātantra* (P vol. 3, no. 26).

³²⁹ *Garland of Rays* 2.

³³⁰ Read *rjes su* instead of *rdzas su*.

³³¹ Which is the goal of *prasaṅga* argumentation. Since he is referring to his opponent, one would rather expect *cang mi smra bar 'gyur ro*.

³³² *Garland of Rays* 3.

*nyi zla skar gsum gyi dpe dang sbyar ba yang mi 'thad de/ rang gi rtog pas sbyar
ba tsam yin gyi lung nram par dag pa gang nas kyang ma bshad pa'i phyir ro//*

Vibhūticandra's Garland of Rays 27

*gal te rig pa 'dzin pa yis//
gdul bya gang dang³³³ gang don du//
'og ma'i sdom pa blangs pa na//
gong ma zil gyis mi gnon³³⁴ mod//
sdom pa cho gas thob³³⁵ pa yin//
mkha' la nyi ma gnas gyur mod//
zla³³⁶ skar 'char ba bkag pa med//
des na gtong thob gnas pa'i tshul//³³⁷
rang rang cho ga bzhin du gnas//*

Go-ram-pa (p. 231, fol. 64v, l. 5):

*sngags sdom la gnas pa'i gang zag gis gzhan don du sdom pa 'og ma len pa don la
gnas kyang khyed la 'gal te/ so thar len pa'i bsam pa'i yan lag tu rang don yid byed
dgos par khas blangs pa'i phyir ro//*

Vibhūticandra's Garland of Rays 28

*kun gzhi bag chags mang po can//
yin kyang³³⁸ shes rgyud tha dad med//
'og ma'i ltung ba byung ba na³³⁹//
gong ma ji srid gnas kyi bar//
nram par smin pas gos pa med//
dper na zla skar nub gyur mod//
nyi³⁴⁰ ma mkha' la gnas kyi bar//
'od ni chung zad 'grib pa med//³⁴¹*

It is not proper to apply the example of the three, the sun, moon and stars, since

³³³ P + D: *la*.

³³⁴ P + D: *non*.

³³⁵ P + D: *'thob*.

³³⁶ P: *rgyu*.

³³⁷ P + D: *des thob tshul dang gtong ba'i tshul*.

³³⁸ P + D: *yang*.

³³⁹ P + D: *gyur mod*.

³⁴⁰ P: *ti*.

³⁴¹ P + D: *cung zad 'grib par byas pa med*.

this is just something made up through [your] own ideas, but it is not taught in any of the correct [authoritative] scriptures.

Vibhūticandra's *Garland of Rays* 27

If a Tantric adept
takes a lower vow
for the sake of any of his trainees,
it will not outshine the superior [vow].
The [lower] vow is taken by [its specific] ritual.
[Thus] the sun remains in the sky,
but this is not something that prevents the moon and stars from arising.
Thus the ways of obtaining, losing and maintaining [the vows]
continue according to their respective procedures.

Go-ram-pa (p. 231, fol. 64v, l. 5):

It is in fact so that a person who dwells in the Mantra vows [may] take lower vows for the sake of benefitting others, but this contradicts your [own thesis], because [you] have asserted that it is necessary to conceive [the resolve to attain] one's own benefit as a part of the motive for taking up prātimokṣa vows.

Vibhūticandra's *Garland of Rays* 28

[28] The basic consciousness has many propensities,
but there are no separate mental streams of consciousness.
If a transgression against a lower [vow] occurs,
the higher [vows] will not be stained by the karmic result [of that transgression]
as long as [they] continue to exist.
For example, the moon and stars may go down,
but as long as the sun remains in the sky
its light is not the least obscured.

Go-ram-pa (p. 231, fol. 64v, l. 6):

*gong ma gnas kyi bar du 'og ma'i ltung ba byung yang nam smin gyis mi gos par
'dod pa la yang brjod par bya ste/ sngags sdom la gnas pa'i gang zag la byang sems
kyi sdom pa la ltos pa'i rtsa ltung byung na byang sems kyi sdom pa nyams la de
nyams na 'gro ba kun bslus pas*

gnod pa shin tu mang ba dang //

rgyal dang de sras thams cad dang //

'gro ba kun gyis shin tu khrel//

pham pa'i lci yang bye brag gis//

bskal pa'i grangs bzhin dmyal bar gnas//

ces khas blangs pa dang ci'i phyir mi 'gal/

*gal te nyes dmigs de dag ni sdom pa re re dang ldan pa nyams pa'i dbang du
byas kyi³⁴² gong ma dang ldan pa'i dbang du byas pa ma yin no snyam na de shin
tu mi 'thad de/ gong ma dang ldan pa'i tshe yang sdom pa gsum char gyi gtong thob
gnas pa'i tshul thams cad rang rang gi cho ga bzhin gnas par khas blangs pa dang
'gal ba'i phyir ro//*

Vibhūticandra's Garland of Rays 29

gong ma'i ltung ba byung ba na//

'og ma ji snyed³⁴³ gnas³⁴⁴ gyur mod³⁴⁵ //

cung zad phan gyis³⁴⁶ skyob mi nus//

rta bdun tsha zer³⁴⁷ nub pa³⁴⁸ na//

zla skar gnas kyang mun pa'i bag

Go-rams-pa (p. 231, fol. 65r l. 3):

*gong ma'i ltung ba byung na 'og ma gnas kyang skyob mi nus pa la yang dpyad par
bya ste/ byang sems las dang po pa 'ga' zhig byang sems kyi sdom pa btang nas so
thar la gnas te nyan thos kyi byang chub thob pa med par thal/ gong ma'i ltung ba
byung na 'og mas skyob mi nus pa'i phyir/ 'dod na byang sems rigs ma nges pa
rnams theg dman du 'jug pa med par 'gyur ro//*

³⁴² Read: *kyi*.

³⁴³ *P + D: ltar.*

³⁴⁴ *General Topics: fol. 59v.*

³⁴⁵ *P + D: kyang.*

³⁴⁶ *P + D: gyi.*

³⁴⁷ *General Topics: rta bdun rgyal po. Cf. fn. 326.*

³⁴⁸ *P: byung ba.*

Go-ram-pa (p. 231, fol. 64v, l. 6):

I will also comment on your opinion that as long as [one] maintains the higher [vows] one will not be stained through the karmic result even though a transgression of the lower [vows] has occurred. If a fundamental transgression against the bodhisattva vows occurs for a person who maintains the Mantra vows, the bodhisattva vows are damaged, and if they are damaged, through letting down all beings, how does this not contradict what you have asserted [later in *Garland of Rays* 40, with regard to losing the bodhisattva vows, namely]:

"there will be very great harm and the Buddha,
all bodhisattvas and all beings will strongly disapprove;
according to the particular weight of the infraction entailing expulsion
one will remain in hell the corresponding number of aeons (*kalpa*)."

If [you] object regarding these defects [as enumerated in *Garland of Rays* 39-41] that you were referring to damage regarding to the possession of each vow individually, but (*kyi*) were not referring to the possession of the highest vow [i.e. the possession of all three vows?], this is absolutely wrong, because that would be in contradiction with what [you] accepted [in *Garland of Rays* 27, i.e.] that at the time of possessing higher [vows], too, all the ways of losing, obtaining and continuing for all three vows remain according to their respective procedures.

Vibhūticandra's *Garland of Rays* 29

When a transgression against a higher [vow] occurs,
the lower [vows] may continue [intact],
but through [that] slight benefit they cannot protect [one].

When the sun sets,
the moon and stars [might] remain, but their nature is darkness.³⁴⁹

Go-rams-pa (p. 231, fol. 65r l. 3):

I will also analyze [your statement that] even though the lower [vows] continue [intact], they cannot protect if a transgression of a higher [vow] occurs. It would follow that any beginner bodhisattvas remaining in the *prātimokṣa* would not obtain the auditor's awakening after losing the bodhisattva vows, because [you said that] if a transgression against the higher [vows] occurs, the lower [vows] cannot protect. If you hold that, then there would not be any entering into the Hīnayāna for those of whom it is not [yet] certain whether they are of the bodhisattvas family.

³⁴⁹ I can only understand *bag* here as it is defined in the *Dag yig gсар bsgrigs* (dictionary): *mal ma'am gshis*.

*gghan yang dpe dang bsgrigs pa ltar na sngags sdom la ltos pa'i rtsa ltung byung
ba'i byang sems kyi sdom pas skyob mi nus pa ltar sngags sdom ma blangs pa'i
byang sems kyi sdom pas kyang skyob mi nus par thal/ nyi ma nub na zla skar gnas
kyang mun pa'i bag yin pa ltar nyi ma ma shar na zla skar gnas kyang mun pa'i bag
yin pa'i phyir/ khyab pa khas blangs so// 'dod na byang sems sdom pa'i phan yon
ni/ gnas skabs bde mang mihar thug ni// ring mo zhig nas rdzogs sangs rgyas// zhes
bshad pa dang dngos su 'gal lo//*

Vibhūticandra's Garland of Rays 30

*gser 'gyur rtsi zhes gsungs pa'i don//
zil gnon yon³⁵⁰ tan mchog la dgongs//
zla skar gnyis kyi 'od zer yang //
nyi ma'i dkyil 'khor gnas kyi bar//
nyi ma'i 'od ces kun gyis sgrogs//*

Go-rams-pa (p. 232, fol. 65v, l. 1):

*gser 'gyur gyi rtsi'i dpes gsungs pa'i don gong mas 'og ma zil gyis gnon par 'dod
pa yang mi 'thad de/
gser 'gyur rtsi yi dngos po yis//
kun kyang gser du 'gyur ba ltar//
zhes pas sdom pa 'og ma gong mar 'gyur ba la bshad dgos pa'i phyir ro//*

Vibhūticandra's Garland of Rays 31-33

*gghan yang kha cig sdom pa gsum//
sa chu gru 'dra gzhi rten du//
'dod ces sgrog³⁵¹ pa 'khrul pa yin//
de yi 'khrul gzhi kho bos bstan//*

³⁵⁰ P: 267b.

³⁵¹ P + D: sgrogs.

Furthermore it would follow that according to what you have laid out in [your] example, the bodhisattva vows of [someone who has] not taken the Mantra vows could also not protect [one], like the bodhisattva vows [held by someone to whom] a fundamental transgression against the Mantra vows has occurred cannot protect [one]. Because if the sun has gone down, the moon and stars remain [in the sky], but their nature is darkness, as [in your own statement where] moon and stars remain if the sun has not risen, but their nature is darkness. [You have] accepted this pervasion. If you hold this, it will directly contradict your [own] explanation of the benefits of [properly maintaining] the bodhisattva vows [*Garland of Rays* 43]:

"Temporarily much happiness,
and finally, after some time, perfect awakening."

Vibhūticandra's *Garland of Rays* 30

[Regarding] the meaning of the [Buddha's] explanation "elixir that turns [metals] into gold,"³⁵²

this had in mind preeminence [and] supreme qualities [of the vows of a Tantric adept].

As long as the sun remains [in the sky],
the radiance of the moon and stars
is referred to as "sunlight" by everyone.

Go-rams-pa (p. 232, fol. 65v, l. 1):

To hold that the meaning expressed through the example of the elixir that transforms [metals] into gold is that the lower [vows] are outshone by the higher [ones] is also not acceptable. Because [the quotation from the *Basic Hundred-Thousand [Verse Tantra or Tantras?] (gzhung 'bum)*]:

"Just as through the substance of the elixir that turns [substances] into gold,
everything is turned into gold ..."

must be explained as the transformation of the lower [vows] into higher [ones].

Vibhūticandra's *Garland of Rays* 31-33

Furthermore, it is an error to proclaim as some do
that they hold the three vows
to be a basis [or] support like earth, water and a ship.
I will demonstrate why that is wrong.

³⁵² From the above quoted passage of the *Hundred Thousand [Verse?] Tantra[s?]* [*Treatise*], see p. 107.

mkhas pa chen po rta dbyangs dang //
slob dpon sgeg pa'³⁵³ rdo rje sogs//
sdom gsum de nyid rig pa yis//
don de ldog pa du mas phye³⁵⁴//
khyab bya khyab byed gsungs pa la//
rten dang britten par byed na 'khrul//

byang sems sdom pa'i don gcig la//
phan pa³⁵⁵ sgrub pa'i ldog pa dang //
gnod spong ldog³⁵⁶ pa gnyis 'jug³⁵⁷ mod//
phan sgrub ngo bo gang yin la//
gnod pa spong bas³⁵⁸ khyab pas na//
khyab bya khyab byed rdzas gcig tu//
ba lang rdzis kyang mi go'am//

Go-rams-pa (p. 232, fol. 65v, l. 2):

gzhan lugs kyi gsum ldan dgag pa la 'ang brjod par bya ste/ slob dpon gnyis kyis
sdom pa 'og ma gnyis khyab bya khyab byed du gsungs pa de khyed rang gis kyang
khas len nam mi len/ mi len na khyab bya khyab byed yin pa'i rtags kyis gzhan gyis
rten dang britten par 'dod pa dgag mi nus te/ gtan tshigs rgol phyir rgol gang gis
kyang khas ma blangs pa'i phyir ro// gal te khas len na sdom pa gnyis po rdzas gcig
tu thal/ khyab bya khyab byed yin pa'i phyir/ 'khor gsum khas blangs so//

Vibhūticandra's Garland of Rays 34

des na rig 'dzin gcig pu la//
gsum ldan sbyor bar³⁵⁹ 'thad ma yin//
gal te 'thad na 'og ma gnyis//
cho ga mi dgos skye bar 'gyur//
sdom gsum cho ga thun mong du//
thub pa chen pos gsungs pa med//

³⁵³ P: *pa*.

³⁵⁴ General Topics: *ma byas*.

³⁵⁵ P + D: *sgrub*.

³⁵⁶ P + D: *dgag*.

³⁵⁷ P + D: *'dug*.

³⁵⁸ P + D: *ba*.

³⁵⁹ P + D: *ba*.

Masters such as the great scholar Aśvaghōṣa and the master Vilāsavajra through their knowledge of the three vows as they are have analyzed the matter through many aspects. It is an error if you apply the [concepts] "support" and "the supported" to what they taught as "that which is pervaded" and "the pervader."

Both [aspects, i.e.] the aspect of the realization of benefit and the aspect of elimination of harm, come into play regarding the single matter of the bodhisattva vow. Because that which is its nature—the accomplishment of benefit—is pervaded by abandoning harm [to others], would not even a cowherd understand the pervaded and the pervading as being of one substance?³⁶⁰

Go-rams-pa (p. 232, fol. 65v, l. 2):

I will also comment [regarding your] refutation of the "possession [of the] three [vows]" of other systems. Do you or do you not accept what the two masters [i.e. Aśvaghōṣa and Vilāsavajra] taught regarding the two lower vows as "pervaded" and "the pervader?" If you do not accept it, you cannot refute what others [i.e. the bKa'-gdams-pas] hold as "the support and the supported" by the logical sign (*rtags*) of "the pervaded" and "the pervader," because you oppose the reason [and] because neither the proponent nor the respondent [i.e. neither party of the debate] has accepted the reason. But if you do accept it, it would follow that the two [lower] vows are of a single entity, because they exist as "pervaded and the pervader." You have involved yourself in a total defeat.³⁶¹

Vibhūticandra's *Garland of Rays* 34

Therefore it is not acceptable to apply the possession of the three [vows] to a single Tantric adept.

If that would be acceptable, the two lower [vows] would arise without the need of any specific ritual.

There is no [passage where] the rituals of the three vows were taught by the [Great Sage] as existing in common [for all three].

³⁶⁰ The versions of *D* and *P* are completely incomprehensible.

³⁶¹ Literally: "You have accepted the three circles."

Go-rams-pa (p. 232, fol. 65v, l. 4):

*rig 'dzin gyi sdom pa kho na la gsum ldan sbyor na 'og ma gnyis cho ga la mi ltos
par skye bar 'gyur ro// zhes pa yang gyi na ste/ 'o na theg pa gsum gyi rtogs rigs
'og ma gnyis sgom mi dgos par skye bar thal/ theg chen gyi mihong lam gcig pu la
rtogs rigs gsum tshang ba'i phyir/ gal te theg chen gyi sbyor lam bsgoms pas rtogs
rigs gsum ka skye'o snyam na de mi 'thad par thal/ rtogs rigs gsum thun mong du
sgom pa'i thabs sangs rgyas kyis ma gsungs pa'i phyir ro// gsum char khas blangs
so//*

Vibhūticandra's Garland of Rays 36-37

*rig pa 'dzin pa kho na bas//³⁶²
gsum ldan bsngags³⁶³ pa'i dgongs pa ni//
'bras bu'i sgo nas ma yin te//
yin na kun mkhyen³⁶⁴ mchog dman 'gyur//*

*des na lam dus gdul bya'i don//
gcig dang gsum gyi khyad par dang //
sdom pa rdzas chos gzhan nyams mod//
sdom gzhan yod pa'i³⁶⁵ khyad par yin//*

Go-rams-pa (p. 232, fol. 65v, l. 6):

*sngags sdom gcig pu la³⁶⁶ gsum ldan bsngags pa 'bras bu'i sgo nas yin kyang / 'bras
bu la mchog dman yod mi dgos te/ 'bras bu miha' dag nye ring gi sgo nas bsngags
pa yang 'bras bu'i sgo nas bsngags par 'dus pa'i phyir/ gzhan du na 'bras bu yum
la nye ring gi sgo nas bsngags pa yang 'bras bu'i sgo nas sngags pa ma yin par
'gyur ba'i skyon gnas so//*

³⁶² P + D: *rig 'dzin sdom pa kho na bas.*

³⁶³ D: *sngags.*

³⁶⁴ *General Topics*: fol. 60r.

³⁶⁵ P + D: *gzhan yod pa yi.*

³⁶⁶ Read: *las*, as is suggested by *Garland of Rays 36* (*kho na bas* = *gcig pu las*).

Go-rams-pa (p. 232, fol. 65v, l. 4):

What you say, namely, that the two lower [vows] would arise independent from their [respective] rituals if "possessing three [vows]" is applied only to the vows of the Tantric adept, is pointless. In that case it would follow that the [different] kinds [of] realizations of the three vehicles [i.e. of the auditors, solitary Buddhas, and bodhisattvas] would arise without the need to cultivate the two lower [vehicles], because the three kinds of realizations are complete in the Mahāyāna path of seeing alone. If you think that all the three kinds of realization arise by cultivating the Mahāyāna path of preparation, it follows that this would not be acceptable, because the Buddha did not teach in common the methods for cultivating the three kinds of realization. You have accepted all three [points].

Vibhūticandra's *Garland of Rays* 36-37

The intention of praising the possession of three [vows] as better than being simply a Tantric adept does not refer to the fruit.

If it did, then the Omniscient One would be [of a] higher and lower [type].

Therefore, the difference between [possessing] one and three [vows] is for the sake of the trainees at the time of the path; and there is a difference [in so far as one] vow—which is a distinct entity—may vanish, while the other vows remain existent.

Go-rams-pa (p. 232, fol. 65v, l. 6):

Even though "possessing three [vows]" is praised by way of the fruit [as being better] than possessing the Mantra vows alone, this does not necessarily entail that there are higher and lower [types of Omniscient Ones] with regard to the fruit. Because even though all [kinds of] results are praised in terms of how near they are, they are included within things that are praised because they are results. Otherwise, the following fault would ensue: The praise of the fruit, [namely] the mother (= Perfections Vehicle or *Prajñāpāramitā* scriptures) by way of its closeness would not be a praise by virtue of its being a result.



Go-rams-pa's Sa-skya-pa Doctrine of the Three Vows: Text and Translation

In this chapter I would like to present in Tibetan text and translation Go-rams-pa's explanation of the Sa-skya-pa doctrine of the three vows, i.e. what he accepts as his own tradition (*rang lugs*), from his *General Topics*. The main topics of his doctrine have been discussed in chapter five.

p. 234, fol. 70v, l. 6

spyir so sor thar pa zhes bya ba'i rang gi ngo bo ni gzhan la gnod pa gzhi dang bcas pa las ldog pa yin la/ de'i steng du phan 'dogs pa 'dod cing zhugs pa ni byang chub sems dpa'i sdom pa yin cing / de'i steng du rig pa 'dzin pa ni rig pa 'dzin pa'i sdom pa yin no/

de la so sor thar pa ni gnyis te/ nyan thos kyi so sor thar pa dang / byang sems kyi so sor thar pa las/ 'dir byang chub sems dpa'i so sor thar pa ni sdom pa thob pa dang gnas pa gnyis ka'i rten du rung gi snga ma ni de ltar ma yin pas rdo rje rtse mo'i dgongs pa ni byang chub sems dpa'i so sor thar pa'o/

p. 234, fol. 71r, l. 3

byang chub sems dpa'i sdom pa de blang ba'i cho ga gzhan shig³⁶⁷ yod dam so sor thar pa'i sdom pa nyid yin zhe na/

sngar so sor thar pa'i sdom pa thob na phyis byang chub sems dpa'i sdom pa thob pa'i dus sngar gyi de byang chub sems dpa'i sdom par gnas 'gyur la sngar ma thob na ni byang chub sems dpa'i smon pa'i dus nyid du byang chub sems dpa'i so sor thar pa'i sdom pa thob pa yin no/ (...)

p. 234, fol. 71r, l. 5

rig pa 'dzin pa dang byang chub sems dpa'i sdom pa gnyis ni nang mi 'gal mod/ so sor thar pa'i sdom pa 'di ni byang chub sems dpa'i sdom pa thob pa'i rten mi rung ste/ so sor thar pa ni gling gsum gyi skyes pa dang bud med ma yin pa gzhan la mi skye la byang chub kyi sems ni 'gro ba mtha' dag la skye bar gsungs pa'i phyir ro/

yang gnas pa'i rten du yang mi 'thad de/ so sor thar pa ni shi nas gtong la byang chub sems ni ji srid sangs ma rgyas kyi bar du mi gtong ba'i phyir ro zhe na nyan thos dang thun mong ba'i so sor thar pa 'di ni thob pa dang gnas pa'i rten du mi rung bar khyed smra ba bzhi du kho bo cag kyang smra'o/

³⁶⁷ Read: *zhig*.

p. 234, fol. 70v, l. 6

3.3.3.5.1.2.1. (...) Generally, the nature of prātimokṣa is to abstain from harming others, together with the [mental] basis [for harming others]; in addition to that, the wish to benefit [others] and to enter [into beneficial activities] is the bodhisattva vow; and in addition to that, to maintain pure awareness is the vow of the Tantric adept.

With regard to that, prātimokṣa has two [aspects], i.e. auditor prātimokṣa and bodhisattva prātimokṣa. Of these [two], here [in the context of possessing the three vows,] the bodhisattva prātimokṣa is suitable as a basis for both the taking and continuation [of] the [Mantra] vows, but the former [i.e. the auditor prātimokṣa] is not like that. Therefore what is intended by the *Vajra-Peak Tantra* is the bodhisattva prātimokṣa.

p. 234, fol. 71r, l. 3

[Question]: "Does there exist any other ritual for taking the [prātimokṣa] vows of the bodhisattvas, or is [the ritual for taking the bodhisattva prātimokṣa] just the [same as the ordinary auditor] prātimokṣa vow?"

[Answer]: If one has previously taken the [auditor] prātimokṣa vows, then later, at the time when one takes the bodhisattva vows, those earlier [taken prātimokṣa vows] become transformed into the vows of the bodhisattvas, and if [the prātimokṣa vows] were not taken earlier, one takes the bodhisattva prātimokṣa vows just at that time when the preliminary resolve of the bodhisattvas [is produced].³⁶⁸

p. 234, fol. 71r, l. 5

There is no internal contradiction between the vows of the Tantric adept and [the vows of] the bodhisattva, but these [auditor] prātimokṣa vows are not suitable as the basis for taking the bodhisattva vows, because it was taught [by the Buddha] that the prātimokṣa [vows] do not arise for anyone else but for men and women of the three continents, while the resolve for awakening (*bodhicitta*) [may] arise in all beings.³⁶⁹

[Objection]: "But those [prātimokṣa vows] are also not a [suitable] basis for the continuation [of the bodhisattva vows], because prātimokṣa is lost after death, while the resolve for awakening is not lost until Buddhahood."

[Answer]: We teach just as you do that this prātimokṣa that is common with the auditors is not suitable as the basis for the taking and continuation [of the bodhisattva vows].

³⁶⁸ This is a literal quote from rJe-btsun Grags-pa-rgyal-mtshan, *Byang chub sems dpa'i sdom pa*, p. 321-4-6. Cf. also Tatz (1982: 23), who must have completely overlooked one part of the sentence.

³⁶⁹ See chapter 3, pp. 35 ff., my remarks on *Garland of Rays* 2.

p. 235, fol. 71v, l. 2

'o na khyed kyi so sor thar pa sngon du ma song ba'i sdom pa gsum gang yin zhe na/

'dir so sor thar pa'i rang bzhin ni gzhan la gnod byed pa gzhi dang bcas pa las log par byed pa yin la/ byang chub sems dpa'i sdom pa ni de'i steng du gzhan la phan 'dogs par zhugs pa yin cing rig pa 'dzin pa ni de dag kyang lha'i rnam par ye shes kyi byin gyis brlabs nas longs spyod pas na 'di la 'gal ba ci yang yod pa ma yin no/

'o na sngar so sor thar pa dge slong gi bar thob pa zhig gis phyis byang chub tu sems bskyed nas slar yang dbang mnos par 'gyur na 'di la sdom pa ji ltar ldan zhe na/

dge slong gis sems bskyed pa'i tshe so sor thar pa thams cad byang chub sems dpa'i sdom par 'gyur la/ dkyil 'khor du zhugs pa'i tshe na sdom pa thams cad kyang rig pa 'dzin pa'i sdom pa zhes bya ba yin no/ de skad du rgyud 'bum pa'i lung de kho na nyid kyi ye shes grub pa zhes bya ba las byung ba/

rdo yi rigs kyi bye brag gis//

bzhus pas lcags dang zangs dngul 'byung //

gser 'gyur rtsi yi dngos po yis//

kun kyang gser du bsgyur bar byed//

de bzhin sems kyi bye brag gis//

rigs can gsum gyi sdom pa yang //

dkyil 'khor chen po 'dir zhugs na//

rdo rje 'dzin pa zhes bya'o//

zhes gsungs so/ dpe de'i rdo ni phal pa yin la/ lcags ni nyan thos kyi bslab par bya ba/ zangs ni rang rgyal gyi bslab par bya ba/ dngul ni byang chub sems dpa'i bslab par bya ba yin cing gser 'gyur rtsi ni rdo rje theg pa'i bslab par bya ba yin par mngon no/ zhes gsungs so/ (...)

p. 235, fol. 71v, l. 2

[Question]: "In this case [i.e. if auditor prātimokṣa is not suitable as a basis], what are the three vows of yours, that are not preceded by [auditor] prātimokṣa vows?"

[Answer]: Here the nature of prātimokṣa [acquired through the resolve of the bodhisattvas or through Tantric initiation] is to abstain from harming others together with the [mental] basis of that, the [nature of] bodhisattva vows is additionally to that to engage into benefitting others, and the [nature of the vows of the] Tantric adept is to partake after blessing these [prātimokṣa and bodhisattva vows] in the form of the deity by means of gnosis; thus there is no incompatibility at all.

[Question]: "In this case, in which manner does someone who has earlier taken the prātimokṣa vows up to the monk [vows] possess the vows when he later, after producing the resolve for awakening, comes furthermore to take [Tantric] initiation?"

[Answer]: At the time when the monk produces the resolve [for awakening], all the prātimokṣa [vows he possesses at that point] turn into the vows of a bodhisattva, and when he enters the maṇḍala, all the vows are [known as] vows of the Tantric adept. As it is stated in *The Teaching of the "Hundred-Thousand [Verse?] Tantra[s?]" [Treatise]: The Establishment of the Gnosis of Suchness*:

Through [the process of] smelting, iron, copper and silver appear,
by means of specific particularities of ores.

Through the elixir by which [metals] turn into gold,
all are turned into gold.

Similarly, by means of the particularities of mind,
the vows of the three families also [come to be].

If one enters into this great maṇḍala,
they are called [vows of] the Tantric adepts.

[rJe-btsun Grags-pa-rgyal-mtshan³⁷⁰] taught that it is evident that "ores" in this example refers to common people, "iron" to the training of the auditors, "copper" to the training of the solitary Buddhas, "silver" to the bodhisattva training, and "the elixir by which [metals] turn into gold" refers to the training of the vajra vehicle.

³⁷⁰ See Grags-pa-rgyal-mtshan, *Removing Errors Regarding the Fundamental Transgressions*, p. 258, fol. 48v.

p. 235, fol. 72r, l. 2

spyir sdom pa gsum la len pa'i rim pa la

[(1)] *dang por nyan thos kyi so thar ris bdun gang la yang rung ba blang / de nas byang sems kyi sdom pa dbu sems kyi cho gas blang / de nas sngags sdom blang ba'i tshul dang /*

[(2)] *dang por theg chen gyi so thar/ de nas sdom pa gong ma gnyis rim gyis blang ba'i tshul dang /*

[72r/5 (1+2)] *dang po gnyis ni kye rdo rje las/ dang por gso sbyong sbyin par bya// zhes sogs skal dman rim 'jug pa'i lam gyis dkri tshul gsungs pas 'grub la/*

[72v/3 (1)] *de la tshul dang po la byang sems kyi sdom pa len pa'i tshe sngar gyi nyan thos so sor thar de nyid byang sems kyi sdom pa'am byang sems kyi so thar du gnas 'gyur bya yin te/ rang nyid gcig pu zhi bde don gnyer gyi dman sems mtha' dag dor nas/ mi mihun phyogs spong ba'i spong sems de nyid byang sems kyi sdom pa'i ngo bor 'gyur ba'i phyir ro/*

p. 235, fol. 72r, l. 2

3.3.3.5.1.2.2.1. Generally, with regard to [possible] successions of taking up the three vows there are seven ways:³⁷¹

Type 1. One takes at first any suitable [type] of the seven kinds of auditor *prātimokṣa* [vows]. Thereafter the bodhisattva vows are taken through the ritual of the *Mādhyamikas* [or] *Yogācāras* (*dbu sems kyi cho ga*³⁷²). Finally the Mantra vows are taken.

Type 2. At first [one takes] the Mahāyāna *prātimokṣa* [as a result of producing the resolve of the bodhisattvas], and thereafter one successively takes the two higher [vows].

[fol. 72r, l. 5] The first two [successions in the taking of the three vows] are established by [the Buddha's] teaching to less fortunate people the way of leading through a path of successive engagement as taught in the *Hevajratāntra* [II, viii, 9-10]:³⁷³ "In the beginning one should offer the ceremony of purification [of the vows] (*poṣadha*) ..."

[fol. 72v, l. 3] Concerning the first of these, when the bodhisattva vows are taken, those same previously obtained auditor *prātimokṣa* [vows] are transformed into the bodhisattva vows or the bodhisattva *prātimokṣa*. For after one has completely abandoned the inferior intention to pursue peace and happiness merely [for] oneself, that very resolution to abandon that discards opposing factors becomes the nature of the bodhisattva vows.

³⁷¹ Go-rams-pa first establishes these seven types (fol. 72r, l. 2 ff.) before he presents a scriptural background for each of them (f. 72r, l. 5 ff.). Finally he explains their functioning (fol. 72v, l. 3 ff.). For the sake of simplicity and clarity, I present each type together with its scriptural background and functioning.

³⁷² See p. 50, my discussion of Go-rams-pa's refutation of *Garland of Rays* 5.

³⁷³ Snellgrove (1959): II, 116: "First there should be public confession, then they should be taught the ten rules of virtuous conduct, then the *Vaibhāṣya* teachings and then the *Sautrāntika*, after that the *Yogācāra* and then the *Madhyamaka*. Then when they know all Mantra-method, they should start upon *Hevajra*." Skt. p. 90: *poṣadham āyate prathamam, tadanu śikṣāpadaṃ daśaṃ// vaibhāṣyaṃ tatra deśeta, sūtrāntaṃ vai punas tathā// yogācāraṃ tataḥ paścāt, tadanu madhyamakaṃ diśet// sarvamantranayaṃ jñātvā, tadanu hevajram ārabhet//*; Tib. p. 91: *dang por gso sbyong sbyin par bya// de rjes bslab pa'i gnas bcu nyid// de la bye brag smra ba bstan// mdo sde pa yang de bzhin no// de nas rnal 'byor spyod pa nyid// de yi rjes su dbu ma bstan// sngags kyi rim pa kun shes nas// de rjes kye'i rdo rje brtsam.*

[72v/4 (2)] *len tshul gnyis pa la ni byang sems kyi sdom pa len pa'i tshe sngar gyi so thar byang sems kyi sdom par gnas 'gyur ba ni ma yin te/ dang po nyid nas theg chen so thar byang sems kyi sdom pa'i ngo bor yod pas/ phyis byang sems kyi sdom pa skyes pa'i tshe yang yon tan bsnan pa'i tshul du ngo bo gcig tu skyes pa yin pa'i phyir ro/*

des na byang sems kyi sdom par gnas 'gyur rgyu'i so sor thar pa ni nyan thos kyi so thar kho na la yin gyi byang sems kyi so thar ni ma yin te/ sdom pa 'og ma bar mar gnas 'gyur ba'i don ni dman sems dor zhung spong sems ma dor ba la 'jog dgos shing / theg chen so thar la dang po nyid nas dman sems med pa'i phyir ro/

[72r/3 (3 and 4)] *dang por nyan thos kyi so thar gang yang rung ba blang / de nas sdom pa bar ma ma blangs par sngags sdom blang ba'i tshul gnyis dang /*

[72r/6 (3+4)] *gsum pa dang bzhi pa gnyis ni rgyud 'bum pa las/*

de bzhiñ sems kyi bye brag gis//

rigs can gsum gyi sdom pa yang //

dkyil 'khor chen po 'dir zhugs na//

zhes rigs can gsum ka'i sdom pa la gnas pas sngags sdom len pa gsungs pas 'grub bo/

[72r/4 (5)] *dang por so thar sngon du ma song bar byang sems kyi sdom pa blangs nas sngags sdom blang ba'i tshul dang /*

[72v/1 (5)] *lnga pa ni so thar sngon du ma song bar dbu ma lugs kyi sems bskyed blangs pa yod cing / de'i steng du sngags sdom len pa yod pas 'grub bo/*

[72v/6 (3,4+5)] *len tshul gsum pa bzhi pa lnga pa mams la ni sngags sdom thob pa'i tshe sngar gyi sdom pa thams cad sngags sdom du gnas 'gyur ba yin te/ sngar byang sems dang so thar thams cad 'bras bu lam byed kyi thabs kyis ma zin pa yin pa las phyis sngags sdom thob pa'i tshe sdom pa thams cad kyang 'bras bu lam byed kyi thabs kyis zin pa'i sdom par 'gyur ba'i phyir te/ (...)*

[fol. 72v, l. 4] Concerning the second succession of taking [the vows], when the bodhisattva vows are taken, there is no transformation of any previous prātimokṣa vow into the vows of the bodhisattvas. For since the Mahāyāna prātimokṣa existed right from the beginning as of the nature of the bodhisattva vows, later, too, when the bodhisattva vows are [actually] produced, they come into being as of the same nature [as the Mahāyāna prātimokṣa], by way of adding a quality [to what is of the same nature].

Therefore the prātimokṣa that is to be transformed into the bodhisattva vows is just "auditor prātimokṣa," but it is not the bodhisattva prātimokṣa, because the meaning of "transforming the lower vow into the medium vow" must refer to the giving up of the inferior volitional impulse and to not discarding the resolution to abandon, and [because] right from the beginning there was no inferior volitional impulse in the Mahāyāna prātimokṣa.

Types 3. and 4. At first, one takes any suitable [type] of auditor prātimokṣa [vows]. Thereafter [there are] two ways of taking up the Mantra vows without having taken up the intermediate [i.e. bodhisattva] vows.

[fol. 72r, l. 6] [Types] three and four are established by [the fact that] in the "*Hundred-Thousand [Verse?] Tantra*" [*Treatise*] it is taught [by the Buddha]:

"Similarly, through particularities of mind, the vows of the three families also [come to be]. If one enters into this great maṇḍala (...)," [and thus] one who is established in the vows of [any of] the three families [auditors, solitary Buddha, and bodhisattvas] [may] take the Mantra vows.

Type 5 [fol. 72r, l. 4]. After one has at first taken up the bodhisattva vows that were not preceded [by] prātimokṣa, one takes up the Mantra vows.

[fol. 72v, l. 1] The fifth [type] is established by the fact that there exists the taking up of the production of the resolve for awakening according to the Madhyamaka tradition without having been preceded by prātimokṣa, and by having, in addition to that, taken up of the Mantra vows.

[fol. 72v, l. 6] [Types 3-5:] Regarding the third, fourth and fifth ways of taking the vows, all earlier vows are transformed into the Mantra vows when the Mantra vows are obtained,³⁷⁴ because at first all bodhisattva and prātimokṣa [vows] are not endowed with the means that take the fruit as the path, but later, when the Mantra vows have been gained, all vows are turned into vows that are endowed with the means that take the fruit as the path.

³⁷⁴ Go-rams-pa makes this point once again on fol. 74v, l. 5.

(...) *des na sngar nyan thos kyi so thar dang ldan pas sngags sdom thob pa'i tshe gnas 'gyur gnyis ka tshang la sngar byang sems kyi sdom pa dang ldan pas dbang bskur thob pa la ni gnas 'gyur phyi ma kho na brtsi bar rig par bya'o/*

[72r/4 (6)] *dang po nyid nas sdom pa 'og ma gnyis gang yang sngon du ma song bar sngags sdom blang ba'i tshul dang /*

[72v/1 (6)] *drug pa ni rje btsun rtse mo'i dbang chur dang po nyid nas phyag rgya chen po mchog gi dngos grub thob pa'i don du dbang bskur zhu 'o zhes zer na de la dang po nas dbang bskur byed par gsungs pas 'grub bo/*
 [73r/3 (6)] *len tshul drug pa la ni sdom pa gsum char yang cho ga gcig las thob pas ngo bo gcig tu skye ba kho na yin gyi gnas 'gyur brtsir med do/ de bzhin du so thar sngon du ma song bar byang sems kyi sdom pa len pa'i cho ga las byang sems kyi sdom pa dang so thar gyi sdom pa gnyis thob pa la 'ang gnas 'gyur brtsir med de/ de la ni theg chen gyi so thar kho na skye ba'i phyir ro/*

[72r/5 (7)] *dang por sngags sdom blang nas de'i rjes su sdom pa 'og ma gnyis blang ba'i tshul dang rnam pa bdun yod pa las/*

[72v/2 (7)] *bdun pa ni/ rdo rje rtse mo las/*

sems can kun gyi don gyi phyir//

bdag gis sdom pa ma lus bzung //

zhes khyim pa sngags sdom thob pa'i rjes su sdom pa ma lus pa bzung ba yod par gsungs pas 'grub bo/

[73r/4 (7)] *len tshul bdun pa la ni sdom pa 'og ma gnyis po thob pa'i tshe ngo bo gcig tu skye ba kho na yin gyi gnas 'gyur brtsir med de/ sngags sdom la gnas bzhin du sdom pa gang blangs kyang sngags sdom gyi ngo bor skye ba'i phyir ro/*

Therefore one should understand that through the previous possession of the auditor *prātimokṣa*, both transformations are present when the Mantra vows are taken, but through the previous possession of the bodhisattva vows, only the last transformation is to be counted [as present] when initiation is obtained.

Type 6 [fol. 72r, l. 4]. One takes up the Mantra vows without this having been preceded by either of the two lower vows at the beginning.

[fol. 72v, l. 1] The sixth succession is established because it is taught in rJe-btsun-rtse-mo's *dBang chu* that if someone says: "Right from the beginning I request initiation in order to take the highest supramundane achievement of Mahāmudrā," then he is to be initiated right at the beginning.³⁷⁵

[fol. 73r, l. 3] [Type 6:] In the sixth way, since one obtains all three vows from a single ritual, they just arise as [having] the same nature, but there is nothing to count [as] a transformation. Similarly, for the bodhisattva and *prātimokṣa* vows taken from the ritual of taking the bodhisattva vows without preceding *prātimokṣa* there is nothing to count [as] a transformation, because just the Mahāyāna *prātimokṣa* arises [for the bodhisattva vows].

Type 7 [fol. 72r, l. 5]. [The seventh way is that] after one has at first taken the Mantra vows, one takes the two lower [vows] afterwards.

[fol. 72v, l. 2] In regard to [type] seven, it is taught in the *Vajra-Peak Tantra*:

"For the sake of all sentient beings

I have taken [all] vows without exception."

[This way is] established by the teaching that after a householder has taken the Mantra vows there exists the taking of [all] vows without exception.

[fol. 73r, l. 4] [Type 7:] Concerning the seventh way of taking them, when the two lower vows are taken, they merely arise as of the same nature, but there is nothing considered [to have undergone the process of] transformation, because whichever vow is taken while one is dwelling in the Mantra vows arises as of the nature of the Mantra vows.

³⁷⁵ A large initiation ritual for the maṇḍala of *Hevajra* by bSod-nams-rtse-mo 1142-1182, fourth throne-holder of Sa-skya, and son of Kun-dga'-snying-po and elder brother of Grags-pa-rgyal-mtshan. The full title of the work is *dPal kyai rdo rje'i dkyil 'khor du slob ma smin par byed pa'i cho ga dbang gi chu bo chen mo*, in: *Sa skya pa'i bKa' 'bum*, vol. 2, The Tōyō Bunko, Tokyo 1968, no. 20.

p. 235, fol. 73r, l. 5

des na nyan thos kyi so thar byang sems kyi sdom par gnas 'gyur ba dang / 'bras bu lam byed kyi thabs kyis ma zin pa'i so thar dang byang sems sngags sdom du gnas 'gyur ba yin gyi spyir so thar tsam ni byang sems kyi sdom par gnas 'gyur ba yang ma yin cing theg chen gyi so thar yang byang sems kyi sdom par gnas 'gyur ba ma yin te/ byang sems kyi sdom pa yang so thar gyi sdom pa'i bye brag yin pa'i phyir ro/

de bzhin du so thar tsam dang byang sems kyi sdom pa tsam sngags sdom du gnas 'gyur ba yang ma yin te/ sngags sdom yang sdom pa de dag gi bye brag yin pa'i phyir ro/ de dag gis ni gong du drangs pa'i sdom pa nyi shu pa'i 'grel par sngar so sor thar pa'i sdom pa thob na/ phyis byang chub sems dpa'i sdom pa thob pa'i dus su sngar gyi de byang chub sems dpa'i sdom par gnas 'gyur la zhes pa dang / rtsa ltung 'khrul spong las 'o na sngar so sor thar pa'i sdom pa dge slong gi bar thob pa zhig gis zhes sogs gsungs pa'i don phyogs 'di la mos pa yod kyang bla ma'i man ngag dang bral nas kha phyi rol du bltas pa rnam kyi don du snying brtse ba'i bsam pas dpyis phyin par bshad do/

p. 236, fol. 73v, l. 3

gnyis pa ni sngar bshad pa'i len tshul bdun po gang yin kyang sngags sdom rgyud la ldan pa'i tshe sdom pa gsum char ngo bo gcig tu ldan pa yin te/ sngar sdom pa 'og ma gnyis po sngon du song na sngags sdom thob pa'i tshe gnas 'gyur nas sngags sdom gyi ngo bor yod pa'i phyir dang / sngon du ma song na sngags sdom gcig pu la gzhan gnod gzhi bcas spong ba'i sdom pa dang / gzhan la phan pa sgrub pa dang / de thams cad kyang 'bras bu lam byed kyis zin pa'i sdom pa gsum thob pa'i phyir ro/

p. 235, fol. 73r, l. 5

Therefore, [in the above explained specific sense], auditor *prātimokṣa* is transformed into the *bodhisattva* vows, and *prātimokṣa* and *bodhisattva* [vows] that are not pervaded by the [Tantric] means of taking the fruit as the path are transformed into the Mantra vows; but in general, the *prātimokṣa* as such is *not* transformed into the *bodhisattva* vows, and Mahāyāna *prātimokṣa*, too, is not transformed into the *bodhisattva* vows, because the *bodhisattva* vows, too, are a particular instance of the *prātimokṣa* vows.

Similarly the *prātimokṣa* as such and the *bodhisattva* vows as such are also not transformed into Mantra vows, because the Mantra vows, too, are a particular instance of those vows. Through these [explanations] I have taught perfectly (*dp̣yis phyin par*) the sense of the above quoted *Commentary on the Twenty Vows*,³⁷⁶ [according to which] "the earlier [*prātimokṣa* vows] are later, at the time of taking the *bodhisattva* vows, transformed into the *bodhisattva* vows if *prātimokṣa* vows were taken earlier" (fol. 71r, l. 3), and [the sense of the words from the] *Removing Errors Regarding the Fundamental Transgressions*, "... In that case, someone who has earlier taken the *prātimokṣa* vows up to the monk [vows] (...)."³⁷⁷ [I have done so] out of kindness for those who, although they have appreciation for this tradition, are "facing outward" [thereby missing the point], because they lack the instruction of the guru.

p. 236, fol. 73v, l. 3

3.3.3.5.1.2.2.2. Regardless of which of the above-mentioned seven ways of taking [up the three vows] it may be, when the Mantra vows are present in the mental stream of consciousness, all three vows together are possessed as of the same nature, because if previously the lower two vows have already been taken, after they are transformed at the time of obtaining the Mantra vows, they exist as of the nature of the Mantra vows. And if [the lower vows] have not already been taken, one takes in the Mantra vows alone the three [vows,] namely the vow of abandoning harm to others, together with its [mental] base; [the vow of] achieving the benefiting for others; and the vow endowed with taking the fruit [i.e. the spiritual goal] as the path [of practice].

³⁷⁶ Grags-pa-rgyal-mtshan, *Byang chub sems dpa'i sdom pa*, quoted above, fol. 72v.

³⁷⁷ Grags-pa-rgyal-mtshan, *Removing Errors Regarding the Fundamental Transgressions*, fol. 48v, l. 2: 'o na sngar so sor thar pa'i sdom pa dge slong gi bar thob pa zhig gis phyis byang chub tu sems bskyed nas slar yang dbang nos par gyur na/ 'di la sdom pa ji ltar ldan zhe na/ dge slong gis sems bskyed pa'i tshe so sor thar pa thams cad byang chub sems dpa'i sdom par 'gyur la/ dkyil 'khor du zhugs pa'i tshe ni sdom pa thams cad kyang rig pa 'dzin pa'i sdom pa zhes bya ba yin no//

des na sngags sdom dang ngo bo gcig pa'i byang sems dang so thar ni 'bras bu lam byed kyi thabs kyis zin pa'i so thar dang byang sems yin gyi pha rol tu phyin pa'i nyams len rkyang pa'i byang sems dang so thar gnyis ni sngags sdom dang ngo bo gcig pa ma yin te/ de dag ni sngags sdom gyis ma zin pa'i phyir ro/ de bzhin du byang sems kyi sdom pa dang ngo bo gcig pa'i so thar yang byang sems kyi so thar kho na yin gyi nyan thos kyi so thar ni ma yin te / de gnyis mam pa kun tu 'gal ba'i phyir ro/

des na byang sems kyi sdom pa dang ldan na gnyis nges par ldan cing sngags sdom dang ldan na sdom pa gsum char dang ldan pas gsum ldan gyi rgyud kyi sdom pa gsum phan tshun yin khyab mnyam yin cing / gnyis ldan gyi rgyud kyi sdom pa gnyis kyang de bzhin du shes par bya'o/

p. 236, fol. 74v, l. 5

rgyud las gsungs pa'i gser 'gyur gyi rtsi'i dpe ni sdom pa gnas 'gyur ba dang ngo bo gcig pa gnyis ka'i dpe yin te/ lcags dang zangs dang dngul gsum po gser 'gyur gyi rtsis zin pa na lcags la sogs pa'i dngos po tha dad pa med par thams cad kyang gser gyi phung po 'ba' zhig tu 'gyur pa ltar rigs can gsum gyi bslab pa gsum po yang sngags sdom gyis zin pa na nyan thos kyi bslab pa la sogs pa tha dad pa med par thams cad kyang rdo rje theg pa'i bslab pa 'ba' zhig tu 'gyur ba'i phyir ro/

Therefore the bodhisattva and prātimokṣa [vows] that are of the same nature as the Mantra vows are prātimokṣa and bodhisattva [vows] that are endowed with the means that take the fruit as the path, but the two vows of prātimokṣa and bodhisattva that are merely the practice of the Perfections Tradition (*pāramitā*) are not of the same nature as the Mantra vows, because they are not endowed with the Mantra vows. Similarly the prātimokṣa that is of the same nature as the bodhisattva vows is also just the bodhisattva prātimokṣa, but it is not the auditor prātimokṣa, because those two are incompatible in all respects.

Therefore, if one possesses the bodhisattva vows, one certainly possesses two [vows, i.e. both the bodhisattva prātimokṣa vows *and* the bodhisattva vows proper], and if one possesses the Mantra vows, one possesses all three vows together. Thus one must know that the three vows of a mental stream of consciousness that possesses the three [vows] are mutually coextensive and that the same should be understood [as applying] also to the two [lower] vows of a mental stream of consciousness that possesses the two [lower vows].³⁷⁸

p. 236, fol. 74v, l. 5

The example taught in the tantra³⁷⁹ of the "elixir" that turns [substances] into gold is an example for both "transformation" *and* "same nature" [of] the vows, because when iron, copper and silver come into contact with the elixir that turns things into gold, they all become just a heap of gold with no differentiation of substances such as "iron." Just so, when the three trainings of the three kinds [of vehicles], too, come into contact with the Mantra vows, they all become just the training of the Vajra Vehicle with no differentiation of trainings such as "training of the auditors."

³⁷⁸ See fol. 74r, l. 4: "This necessity for the three vows to be possessed when Mantra vows are taken is seen to be purely the tradition explicated by rJe-btsun Sa-skya-pa and his sons, the infallible intention of Sūtra and Tantra, because ... whether the two lower vows of this [i.e. our] system precede or not, it is ascertained that the three vows are possessed when the Mantra vows are possessed, [while] it can be seen that other systems have not engaged in even a trifling analysis [of the matter]." (*sngags sdom dang ldan na sdom pa gsum dang ldan dgos pa 'di yang mdo rgyud kyi dgongs pa phyin ci ma log pa rje btsun sa skya pa yab sras kyi bkral ba'i lugs 'ba' zhig tu snang ste (...) lugs gzhan dag gis ni dpyad pa tsam yang ma zhugs par snang zhing 'di pa'i lugs kyi sdom pa 'og ma gnyis po sngon du song ma song gang yin kyang sngags sdom thob pa'i tshe sdom pa gsum ldan du gtan la phab pa'i phyir ro/*)

³⁷⁹ In the "Hundred-Thousand [Verse?] Tantra[s?]" [*Treatise*], see fol. 71v.

*'on kyang sngar blangs pa'i sdom pa de dag gi bslab pa la bslab mi dgos par thal
 ba'i skyon med de/ dge slong rdo rje 'dzin pa lta bu'i rgyud la sdom pa 'og ma gnyis
 kyi dbang du byas pa'i lung ba byung na sngags kyi dam tshig dang 'gal ba'i lung
 ba byung bas khyab pa'i phyir te/ sems can kyi don du 'gyur ba sogs kyi dgos ched
 khyad par can med par 'og ma gnyis kyi rtsa lung byung na sngags kyi bde gshegs
 bka' 'das 'byung zhing yan lag mams khyad gsod dang bcas te spyad na bde gshegs
 bka' 'das 'byung zhing khyad gsod med cing dgos ched khyad par can yang med par
 spyad na/ sdom pa gnyis kyi bcas pa las/ dgos pa med par 'da' ba dang / zhes pa'i
 skabs nas bstan pa'i yan lag gi lung ba dang sems can gyi don du 'gyur ba sogs
 dgos ched khyad par can gyi sgo nas spyad na thams cad la lung ba med pa'i phyir
 ro/*

p. 236, fol. 75r, l. 4

*des na nyi zla skar gsum gyi dpe dang / skyes bu'i rgyan gyi dpe dang / chu gzhong
 du nor bu bzhag pa'i dpe gsum ni don dang 'grig na sbyar du rung yang don dang
 mi 'grig na dor ba bya ba yin te/ rang rang gi rtog pas sbyar ba tsam yin gyi mdo
 rgyud la sbyar ba'i dpe ma yin pa'i phyir ro/*

*gser 'gyur gyi rtsi'i dpe ni sangs rgyas kyis rgyud las gsungs shing don la yang
 ji lta ba bzhin du mthun pas na nram pa thams cad du blangs par bya ba kho na yin
 no/*

* * *

However, there is not the fault that one would not have to exert oneself in the trainings of these earlier-acquired vows,³⁸⁰ because if there occurs a transgression pertaining to the two lower vows in the mental stream of consciousness of someone such as a Tantric adept monk, this would include the occurrence of a transgression against the Mantra pledges. Because if fundamental transgressions against the two lower [vows] are committed without a special purpose such as "for the benefit of sentient beings," there occurs [the fundamental transgression against the second pledge of the Mantra] "transgressing the Sugata's Mantra [teachings];" and if [such a person] acts with "disregard for the branches" [i.e. commits transgressions other than the infractions entailing expulsion against the prātimokṣa and fundamental transgressions against the bodhisattva vows], then there occurs [to him the second fundamental transgression of the Mantra] "transgressing the Sugata's teachings;" and if he acts without [such] disregard and also without a special purpose, then he transgresses against the branch [vows of the Mantra teaching], as mentioned in the passage: "Going beyond the restrictions of the two vows without a purpose ...;" and if [he] acts with a special purpose such as "benefiting sentient beings," [he] transgresses against nothing at all.

p. 236, fol. 75r, l. 4:

Therefore, if the three examples of the sun, moon and stars, of a person's ornaments, and of a jewel resting on top of a tub [floating on top of] water correspond to the facts of the matter, they can be applied, but if they do not correspond to the facts, they are to be rejected, because they have merely been applied through one's own thinking, but they are not examples that are applied in the sūtras and tantras.

Since the example of the elixir that turns [substances] into gold is taught in the Tantra by the Buddha and also correctly corresponds to the facts, it is purely something that is to be accepted in every respect.

* * *

³⁸⁰ Despite the seven possible ways of taking up the three vows explained above, it is quite clear that Go-rams-pa had the first (and maybe the second) type in mind as the usual procedure (see Go-rams-pa, *Detailed Exposition*, p. 163, fol. 88r, where he speaks of two possibilities: to transform the two earlier acquired lower vows into the Mantra vows at the time of initiation, or to obtain the prātimokṣa and bodhisattva vows during the preparation of the initiation when they have not been obtained earlier). But it should also be kept in mind that, in any case, *all three* vows are acquired when Mantra vows are taken, either "formally" or "implicitly."

p. 238, fol. 77v, l. 1

gnyis pa gtong ba'i tshe rim dang cig car gang du gtong dpyad pa la gnyis te/

sdom pa gsum rim can du blangs pa'i dge slong rdo rje 'dzin pa lta bu'i gtong tshul dang/

dbang bskur gyi cho ga kho na las sdom pa gsum char thob pa'i gtong tshul lo/

*(...) spyir rim gyis blangs pa'i gsum ldan gyi gtong tshul la
dge slong gi sdom pa btang nas gong ma gnyis po mi gtong ba dang /
gong ma gnyis btang nas dge slong gi sdom pa mi gtong ba dang
dge slong gi sdom pa dang sngags sdom gnyis btang nas byang sems kyi sdom
pa mi btang ba dang /
sngags sdom btang nas 'og ma gnyis mi btang ba dang
gsum char dus mnyam du btang ba dang mam pa lnga nas/*

p. 238, fol. 77v, l. 4

*dang po ni/ de lta bu'i dge slong gis sems can gyi don du 'gyur ba sogs dgos ched
khyad par can gyi tshe dge slong gi bslab pa phul ba dang / shi 'phos pa'i tshe dge
slong gi sdom pa gtong ste/ de'i gtong rgyu byung ba'i phyir/ de'i tshe gong ma
gnyis mi gtong ste gtong rgyu ma byung ba'i phyir/*

*gnyis pa ni/ de lta bu'i dge slong gis smon sems gtong ba 'am/ byang sems kyi sdom
pa'i pham 'dra kun dkris drag pos spyad pa'i tshe gong ma gnyis gtong ste/ byang
soms kyi sdom pa'i gtong rgyu byung ba'i phyir dang / sngags sdom gyi rtsa ltung
lnga pa 'am gnyis pa byung ba'i phyir ro/ dge slong gi sdom pa mi gtong ste/ gtong
rgyu ma byung ba'i phyir ro/*

p. 238, fol. 77v, l. 1

3.3.3.5.2. The analysis of whether vows are lost successively or simultaneously when loss [occurs] entails two topics:

3.3.3.5.2.1. The way of losing [vows] in the case of a Tantric adept monk who has taken up the three vows successively, and

3.3.3.5.2.2. the way of losing [vows] when the three vows were obtained simultaneously only from the ritual of initiation.

3.3.3.5.2.1. (...) Generally, the way of losing the [vows] for [a person] possessing the three vows who has taken them successively has five aspects:

- 1) Not losing the two higher [vows] after the monk's vows have been lost,
- 2) not losing the monk's vows after the two higher [vows] have been lost,
- 3) not losing the bodhisattva vows after the monk's vows and the Mantra vows have been lost,
- 4) not losing the two lower [vows] after the Mantra vows have been lost,
- 5) losing all three together simultaneously.

p. 238, fol. 77v, l. 4

3.3.3.5.2.1.1. The first case. Such a monk surrenders [i.e. gives back] his monk vows when there is a special purpose, such as that it will be of benefit to sentient beings, and he loses his monk vows at the time of death, because the cause of losing them occurred [in those circumstances]. At these times he does not lose the two higher [vows], because the causes for losing [them] did not occur.

3.3.3.5.2.1.2. The second case. Such a monk loses the two higher [vows] when he abandons the resolve [for awakening] or when he performs with violent unwholesome emotions the faults resembling infractions entailing expulsion of the bodhisattva vows, because the cause for losing the bodhisattva vows has occurred, and because either the fifth or the second fundamental transgression of the Mantra vows has occurred.³⁸¹ He does not lose the monk vows, because a cause for losing that did not occur.

³⁸¹ The fifth fundamental transgression against the Tantric pledges is: *byang chub kyi sems smon pa btang ba*. See Grags-pa-rgyal-mtshan, *Removing Errors Regarding the Fundamental Transgressions*, p. 248, fols. 46r ff.; the second fundamental transgression is: *bde bar gshegs pa'i bka' khyad du gsod pa*, p. 243, fols. 39r ff.

*gsum pa ni/ de lta bu'i dge slong gis dgos ched khyad par can med par dge slong
gi pham pa bzhi spyad na dge slong gi sdom pa dang sngags sdom gnyis ka gtong
ste/ dge slong gi sdom pa la ltos pa'i pham pa byung ba'i phyir dang / sngags sdom
gyi rtsa ltung gnyis pa byung ba'i phyir ro/ byang sems kyi sdom pa mi gtong ste/
rang gi cho gas thob cing de'i gtong rgyu ma byung ba'i phyir ro/*

*bzhi pa ni/ de lta bu'i dge slong gis rtsa ltung bdun pa dang / brgyad pa lta bu thun
mong ma yin pa rnams spyad pa'i tshe sngags sdom gtong ste/ de'i tshe rtsa ltung
byung ba'i phyir ro/ 'og ma gnyis mi gtong ste/ de'i gtong rgyu ma byung ba'i phyir
ro/*

*lga pa ni/ de lta bu'i dge slong gis smon sems btang nas so thar gyi pham pa bzhi
spyad pa'i tshe gsum char gtong ste dge slong gi sdom pa'i pham pa byung ba'i
phyir dang / byang sems kyi smon sems gtang ba'i phyir dang / sngags kyi bde
gshegs bka' 'das byung ba'i phyir ro/*

p. 238, fol. 78r, l. 4

*'di la byang sems kyi sdom pa btang nas sngags sdom mi gtong ba'i mu ni med de/
byang sems kyi sdom pa gtong ba'i rgyu la/ kun dkris drag pos byang sems kyi pham
pa spyad pa dang / smon sems gtong ba gnyis su nges pa las dang po ni bde gshegs
bka' 'das kyi rtsa ltung dang / gnyis pa la ni rtsa ltung lga pa byung ba'i phyir
dang / sngags sdom yang byang sems kyi sdom pa'i bye brag yin pa'i phyir/*

3.3.3.5.2.1.3. The third case. Such a monk loses his monk's vows and the Mantra vows if he commits the four infractions entailing expulsion of the monk's [vows] without a special purpose, because infractions entailing expulsion with regard to the monk vows has occurred and the second fundamental transgression against the Mantra vows has occurred.³⁸² He does not lose the bodhisattva vows, because [they] were taken by their own specific ritual and a cause for losing them did not occur.

3.3.3.5.2.1.4. The fourth case. Such a monk loses the Mantra vows when he commits the exclusively Tantric fundamental transgressions such as the seventh and the eighth,³⁸³ because at that time a fundamental transgression has occurred. The two lower [vows] are not lost, because their cause for being lost has not occurred.

3.3.3.5.2.1.5. The fifth case. Such a monk loses all three [vows] when he commits the four infractions entailing expulsion of the prātimokṣa after he had abandoned the resolve [for awakening], because an infraction entailing expulsion to his monk vows has occurred, because he abandoned the resolve [for awakening] and because a transgression against the Sugata's teaching of the Tantric vows has occurred.

p. 238, fol. 78r, l. 4

Regarding this [i.e. 3.3.3.5.2.1.2. and 5.], having lost the bodhisattva vows, one inevitably loses the Mantra vows, [too], because there are two and only two causes for losing the bodhisattva vows: [1]) to commit an infraction entailing expulsion of the bodhisattva vows with violent unwholesome emotions, and [2]) to abandon the resolve [for awakening]. From among these, the first is the fundamental transgression of going beyond the Sugata's teachings, and the second is the occurrence of the fifth fundamental transgression [from among the fourteen Tantric pledges],³⁸⁴ and [furthermore the Mantra vows are lost] because the Mantra vows, too, are a particular instance of the bodhisattva vows.

³⁸² As cited above, Grags-pa-rgyal-mtshan, *Removing Errors Regarding the Fundamental Transgressions*, p. 243, fols. 39r ff.

³⁸³ The seventh is: *snod ma yin pa la gsang ba sgrogs pa*, on which see Grags-pa-rgyal-mtshan, *Removing Errors Regarding the Fundamental Transgressions*, p. 250, fols. 53r ff. The eighth is: *rang gi lus la smad pa*, on which see p. 251, fols. 56r ff.

³⁸⁴ As cited above, see Grags-pa-rgyal-mtshan, *Removing Errors Regarding the Fundamental Transgressions*, p. 248, fols. 49r ff.

de bzhin du dge slong la sogs pa'i ris bdun btang nas gong ma gnyis mi gtong ba'i mu yod pa yin gyi/ so thar btang nas gong ma gnyis mi gtong ba'i mu ni yod pa ma yin te/ gong ma gnyis so thar gyi sdom pa'i bye brag yin pa'i phyir ro/

p. 238, fol. 78v, l. 1

sdom pa 'og ma gnyis len pa'i cho ga sngon du ma song bar dbang bskur gyi cho ga gcig pu las sdom pa gsum char thob pa la ni sngags kyi rtsa ltung byung ba'i tshe sdom pa gsum char gtong ste/ de lta bu'i sdom pa gsum ka yang sngags sdom gcig pu la ldog pa'i sgo nas gsum du phye ba tsam yin pa'i phyir dang / len pa'i cho ga yang dbang bskur kho na las thob dgos pa yin gyi cho ga gzhan las ma thob pa'i phyir ro/

'on kyang smon sems ma btang na rtsa ltung byung bas sdom pa'i ldog pa btang yang kun rdzob byang chub sems kyi ldog pa mi btang pa ni yod do/ rigs pa 'di la rten nas so thar len pa'i cho ga sngon du ma song bar byang sems kyi sdom pa thob pa'i gnyis ldan yang byang sems kyi sdom pa gtong ba'i tshe gnyis ldan gtong bar shes par bya zhing byang sems kyi sdom pa cho ga'i sgo nas ma blangs par so thar ris brgyad po byang chub kyi sems kyi zin pa'i sgo nas blangs pa'i gnyis ldan la yang so thar btang ba'i tshe sdom pa gnyis char btang bar shes par bya'o/

de'i tshe kun rdzob byang chub kyi sems kyi ldog pa ni mi gtong ste/ gzhung las/

theg chen so so thar yin yang /

dge slong la sogs sdom pa yi/

ldog pa shi ba'i tshe na gtong /

byang chub sems kyi ldog pa dang /

de yi 'bras bu shi yang 'byung /

zhes gsungs pas so/

Similarly, there does exist the possibility of not losing the two higher [vows] after the seven classes [of *prātimokṣa*] such as the monk [vows] are lost [as in 3.3.3.5.2.1.1.],³⁸⁵ but there does not exist the possibility of not losing the two higher vows after the *prātimokṣa* vows have been lost, because the two higher vows are particular instances of the *prātimokṣa* vows.

p. 238, fol. 78v, l. 1

3.3.3.5.2.2. For someone who has obtained all three vows through merely the ritual of initiation without any preceding ritual for taking up the two lower vows, all three vows will be lost when there occurs a fundamental transgression [against the pledges] of Mantra, because all three vows as mentioned above are merely differentiated into three by way of their being aspects of simply the Mantra vows, and because regarding the ritual for taking up [these vows], too, they must be taken solely from initiation, but they are not obtained through any other rituals, [namely, their specific *prātimokṣa* and bodhisattva vow rituals].

Nevertheless, if the resolve [for awakening] is not lost, even though the aspect of the vow is lost through the occurrence of a fundamental transgression, the aspect of relative resolve for awakening (*bodhicitta*) is not lost.

Based on this logic one should understand that the twofold possession, too, where the bodhisattva vows have not been preceded by a ritual of taking up *prātimokṣa*, will be lost at the time of losing the bodhisattva vows; and one should also understand that for the twofold possession where the eight classes of *prātimokṣa* vows have been taken up endowed with the resolve for awakening [i.e. with *bodhicitta* as volitional impulse] without being taken up [formally] through the ritual of the bodhisattva vows, both vows are lost when the *prātimokṣa* is lost.

At that time, the aspect of the relative resolve for awakening is not lost, because in the basic scripture it is said (Sa-pan's *Clear Differentiation of the Three Vows*, ch. I, v. 40):

"Even in the Mahāyāna *prātimokṣa*,
that part that consists of the vows such as of a full monk
will be lost at death,
whereas that part that consists of the resolve for awakening and its fruit
will arise even after death."

³⁸⁵ "Dying" and "special purpose" are cases where no cause for the loss of the higher vows arise.

p. 239, fol. 79v, l. 3

drug pa gsum ldan gyi gang zag gis sdom pa gsum nyams su len pa'i tshul la gnyis te/

so so'i bslab bya la slob tshul dang /

re re yang gsum ldan du nyams su len pa'i tshul lo/

dang po ni/ rten gyi gang zag sdom gsum rim can du blangs pa'i dge slong rdo rje 'dzin pa des ji srid/ bslab dang dbang las mal 'byor grol/ zhes gsungs pa lta bu'i rtogs pa ma thob kyi bar du rang rgyud kyi sdom pa gsum gyi bslab bya rnams la sems can gyi don du 'gyur ba sogs kyi dgos pa khyad par can med pa'i tshe so so'i gzhung las 'byung ba bzhin bslab dgos te/ gsang ba 'dus pa'i bshad rgyud las/

phyi ru nyan thos spyod pa bsrung //

nang du 'dus pa'i don la dga'//

zhes pa dang / rdo rje gur las/

nyan thos spyod pa srung ba po/

zhes pa dang / ltung ba 'chad pa'i skabs su yang /

sdom pa gnyis kyi bcas pa las//

dgos pa med par 'da' ba dang //

zhes sogs dgos med du bcas pa las 'das pa yan lag gi ltung bar bshad pa'i phyir dang / spyod 'jug las/

p. 239, fol. 79v, l. 3

3.3.3.6. Sixth. [The explanation of] how a person possessing these three [vows] practices the three vows entails two topics:

3.3.3.6.1. How to practice the individual trainings,³⁸⁶ and

3.3.3.6.2. How to practice each [of them] as possessing three [vows].³⁸⁷

3.3.3.6.1. Regarding the first, [here] the person who is the basis, [i.e.] a Tantric adept monk who has successively taken up the three vows, must train in the rules of the three vows of his own mental stream of consciousness³⁸⁸ according to what is taught in the respective scriptures when there is no special purpose such as the benefitting of sentient beings until he has obtained the realization that is described as "the yoga freed from training and initiation," because it was taught in the *Explanatory Tantra* (*bshad rgyud*) of the *Guhyasamājantra*:³⁸⁹

"Observe the conduct of the auditors outwardly,
rejoice inwardly on the meaning of the [*Guhya*]*samāja* ...;"
and in the *rDo rje gur*:³⁹⁰

"He who observes the conduct of the auditors ...;"
and because in the chapter on explaining the [fundamental] transgressions [it is taught]:

"Needlessly transgressing
against the restrictions of the two vows, and ...;"
thus needlessly transgressing against these restrictions is a branch
transgression [among the Tantric pledges];
and because many precepts (*bslab bya*) have been transgressed, such as [that of the]
Bodhicaryāvatāra [ch. V, v. 93c]:

³⁸⁶ This is to explain that one has to continue the three vows individually and according to the respective authoritative scriptures relating to each particular vow.

³⁸⁷ In this section Go-rams-pa explains how each vow is to be kept in the fashion of possessing all three vows together.

³⁸⁸ The term *rang rgyud* is ambiguous. It might mean "independent," but later the term was mostly understood as "own mind."

³⁸⁹ Perhaps *Vajramālātantra*, P vol. 3, no. 82, fol. 232r: *nang gi gsang 'dus la rab dga'// phyi rol nyan thos la spyod pa//* The same quote is used by Lo-chen Dharma-shrī, *rNam nges 'grel pa*, fol. 306v. He reads *skyong* instead of *bsrung*.

³⁹⁰ '*Phags pa mkha*' '*gro ma rdo rje gur zhes bya ba'i rgyud kyi rgyal po chen po'i brtag pa* (*Āryaḍākīṇivajraparījaramahātantrarājakaḥpanāma*), P 1, no. 11, fol. 283r4, belonging to the cycle of *Hevajra*.

'jig rten ma dad gyur pa nmams//
 mihong dang dris te spang bar bya//
 zhes pa dang /
 rkang pa rkyong ste mi 'dug cing //
 zhes sogs kyi bslab bya mang du gsungs pa'i phyir dang / gzhung 'dir yang /
 'di la sdig to mi dge'i phyogs//
 phal cher nyan thos lugs bzhin bsrungs//
 zhes sogs mang du gsungs pa'i phyir ro/ sems can gyi don du 'gyur pa sogs dgos pa
 khyad pa can yod pa'i tshe rten gyi gang zag de lta bu la lus ngag gi bcas pa thams
 cad kyang gnang ste/ spyod 'jug las/
 thugs rje mnga' ba ring gzigs pas//
 bkag pa nmams kyang de la gnang//
 zhes pa dang / bzhi ba rgya pa las/
 bsam pas byang chub sems pa la/
 dge ba'am yang na mi dge ba/
 thams cad dge legs nyid 'gyur te/
 gang phyir sems de gtso bo'i phyir/
 zhes pa dang/ gzhung 'dir yang /
 'jig rten 'jug pa'i rgyur gyur na//
 theg chen so sor thar la gnang //
 zhes sogs gsungs pa'i phyir ro/

p. 239, fol. 80r, l. 5

gnyis pa ni/ byang chub sems dpa' phyag na pad mo'i mam par 'phrul pa mal 'byor
 gyi dbang phyug sa skya pa chen po'i zhal nas

"[Having] seen and inquired [into] everything
causing loss of faith among ordinary people, I should give it up;"³⁹¹
and [*Bodhicaryāvatāra* ch. V, v. 92c]:

"I should not sit with my legs outstretched ...;"
and because it has been taught at length in the present work such things as (ch. I,
v. 36):³⁹²

"Here one ought to avoid sins and non-virtuous factors
for the most part according to the system of the auditors."

When there exists a special purpose such as its being for the benefit of sentient
beings, even all restricted things for body and speech are allowed for such a person
who is the basis, because it is said in the *Bodhicaryāvatāra* [ch. V, v. 84c]:

"The Merciful One through far-sightedness
allowed them [i.e. the bodhisattvas] even [these activities] that were
prohibited [for others],"³⁹³

and in the *Catuhṣataka* [ch. V, v. 5a-d]:

"Because of his intention [of benefiting others],
for the bodhisattva all virtuous and non-virtuous actions
become purely positive,
since they are under the control of the mind
(Go-rams-pa's *General Topics*: since they are the chief thing);"³⁹⁴

and in the present scripture (ch. I, v. 37):³⁹⁵

"If it is a cause for people in the world to enter [the higher path],
it is allowed in the Mahāyāna prātimokṣa."

p. 239, fol. 80r, l. 5

3.3.3.6.2. The emanation of the bodhisattva Padmapāṇi (Avalokiteśvara), [and] lord
of yogis, the great Sa-skyapa [Kun-dga'-snying-po], said:

³⁹¹ P vol. 99, p. 250-2-3; the following quote is from the same passage.

³⁹² Sa-paṇ, *Clear Differentiation of the Three Vows*, p. 298, fol. 4r, l. 2.

³⁹³ P vol. 99, 250-1-5.

³⁹⁴ Āryadeva, *Catuhṣatakaśāstrakārika*, ch. V, v. 5. See Karen Lang (1986: 54-55). Her edition reads:

b: dge ba'am 'on te mi dge'ang rung //

d: gang phyir yid de'i dbang gyur phyir.

³⁹⁵ Sa-paṇ, *Clear Differentiation of the Three Vows*, p. 298, fol. 4r, l. 3.

*de ltar dbang bzhi'i lam de dag bsdu nas nyams su len na sdom pa gsum
ldan gyis sgom dgos te/ so sor thar pa'i sdom pa dge bsnyen nas dge slong
gi bar du thob pa byang chub sems dpa'i smon 'jug gi sems bskyed thob pa
gsang sngags kyi dbang bzhi'i sdom pa thob pa'o/*

*de la nyan thos nyon mongs pa'i skyon shes par byas nas myur du
spong / byang chub sems dpas skyon yon 'dres par byas nas gnas gyur/
gsang sngags pas nyon mongs pa gang skyes pa de'i tshe chos can dang
chos nyid/ dbyings dang ye shes gnyis su med par gzugs kyi sku dang chos
kyi sku gnyis kyi ngo bo shes par byas nas lhun grub dang gnas 'gyur gyi
sku sgrub par byed do/*

*de ltar shes par byas te mishan ma dang mam rtog ye shes kyis dbang
du bsdu ba'i phyir 'di ltar bsgom ste dper na bdag la 'dod chags skyes na
gnyen pos 'dod chags kyi dbang du mi gtong ba ni so sor thar pa'i sdom
pa'o/*

*de nas sems can thams cad kyi nyon mongs pa bdag la smin nas sems
can gyi nyon mongs pa bag chags dang bcas pa bral nas mngon par rdzogs
par 'tshang rgya bar gyur cig ces byang chub kyi sems sgom pa ni byang
chub sems dpa'i sdom pa'o/*

*de nas rang yi dam lhar sgom/ bla ma spyi bo 'am snying khar sgoms
la mos gus bya/ sems rdzogs rim gyi ye shes dran par bya ste rang gi lus
ngag yid gsum sangs rgyas chos kyi sku dang / gzugs skur sgom pa ni rig
'dzin sngags kyi sdom pa'o/*

*de nas dge ba'i rtsa ba sangs rgyas thob par gyur cig ces bsngo ba
dang / de ma rtogs pa'i sems can la snying rje sgom pa dang / chos thams
cad rmi lam lta bu'i ngang las spyod lam bya ba sdom pa gsum myur du
smin par byed pa'o*

"Thus, if you practice in a brief way the paths of the four initiations, you have to meditate through the possession of the three vows, [since one] has obtained the *prātimokṣa* vows from householder up to full monk, one has obtained the production of the preliminary resolve and the actualization of the resolve [for awakening] of the bodhisattvas, [and] one has obtained the vows of the four initiations of the Mantra [tradition].

Regarding these, the auditor understands the [moral] defects of the defilements and abandons [them] quickly, the bodhisattva mingles [their moral] defects and qualities and transforms [them], the Mantra practitioner when any defilement arises, understands [the defilement as] the nature of the *rūpakāya* and *dharmakāya* without the duality of phenomena and *dharmatā* [or] *dhātu* and gnosis, and [he] establishes the *kāya* of spontaneity and transformation.

Having understood accordingly, one meditates as follows in order to overcome phenomenal marks and mental constructions by means of gnosis: For example, if desire has arisen in oneself, to prevent oneself from coming under the influence of desire by means of an antidote is [how one upholds] the vow of *prātimokṣa*.

Then one produces the resolve for awakening, thinking: "After the defilements of all sentient beings have ripened in me, may I become free from the defilements of sentient beings together with their propensities and attain completely perfect Buddhahood!" [To practice in this way is how to uphold] the bodhisattva vow.

Then, meditating oneself as the deity of meditation (*yi dam [kyi] lha*), one should visualize the guru upon one's crown [of the head] or in [one's] heart and generate devotion toward him. One should call to mind the gnosis of the perfection stage — to meditate thus one's body, speech, and mind as the Buddha's *rūpakāya* and *dharmakāya* is [how to uphold] the vow of the Tantric adept.

Then one dedicates the root of virtue with the words: "May [I] obtain Buddhahood!" And one cultivates loving compassion for the sentient beings who have not realized [Buddhahood]. One performs activities in a state where all phenomena are [seen to be] like a dream. [In this way one] brings the three vows quickly to maturity."³⁹⁶

³⁹⁶ This passage of Sa-chen Kun-dga'-snying-po's teaching of the three vows has yet to be identified.

*zhes gsungs pa'i don nyams len gyi rim pa la sbyor ba ni sems rgyud la 'dod chags
lta bu gcig skyes pa'i tshe rang nyid rten dge slong la sogs pa yin pa rgyu mtshan
du byas nas spong ba ni so thar gyi nyams len/*

*de'i steng du gzhan gyi 'dod chags 'bras bu dang bcas pa thams cad rang la len
cing rang gi bde dges sems can de dag bde bar sgom pa ni byang sems gyi sdom pa'i
nyams len/*

*de nas bla ma spyi bo 'am snying khar bsgoms nas gus 'dud drag po byas/ 'dod
chags kyi gsal ba'i ngo bo de nyid 'od dpag med du bsgom nas sems bde stong gi
ngang las mnyam par bzhang pa ni sngags sdom gyi nyams len no/*

*de bzhin du nyon mongs pa gzhan rnams la yang ci rigs par sbyar bar bya'o/
de dag ni so thar gsum ldan du nyams su len pa'i tshul yin la/*

*byang sems kyi sdom pa gsum ldan du nyams su len tshul ni gzhan la sbyin pa
gtong ba'i tshe yang chang dang dug dang mtshon cha la sogs pa gzhan la gnod pa'i
rgyur gyur pa'i ma dag pa'i sbyin pa spong ba ni so thar gyi nyams len/*

*sbyin pas 'khor du bsdus nas chos bstan pas gnas skabs dang / mthar thug gi
phan bde la 'god cing de'i tshe yang 'khor gsum mi dmigs pa'i shes rab kyi zin pa
ni byang sems kyi sdom pa'i nyams len/*

*thams cad kyang lha dang ye shes su byin gyis brlabs nas longs spyod pa ni
sngags sdom gyi nyams len no/ de bzhin du pha rol tu phyin pa'i spyod pa thams cad
kyang gsum ldan du nyams su len par bya'o/*

*sngags sdom gsum ldan du nyams su len pa'i tshul ni sgrub thabs gcig la yang
sngon 'gro thams cad kyang sdom gsum gyi nyams len dang / dngos gzhi yang sdom
gsum gyi nyams len dang / lha'i sku dang phyag mtshan thams cad kyang sdom gsum
gyi nyams len du 'gro ba'i tshul bla ma dam pa bsten cing / dri ma med pa'i rgyud
sde la sbyangs te shes par bya'o/*

To apply the meaning of the above statement to the stages of practice, when something like desire has arisen in one's mental stream of consciousness, to abandon [it] is the practice of the *prātimokṣa* vows, taking one's [vow] status as full monk, etc., [as] one's reason [for doing so].

In addition to that, to take upon oneself all desires of others together with their fruits and to cultivate the wish that sentient beings may become happy through one's own happiness and virtue is the practice of the bodhisattva vows.

Then, meditating the guru on the crown [of one's head] or in one's heart and producing passionate devotion, the concentrative abiding in a state of bliss and emptiness [of] the mind after one has meditated that clear visible nature of desire as [the Buddha] Amitābha is the practice of the Mantra vows.

Accordingly one should apply [this] appropriately to the other defilements. These were the ways to practice the *prātimokṣa* as possessing the three [vows].

But regarding the way to practice the bodhisattva vows as possessing the three [vows], also at the time of presenting gifts to others, to abandon the giving of impure gifts that become the cause of harm such as alcohol, poison, and weapons is the practice of *prātimokṣa*.

After gathering [disciples] into a retinue through generosity, by teaching the Dharma one leads [the disciples] to temporary and ultimate benefit and happiness, and at that time, too, to endow [this] with the insight (*prajñā*) that does not objectify the three aspects (*'khor gsum mi dmigs pa*)³⁹⁷ is the practice of the bodhisattva vows.

To enjoy everything after blessing it as deity and gnosis is the practice of the Mantra vows. In the same way, one has to practice all the practices of the Perfections Vehicle (*pāramitā*) as possessed of the three [vows].

Regarding the way of practicing the Mantra vows as possessing the three [vows], how even in a single ritual of evocation (*sādhana*) all the preliminaries are the practice of the three vows, and also how the actual practice is the practice of the three vows, and how the body of the deity and all the mudrās can become the practice of the three vows, you should learn [these things] by studying the pure tantras under the instructions of a noble guru.

³⁹⁷ The doer, the action, and the object of the action (*byed pa po dang/ bya ba'i las/ bya ba'i yul te gsum*).

'dir ni ji ltar sbyor ba'i tshul rgyas par spro ba'i skabs ma yin pas ma bris la/ de ltar 'byung ba'i shes byed ni gsang ba 'dus pa'i man ngag 'ga' zhig las lam rim pa lnga po re re la yang rim pa lnga ldan du nyams su len pa'i tshul bshad pa dang / rje btsun na ro pa'i 'khor lo bde mchog gi man ngag 'ga' zhig las sgrub thabs spyi sku gsum gyi nyams len la sbyor zhing bye brag tu de re re yang sku gsum gyi nyams len la sbyor ba'i tshul bshad pa dang / mal 'byor dbang phyug gi man ngag las dbang bzhi'i lam re re yang dbang bzhi ldan du nyams su len tshul bshad pa bzhin 'dir yang nyon mongs pa re re spong ba la sdom pa gsum ldan gyi nyams su len sbyor bar gsungs pas shes par nus so/

mdor na pha rol tu phyin pa'i gzhung lugs las go sgrub nyams su len pa'i tshe phar phyin re re yang phar phyin drug ldan du nyams su len pa ltar gsum ldan gyi gang zag gis sdom pa gsum po re re yang sdom pa gsum ldan du nyams su len pa (...)

Here it is not the occasion to explain in detail how to apply [the three vows as "possessing three" in the Mantra system]; thus, I have not written [more]. But the proof that it occurs in that way can be known from the explanation in several instructions of the *Guhyasamāja[āntra]* of how to practice in each stage of the five stages (*pañcakrama*) as possessing all five stages, too, and the exposition by Nāropa in several instructions on the *Cakrasaṃvara[āntra]* of how to apply the general ritual of evocation (*sādhana*) to the practice of each of the three spheres (*kāya*), while each division, too, is applied to the practice of the three spheres, and the explanation in the instructions of Virūpa of how each path of the four initiations, too, is practiced as possessing the four initiations. And according to that, here, too, the teaching that one applies the practice that possesses the three vows to the avoiding of each defilement [can be known].

In short, as one practices each Perfection (*pāramitā*) as possessing all Six Perfections [together] when one practices the "mastering of the armour" (*go sgrub / go cha'i sgrub pa*) after the scriptural tradition of the Perfection [of Insight] (*[prajñā]pāramitā*), a person who possesses the three vows [together] practices each vow as possessing the three vows (...).

[1] rgya gar skad du/ tri samba ra pra bhā³⁹⁸ mā la nā ma/
bod skad du/ sdom gsum 'od kyi phreng ba zhes bya ba/

bla ma dang 'jam dpal³⁹⁹ dbyangs la phyag 'tshal lo/

mam dag zab yangs shes bya'i rgya mtsho las//
bstan pa'i gru dang bdag blo'i ded dpon gyis//
mkhyen pa'i dar phyar⁴⁰⁰ brtse ba'i rlung bskyod nas//
sdom gsum yid bzhin nor bu kho bos blang⁴⁰¹ //

deng⁴⁰² sang bstan pa'i mjug⁴⁰³ 'di ru//
sdom pa gsum gyi mam gzhag la//
mkhas pa⁴⁰⁴ rgyu skar 'ga'⁴⁰⁵ zhig gis//
phyogs re'i cha tsam brjod⁴⁰⁶ gyur⁴⁰⁷ mod//

so sor thar dang byang chub sems//
rig⁴⁰⁸ 'dzin sngags kyi sdom pa la//
thob⁴⁰⁹ tshul gnas tshul gtong ba'i tshul//
gnyis ldan gsum ldan 'thad pa'i gzhung //
nyes pa phan yon rim pa dag
bdag blo nyi ma'i dkyil 'khor⁴¹⁰ gyis//
phyogs mams gsal bar bya phyr bkod//⁴¹¹

³⁹⁸ General Topics: bho.

³⁹⁹ P + D: pa'i.

⁴⁰⁰ P + D: 'phyar.

⁴⁰¹ General Topics: blang.

⁴⁰² D: ding.

⁴⁰³ P + D: gsham.

⁴⁰⁴ P + D: pa'i.

⁴⁰⁵ D: 'ba'.

⁴⁰⁶ P + D: rtogs.

⁴⁰⁷ P + D: 'gyur.

⁴⁰⁸ P: rigs.

⁴⁰⁹ P + D: 'thob.

⁴¹⁰ P + D: 'od zer.

⁴¹¹ P + D: phyogs las rgyal bar byas te dgod.

The Remaining Parts of Vibhūticandra's *Garland of Rays*: Text and Translation

In this chapter for the sake of completeness I would like to give the Tibetan text with translation of those sections of Vibhūticandra's *Garland of Rays* that were not discussed in Go-rams-pa's *General Topics* and therefore have not been presented in the previous chapters. This remaining part consists of sixteen sections.⁴¹²

[1] In Sanskrit the title is: *Trisaṃvaraprabhāmālā*.

In Tibetan: "The Garland of Light [of the] Three Vows."

I pay homage to the guru and to Mañjuḥṣa!

I shall take the wish-fulfilling jewel of the three vows
from the completely pure, profound [and] vast ocean of knowable things
with the boat of the teachings and the captain of my mind,
having moved the hoisted sail of knowledge [with] the wind of kindness.

Nowadays, at this final period of [the Buddha's] doctrine,
some scholars who are [just like] stars
have expressed merely one side [of it]
regarding doctrinal formulations of the three vows.

With my mind, the disc of the sun,
in order to illuminate the directions of the faults [of not possessing]
[and] the benefits [of possessing the vows]

I shall compose (*dgod*) a treatise on the correct way of obtaining,
maintaining, and losing,

and on a twofold and threefold possession [of the vows]

with regard to the prātimokṣa and the bodhisattva vows, and the vows of the Tantric
adepts.

⁴¹² For the system of numbering, see fn. 82.

[4] *bslab pa phul dang shi 'phos dang //*
*mshan gnyis⁴¹³ dag ni byung ba dang //*⁴¹⁴
*rtsa ba chad dang mshan 'das las //*⁴¹⁵
so sor thar pa'i 'dul ba⁴¹⁶ gtong⁴¹⁷ //
gsungs so pham pa byas⁴¹⁸ pas kyang //
*so thar sdom pa'i gtong tshul yin //*⁴¹⁹

[6] *smon dang 'jug pa'i bdag nyid can //*
lus ngag yid gsum rang don dang //
gzhan don thabs ma yin las⁴²⁰ sdom //
kun rdzob byang chub sems⁴²¹ kyi'o //

[7] *de nyid snang la rang bzhin med //*
'jig tshogs⁴²² shin tu⁴²³ goms pa las //
yul dang yul can gnyis las grol //
don dam byang chub sems yin no //

[8] *soms dang sems byung sems bskyed gnyis //*
rang don gza' dang bral ba⁴²⁴ na //
nyi ma dang ni 'od zer bzhin //
de dag gnas pa'i tshul yin no //

[13] *dgos 'dod⁴²⁵ med do skyon mams 'byung⁴²⁶ //*
dpag bsam shing bu 'gyel ba bzhin⁴²⁷ //

⁴¹³ P: nyid.

⁴¹⁴ General Topics: *rtsa ba chad dang mtshan 'das dang*.

⁴¹⁵ General Topics: *mshan gnyis gcig char byung ba las*.

⁴¹⁶ General Topics: *sdom pa*.

⁴¹⁷ D: *gtang*.

⁴¹⁸ P + D: *spyad*.

⁴¹⁹ General Topics: fol. 58r. P + D: *so sor thar pa'i tshul yin no*.

⁴²⁰ General Topics: *pas*.

⁴²¹ D: p. 1402.

⁴²² P + D: *sogs*.

⁴²³ P + D: *bzhin du*.

⁴²⁴ P + D: *bas*.

⁴²⁵ General Topics: *don*.

⁴²⁶ General Topics: *phyung*.

⁴²⁷ P + D: *ltar*.

[4] It is taught⁴²⁸ that the discipline of the *prātimokṣa* is lost
by giving back the training, by death,
by developing androgyny,
by cutting the root, and by the elapse of night.
Committing [an infraction] leading to expulsion from the order, too,
is a way of losing the vows of *prātimokṣa*.

[6] Possessing the nature of the preliminary resolve and the actualization of the
resolve [for awakening],
restraint of body, speech, and mind for one's own sake
and for the sake of others from inappropriate activities
is of relative *bodhicitta*.

[7] Just that, being appearance without own nature,
free from both the objects and the subject [of cognition]
[which arise] from strong internalization to the false view that the [five] constituents
of the person (*skandhas*) are the self (*satkāya[dṛṣṭi]*),
is absolute *bodhicitta*.

[8] When both productions of the resolve—[consisting of both] mind and mental
products—
are free from the eclipse of own benefit,
like the sun and [its] rays of light,
that is the way those persist.

[13] When fundamental transgressions arise
that are like the falling of the wish-fulfilling tree,

⁴²⁸ In Vasubandhu, *Abhidharmakośa*, ch. IV, v. 38. See my discussion of this passage in chapter 2, section 2, p. 23.

rtsa ba'i ltung ba byung ba na//
rig pa 'dzin pa'i sdom pa gtong //

[35] *phrag dog nor 'dod gti mug can//*
rang bzo⁴²⁹ byed pa su yis khegs//
mdo rgyud dpung⁴³⁰ kyang phyogs su 'phen//
sgra tshad byung na rtags⁴³¹ gghan bsgyur⁴³²//
bstan pa'i byi dor ji ltar bya//

[38] *sdom gsum gang yang mi ldan na//*
lha mi'i lus kyang rnyed par dka'//
nyams na mthong chos rnam smin gyi⁴³³//
rim pa gnyis kyis bstan par bya//

[39] *so thar sdom pa⁴³⁴ nyams gyur na//*
rang gis rang bslus gnod pa mang⁴³⁵ //⁴³⁶
rgyal⁴³⁷ dang de sras thams cad kyis//
cung zad khrel gyi shin tu min//
pham pa'i bye brag lci yang gis//⁴³⁸
lo grangs ngan song gsum du gnas//

[40] *byang sems nyams na 'gro ba kun//*
bslus pas gnod pa shin tu mang //
rgyal dang de sras thams cad dang //
'gro ba kun gyis shin tu khrel//

⁴²⁹ P: *gzo*.

⁴³⁰ P + D: *spungs*.

⁴³¹ P + D: *gtam*.

⁴³² P + D: *sgyur*.

⁴³³ General Topics: *dag*.

⁴³⁴ P + D: *de las so thar*.

⁴³⁵ P + D: *nyung*.

⁴³⁶ P: 268a.

⁴³⁷ D: p. 1405.

⁴³⁸ P + D: *pham pa lci yang bye brag gis*.

[such as] the disappearing of [the source of the perfection of everything] needed [or] desired [i.e. the deity of meditation], [and] the arising of [moral] faults, the vows of the Tantric adepts are lost.

[35] Who will stop [such] self-fabrications produced [by those] who are endowed with envy, desire for wealth, and ignorance? Even though [there is] an abundance of sūtras and tantras, they cast them aside. If grammar [and] logic is presented [to them], they turn the logical sign [into something] else(?).
How can one purify the teachings?

[38] If one does not possess any of the three vows, even celestial and human bodies are difficult to obtain. I shall demonstrate through the two stages of the present life (*drṣṭādharma*) [and karmic] consequences [in future existences] [the bad results] if [vows] are damaged.

[39] If the prātimokṣa vow is damaged, one has let down oneself and there is much harm. The Buddha and all the bodhisattvas disapprove you somewhat, but not too much. Through the respective weight of the fundamental infractions the number of years one [will have to] remain in the three lower realms [is determined].

[40] If the bodhisattva [vow] is damaged, there is very much harm because all beings have been let down. The Buddha, all bodhisattvas, and all beings will disapprove of you very much.

*pham pa*⁴³⁹ *lci yang bye brag gis*//
*bskal pa'i grangs bzhin*⁴⁴⁰ *dmyal bar gnas*//

[41] *rig 'dzin sdom pa nyams gyur na*⁴⁴¹//
*yid du mi 'ong sna tshogs 'byung*⁴⁴²//
rgyal dang de sras kun brnyas pas//
*mi 'jig*⁴⁴³ *mnar med gnas dag tu*//
sdug bsngal chen po de yis myong //
*ritsa ba kun nyams 'chab*⁴⁴⁴ *pa dag*⁴⁴⁵
gso la nye bar mi brtson na//
rdo rje dmyal bar de ltung ste//
dmyal ba phal pa thams cad kyi//
*sdug bsngal gcig tu dril bas kyang*⁴⁴⁶//
*de yi*⁴⁴⁷ *'bum gyi char mi phod*//
*'jig rten 'jig na*⁴⁴⁸ *gshan du 'pho*//
se gol gcig gis phyin par byed//
*sangs rgyas stong gis*⁴⁴⁹ *'od zer dang* //
*byang chub sems dpa'i phrin*⁴⁵⁰ *las kun*//
*rgyun du*⁴⁵¹ *mdzad kyang phan mi*⁴⁵² *'gyur*//
*bskal pa ther 'bum la*⁴⁵³ *sogs su*//
*de ni thon*⁴⁵⁴ *par ma gsungs so*//⁴⁵⁵

⁴³⁹ General Topics: *pa'i*.

⁴⁴⁰ P + D: *kyi*.

⁴⁴¹ P + D: *pas ni*.

⁴⁴² P + D: *can*.

⁴⁴³ General Topics: *'jigs*.

⁴⁴⁴ P + D: *phyal*.

⁴⁴⁵ This verse is from this line onwards from Vilāsavajra, *Dam tshig gsal bkra*, P vol 83, no. 4744, 149-1-2 ff.

⁴⁴⁶ P + D: *bsdoms pa bas*. Vilāsavajra: *bas ni*.

⁴⁴⁷ Vilāsavajra: *yis*.

⁴⁴⁸ General Topics: *zhig pas*.

⁴⁴⁹ P + D: *gi*.

⁴⁵⁰ Vilāsavajra: *'phrin*.

⁴⁵¹ Vilāsavajra: *tu*.

⁴⁵² General Topics: *med*.

⁴⁵³ General Topics: fol. 60v.

⁴⁵⁴ Vilāsavajra: *mthon*.

⁴⁵⁵ Vilāsavajra: *mi 'gyur te*.

Through the specific weight of the fundamental infractions
one will remain in hell the corresponding number of aeons.

[41] If one loses the vows of the Tantric adepts,
various unpleasant experiences occur [in this life].
Because of their disrespect for all Buddhas and bodhisattvas,
great pain in the locations of the unperishing hell
will be experienced by them.

If someone who keeps the complete damage of all fundamental pledges a secret
does not undertake efforts at repairing them,
he will fall into the vajra hell.
Because it combines in itself the pain
of all ordinary hells,
it is a hundred-thousand times more unbearable than those.

If one world-system is destroyed, one transmigrates into [the vajra hell of] another,
[and] one arrives there in an instant.
Even the shining forth of the light rays by a hundred thousand Buddhas
and the continuous performance of all the activities
of the bodhisattvas does not help.
It is taught that one will not escape [from there]
for many billions of aeons.

[42] *so thar sdom*⁴⁵⁶ *pa'i phan yon ni//*
*gnas skabs lha mi mihar thug ni*⁴⁵⁷
*dgra bcom 'bras bu nges par thob*⁴⁵⁸ //

[43] *byang sems sdom pa'i*⁴⁵⁹ *phan yon ni//*
*gnas skabs bde mang mihar thug ni*⁴⁶⁰
*ring mo zhig nas rdzogs sangs rgyas*⁴⁶¹ //
*rgyas par mdo sde blta bar byos*⁴⁶² //

[44] *rig 'dzin sdom pa'i phan yon ni//*
*gnas skabs nyid nas kun mkhyen gyi*⁴⁶³
*bde ba che la longs spyod 'gyur*⁴⁶⁴ //
*gal te bsgoms*⁴⁶⁵ *pa dang bcas na//*
*tshe 'dir sangs rgyas 'grub par gsungs*⁴⁶⁶ //
ma bsgoms gyur kyang lung med na//
*skye ba bcu drug nyid kyis thob*⁴⁶⁷ //

⁴⁵⁶ P + D: *so sor thar*.

⁴⁵⁷ D + General Topics: *gi*.

⁴⁵⁸ P + D: *'thob*.

⁴⁵⁹ P + D: *byang chub sems kyi*.

⁴⁶⁰ P + D: *ring mo na*.

⁴⁶¹ P + D: *sangs rgyas nyid du nges par 'grub*.

⁴⁶² General Topics: *om*.

⁴⁶³ P + D: *gnas skabs kun mkhyen bde longs spyod*.

⁴⁶⁴ P + D: *om*. whole line.

⁴⁶⁵ P + D: *bsgom*.

⁴⁶⁶ P + D: *tshe 'di nyid la sangs rgyas 'gyur*, P: *bsgyur*.

⁴⁶⁷ P + D: *nyid na'o*.

[42] The benefits of prātimokṣa [vows] are
in the short term [birth among] gods and men, [and] ultimately
one will definitely obtain the fruit of arhatship.

[43] The benefits of bodhisattva vows are
in the short term much happiness, [and] ultimately
[one obtains] Buddhahood after a long time.
[For details refer to the *Sūtrālaṃkāra*.]⁴⁶⁸

[44] The benefits of the vows of the Tantric adepts are
enjoyment with regard to the great bliss
of omniscience after this life(?).
If one is equipped with meditation,
Buddhahood is manifested in this life. Thus it is taught [by the Buddha].
If [one is] without transgressions, even though one does not meditate,
one obtains [Buddhahood] with one's sixteenth birth.

⁴⁶⁸ *General Topics*: om. whole line.

[45] *mkhyen brtse dung phyur ldan pa yi*/⁴⁶⁹
*paṇ*⁴⁷⁰ *chen blo yi rgya misho las*//
sdom gsum nor bu'i phreng ba blangs//
*bdag gzhan*⁴⁷¹ *'gro ba ma lus kyi*//
*blo gros gtsug la*⁴⁷² *legs gnas pa*⁴⁷³//
*kun mkhyen de la longs spyod shog*⁴⁷⁴

[46] *paṇḍi ta bhi bhū ta tsandras*
rang 'gyur du mdzad rdzogs so/⁴⁷⁵

⁴⁶⁹ *General Topics*: om. whole line

⁴⁷⁰ *D*: *phan*.

⁴⁷¹ *P*: 268b.

⁴⁷² *General Topics*: *na*.

⁴⁷³ *P* + *D*: *te*.

⁴⁷⁴ *P* + *D*: *kun mkhyen dgos 'dod spyod par shog*.

⁴⁷⁵ *P* + *D*: *rgya gar shar phyogs dza' ga ta la'i paṇḍi ta chen po bi bhū ti tsandras mdzad de rang 'gyur du mdzad pa rdzogs so*.

[45] I took the jewel garland of the three vows
from the ocean of the mind of the great scholar,⁴⁷⁶
[that is endowed a hundred million times with omniscience and love.]
May I and all other beings without remainder
remain at the peak of intelligence,
[and may we] partake of that omniscience.

[46] [This text], translated by the scholar Vibhūticandra himself, is completed.

⁴⁷⁶ Could "the mind of the great scholar" refer to his guru Śākyaśribhadra? Note also the variant in *D*: *phan chen blo*, "the intent [to work] a great benefit."

The Three-Vow Theories of sGam-po-pa

The earliest Tibetan doctrinal formulations on the three vows that I was able to locate are contained in the collected works of sGam-po-pa bSod-nams-rin-chen (1079-1153), the illustrious disciple of Mi-la-ras-pa (1040-1123), Tibet's beloved yogi.⁴⁷⁷ He went through a multifaceted career as a physician, bKa'-gdams-pa adept, and finally foremost disciple of Mi-la-ras-pa. His own collected writings, and to some extent also those of his numerous immediate disciples, reflect his genius with regard to doctrinal formulations and his great skill in developing teaching methods to match the differing needs and abilities of his students. It is, in general, impossible at present to determine exactly how his collected works were compiled and which of the texts contained in them were actually not written down by himself, but rather by his immediate disciples—or perhaps by masters of even later generations. But I have come to the conclusion (as will be explained further in chapter 13) that at least the main passages of those doctrinal formulations regarding the three vows that I investigate in my thesis are very likely sGam-po-pa's teachings. In these passages we find statements that are not yet burdened with considerations of a predominantly theoretical nature, unlike so many later treatises on the three vows. Instead, they are self-confident expressions of an independent, simple, straightforward thinking, and their doctrinal contents can be summarized in very few words. It was a task reserved for later masters of the Karma bKa'-brgyud-pa tradition to elaborate on the originally simple kernel, and this they have done in considerable measure, as we will see in chapters 10 through 12.

⁴⁷⁷ The sentiments that Mi-la produces in Tibetan masters of all traditions until the present day are perhaps best illustrated through the example of H.H. the Dalai Lama, whom I have seen shed tears when he merely mentioned Mi-la's name.

9.1. Biographical Notes on sGam-po-pa bSod-nams-rin-chen

rJe sGam-po-pa bSod-nams-rin-chen (1079-1153) is the founder of the Dwags-po bKa'-brgyud-pa tradition within the Mar-pa bKa'-brgyud-pa.⁴⁷⁸ In his early years he had been a physician (*lha rje*) and a married householder. Due to the shock of the sudden death of his wife and child (or children?), he entered monastic life and soon underwent full monastic ordination at the age of twenty-five (1104). Before meeting Mi-la-ras-pa (1040-1123) in 1110, he studied various subjects including Vinaya and Tantra under such masters of the bKa'-gdams-pa tradition as Mar-yul Blo-ldan, sNyug-rum-pa, and lCags-ri Gong-kha-pa. From Mi-la-ras-pa, with whom he stayed for little more than a year, he received instructions, especially on "inner heat" (*gtum mo*). In the following years he lived the contemplative life of a yogi in places of solitude such as in 'Ol-kha⁴⁷⁹ (ca. 1112) and, later (ca. 1118), in 'O-de-gung-rgyal.⁴⁸⁰

In the beginning of the 1120s, i.e. in his fourth decade, bSod-nams-rin-chen settled in Dwags-po at the sGam-po mountain and started his increasingly illustrious career as a teacher of Buddhism and gave, especially in the later part of his life, more and more attention to transmitting directly the highest insight of Mahāmudrā. In doing so he showed great skill and compassion in teaching in accordance with the specific abilities and needs of his disciples. His special teaching of Mahāmudrā outside of the traditional framework of Mantra vehicle and his stressing of the simple, direct insight into the nature of the mind was viewed by his later followers as a sign of greatness, but it also drew the criticism of later scholars of other traditions such as Sa-skya-Paṇḍita Kun-dga'-rgyal-mtshan (1182-1251).

⁴⁷⁸ The main accessible extensive Tibetan sources on sGam-po-pa's life are the second Zhwa-dmar-pa, mKha'-spyod-dbang-po, *Chos kyi rje dpal ldan sgam po pa chen po'i mnam par thar pa*, and the biography by bSod-nams-lhun-grub Zla-'od-rgyal-mtshan (1488-1552) based on that, i.e. the *Chos kyi rje dpal ldan sgam po pa chen po'i mnam par thar pa yid bzhin gyi nor bu rin po che*. Other Tibetan sources are the well-known compilations of the "Religious History" (*chos 'byung*) genre such as 'Gos Lo-tsa-ba's *Deb ther sngon po*, dPa'-bo gTsug-lag-'phreng-ba's *mKhas pa'i dga' ston*, and Padma-dkar-po's *Padma dkar po'i chos 'byung*. Since sGam-po-pa is already relatively well-known to Western academic readers, I have confined myself in this biographical sketch to drawing from Jackson (1994: 9-14). See also Jampa Mackenzie Stewart (1995), *The Life of Gampopa: The Incomparable Dharma Lord of Tibet*. Snow Lion Publications, Ithaca, New York; and Guenther (1955: 90-96); and (1959: XI-XII).

⁴⁷⁹ 'Ol-kha lies in the South-East of gDan-sa-mthil, to the north of the gTsang-po. Wylie (1962: 172, n. 524), quotes the *Vaidurya ser po*, fol. 155v, as identifying 'Ol-dga-bsam-gtan-gling as the place of sGam-po-pa's meditation.

⁴⁸⁰ 'O-de-gung-rgyal is the mountain range at the 'Ol-kha valley. See Wylie (1962: 116, n. 21).

9.2. The Collected Works of sGam-po-pa bSod-nams-rin-chen

A collection of the works of sGam-po-pa bSod-nams-rin-chen was first compiled as late as the sixteenth century by his biographer bSod-nams-lhun-grub (1488-1552).⁴⁸¹ The earliest available printed version appears to be the one that was published in Derge in two volumes (*e vam*). One copy of this Derge print exists in Fonds Migot (École Française d'Extrême Orient, cf. Ruegg 1962: 322 ff.). In addition, there are two recent handwritten editions of the same collected works. The first is the *Collected Works (gsung 'bum) of sGam-po-pa bSod-nams-rin-chen, Reproduced from a manuscript from the bKra-shis-chos-rdzong Monastery in Miyad Lahul by Khasdub Gyatsho Shashin*, 2 vols., Delhi, 1975; and the second is an edition with the same title, prepared in Darjeeling in three volumes (1982) from a manuscript of the Hemis Monastery in Ladakh that is said to go back to the "Dwags-lha-sgam-po redaction" (i.e. the same that was brought to Derge?) by bSod-nams-lhun-grub. I have noticed also some selective compilations of sGam-po-pa's writings such as the *rTsi ri par ma*, Darjeeling, Kargyud Sungrab Nyamso Khang, 1984, vols. *ka* and *ca*, and the *Dwags po'i gsung tshogs chos yon tan phun tshogs*, Delhi, Karmapae Choedheg, not dated.

For the following account I have used the 1975 (Lahul manuscript) edition of the collected works, which appears to contain the greatest number of titles. The contents of the collected works can be divided provisionally into seven categories (a-g):⁴⁸²

- a) Biographies (*nam thar*). The first section of the first volume contains biographical works on the lives of Tilopa, Nāropa, Mar-pa and Mi-laras-pa, and the aforementioned biography of sGam-po-pa by bSod-nams-lhun-grub.
- b) Teachings to the assembly (*tshogs chos*). The second section of the first volume continues with five collections (by different compilers) of teachings to the assembly, covering various topics that appear to have been compiled more or less at random.⁴⁸³
- c) Replies to questions (*zhus lan*). The last section of the first volume contains sGam-po-pa's replies to questions from four of his eminent

⁴⁸¹ See fn. 478.

⁴⁸² This classification follows roughly the one that was proposed by Ulrich Kragh in his M.A. thesis (1998). I do, however, disagree with some of his conclusions. In my view, for example, these collections of teachings to the assembly (*tshogs chos*) show every sign of having been thrown together in a fairly random way. Dan Martin informs me that to his experience the contrasting terms *lkog chos* ("private teachings") and *tshogs chos* were in common use by bKa'-gdams-pas and bKa'-brgyud-pas during the 11th-13th centuries.

⁴⁸³ See my remark in the preceding footnote.

disciples.

- d) Instructions on the six yogas and Tantra. The first section of the second volume contains five teachings of Tantric contents covering such subjects as the six yogas of Nāropa, the stages of production (*bskyed rim*) of the deity (in connection with the *Hevajratantra*) and perfection (*rdzogs rim*), teachings of the path of means (*thabs lam*), as well as Tantric rituals pertaining to *rDo rje mal 'byor ma*. This section also contains one teaching—namely the *Sems kyi mtshan nyid gab pa mngon du byung ba*, pp. 24-32—which rather belongs in the next section.
- e) Instructions on Mahāmudrā through various approaches (*phyag chen skor*). The second volume continues in its second section with nine teachings pertaining mainly to Mahāmudrā within the frameworks of Mantra as well as conventional Mahāyāna.
- f) Miscellaneous (*sna tshogs*). This section appears to be a collection of various teachings on a great variety of themes, including Mantra and Mahāmudrā.
- g) Treatises of the "stages of the doctrine (*bstan rim*)" genre.⁴⁸⁴ The last teaching is sGam-po-pa's treatise *Lam mchog rin chen phreng ba*, a general guide to Buddhist practice.⁴⁸⁵

* * *

Since sGam-po-pa founded the Dwags-po bKa'-brgyud-pa school, his writings should theoretically be authoritative for his followers. But to what extent are his "collected works" to be accepted as actually his own words? As lCang-skya Rol-pa'i-rdo-rje (1717-1786) observes in a work on philosophical and religious tenets, the *Grub mtha' nam bzhaq*:⁴⁸⁶

But the many unintelligent notes inserted into the collected works of these [early Dwags-po bKa'-brgyud-pa masters] by numerous learned and unlearned disciples, appear to lack in trustworthiness.

These remarks appear to be true at least for those of sGam-po-pa's writings that were never corrected or arranged by himself, and which therefore should be treated

⁴⁸⁴ Cf. Jackson (1994).

⁴⁸⁵ sGam-po-pa's most famous work, the *Thar pa rin po che'i rgyan*, is contained in some editions at the very end, but because of its character of being an independent and larger work, it was left out in the edition I have used.

⁴⁸⁶ lCang-skya Rol-pa'i-rdo-rje, *Grub pa'i mtha' nam par bzhaq pa thub bstan lhun po'i mdzes rgyan*, p. 459.1, *cha kha* 20b: 'on kyang 'di dag gi bka' 'bum mams su slob ma mkhas pa dang mi mkhas pa du mas zin bris nyag nyog mang po bcug 'dug pa la yid brtan mi snang ngo. Also quoted by Jackson (1994: 10, n. 17).

carefully as mainly consisting of notes from the memory of students, later thrown together without any clear thematic order.⁴⁸⁷ The following remarks are thus based on some observations pertaining to the "teachings to the assembly" and the "replies," but they do *not* refer to the larger systematic treatises on the "stages of doctrine" (*bstan rim*) such as sGam-po-pa's *Lam mchog rin po che'i phreng ba* and *Thar pa rin po che'i rgyan*.⁴⁸⁸

The first volume of sGam-po-pa's collected works contains a number of "teachings to the assembly" (*tshogs chos*) and collections of "replies" (*zhus lan*) compiled by different persons.⁴⁸⁹ The colophon to the *Tshogs chos bkra shis phun tshogs* (p. 171) mentions the attendant Sho-sgom Byang-[chub]-ye-[she]s as the one who composed (these instructions) as notes on the teachings of the Dharma Lord sGam-po-pa (*chos rje sgam po pa'i gsung la ... zin bris su mdzad pa*).⁴⁹⁰ The colophon to another "teaching to the assembly," the *Tshogs chos legs mdzes ma* (p. 258), says that the attendant bsGom-pa-legs-mdzes⁴⁹¹ (after whom the text is apparently named) had asked for instructions (*zhal ta zhus*) and had compiled (*bsdebs pa*) these without reduction and addition, and without insertions or deletions (*'phri snan dang lhag chad med par*) according to the Dharma Lord's teachings. A third collection of teachings, the *Tshogs chos yon tan phun tshogs*, has again been composed as notes (*zin bris su mdzad pa*) "on the holy Dharma that was taught to the saṃgha" by Byang-chub-ye-she (p. 293). Yet another collection, the *Tshogs chos mu tig phreng ba*, was written down (*bris*) by sGom-tshul, i.e. by Dwags-po sGom-tshul Tshul-khrims-snying-po (1116-1169), eldest nephew of sGam-po-pa and one of the "four lineage holders" (*brgyud pa 'dzin pa bzhi*) among sGam-po-pa's main disciples.⁴⁹² These writings are said to be "unmixed with other biographies(?)" (Tib. *nam thar gzhan dang ma 'dres pa*) and again "without decrease or increase" (*ma chad ma lhag par*). Some later hand added two lineages for these instructions

⁴⁸⁷ See also Jackson (1994: 10).

⁴⁸⁸ The *Thar rgyan* contains one short passage that refers to the transformation of vows. It is discussed in chapter 13, on p. 317.

⁴⁸⁹ As already mentioned, I have used the 1975 Delhi edition by Khasdub Gyatsho Shashin as my textual basis.

⁴⁹⁰ Sho-sgom Byang-chub-ye-she is, however, not mentioned as one of the four attendants of sGam-po-pa in 'Gos-lo-tsā-ba, *Deb ther sngon po*, p. 550, or dPa'-bo gTsug-lag-phreng-ba, *mKhas pa'i dga' ston*, p. 800. But in a lineage at the end of an instruction recorded in the *Collected Works*, vol. 2, p. 282, he is found in the position directly after sGam-po-pa.

⁴⁹¹ sGom-pa Legs-mdzes, one of the "four attendants" (*nye gnas bzhi*), see 'Gos-lo-tsā-ba, *Deb ther sngon po*, p. 550, and dPa'-bo gTsug-lag-phreng-ba, *mKhas pa'i dga' ston*, p. 800.

⁴⁹² sGom-tshul was also the first abbot at sGam-po, see 'Gos-lo-tsā-ba, *Deb ther sngon po*, p. 551.

(p. 326):

1. Vajradhara, Ye-shes-mkha-'-gro, Tilopa, Saraha, Nāropa, Maitripa, Marpa, Mi-la-ras-pa, Bla ma lHa-rje (= sGam-po-pa).
2. Buddha Śākyamuni, Maitreya, Asaṅga, Śāntideva, gSer-gling-pa, Atiṣa, 'Brom-ston, sPyan-snga-ba, rGya-bsgom, Bla-ma lHa-rje-rin-po-che (= sGam-po-pa), Bla-ma bsGom-tshul, "thereafter successively descending."

And finally the colophon of the great assembly teaching, the *Tshogs chos chen mo*, mentions the full monk (*bhikṣu*) Shes-rab-gzhon-nu⁴⁹³ as the one who has set it down in writing (*yi ger bkod*, p. 360). The last four teachings of the first volume are the respective replies (*zhus lan*) to sGom-tshul, Dus-gsum-mkhyen-pa,⁴⁹⁴ Phag-mo-gru-pa,⁴⁹⁵ and rNal-'byor Chos-g.yung.⁴⁹⁶ These do not possess proper colophons, and I noticed that some of the teachings found in them, for example at the end of Dus-gsum-mkhyen-pa's *Reply*, contain remarks like "instruction to the master sGom-chung" (p. 465), "heard ... by the master sGom-tshul, taught to the master Tshul-khrims-ye-shes, through him to me" (p. 466), and "taught by the master sGom-chung to the master sTong-lungs-pa (i.e. sTod-lung-pa?⁴⁹⁷), through him to me" (p. 469).

Most of the teachings of the second volume of the collected works do not have a proper colophon, and often sGam-po-pa is only mentioned in the (often fabricated) titles as the originator of the teachings, such as, to give only one example, the *Chos rje dwags po lha rje'i gsung/ phyag rgya chen po'i man ngag thog babs dang mgur*

⁴⁹³ Could Shes-rab-gzhon-nu be another name for sGom-chung Shes-rab-byang-chub (1143?-1171?), second abbot of sGam-po?

⁴⁹⁴ Dus-gsum-mkhyen-pa was the first Karma-pa and one of the "four lineage holders" (*brgyud pa 'dzin pa bzhi*) among sGam-po-pa's main disciples.

⁴⁹⁵ Phag-mo-gru-pa was one of the "four lineage holders" (*brgyud pa 'dzin pa bzhi*) among sGam-po-pa's main disciples. His disciples were the fathers of the eight "smaller" bKa'-brgyud-pa schools (*phag gru bka' brgyud chung brgyad*). It is, however, not clear when this term was coined, and what it refers to. Historically, some of the "smaller" schools like the 'Bri-gung-pas, rose to importance earlier than, for example, the Karma bKa'-brgyud-pas. But this may well be disputed—it depends on what exactly "greatness" is and where it begins.

⁴⁹⁶ rNal-'byor Chos-g.yung must be Ram-snyi-ba Chos-kyi-g.yung-drung, one of the "four (Tantric) adepts" (*siddha*) among sGam-po-pa's main disciples.

⁴⁹⁷ Could this be Karma-pa Dus-gsum-mkhyen-pa, who founded mTshur-pu monastery at sTod-lung? Note that *bsDong-lungs-pa is also mentioned in the lineages below as one who received instructions from sGom-chung, and that Khams-pa dBu-se (= Dus-gsum-mkhyen-pa) is elsewhere mentioned as one who received instructions from Tshul-khrims-snying-po.

'bum rnams. Some teachings mention at the end the recipient of the teaching, such as rNal-'byor Chos-g.yung (p. 32), or the beginning of the lineage, such as Rin-po-che Bye-dkar-ba⁴⁹⁸ (pp. 24 and 58). One instruction ends "taught by the master sGom-chung to the master bsDong-lungs-pa (sTod-lung-pa?)" (p. 209). Other teachings mention lineages, some of which go well beyond the first generation of sGam-po-pa's disciples:

Vajradhara, Tilopa, Nāropa, Mar-pa Chos-kyi-blo-gros, Mi-la bZhad-pa-rdo-rje, sNyi-sgom bSod-nams-rin-chen (i.e. sGam-po-pa), Sho-sgom Byang-chub-ye-shes, Jo-gdan Legs-mdzes, master lHa-sgom (vol. 2, p. 282).

gSang sngags rdo rje theg pa'i rim pa gnyis kyi skor: Vajradhara, Ye-shes-kyi-mkha'-'gro, Tilopa, Nāropa, lHo-brag-pa-chen-po (i.e. Mar-pa), Mi-lar-as-pa, Zla-'od-gzhon-nu (i.e. sGam-po-pa), Sangs-rgyas-sgom-pa, Khudbon-gnyis-kyis (i.e. sGam-po-pa and sGom-pa?), Dus-gsum-mkhyen-pa, 'Gro-mgon-ras-chen, rGyal-sras Pong(Pod?)-rag-pa, Grub-chen Karma Pakshi, Grub-thob U-brgyan-pa, Bla-ma sNyan-ras de-gnyis-kas (i.e. through both U-rgyan and sNyan-ras transmitted to) Rang-byung-rdo-rje, rGyal-ba-g.yung-ston, rTogs-ldan mGon-po-rgyal-mtshan, Rol-pa'i-rdo-rje, rTogs-ldan mKha'-spyod-dbang-po, La-phyi-pa Nam-mkha'i-rgyal-mtshan, sGam-po-pa Bla-ma Rin-po-che Dharmāranda (p. 328).

Still another tradition (*yang lugs gcig la*): Vajradhara, Blo-gros-rin-chen, Sha-ba-ri-dbang-phyug, Maitripa, Mar-pa-chen-po, Mi-la-ras-pa, Dags-po-lha-rje (= sGam-po-pa) (p. 328).

A further tradition (*yang lugs gcig la*): Vajradhara, Blo-gros-rin-chen, Nāgārjuna, Mataṅgipa, Tilopa, Nāropa, Mar-pa, Mi-la, sNyi-sgom (= sGam-po-pa) (p. 328).

Another tradition (*yang lugs gcig la*): Vajradhara, Vajrapāṇi, Saraha, Luhīpa, Dingīpa, Tilopa, Nāropa, Mar-pa, Mi-la (p. 329).

Another tradition (*yang lugs gcig la*): [Vajradhara?], Dombhiheruka, Virūpa, Lavapa, the lesser Indrabodhi, the lineage of the four instructions (*bka' babs bzhi'i brgyud pa'o*) (p. 329).⁴⁹⁹

⁴⁹⁸ Bye-dkar-ba is otherwise unidentified.

⁴⁹⁹ Perhaps the "four instructions" were each transmitted through one of the previous four
(continued...)

Another tradition (*yang lugs gcig la*): Vajradhara, Sumati, Kun-tu-bzang-po, Thanglopa, Karṇaripa, Tilopa, Nāropa, Mar-pa, Mi-la, bSod-nams-rin-chen (= sGam-po-pa), (sGom-tshul) Tshul-khrims-snying-po, Khams-pa dBu-se (= Dus-gsum-mkhyen-pa), 'Gro-mgon Ras-pa-chen-po, Pong(Pod?)-rag-pa, Karma-pa, U-rgyan-pa, sNyan-ras, Rang-byung-pa, rGyal-ba-g.yung, mGon-rgyal-ba, Rol-pa'i-rdo-rje, Zhva-dmar-pa, De-bshin-gshegs-pa, La-phyi-pa, Bla-ma Chos-rin-pa (p. 329).

Given the huge amount of writings involved, I can only provide a preliminary glance here at the state of sGam-po-pa's collected works. But it is interesting to note lCang-skyā Rol-pa'i-rdo-rje's impression mentioned above, according to which many interpolations may have been added later. Generally it was quite common and acceptable that first-generation disciples provided the material for recording their masters' oral instructions, lectures, and similar works (*zin bris*, *tshogs chos*, *zhus lan*, etc.), but that sGam-po-pa, for example, ever approved the manuscripts at any stage seems unlikely. The *Redaktionsgeschichte* of the four replies (*zhus lan*) is, for lack of any colophon, unknown, and I have detected clear insertions by later disciples and some records of lineages that also must have been added or completed much later. Therefore, when we turn to the four teachings from sGam-po-pa's collected works that deal with the three vows, we must bear in mind that their being contained in the received "collected works" alone does not convey any certainty about their origin, real author, or time of composition.

9.3. The Teachings on the Three Vows in sGam-po-pa's Collected Works

Four brief works in the collected works are devoted exclusively to a treatment of the three vows. None of these brief works bears a title, and three of them are so similar in content and wording that I consider them to be the same teaching (recorded by different persons or revealing different stages of editorial activity), as I shall explain in more detail below. Thus I shall refer to these four works as "*Work A*" and "*Work B1*," "*B2*," and "*B3*." The largest is *Work A*, while *Work B1*, *B2*, and *B3* are each only two pages in length.⁵⁰⁰ In the following sections I shall investigate *Work A* (in chapter 9, section 3.1.), *Work B1*, *B2*, and *B3* (in chapter 9, section 3.2.), and will consider a possible connection between *Work A* and *Work B2* (in chapter 9, section 3.3.). Their texts and translations will be presented in chapter 9, section 4., pp. 201 ff.

⁴⁹⁹(...continued)
lineages?

⁵⁰⁰ For *Work A*, see sGam-po-pa bSod-nams-rin-chen, *Collected Works*, vol. 1, pp. 163-168; for *Work B1*, *B2*, and *B3*, see vol. 1, pp. 158-159, vol. 2, pp. 287-288, and 294-295.

9.3.1. The Teaching on the Three Vows in *Work A*

This teaching is contained in the first of the teachings to the assembly, i.e. the *Tshogs chos bkra shis phun tshogs*, a work ascribed to the attendant Sho-sgom Byang-[chub]-ye-[she]s. This teaching to the assembly (*tshogs chos*) also contains *Work B1*, but since we are uncertain about the way the teachings to the assembly (or even the collected works as a whole) were compiled, we can neither presuppose that both works were written or compiled by the same person, nor that any one of them was actually written or compiled by Byang-chub-ye-she. Teaching *Work A* bears no title, except for the line "furthermore the Dharma Lord sGam-po-pa taught" (*yang chos rje sgam po pa'i zhal nas*), the standard introduction for a new teaching in this section of the collected works.

The text of *Work A* may be divided roughly into two parts, the borderlines of which are marked by a "subject outline" (*sa bcad*) that contains five main headings for the remaining text (*Work A*, section 4). The first part contains a short introduction and some general teachings on the vows. The second part contains the teaching on how a person should practice possessing the three vows together. I have, for the sake of easier reference, furthermore divided the first part into three sections (*Work A*, section 1-3) and the second part into seven sections (*Work A*, section 4-10).

Work A 1. The theme of the three vows is introduced here through a quote from the *Vajra-Peak Tantra*, which I was able to locate in the *Peking* and *Derge* canons.⁵⁰¹ I also found the same quote in the commentary of the eighth Karma-pa Mi-bskyod-rdo-rje (1507-1557) on the 'Bri-gung-pa's *Same Intention*.⁵⁰² The *Vajra-Peak* quote in *Work A* differs not only considerably in its wording from the *Derge* and *Peking* versions,⁵⁰³ but is also problematic on account of its inclusion of two lines that are missing in *P* and *D*:

⁵⁰¹ See *P* vol. 5, no. 113, on p. 26-1-5, and *D* vol. 30, no. 480, p. 1167.

⁵⁰² Vol. *ca*, fol. 48v. A photocopy of Mi-bskyod-rdo-rje's unpublished ms. from the *Peking Mi rigs dpe mdzod khang* is in my possession. See bibliography; and *Shes bya'i gter mdzod*, *Mi rigs dpe mdzod khang gi dpe tho las gsung 'bum skor gyi dkar chag*, no. 003880. For the 'Bri-gung-pa's *Same Intention*, see chapter 14.

⁵⁰³ According to the colophon of *D* and *P*, the translation of the *Vajra-Peak Tantra* was made by Zangs-dkar gZhon-nu-tshul-khrims together with the scholar Karmavajra. Unfortunately almost nothing is known about them, but a passage in 'Gos-lo-tsā-ba suggests that they translated the work in the eleventh century (*Deb ther sngon po*, vol. 1, p. 354). The *Gangs can mkhas grub rim byon ming mdzod* (Kun su'i mi rigs dpe skrun khang, p. 1505) also mentions gZhon-nu-tshul-khrims as the translator of the *Vajra-Peak Tantra* and also places him in the eleventh century.

Work A

D no. 480 / P no. 113

byang chub sems 'dzin gyur nas ni//
 lha gzhan la ni mi mos pas//
 dkon mchog gsum la dad pa dang //
 de la gzhol zhing 'bab pa yin//
 gsod dang rku dang 'khrig pa dang //
 brdzun dang chang ni rnam par spang
 //
 khyim pa'i tshul du gnas na ni//
 gsang sngags rgyal po rab tu bsgrub//

gal te de ni rab byung na//
 bsdom pa gsum la gnas par bya//
 so so thar dang byang chub sems//
 rigs 'dzin nang gi bsdom pa'o//
 gnyis po rigs 'dzin rten yin te//
 yang na rigs 'dzin nang du 'dus//

byang chub sems ni lhur blangs nas//
 lha gzhan 'dod pa brtson mi bya//
 dkon mchog gsum la dad bya ste//
 de gzhol ba la brtson par bya//
 srog gcod rku dang 'khrig pa dang //
 brdzun dang myos byed rnam par
 spang //
 khyim pa'i sdom pa la gnas nas//
 de tshe rig pa'i rgyal po bsgrub//

gal te de ni rab byung gyur//
 bsdom pa gsum la yang dag gnas//
 so so thar dang byang chub sems//
 rigs 'dzin sdom pa mchog yin no//
 om.
 om.

According to the last two lines of the quotation in *Work A*,

the two [lower vows] are the support for the [vows of] the Tantric adept,
 or else they are included within the [vows of] the Tantric adept.

If these two lines were authentic (i.e. already contained in the Indian basic text that was transmitted to Tibet), they would be the earliest doctrinal formulation on the three vows that I have seen so far—moreover, they would be a rare quote of Indian origin. But these lines are quoted nowhere else, even though the *Vajra-Peak Tantra* is a frequently quoted Indian source,⁵⁰⁴ and later on they are not referred to at all in the entire text of *Work A*. Furthermore neither the tantra itself nor the quote's vital points of support and inclusion are quoted or maintained by later scholars of sGam-po-pa's tradition.⁵⁰⁵ In fact, since these concepts would also be completely

⁵⁰⁴ Different passages from the *Vajra-Peak Tantra* were quoted, for example, by Vibhūticandra, *Garland of Rays* 15; Go-rams-pa, *General Topics*, fol. 72v; and Grags-pa-rgyal-mtshan, *Removing Errors Regarding the Fundamental Transgressions*, fol. 47v.

⁵⁰⁵ With the minor exception of Karma-nges-legs' teaching that the prātimokṣa vows are the support of both higher vows (*Good Vase*, fol. 2r). Both support and inclusion were known in general to Karma-nges-legs, *Good Vase* 6.2, and Kong-sprul, *Pervading All Objects of Knowledge* 5.4.2.2.2.1., who both mention an otherwise unidentified though possibly Indian text called *Key to Initiation* (dBang gi lde'u mig), which enumerates the completeness of the

(continued...)

incompatible with each other, one wonders whether a basic tantra would offer two such contradicting positions as alternative explanations.⁵⁰⁶ Finally, these two lines also stand in contradiction to what is stated in *Work A* 8, where it is taught that there is no moral fault if one abandons the lower for the sake of practicing the higher. Such an abandoning obviously contradicts the concept of the support of the higher through the lower, and it also has a certain tension with the concept of inclusion, for if the lower vows were included within the higher, the higher would be incomplete if the lower is abandoned or lost.⁵⁰⁷ Thus we have an indication here that these lines have been inserted into the text by a later hand.

Work A 3. The nature of the first two vows is taught through the standard "quote" from the *Awakening of Vairocana*:⁵⁰⁸

⁵⁰⁵ (...continued)

lower vows within the higher ones (*gong ma gong ma la 'og ma 'og ma tshang bar 'dod pa*) and the support of the lower vows for the higher ones (*sngags sdom gyi rten byang sdom dang de'i rten so thar du bshad pa rten can*) as two of several alternative Indian opinions. For a translation of the listed opinions, see p. 287.

⁵⁰⁶ These positions are incompatible, because something that is the support of something else cannot also be included in it, as the legs of a chair, when defined as the support of the seat, can not also be included within the seat. And if one correctly maintains that the legs of a chair are included within that chair, one can not also maintain that the legs are the support of the chair, since in that case they would also be a support of themselves.

⁵⁰⁷ In fact, this kind of argument is used by Karma-'phrin-las-pa against the dGe-lan-pas; see *Replies to Ngo-gro* 10.

⁵⁰⁸ This quote has become the standard formulation in probably all Tibetan traditions, cf. for example Go-rams-pa, *General Topics*, p. 234, fol. 70v, and p. 236, fol. 73v; Lo-chen Dharma-shrī, *Commentary on the "Clear Comprehension"*, fol. 301r; Vibhūticandra, *Garland of Rays* 2, and Karma-'phrin-las-pa, *Replies to rGya-ston* 2. Unfortunately, however, I have neither as yet been able to locate this quote in the relevant chapters of the Tibetan version (*P* vol. 5, no. 126, the whole text has well over a hundred folios) nor even traces of it in the English translation from the Chinese by Yamamoto.

In general, the *Awakening of Vairocana*—though I am far from being an expert on this field—has not the feel of an early Mahāyāna work. Wayman (1992: 9), too, has placed it in the middle of the sixth century. Noteworthy is the Tibetan chapter 20 (= Chinese chapter 18), that, with regard to the discipline, explicitly states that the bodhisattva has to follow the discipline as laid out for the auditors (in *P* pp. 267-2-7 ff., in Yamamoto pp. 150-153). Also noteworthy is the fact that the *Awakening of Vairocana* is considered a "practice tantra" (Skt. *caryātantra*, Tib. *spyod rgyud*) in the Tibetan tradition (see p. 60), whereas it is considered a sūtra in the Chinese tradition. Especially when viewed against the background of the said chapter 20 (i.e. 18 in the Chinese version), this work appears to be oriented in the direction of, that is back to, the Vinaya, which the compilers might have felt to be necessary due to the
(continued...)

The nature of the prātimokṣa vows is to be completely adverse to harming others together with the [mental] basis [for that], and the nature of the bodhisattva [vows] is, in addition to that, to benefit sentient beings.

The Mantra vows are taught with reference to the eighth fundamental transgression that is quoted from an unidentified work.⁵⁰⁹ Accordingly, the nature of the Mantra vows is the inseparability of the three, i.e. form manifested as deities (*nam pa lhar gsal ba*), experience that arises as bliss (*nyams myong bde ba*), and nature that is mental non-construction (*rang bzhin mi rtog pa*).

One of the most problematic terms of the whole *Work A* is "the nature of vows" (*sdom pa'i ngo bo*). Except for one part of the nature of the bodhisattva vows, which is (according to this section) the same as the nature of the prātimokṣa vows, i.e. the "being completely adverse to harming others together with the [mental] basis [for that]," later on, the natures of the vows will be taught in general as "not existing as one" (*cig tu gnas pa ma yin*, *Work A 5*), as "existing as something incompatible" (*ngo bo 'gal bar gnas pa*, *Work A 5*), and even as "of a very different nature" (*ngo bo shin tu tha dad*, *Work A 6*), obviously contradicting the quote from the *Awakening of Vairocana*, which teaches a vital common point between the bodhisattva and the prātimokṣa vows. But this is not all. Even in the same section that teaches the natures as very different (*Work A 6*) one also finds explained that "it is also not acceptable that the natures of the vows are on all occasions different."

Work A 4. Five headings for the remaining text, beginning with section 5, are introduced. This fourth section marks the borderline between the two major parts of this teaching. The headings are:

- (a.) If these three vows exist for [one] person who is the support, how do they persist (*ji ltar gnas*)?
- (b.) If they are observed, how are they observed (*ji ltar bsrung*)?
- (c.) If they are possessed, how are they possessed (*ji ltar ldan*)?
- (d.) If they are repaired, how are they repaired (*ji ltar 'chos*)?

⁵⁰⁸(...continued)

new interpretations of *śīla* that one finds in other Mahāyāna works, for which see my introduction, p. 4.

Stephan Hodge, who was so kind as to send me his translation of chapter 20 from his unpublished study on the *Awakening of Vairocana*, agrees with me that the "quote" that appears in the Tibetan works listed above is most probably a paraphrase, or perhaps better: a condensation of chapter 20. It might, however, also stem from a commentary.

⁵⁰⁹ *phung po sangs rgyas mnga' bdag nyid// de la brnyas pa brgyad pa yin//* "The [five] constituents of the person (*skandhas*) are the Buddhas themselves, to slander them is the eighth [root transgression]." Cf. the almost identical *Vajrayānamūlāpatti*, *P* vol. 69, no. 3308 p. 278.

(e.) If they are maintained without incompatibility, how are they maintained (*ji ltar [mi 'gal bar] bsrung*)?

The first heading is connected with *Work A 5* and 6, which teach how the vows persist. Each of the other headings refers to one of the remaining sections (*Work A 7* to 10).

It is not at all astonishing that these headings appear in the middle of the teaching. They mark the beginning of the discussion of how the vows are possessed *all together*. In many other discussions, too, such as mNga'-ris-pan-chen's *Clear Comprehension of the Three Vows*, such headings separate a more general discussion, or a presentation of the individual rules of the vows from the discussion of a threefold possession. Nevertheless it appears to be a bit puzzling at first that the nature of the vows is taught before the headings are introduced and then again under the first heading, and that the teaching in *A 3* is different and even contradictory to the teachings in *A 5* and 6. One possible explanation is that the natures taught in *A 3* are the natures of the respective vows alone, while the natures taught in *A 5* and 6 pertain to a situation where all the vows are possessed together. Another possible explanation is of course that both parts were taught individually and later thrown together by a compiler, or even that some parts were added by a later editor.

Work A 5. The reason for the natures of the vows not to exist as one and to be incompatible is that the vows are different (*tha dad pa*) in many respects:

- their causes for arising (*skye ba'i rgyu*), presumably their specific rituals, are not the same;
- they are taught separately (*tha dad du bshad pa*), which presumably means that the occasions when they are obtained are different, i.e. their rituals are performed in different sessions;
- the sections of the teachings in which they are established are not the same (*grub pa'i sde*), i.e. they are taught in what is known (also in this pre-canonical time in Tibet) as the Vinaya, Mahāyānasūtra, and Tantra sections;
- the time when the vows are obtained (*thob pa'i dus*) is not the same, which appears to be almost the same point as "taught separately" (*tha dad du bshad pa*) above;
- they must be obtained in succession (*rim pa bzhin du thob dgos*), i.e. the higher vows need previously obtained lower vows for their arising;
- their duration (*gnas pa'i dus*) is not the same, because while the prātimokṣa is taken for as long as one lives, the bodhisattva vow exists until one arrives at the seat of awakening (skr. *bodhimaṇḍa*);⁵¹⁰
- the causes for losing (*gtong ba'i rgyu*) are different, i.e. the seven non-

⁵¹⁰ For the "seat of awakening," see p. 50.

- virtuous practices of body and speech that are prohibited through the prātimokṣa vow are permitted for the bodhisattva, if they are performed for the benefit of sentient beings, and in the Mantra one takes these as the path;
- the support (*rten*) of the vows is different, i.e. certain beings that are excluded from the prātimokṣa are admitted to the bodhisattva vow rituals;
- their natures in terms of being material (*gzugs*), a specific kind of mind (*sems kyi khyad par*), and innate simultaneously arisen gnosis (*lhan cig skyes pa'i ye shes*) are different;
- and the first vow may be continued as single vow even without the other two vows and the higher vows may be continued even without the first vow.

Work A 6. A difficult section. After explaining in section 5 in much detail that the natures of the three vows are incompatible and that it is impossible that the vows are the same, this section begins: "It is also not acceptable that the natures of the vows are on all occasions different." The reason for the nature of the vows not to be different on all occasions supplied in the text is that "if they were different, they would be perceived (*rig pa*) to appear as three different consciousnesses through self-cognizing direct perception, namely 'these are the prātimokṣa vows,' 'these are the bodhisattva vows,' [and] 'these are the Mantra vows,' but there is no more than [just] one consciousness." The argument seems to be the following: The thought-perceiving consciousness can only perceive one thought at a time. If the vows are different, one can not think of them as one undifferentiated thing. Thinking of them, one would have to think of three separate things, and thus the self-cognizing direct perception would perceive three thought-consciousnesses, which is unacceptable, because there is only one such consciousness possible. But however that may be, it seems to be clear that sGam-po-pa rejects both possibilities, i.e. on the one hand that the vows "are the same [with regard to their natures]" (= conclusion of section 5) and on the other hand that (their natures) are "always different" (= conclusion of first paragraph in section 6).

The second paragraph then explains how the vows persist. They persist in such a way that "[their] natures are totally different" [but] "mixed [on] occasion." In this paragraph "on occasion" seems to mean, judging at least by the example, "when possessed together." According to the example, the different vows are like "water and milk," (i.e. different?). But when they exist together they are not different and they exist in an admixture. This does not mean, however, that such an admixture of vows is lost altogether when one vow is lost. Instead, the other two vows continue to exist. This is presumably so because the vows can be separated even within that admixture as the goose is able to take only the milk from an admixture of milk and

water.⁵¹¹

Work A 7. This section answers the question "how are [the vows] observed" by stating that they are observed "as entrusted" (*'chol par bsrung*). If I understood the basic idea of the explanation that follows correctly, this section teaches (1) that the *prātimokṣa* should not be observed if it endangers one's life (see also section 10, topic no. 3) or if it becomes an obstacle for the bodhisattva vows (i.e. if one hesitates to practice or refrains from practicing something, because it is incompatible with the *prātimokṣa* vows, even though it would be of benefit for others), and (2) that, in general, the lower vows should not be observed if they become an obstacle for the Mantra path. Thus, on the basis of a threefold possession, the vows are observed in such a way that the lower may be abandoned if it endangers one's life (in the case of *prātimokṣa* alone), or if it obstructs one of the higher vows. The same holds for cases of internal contradictions (*'dom thug byung ba*), i.e. when the rules of the lower vows are incompatible with the practice of the higher vows. It is also stated here that a non-observation in such cases entails no moral fault. How this explanation fits with the heading "observed as entrusted" is unclear to me, and the possibility that the heading has been added later must be considered.

In 'Jig-rten-mgon-po's *sDom gsum gnad gcig* (fol. 2v), a work of the early thirteenth century, this position is characterized in short as "one engages in all the necessary activities" (*mdor na spyod pa gang 'kho 'kho byed*), i.e. that one observes the bimonthly ceremony of confession of the vehicle of auditors, the rituals of the Mahāyāna system, and the activities of Mantra such as Tantric feasts, etc. It is interesting to note that 'Jig-rten-mgon-po and his teacher Phag-mo-gru-pa (who was a direct and close disciple of sGam-po-pa) did not accept this "going to assemblies of all three classes of vows," even though they apparently did not view it as a system that was faulty on principle such as for example the one of the Red Master (*ācārya dmar-po*, see p. 324). In 'Jig-rten-mgon-po's text, *'chol par bsrung* seems to mean something like "mixed observance." With such a connotation it appears to

⁵¹¹ For another use of this topos, see Lamotte (1973: 20), *Mahāyānasamgraha*, ch. I, v. 49: *yang ji ltar na kun gzhi mam par shes pa dang / kun gzhi mam par shes pa ma yin pa chu dang 'o ma bzhiñ du lhan gcig gnas pa mam pa thams cad du 'grib par 'gyur zhe na/ nang pas chu las 'o ma thungs pa lta bu dang / 'jig rten pa'i 'dod chags dang bral ba na/ mnyam par bzhang pa ma yin pa'i sa'i bag chags 'grib ste/ mnyam par bzhang pa'i sa'i bag chags 'phel nas gnas gyur pa bzhiñ no//* See Lamotte's translation on p. 70. See also Monier-Williams, p. 1286, s.v. *hamṣa*.

Work B 3 has: "Apart from thinking in that way [i.e. 'I have obtained the *prātimokṣa*,'] etc.], they are of the same nature and not different." This statement is neither supported by the other versions *B 1* and *2*, nor by anything in *Work A*. It appears to be a gloss that is an over-interpretation, perhaps even based on this section in *A 6*.

fit as a heading for the second part of *A* 6. According to the later tradition, however, '*chol par bsrung* is to be understood as "to be observed as entrusted." See for example Karma-nges-legs, *Good Vase* 6.4.: "Since [the three vows] are observed wholly as they were taught in each [vow system], they are observed as individually entrusted" (cf. also sGam-po-pa's *B2-5*).

Work A 8. Under the heading "possessing 'upwardly'" (*yar ldan*) this passage repeats the above two points about internal contradictions (here termed *nang thug byung ba*) and the abandoning of the lower rather than the higher. This section also states a reason, namely that there is no moral fault in practicing the higher and abandoning the lower, since just that is the functioning of the powerful rituals and the excellent means of Mantra, and it is fixed in the hierarchy of the vehicles and through the Buddha's intention.

One wonders in section 8 what "possessing 'upwardly'" (*yar ldan*) actually means. "*Yar ldan*" is, to be sure, one of the most problematic terms of the three-vow discussions—firstly, because of the problems involved with translating it, and secondly, because it is interpreted differently by Tibetan authors. Here, I have tried to solve the first problem by provisionally rendering it as "possessing 'upwardly,'" in accordance with the basic meaning of the possessive particle "*ldan*" as "possessing" and the adverb "*yar*" as "upwardly." The second problem, i.e. that of the different explanations, will be dealt with mainly in chapter 13, where I shall focus on the historical development of this and other important terms appearing in the Tibetan works that I have translated in this study.

In this particular treatise, in section 8 of *Work A*, "possessing 'upwardly'" seems to indicate an upward hierarchy of the vows possessed. That is to say that in possessing the three vows, the yogi should, in cases of conflict between the vows, rather maintain the higher vow instead of the lower. In that case, the quote from the *Mañjuśrīnāmasaṃgīti*, according to which "the deliverance of the three vehicles exists as the fruit of the one vehicle," is perhaps to show that from the perspective of the fruit of Mantra, all three vehicles are included within the highest vehicle, and thus that the abandoning of the lower vows does not prevent the arising of the fruit through the practice of the highest vow alone.

On the other hand, it appears that both sections 7 and 8 teach something quite similar, which could be adequately described through a single heading, namely "preeminence of the higher in cases of conflict," which is, to be sure, a topic in many other expositions, such as, for example, those of Klong-chen-pa, mNga'-ris Paṅ-chen, Lo-chen Dharma-shrī,⁵¹² Karma-'phrin-las-pa, Karma-nges-legs, and

⁵¹² See Lo-chen Dharma-shrī, *rNam nges 'grel pa*, part six, which comments upon mNga'-ris Paṅ-chen, who in turn has taken his "six topics" from Klong-chen-pa's writings. See

(continued...)

Kong-sprul.⁵¹³ It might therefore be that the headings in *Work A 7* and *8* were added later by a scribe or an editor not too familiar with this particular subject. The verse at the beginning of section *8*, too—since it somehow interrupts without a clear motive what I believe to have originally been a single section—might be a later justification of sGam-po-pa's strong emphasis on the higher vows to the obvious disadvantage of the lower ones.

Work A 9. However neglectful the attitude has been towards the preserving of the lower vows in previous sections, this section teaches nevertheless how to repair these vows according to their respective tenets. But also another perspective with regard to confession is introduced, namely the yogi's realization consisting in perfectly perceiving reality or the mind through the purity of their own-nature. In that case "there is no difficulty with regard to confessing." That is to say that there is no need for a formal confession when the yogi realizes all phenomena as being an illusion and does not perceive that which is to be confessed, the confessor, and the confession.

Work A 10. Six elements of "non-contravening" are taught. Despite the presentation through six (actually only five) topics, this section lacks a clear principle of structure and order. Some of these non-contravenings actually introduce preferences, i.e. times or associations in which a vow is to be practiced (nos. 1 and 2). The third is, more or less, a repeating of section 7, and thus it teaches circumstances under which a vow is to be abandoned. The remaining two non-contravenings teach that even though one performs apparently non-virtuous activities, the vows are not contravened. What these topics do have in common, though, is that, following these teachings, one does not contravene the Buddha's teachings even though at times some vows remain in the background, or they may be abandoned, or their rules are transgressed through the yogi's behaviour.

The first two topics are actually also a restriction of the yogi in that they prohibit certain yogic practices (apparently damaging lower vows) by day and in the presence of ordinary people. The last two non-contravenings contain also more or less explicit reasons that there is no contravening (of the lower vows through Mantra practice),

- (a.) because of the higher intention consisting in the benefit for others and the arising of the higher path;
- (b.) because the yogi has at his disposal magic powers such as reviving the dead, etc.;

⁵¹²(...continued)

chapter 15.

⁵¹³ See Karma-'phrin-las-pa, *Replies to Ngo-gro* 12 and 20; Karma-nges-legs, *Good Vase* 6.1, 6.3, and 6.4; and Kong-sprul, *Pervading All Objects of Knowledge* 5.4.2.2.2.2.(a).

- (c.) because the yogi possesses insight through which the conflict is "weighed up" (*strang la dbab*).

Thus those powerful tools through which the higher vows are superior are identified as being their specific intention, means, and insight.

Summary of sGam-po-pa's *Work A*

The three most vital points of this teaching of sGam-po-pa can be listed as follows:

1. the three vows have in general different natures; when they are possessed together, they are possessed in an admixture;
2. the higher vows are more important than the lower ones, thus the lower may even be abandoned;
3. the strength of the higher vows lies in the vow possessor's superior intention, means, and insight (*prajñā*).

9.3.2. The Teaching on the Three Vows in sGam-po-pa's *Work B1, B2, and B3*

One of the remaining three smaller works of sGam-po-pa, i.e. *Work B1*, is found in the same collection of teachings as *Work A*. Another one, i.e. *Work B2*, is contained in a compilation of fragments (*dum sgrig ma*), while *B3* is the first of several teachings that were gathered together as doctrinal formulations concerning the three trainings of morality, concentration, and insight (Skt. *śīla, samādhi, and prajñā*). But despite the different sections of the collected works ascribed to different authors or compilers in which we find these small works *B1, B2, and B3*, we shall see that their structure, contents, and even wording is so close, that I assume them to be notes of the same teaching, either by different people, or revealing different degrees of editorial activity.

Work B1, the largest of the three smaller works, is contained in the first of the teachings to the assembly, i.e. the *Tshogs chos bkra shis phun tshogs*, ascribed to the attendant Sho-sgom Byang-[chub]-ye-[she]s. *Work B2*, the shortest one, belongs to the compilation of fragments (*dum sgrig ma*). This compilation ends with a curious poem:

In general I pay respect to the gurus.
 In particular may I be blessed by the Dharma lord himself.
 If people ask who I am,
 I am Tshul-khrims-snying-po.
 Some people call [me] "the main one, the main one."
 Even though they call me so, I do not regret it [because]

in my mind⁵¹⁴ remains Śākyamuni [and he is the main one].
 Some call me "an elder and elder (*gnas brtan*, skr. *sthavira*)"
 Even though they call me so, I do not regret it.
 As my residence (*gnas gzhi*) there are the two [Jo-bo temples] of Lhasa
 (etc.)⁵¹⁵

The Tshul-khrims-snying-po mentioned there must be Dwags-po sGom-pa Tshul-khrims-snying-po (1116-1169), nephew of sGam-po-pa, one of the four main lineage holders among sGam-po-pa's main disciples and first abbot of sGam-po, who lived in Lhasa in the mid twelfth century.

The third work, *B3*, is the first teaching of a section containing, among other things, doctrinal formulations concerning the three trainings (*bslab gsum nam bzhang la sogs pa*). This collection ends with the recording of several lineages. Most of them end with sGam-po-pa, but two of them go well beyond that generation. In these two cases, the position after sGam-po-pa is held by Tshul-khrims-snying-po and by a certain sGom-pa Sangs-rgyas-ye-shes. The last teaching before this record of lineages appears to be the end of a passage entitled *sGam po pa'i bka' 'bum dpags bsam ljon shing* (vol. 2, pp. 327-328). But nothing else is known about this *bKa'-'bum* collection (or part of such a collection), and it remains unclear who compiled it, and whether *Work B 3* is one if its parts.

Let me now briefly describe some peculiarities of the three smaller works *B1*, *B2*, and *B3*. All of them are organized into three sections according to the "three higher trainings," i.e. morality, concentration and insight.⁵¹⁶ It is rather conspicuous, however, that the largest section on morality (*śīla*) is heavily glossed in *B1* and *B3*

⁵¹⁴ "Mind" as in Sanskrit *mati*. The Tibetan text, however, reads "*gros*," which does not make sense. I suppose that "*gros*" is a misreading of dBu-med "*blos*," which again is short for *blo gros* (Skt. *mati*). "*Blos*" and "*gros*" are in dBu-med script easily mistaken for each other. The poem has eight syllables in the first three lines and afterwards alternates between seven and nine syllables. The line in question has only six syllables; with *blo gros* it would properly contain seven. *Blo gros* is usually explained in the dictionaries as *rig rtсал* ("intelligence") and *shes rab* ("insight"). Here, in this early Tibetan poem, it seems to connote "mind," which is well attested for the Sanskrit *mati*.

⁵¹⁵ sGam-po-pa, *Collected Works*, vol. 2, p. 294: *spyir bla ma mams la phyag 'tshal lo// dgos lha rje nyid kyis byin gyis brlob(s!)// mi nga rang gang yin zer tsa na// nga rang tshul khrims snying po yin// mi la la ni gtso bo gtso bo zer// gtso bo zer yang 'gyod rgyu med// gros(!) su shākya mu ne(!) bzhugs// la la ni gnas brtan gnas brtan zer// gnas brtan zer yang 'gyod rgyu med// gnas bzhir (gzhi?) lha sa mams(!) gnyis bzhugs// (...).*

⁵¹⁶ The three trainings are usually this three: morality (Skt. *śīla*), concentration (*samādhi*), and insight (*prajñā*). But here we have for "concentration" the "training of the mind" (Tib. *sems kyi bslab pa*).

(in comparison to the shortest of the three, namely *B2*), while the wording of the two smaller sections on "mind" (Tib. *sems*, standing for "concentration," Skt. *samādhi*) and insight (*prajñā*) is almost entirely the same in all three. Also the first few lines in *B1* and *B3* that introduce the framework of the three trainings are missing in *B2*. I suppose, therefore, that the nucleus of all this is *Work B2*, which appears to be merely a few keywords loosely connected and noted down on a single sheet. The framework of the three higher trainings might have been originally part of this, since the teachings on mind (i.e. concentration) and insight are contained in all three.⁵¹⁷

There are two further reasons for supposing that *B2* was the earliest version. The first is that it does not (yet) contain the heading "to be observed as entrusted" ('*chol par bsrung*). I have already mentioned that the heading "to be observed as entrusted" does not fit and may be an insertion in *Work A 7*, too. Could it be that *Work B1* and *B3* are glossed versions of *B2*? This impression is furthermore strengthened by the following observation: Section 4 of the three smaller works simply says in *B2* that the vows exist as separate units, in that the recipient of the vow thinks at the appropriate time, "I have heard the prātimokṣa," "I am a bodhisattva," and "I am a Tantric adept." Teaching *B1*, on the other hand, explains this under the topic "how [the vows] arise," which might be acceptable,⁵¹⁸ but also adds at the same time a totally incomprehensible explanation for "existing as particular [entities]" (*bye brag tu gnas*), so that it appears that a plain and uncomplicated *B2* has been expanded and "improved" by the editor of *B1*.⁵¹⁹

Taking all this into consideration, we may consider here as the nucleus of the three teachings *B1*, *B2*, and *B3*, the sections *B2-2* to *B2-7*. This nucleus might have furthermore contained the mind (i.e. concentration) and insight sections, but these do not concern us here. The statements contained in this proposed nucleus can be summarized in the following way:

Work B2-2. This section teaches that a person should maintain the vows "as one support [and one] cycle." Here "support" (*rten*) must refer to the person who

⁵¹⁷ The introductory parts in *B1* and *B3* (sections 1 and 2) were either lost in *B2*, or added in *B1* and *B3*. We are, however, mainly concerned with sections 3 through 7, which contain the actual notes on the threefold possession of the vows.

⁵¹⁸ It might be acceptable since such a thought marks the beginning-boundary of the vow according to the Sautrāntikas; see fn. 2.

⁵¹⁹ This "improving" in *B1* can furthermore be witnessed in its section 6, which teaches "possessing 'upwardly'" (*yar ldan*). Here *B1* contains a gloss conveying a sense of inclusion of the lower vows within the higher that clearly goes beyond *B2*. And furthermore we have the sentence "*gang zag gcig gi rgyud la sdom pa gsum rten 'brel skor cig tu gnas*" in *B1* (section 2), where '*brel*' is certainly an incompetent gloss. In this case, however, that gloss has somehow found its way also into *B2*.

possesses the vows, and "cycle" (*skor*) to the vows that are possessed. In other words, one person possesses all three vows as his cycle of vows. The following headings in B2-3 should explain some details of this "cycle."

Work B2-3. The headings given here introduce some aspects of the "cycle" [of vows].

Work B2-4. The vow possessor thinks at the time of obtaining each vow that he has become respectively a practitioner of the prātimokṣa, a bodhisattva, and a Tantric adept. Since this is the explanation for the way the vows persist, namely as separate units or particular entities (*bye brag tu gnas*), I assume that the "one cycle" of the vows has the different sections of prātimokṣa, bodhisattva, and Mantra.

Work B2-5. How are these particular entities of the one cycle of vows observed? According to this section they are observed in accordance to their respective teachings from which they stem, i.e. the Vinaya-prātimokṣa, the bodhisattvapiṭaka, and the root commentary of the fundamental transgressions of Mantra. This means that the fact that the vows exist together as one cycle does not change their way of being observed—they are observed according to their respective systems.

Work B2-6. But if there appears a conflict (*thug 'dom*) between the vows, the respectively higher vow is to be practiced as the preeminent one. The heading "possessing 'upwardly'" (*yar ldan*) perhaps simply indicates a hierarchy of the vows possessed (cf. *Work A 8*).

Work B2-7 Finally it is taught, very much as in section 5, that the vows are repaired according to their respective sections of the teachings.

Summary of Work B2

This small work teaches that the vows are possessed together, that they persist, and that they are observed and repaired according to their respective teachings. In cases of conflict, however, one observes the higher vow.

9.3.3. Is There a Possible Connection Between Work A and B2?

The similarity between the headings of *Work A 4* and *B2-3* is apparent. Except for the additional heading "observed without contradictions" in *A*, they cover exactly the same topics in exactly the same order. It is therefore astonishing to observe how different the respective teachings appear to be. While *A* has nothing of the type of "one cycle with different sections" and has—except for a heading that might have been added later, i.e. "observed as entrusted"—nothing on how the vows are observed, *B2* is totally silent on the nature of the vows and also does not teach the

functioning of insight (*prajñā*)⁵²⁰ in connection with the vows. The only thing that they seem to have in common in substance is that they both teach that the higher vow is to be observed in cases of conflict.

On the other hand, nothing is really contradictory between the two teachings; for example, even though *A* 5 insists that the vows do "not persist as one" (*cig tu gnas pa ma yin*), *B2-2* and 4 teach that they exist as one cycle, but as different sections. In the same way, even though the statement in *B2-5* that the vows are observed according to the different teachings is not taught in *A*, nothing in *A* really contradicts that. It might, therefore, well be that these are teachings by the same master taught at different occasions, perhaps with different purposes in mind.

Even though it is dangerous to speculate before more thorough research has been conducted on sGam-po-pa's collected works, my tentative impression is that here the headings in both teachings might have been inserted later. This was perhaps done in order to connect these teachings, and, in general, perhaps to systematize them along the lines of other later teachings.

⁵²⁰ The functioning of insight in sGam-po-pa's *Work A* will be discussed in more detail in chapter 13.



Abb. 1: Padmasambhava (9th c.)
(Same Intention, vol. 1, p. 125)



Abb. 2: Grags-pa-rgyal-mtshan (1147-1216)
(Collected Works of A-mes-zhabs, vol. dza, fol. 1v.)



Abb. 3: Go-rams-pa bSod-nams-seng-ge (1429-1489)
(Sa skya pa'i bka' 'bum, vol. 11, p. 1)



Abb. 4: mNga-ris Pan-chen (1487-1542)
(Commentary to the Clear Comprehension, vol. 37, fol. 1v)

Work A 1

yang chos rje sgam po pa'i zhal nas/ rnam pa thams cad mkhyen pa'i sangs rgyas
thob par byed pa la/ bsdom pa gsum dang ldan dgos gsung/ sdom pa gsum dang
ldan dgos par ga nas bshad snyam na/ rgyud rdo rje rtshe mo nas

byang chub sems 'dzin gyur nas ni//
lha gzhan la ni mi mos pas//
dkon mchog gsum la dad pa dang //
de la gzhöl zhing 'bab pa yin//
gsod dang rku dang 'khrig pa dang //
brdzun dang chang ni rnam par spang //
khyim pa'i tshul du gnas na ni//
gsang sngags rgyal po rab tu bsgrub// <164>
gal te de ni rab byung na//
bsdom pa gsum la gnas par bya//
so so thar dang byang chub sems//
rigs 'dzin nang gi bsdom pa'o//
gnyis po rigs 'dzin rten yin te//
yang na rigs 'dzin nang du 'dus//

shes gsungs pas na/

Work A 2

de yang so so thar pa'i dge bsnyen gyi bsdom pa ni/ grogs dge bsnyen gyi bsdom pa
thob pa yan (chad?) dang 'brel/ cho ga skyabs su 'gro ba yan chad dang 'brel/ bslab
bya rtsa ba bzhi chang dang bcas pa bsrung / dge tshul gyi bsdom pa ni grogs
mkhan po dang / slob dpon mtshan nyid dang ldan pas 'brel/ cho ga skyabs su 'gro
ba dang 'brel/ bslab bya rtsa ba bzhi dang 'brel(?)⁵²¹/ yan lag drug mkhan po las
thob pa bsrung / dge slong gi sdom pa ni grogs mkhan po dang slob dpon gnyis/ dge
'dun mtshan nyid dang ldan pa dang 'brel/ cho ga gsol ba dang bzhi'i las⁵²² la sogs
pa dang 'brel/ bslab bya khrims nyis brgya lnga bcu rtsa gsum la sogs pa phran
tshogs dang bcas pa bsrung bar bya'o//

byang chub sems dpa'i sdom pa ni/ yul⁵²³ bla ma mtshan nyid dang ldan pa
dang 'brel/ cho ga smon 'jug don dam byang chub mchog tu sems bskyed pa dang
'brel/ bslab bya ni rang gi srog la bab kyang / byams snying rje byang chub kyi sems
mi biang zhing / pha rol tu phyin pa drug la sogs pa mdor na sdom pa nyi shu pa
dang / tshul khrims kyi le'u la sogs pa nas gsungs pa bzhi du bslab par bya'o// (...)

⁵²¹ One expects as in the parallels above and below "dang bcas pa bsrung."

⁵²² Skt. *jñāpticatoritām karma*, see p. 48.

⁵²³ I.e. *blang yul*, cf. p. 260.

9.4. Tibetan Texts and Translations of sGam-po-pa's *Work A*

Work A 1 (Summary)

In the beginning of teaching A, the *Vajra-Peak Tantra* is quoted in order to show the necessity of possessing the three vows (*sdom pa gsum dang ldan dgos par*). And indeed the quotation contains the lines:

gal te de ni rab byung na//

bsdom pa gsum la gnas par bya//

If [a householder] is ordained,
he has to remain in the three vows.

Work A 2 (Summary)

In this section, the text explains very briefly the connection of the different vows with the respective groups of householders, novices, fully ordained monks and nuns, bodhisattvas, and Tantric adepts, and their respective rituals and trainings.

*theg pa chen po gsang sngags kyi sdom pa ni/ yul rdo rje slob dpon dang 'brel/ cho
ga dbang dang byin brlabs kyi rim pa dang 'brel/ bslab bya rtisa ltung yan lag dang
bcas pa la bslab par bya'o//*

Work A 3

*de lta bu'i sdom pa gsum gyi ngo bo ni/ mams(!) snang mngon par byang chub pa'i
rgyud las/*

*so so thar pa'i bsdom pa'i ngo bo ni gzhan la gnod pa bzhi(!) dang bcas pa
la⁵²⁴ mam pa thams cad du log pa <165> yin la/ byang chub sems dpa'i
ngo bo ni de'i steng du sems can la phan 'dogs pa'o*

ces gsungs pa dang / yang rdo rje gur las/

phung po sangs rgyas mnga' bdag nyid//

de la brnyas pa brgyad pa yin//

*zhes pa gsang snags kyi sdom pa'i ngo bo ni/ mam pa lhar gsal ba/ nyams myong
bde ba/ rang bzhin mi rtog pa gsum dbyer med pa'o//*

Work A 4

*de yang rten gyi gang zag la sdom pa gsum de dag gnas na ji ltar gnas/ bsrung na
ji ltar bsrung / ldan na ji ltar ldan/ phyir bcas na ji ltar 'chos/ mi 'gal bar bsrung
na ji ltar bsrung zhes na/*

Work A 5

*⁵²⁵de la sdom gsum gyi ngo bo ni cig tu gnas pa'am⁵²⁶ ma yin te/ dang po skye ba'i
rgyu phyogs cig pa med pa la/ tha dad du bshad pa dang / grub pa'i sde mi cig ste/
dang po thob pa'i dus cig pa med de/ rim pa bzhin du thob dgos pa dang / gnas pa'i
dus cig pa med de/ so so thar pa'i rtisa ba ni ji srid mtsho⁵²⁷ ba yin la/ sdom pa gnyis
po ni dus snying po byang chub la ma mchis kyi⁵²⁸ bar yin pa dang /*

⁵²⁴ Read: *las*.

⁵²⁵ The beginning of this passage is structurally unclear.

⁵²⁶ Read: *pa'ang*?

⁵²⁷ Read: *'tsho*.

⁵²⁸ Read: *kyi*.

Work A 3 (Summary)

Then, in order to explain the nature of the first two vows, the *Awakening of Vairocana* is quoted:

The nature of the prātimokṣa vows is to be completely adverse to harming others together with the [mental] basis [for that], <165> and the nature of the bodhisattva [vows] is, in addition to that, to benefit sentient beings.

With reference to the eighth fundamental transgression of Mantra that is quoted from a text called "*Vajra Tent*" (*rdo rje gur*⁵²⁹), the nature of the Mantra vows is explained as the inseparability of the three, i.e. form manifested as deities (*nam pa lhar gsal ba*), of experience [that arises as] bliss (*nyams myong bde ba*), and nature [that consists in] mental non-construction (*rang bzhin mi rtog pa*).

Work A 4 (Summary)

After the connection of the vows with the respective assemblies and the basic nature of the vows have been introduced, the next passage introduces several new headings through a formulation that is often found in one or another form at the beginning of many treatises or chapters that explain how the three vows exist in harmony:

Now, if these three vows exist for [one] person who is the support, how do they persist? If they are to be observed, how should they be observed? If they are possessed, how are they possessed? If they are repaired, how are they repaired? If they are to be maintained without incompatibility, how should they be maintained?

Work A 5 (Summary)

This instruction explains that the natures (*ngo bo*) of the vows do not exist as one (*cig tu gnas pa ma yin*) for several reasons. First of all, the causes for the arising (*skye ba'i rgyu*) of the vows are not the same, they are taught separately (*tha dad du bshad pa*), and the sections [of the teachings] in which they are established (*grub pa'i sde*) are not the same. Furthermore, the time that the vows are obtained for the first time (*dang po thob pa'i dus*) is not the same, and they must be obtained in succession (*rim pa bzhin du thob dgos*). Their duration (*gnas pa'i dus*), too, is not the same, because while the prātimokṣa is taken for as long as one lives, the bodhisattva vow exists until one arrives at the seat of awakening (*bodhimaṇḍa*⁵³⁰).

⁵²⁹ *Dākinīvajrapaṇījaratantra*, an explanation tantra belonging to the cycle of Hevajratantras.

⁵³⁰ For the seat of awakening, see p. 50.

gtong ba'i rgyu gcig mìn te/ so so thar pa'i rtsa ba bzhi shor na gtong bar bshad la/ byang chub sems dpa'i dbang du byas na mi dge ba bcu la yid kyi gsum po ma gtogs pa bdun po sems can gyi don du 'gyur bar bshad pa dang / gsang sngags rdo rje theg pa ni de de dag nyid lam du gsung ba'i phyir dang / yang rten dang ngo bo la'ang tha dad ste(!)/ so so thar pa ni gling gsum gyis⁵³¹ skyes pa dang / bud med skye bar bshad pa la/ sdom pa gnyis po ni skyes pa dang ma ning dang / mtshan gnyis dang / byang gi sgra mi snyan dang / lha dang klu la sogs pa mi khom pa'i gnas brgyad spangs pa thams cad la skye bar bshad pa dang / sdom pa rang gi ngo bo 'gal te/ so so thar pa'i bsdom pa'i ngo bo ni sems ma yin te/ sems las byung ba yul dang dbang po lnga dang bca (bcu? bcas?) ste/ <166> nam par rig pa'i gzugs ma yin pa la nam par rig byed ma yin pa gzugs/ bstan du med cing thogs pa med pa'i gzugs su 'dod pa dang / byang chub sems dpa' ni sems kyi khyad par la 'dod pa dang / gsang sngags ni lhan cig skyes pa'i ye shes la 'dod pas/ ngo bo 'gal bar gnas pa dang / yang bsdom pa gnyis po phul yang so so thar pa gnas par bshad pa dang / so so thar pa phul yang gnyis po mi gtong bar bshad pas des na sdom pa cig pa 'ang mi btub po/

Work A 6

sdom pa gsum po'i ngo bo gnas skabs thams cad du tha dad du 'ang mi btub ste/ tha dad na rang rig mngon sum las 'di ni so so thar pa'i sdom pa'o// 'di ni byang chub sems dpa'i sdom pa/ 'di ni gsang sngags kyi bsdom pa yin zhes/ sems tha dad pa gsum du snang bar rig pa la/ sems cig las med pa'i phyir/ thams cad du tha dad pa'ang mi 'thad do//

'o na ji ltar gnas shes(!) na

sdom pa gsum/ ngo bo shìn du(!) tha dad gnas skabs 'dres pa'i tshul du gnas pa yin te/ dper na chu dang 'o ma 'dres pa la/ tha dad med kyang ngang pas chu gzhag nas 'o ma blang du btub pa bzhin du/ sdom pa gsum po 'di yang gang rung cig phul nas kyang / gnyis po gnas su btub pas des na ngo bo tha dad/ gnas skabs 'dres pa'i tshul du gnas so//

⁵³¹ Read: gyi.

The causes for losing (*gtong ba'i rgyu*) the vows are also different, because in the prātimokṣa, if the four fundamentals (i.e. to abandon killing, etc.) are deteriorating, one loses the vows, whereas in the scope of the bodhisattvas, from among the ten non-virtuous—except for the three non-virtuous of the mind—the seven of the body are permitted if performed for the benefit of sentient beings, and in the Mantra one takes these as the path. The support (*sten*) of the vows is also different, because the prātimokṣa is taught to arise only for men and women of the three continents,⁵³² and the bodhisattva vow is taught to arise for men, eunuchs, hermaphrodites, beings of the northern continent of "Harsh Sounds,"⁵³³ gods, serpent demons (*nāgas*) etc., i.e. for all who have avoided the eight existences with no chance to hear the teaching. Finally it is explained that the nature of the prātimokṣa vows is not mind, < 166 > that the bodhisattva vows are a specific feature of the mind (*sems kyi khyad par*), and that the Mantra vows are innate simultaneously arisen gnosis (*lhan cig skyes pa'i ye shes*⁵³⁴). The text concludes:

The natures [of the vows] exist as [something] incompatible. And furthermore, even though the two [higher] vows [may be] lost, the prātimokṣa is taught to continue, and even though the prātimokṣa [may be] lost, the two [higher] vows, it is taught, are not lost. Therefore, too, it is impossible that the vows are the same.

Work A 6

It is also not acceptable that the natures of the vows [exist] as different [things] on all occasions, because if they were different, they would be perceived (*rig pa*) to appear as three different consciousnesses through self-cognizing direct perception, namely "these are the prātimokṣa vows," "these are the bodhisattva vows," [and] "these are the Mantra vows," but there is no more than [just] one consciousness. [Therefore] it is also not acceptable that [the nature of the vows] are always different.

[Question:] In that case, how do they persist?

The three vows persist in such a way that their natures are totally different [but] mixed [on] occasion. For example, with regard to water mixed with milk, even though they are not different [when mixed], the goose is able to take the milk, leaving [only] the water. Similarly these three vows, too, which are of a different nature because one is able to continue the [other] two vows also after any one [of the three vows] has been lost, exist in the manner of an admixture [on] occasion.

⁵³² See my remarks on *Garland of Rays* 2, pp. 36 ff.

⁵³³ See previous note.

⁵³⁴ Cf. *Garland of Rays* 11.

Work A 7

*bsrungs*⁵³⁵ *na ji ltar bsrungs zhes na/ bsrung na 'chol par(!) bsrung ste/ sdom pa gsum po na ba la sogs pa'i srog gi bar chad du 'gro ba dang / sdom pa gnyis pa'i bar chad du gang 'gro ba dang / lam gong ma rgyud la skye ba'i bar chad dang / bar chad gsum po dang / sdom pa gsum po 'dom thug byung na/ bar chad du gang 'gro ba ste ma bsrungs kyang nyes pa med pa ste/ bsrungs na de ltar 'chol bar bsrung ngo//*

Work A 8

ldan na yar ldan te/

theg pa gsum gyi nges 'byung la//

theg pa cig gi 'bras bur gnas// <167>

shes gsungs pas chung ngu'i ngor chen po mi gtong ste/ so so thar pa dang byang chub sems dpa'i sdom pa nang thug byung na/ byang chub sems dpa' ltar spyad la/ byang chub sems dpa'i sdom pa so so thar pa yal bar dor ba la nyes pa med/ byang chub sems dpa' dang gsang sngags 'dom thug byung na/ gsang sngags ltar spyad la/ byang chub sems dpa'i sdom pa yal bar dor ba la nyes pa med de/ de ci'i phyir zhe na/ gong ma bsrungs pas nyes pa med/ 'og ma mi gnas pas nyes pa med/ nyes pa gnyis po gang med pa cho ga bisan po/ thabs bzang po/ theg pa'i go rims sangs rgyas kyi dgongs pa yin gsung /

de ltar ma yin te/ gong ma dor nas 'og ma bsrungs na/ 'og ma bsrungs pas nyes pa bskyed/ gong ma dor bas nyes pa bskyed/ nyes pa gnyis gang bskyed pa de cho ga dman pa thabs dman pa la zhugs pa/ theg pa'i go rims nor ba/ sangs rgyas kyi dgongs pa ma lon pa ste/ chung ngu'i ngor chen po tiang ba'i phyir ro// de bas na ldan na yar ldan no//

⁵³⁵ In this passage the verb *srun* *ba* appears three times in its perfect and three times in its future form. I suggest to read it as *bsrun* *ba* (future, i.e. with optative connotation).

Work A 7

<166> [Question:] If they are to be observed, how are they to be observed?

[Answer:] If they are to be observed, [they are to be observed] as entrusted. If the three obstacles [for] the three vows, [i.e.] that which becomes an obstacle for [one's] life, such as illness, [or if] that which becomes an obstacle for the second vow, [or if] an obstacle for the arising of the higher path in [one's] mental stream of consciousness [arise], and if [furthermore] there arises a conflict [between] the three vows, there is no moral fault even if that which becomes the obstruction is not observed. [Thus] if they are to be observed, they are to be observed as entrusted like that.

Work A 8

If they are possessed, they are "possessed 'upwardly.'" [*Mañjuśrīnāmasaṃgīti*, 9,17cd; cf. Wayman 1985: 104]:

Having delivered the three vehicles,
he stays in the fruit of the one vehicle. <167>

Therefore the greater is not to be abandoned for the smaller. If an internal conflict arises between the *prātimokṣa* and the bodhisattva vows, one practices like the bodhisattva, and there is no moral fault in ignoring the *prātimokṣa*.⁵³⁶ If there arises a conflict between the bodhisattva [vows] and the Mantra [vows], one should practice according to the Mantra, and there is no moral fault in ignoring the bodhisattva vows. Why? There is no moral fault because the higher is observed. There is no moral fault because the lower is not continued. That⁵³⁷ which is the absence of the two moral faults is taught to be [the functioning of] the powerful rituals, the excellent means, the hierarchy of the vehicles, [and thus it is] the Buddha's intention.

Otherwise, if the lower is observed after the higher has been abandoned, a moral fault is produced because the lower is observed, [and] a moral fault is produced because the higher is abandoned. The two moral faults that are produced are having entered into the lower ritual [and] the lower means, mistaking the hierarchy of the vehicles, [and] not realizing the Buddha's intention, because the greater has been abandoned for the smaller. Therefore, if [the vows] are possessed, [they] are "possessed 'upwardly.'"

⁵³⁶ Here the repetition of *byang chub sems pa'i sdom* appears to be an (inept) gloss.

⁵³⁷ Read *gang med pa de*, as in the parallel construction below.

Work A 9

phyir 'chos na grub mtha' bzhin du phyir 'chos te/ so so thar pa dang 'gal na bsam
 pa dang sbyor ba'i sgo nas/ byang chub sems dpa'i sdom pa dang 'gal na stobs
 bzhi'i sgo nas/ gsang sngags kyi sdom pa dang 'gal na bshags bsdom/ dbang rigs kyi
 sgo nas phyir 'chos/ de yang de gsum dang / chos thams cad sgyu ma lta bur rtogs
 pa la ni bshags pa la tshegs med de/ de ltar yang

bshags bya bshags byed bshags pa mams//

gang tshe mal 'byor pas ma mthong //

rang bzhin dag pas yongs su mthong //

de ni bshags pa'i sdom pa('o?)

ces gsungs so//

Work A 10

gnas skabs tha dad/ gong gi 'gal ba ltar snang ba mams/ mi 'gal bar bsrung na ji
 ltar bsrung zhes na/

de la mi 'gal ba mam pa drug ste/ dus mi <168> 'gal ba ni/ nyin mo so so
 thar pa mi 'gal/ mtshan mo gsang sngags kyi dam tshig dang mi 'gal/ gnyis kar
 byang chub sems dpa'i bsdom pa dang mi 'gal bar bya'o//

grog mi 'gal ba ni nyan thos kyi nang du so so thar pa dang mi 'gal/ theg pa
 chen po'i rigs can gyi nang du byang chub sems dpa'i sdom pa dang mi 'gal/ rgyud
 smin grol dang ldan pa'i nang du gsang sngags kyi dam tshig dang mi 'gal bar
 bya'o//

Work A 9

If [damages of the vows] are repaired, they are repaired according to the [respective] doctrinal tenets. If one transgresses against the *prātimokṣa*, that is repaired through intention (*bsam pa*) and preparation (*sbyor ba*),⁵³⁸ if one transgresses against the bodhisattva vows, that is repaired through the four powers (*stobs bzhi*),⁵³⁹ and if one transgresses against the Mantra vows, that is repaired through confession [of the fault and] binding [henceforth] (*bshags sdom*), [and] through the [different] kinds of initiation (*dbang rigs*). Now, for one who realizes these three and all phenomena as being like an illusion, there is no difficulty with regard to confessing, as it is said:

When that, which is to be confessed, the confessor, and the confession
is not perceived by the yogi,
[then] this perfect perception [of reality] because of the purity of own-
nature,
is the discipline of confession.

Work A 10

Different occasions. [Question:] If one observes the above-mentioned seemingly incompatible [vows] without contravening, how are they to be observed?

[Answer:] There are six non-contravenings:⁵⁴⁰ (1) Non-contravening [of] time: [By] day one should not contravene the *prātimokṣa*, [by] night one should not contravene the pledges of Mantra, [and] at both [times] one should not contravene the bodhisattva vows.

(2) Non-contravening [with regard to one's] association: Among auditors, one should not contravene the *prātimokṣa*, among those who are endowed with the Mahāyāna-family, one should not contravene the bodhisattva vows, [and] among those who possess a ripened and liberated mental stream of consciousness, one should not contravene the pledges of Mantra.

⁵³⁸ According to the *Tibetan-Chinese Dictionary*: *sems kyi kun slong dang / lus kyi lag len*.

⁵³⁹ These are variously known as the four powers of the antidote (*gnyen po*), of confessing sins (*sdig pa bshags pa*), etc. In short they are "power of reliance" (*rtan gyi stobs*), "power of repentance" (*mam par sun 'byin pa'i stobs*), "power of not repeating the fault" (*nyes pa las slar ldog pa*), and "power of the antidote that is righteous conduct" (*gnyen po kun tu spyod pa*),

⁵⁴⁰ Only five points are mentioned in the text, but a scribe seems to have understood the "weighing up" (*srang la dbab*), although it rather is a conclusion, as the sixth point.

*rkyen mi 'gal ba ni na ba dang / srog gi bar chad du 'gro ba dang / lam gong
ma'i bar chad du 'gro na mi bya ste/ ma bsrungs kyang 'gal ba med do//*

*bsam pa mi 'gal ba ni gzhan don du 'gyur ba dang / lam gong ma rang gi
rgyud la rten nas srog gcod pa la sogs pa byas kyang mi 'gal lo//*

*gang zag gi gnas pa mi 'gal ba ni/ bsad pa bso nus/ rig ma 'gugs nus/ dngos
po sbed nus pas de ltar byas kyang 'gal ba med do//*

*de ltar bsalab pa gsum po rnams kyang 'dom thug byung ba'i dus su shes rab
kyis srang la dbab/*

*gnang bkag gis kyang 'di bsdus na/ 'gal bya che shos spangs pa'i don du chung
shos gnang / che shos spangs pas nyes pa med/ chung shos gnang bas nyes pa med
de/*

shes rab thabs dang ldan pa yis//

dus tshod gtso bor khyer ba gces//

*shes gsungs pas/ bla na med pa'i byang chub thob par 'dod pa'i gang zag gis/ rgyud
sdom pa gsum dang ldan par bya gsung//*

(3) Non-contravening [with regard to] conditions: If [a vow-activity] becomes an obstacle for [one's] life, such as illness,⁵⁴¹ and if [it] becomes an obstacle for the higher path, one should not perform it. There is no contravening even though [the vow] is not observed.

(4) Non-contravening [with regard to] intention: Even if one performs [sins] such as killing, one does not contravene, [if one does it] for the sake of others and based on [the arising] of the higher path in one's mental stream of consciousness.

(5) Non-contravening [with regard to] the abiding of the person [on higher spiritual levels]: Because one is able to revive [beings] killed, to summon [Tantric] consorts, [and] to cause things to disappear(?), there is no contravening even if one performs [sins] in that way.

[Thus?] at the time when there arises a conflict also [between] the three vows in that way, it is [non-contravening because one acts only after having] weighed up [the conflict] through insight.

Also, to summarize this through that which is permitted and that which is prohibited, the smallest activity contravening [the vows] is permitted in order to abandon the greatest. There is no moral fault because the greatest [sin] is abandoned, [and] there is no moral fault because [only] the smallest is permitted. [As] it is said:

It is important that one carries on [the vows?] at the main occasions [or: in critical times?]

through possessing insight and skill in means.

Therefore a person who wishes to obtain unsurpassed awakening has to possess the three vows [in his] mental stream of consciousness. [Thus] taught [sGam-po-pa].

⁵⁴¹ Read, as in *Works A 7*, *na ba la sogs pa?*

9.5. Parallel Edition of sGam-po-pa's *Work B1, B2, and B3*

bold parallel text of all three
italics parallel text of *B1* and *B3* only
underline parallel text of *B1* and *B2* only

Work B3, vol. 2, pp. 294-295

B3-1

na mo gu ru// rin po che lha rje'i *zhal nas/*
bslab pa rnam pa gsum ni/ lhag pa tshul
khirms kyi bslab pa dang / lhag pa sems kyi
bslab pa dang / lhag pa shes rab kyi bslab
pa'o//

B3-2

tshul khirms gang zhe na/

mkhan po dang slob dpon la so so thar pa'i
bsdom(!) pa blangs nas/ ji lta ba bzhin
bsrung ba ni (so thar) bsdom(!) pa'i tshul
khirms/ de nas byang chub mchog tu sems
bskyed nas bslab bya la slob pa ni/ (byang
sams) sdom pa'i tshul khirms/ de nas gsang
sngags kyi dbang bskur zhus nas/ dam tshig
ji lta ba bzhin bsrung ba ni/ bsdom pa'i
tshul khirms gang zag gcig gi rgyud la
sdom pa gsum rten bskor cig tu gnas dgos
te/

Work B1, vol. 1, pp. 158-159

B1-1

yang chos rje sgam po pa'i *zhal nas/ ston pa*
bde bar gshegs pas gsungs pa'i chos thams
cad bslab pa rnam pa gsum du ma 'dus pa
med gsung / de yang bslab pa rnam pa gsum
ni/ lhag pa tshul khirms gyi bslab pa dang /
lhag pa sems kyi bslab pa/ lhag pa shes rab
kyi bslab pa gsum yin/

B1-2

de la *tshul khirms kyi bslab pa gang yin na*

mkhan po dang slob dpon la so so thar pa'i
sdom pa blangs nas ji lta ba bzhin bsrung ba
ni bsdom(!) pa'i tshul khirms/ de nas smon
pa dang 'jug pa'i byang chub sems bskyed
nas/ byang chub sems dpa'i bslab bya la
slob pa ni sdom pa'i tshul khirms/ de nas
gsang sngags kyi dbang zhus nas rtsa ba'i
ltung ba bcu bzhi/ yan lag gis(!) ltung ba
brgyad la sogs pa'i dam tshig ji lta ba bzhin
bsrung ba ni bsdom(!) pa'i tshul khirms/
gang zag gcig gi rgyud la sdom pa gsum
rten 'brel skor cig tu gnas dgos te/

B1-3

skye na ji ltar skye/ gnas na ji ltar gnas/
bsrung na ji ltar bsrung / ldan na ji ltar ldan/

Work B2, vol. 2, pp. 287-288

B2-2

na mo gu ru/ gang zag gcig gi rgyud la/
sdom pa gsum rten 'brel skor cig tu gnas
dgos te/

B2-3

gnas ni ji ltar gnas bsrung na ji ltar bsrung /
ldan na ji ltar ldan/ 'chos na ji ltar 'chos/

'chos na ji ltar 'chos snyam na/

B3-4

bye brag gang zhe na/ mkhan po'am slob
dpon la sdom pa blangs pa'i dus su/ ngas
so so thar pa'i sdom pa thob snyam/ sems
bskyed pa'i dus su/ ngas sems bskyed thob/
byang chub sems dpa' yin snyam/ de ltar
snyam pa tsam las/ ngo bo cig so so ni yod
pa ma yin no//

B3-5

bsrung na 'chol par bsrung / 'chol pa gang
zhe na/ so so thar pa'i dus su 'dul ba bzhin
bsrung // sems bskyed nas byang chub sems
dpa'i spyod pa bya// dbang bskur nas gsang
sngags kyi dam tshig bsrung //

B3-6

ldan na yar ldan te/ thug 'dom byung ba'i
dus su/ rims kyis gong ma gtso cher
bya'o//

B1-4

mkhan slob la sdom pa blangs pa'i dus su
ngas so so thar pa'i sdom pa thob snyam
pa'i dus su skye/ byang chub sems dpa'i
sdom pa thob snyam pa'i dus su byang chub
sems dpa'i bsdom(!) pa skye/ dbang bskur
ba'i dus su gsang sngags kyi dam tshig thob
snyam pa'i dus su gsang sngags kyi sdom pa
skye/

gnas na bye brag tu gnas te/ bye
brag gang zhe na/ de ltar mnyam pa'i ngo
bo cig so sor yod pa ma yin te/ sems nyid
kyi ngo bo gang zag cig gi rgyud la gsum
tshang ba'o//

B1-5

bsrungs na 'chol par bsrungs/ 'chol pa gang
zhe na/ so thar gyi dus su 'dul ba 'od ldan
bzhin du bsrung / sems bskyed byas nas
sdom pa nyi shu pa la sogs pa bzhin bsrung
/ byang chub sems dpa'i spyod pa bya/
dbang bskur nas rtsa ba'i ltung ba bcu bzhi
pa la sogs pa nas ji ltar gsungs pa bzhin du
gsang sngags kyi dam tshig bsrung /

B1-6

ldan na yar ldan te nang thug byung ba'i
dus su/ 'og ma gong ma'i khongs na yod pas
rims kyis gong ma gtso cher bya/

B2-4

gnas pa bye brag tu gnas te/ mkhan slob la
sdom pa blangs pa'i dus su/ so thar gyi
sdom pa thos snyam/ sems bskyed pa'i dus
su/ sems bskyed/ byang chub sems dpa' yin
snyam/ gsang sngags kyi dus su/ ngas dbang
thob theg chen gsang sngags pa yin snyam/

B2-5

bsrung ba/ so thar 'dul ba bzhin bsrung /
sde snod kyi ma mo bzhin du bsrung / rtsa
ltung rtsa 'grel bzhin bsrung /

B2-6

ldan na yar ldan te/ thug 'dom byung dus/
rim gyi gong ma gnyis gtso bor bya'o/

B3-7

'chos na grub mthas 'chos te/ so thar la
 skyon byung na/ mkhan po la sogs pa la
 bshags pas chog na *bshags// bskyar dgos na
 bskyar/ byang chub sems dpa'i dus su skyon
 byung na/ sems bskyed pa'i byang chub sems
 dpa' bshags pas chog na bshag // bsdam par*
 'os na bsdam/ gsang sngags kyi ltung ba
 byung na/ bla ma la bshags pas chog na
 bshag // bskyar dgos na bskyar ro//

de ni lhag pa tshul khrims kyi bslab pa'o//

lhag pa sems kyi bslab pa ni/ bsdom(!) pa
 rnams la bsngo bgrang byed cing / rang gi
 sems nyid glang po che smyon pa lta bu de/
*lhag pa tshul khrims kyi dmigs pa'i ka pa
 la/ dran pa'i zhags thag gis dam du bcings/
 shes rab kyi lcags kyus bzung nas/ blo
 rims kiyis bsgom pa ni zhi gnas so//*

de ltar zhi gnas kyi sems rtse gcig tu bzhag
 nas/ lhag mthong gis *spyod(dpyod?)* pa zhig
 byed de/ sdom pa la rtog dpyod btang bas/
 ji srid rtog dpyod 'dug phan chad bsam
 shes la/ rtog dpyod las 'das nas bsgom
 shes//

*lhag pa shes rab kyi bslab pa ni/ chos
 thams cad kyi rang bzhin spros pa thams
 cad dang bral ba de go zhing rtogs pa'o//*

B1-7

'chos na so so thar pa la skyon byung na/
*mkhan po la sogs pa la gshags dgos na
 gshags/ skyor dgos byung na skyar/ byang
 chub sems dpa'i dus su skyon byung na/
 sems bskyed pa'i byang chub sems dpa' la
 bshags pas chog na bshags/ skyor dgos na
 skyor/*

de ni lhag pa tshul khrims kyi bslab pa'o//

lhag pa sems kyi bslab pa ni/ sdom pa
 rnams la bdag gis so so thar pa'i dus su
 skyon ma byung ngam snyam du sngo
 bgrang byed cing / rang sems glang po che
 smyon pa *dang 'dra ba ste/ lhag pa tshul
 khrims kyi dmigs pa'i ka ba la dran pa'i
 zhags thag gis bcings/ shes rab kyi lcags
 kyus bzung nas/ blo rims kiyis bsgom par
 byed pa ni zhi gnas so//*

de ltar zhi gnas kyi sems rtse cig tu byas
 nas lhag mthong gis *spyod(dpyod pa?)* zhig
 'gyed(byed?) do// sdom pa la rtog dpyod
 btang nas ji srid rtog dpyod 'dug phan
 chad du bsam pa'i shes rab yin la/ rtog
 dpyod las 'das phan chad bsgom pa'i shes
 rab bo//

*lhag pa shes rab kyi bslab pa ni/ chos
 thams cad kyi rang bzhin spros pa dang
 bral ba de go zhing rtogs na shes rab kyi
 bslab pa yin gsung//*

B2-7

'chos na/ grub mthas 'chos te so thar la
 skyon byung na 'dul ba bzhin 'chos/ sems
 bskyed la sde snod bzhin/ dam tshig la rtsa
 ltung bzhin 'chos/

de ni lhag pa tshul khrims kyi bslab pa'o//

lhag pa sems kyi bslab pa ni/ sdom pa
 rnams la bsngo bgrang byed cing / rang gi
 sems glang chen smon pa *dang 'dra ba ste/
 tshul khrims kyi dmig pa'i ka pa la/ dran
 pa'i zhags pas dam du bcing / shes rab kyi
 lcags kyus bzung nas/ blo rims kiyis sgom
 pa ni zhi gnas so//*

de ltar zhi gnas la rtse gcig tu bzhag nas/
 lhag mthong gis byong(dpyod pa?) zhes
 'gyed(byed?) de/ sdom pa la rtog dpyod
 btang bas/ ji srid rtog dpyod 'dug phan
 chad bsam shes la/ rtog dpyod las 'das
 phan chad sgom shes so//

shes rab kyi chos thams cad kyi rang
 bzhin spros pa'i mtha' dang bral bar rtog
 pa ste/ lhag pa *shes rab yin gsung ngo//*

Work B2-2. Homage to the guru! The three vows must exist in the mental stream of consciousness of a single person as one support [and one] cycle.⁵⁴²

Work B2-3. If⁵⁴³ they persist, how do they persist? If they are observed, how are they observed? If they are possessed, how are they possessed? If they are repaired, how are they repaired?

Work B2-4. If⁵⁴⁴ they persist, they persist as particular entities. At the time when one takes the vow from the preceptor (*upādhyāya*) and the master (*ācārya*), one thinks, "I possess⁵⁴⁵ the prātimokṣa vows;" at the time when one has produced the resolve [for awakening] one thinks, "[I] have produced the thought, I am a bodhisattva;" at the time of Mantra one thinks, "I have obtained initiation, I am a practitioner of the Mahāyāna Mantra."

Work B2-5. If⁵⁴⁶ they are observed, they are [respectively] observed according to the Vinaya-prātimokṣa, according to the root (*ma mo?*) of the [bodhisattva?]-scripture collection (*piṭaka*), [and] according to the root commentary of the fundamental transgressions.

Work B2-6. If they are possessed, they are "possessed 'upwardly.'" When conflicts arise, the respective higher is to be practiced as the preeminent [vow].⁵⁴⁷

Work B2-7. If they are repaired, they are repaired through [their] tenets, [i.e.] if a defect arises for the prātimokṣa, that is repaired according to the Vinaya [and respectively] for the production of resolve according to the [bodhisattva]-scripture collection (*piṭaka*), [and] for the pledges according to the [commentary of] the fundamental transgressions.

⁵⁴² 'brel is incomprehensible, it is certainly an inept gloss.

⁵⁴³ Read *na* for *ni*.

⁵⁴⁴ Read *na* for *pa*.

⁵⁴⁵ Read *thob* for *thos* as in *B1* and *B3*.

⁵⁴⁶ Read *na* for *ba*.

⁵⁴⁷ *gnyis* must be an inept gloss here.

Karma-'phrin-las-pa on the Theory of the Three Vows: Replies to the Questions of Ngo-gro and rGya-ston

Four eventful centuries elapsed between sGam-po-pa, the founder of the Dwags-po bKa'-brgyud-pa, and Karma-'phrin-las-pa (1456-1539), a major figure who stands between the seventh and eighth Karma-pa in the history of this tradition. During this productive period in the development of Tibetan Buddhist scholasticism, many masters had dealt with the three vow theories. For the Sa-skyas-pas, rJe-btsun Grags-pa-rgyal-mtshan, the great Sa-pan, and many commentators such as Go-rams-pa had appeared on the scene in the thirteenth through fifteenth centuries. Vibhūticandra's doctrine had spread from the thirteenth century onwards, the rNying-ma-pas had developed their own doctrine with Klong-chen-pa and mNga'-ris Paṇ-chen from the fourteenth to early sixteenth century, and within the bKa'-brgyud-pas themselves, many often competing doctrines had emerged, such as the *Same Intention* (*dGongs gcig*) teaching of the 'Bri-gung-pa 'Jig-rten-mgon-po. It is therefore not surprising that those who saw themselves as upholders of sGam-po-pa's teachings joined the debate to spread their master's three-vow doctrine, and, to be sure, to refine it further. In the present chapter, I shall present the efforts in this regard by Karma-'phrin-las-pa, whose explanations of the theories of the three vows were a major influence on the subsequent developments within the Karma bKa'-brgyud-pas and beyond.

10.1. Biographical Notes on Karma-'phrin-las-pa-phyogs-las-rnam-rgyal

Karma-'phrin-las was born in the Eastern part of gTsang in a house called "dPal-khang."⁵⁴⁸ His paternal lineage was "dGyer" and he was the paternal nephew of bKra-shis-rnam-rgyal (1399-1458), second abbot of Na-lendra.⁵⁴⁹ At the age of seventeen he received full monastic ordination and the ritual for the production of the resolve according to the Madhyamaka system. His studies included the full range of Buddhist scholarly subjects such as the Perfections Vehicle, Abhidharma, Vinaya, the five treatises of Maitreya, Madhyamaka, as well as grammar and poetics. He received numerous Tantric initiations and instruction on Mantra and Mahāmudrā. From the fourth Zhwa-dmar-pa, Chos-grags-ye-shes (1453-1524), for example, he received, among other things, the initiations of *Kālacakra*, *Cakrasaṃvara*, and *Mahākāla*.

When Karma-'phrin-las-pa met the seventh Karma-pa, Chos-grags-rgya-mtsho (1454-1506), he understood him to be the real Buddha. Having requested from him

⁵⁴⁸ These notes are based on Si-tu Paṇ-chen Chos-kyi-'byung-gnas and 'Be-lo Tshes-dbang-kun-khyab, *History of the Karma bKa'-brgyud-pa Sect*, vol. 1, fols. 325v-328r.

⁵⁴⁹ On bKra-shis-rnam-rgyal see Jackson (1989b: 9 f.).

the instruction on the non-duality of "wind" and mind (*rlung sems gnyis med*) and on the six special instructions of Nāropa (*nā ro chos drug*), he was ordered to function as a holder of the lineage. He practiced the instructions he received for five months, and experience was born in him according to the instructions. The teachings he received from the Karma-pa also included the *dBu ma'i lta khrid*, the *Rang stong gzhān stong mi 'gal bar ston pa'i gzhung chung*, the collected works of (the third Karma-pa?) Rang-byung-(rdo-rje? 1284-1339), the *rNam shes ye shes kyi rab dbye*, and the *mKha' 'gro snying thig gi myong khrid*. He also studied under a great number of other illustrious teachers such as Si-tu bKra-shis-dpal-'byor (?-1512), the tenth occupant of the earth-throne of Na-lendra, Mus-chen Sangs-rgyas-rin-chen (1450-1524), rJe sMyug-la Paṇ-chen (1518-1576),⁵⁵⁰ gSer-mdog Paṇ-chen Shākya-mchog-ldan (1428-1507), and Zhwa-lu Lo-chen Chos-skyong-bzang-po (1441-1527).

In 1527 Karma-'phrin-las-pa met the young reembodiment of his guru, Karma-pa Mi-bskyod-rdo-rje (1507-55), and after serving as the master at the Karma-pa's ordination, he taught him the Perfections Vehicle, four of the five treatises of Maitreya, the (*Tshad ma'i*) *Rigs gter* and *rNam 'grel*, the upper and lower Abhidharma, *Hevajra*, etc. Among the vast number of disciples he taught were included the tulkus of the upper and lower seat of the Karma-pas. He is to be considered one of the greatest Karma bKa'-brgyud masters of his time, hence his name "[Embodiment of] the Activity [of the] Karma [bKa'-brgyud-pas]" (*Karma 'phrin las pa*). His full name is sometimes given as Karma-'phrin-las-pa Phyogs-las-rnam-rgyal.

Karma-'phrin-las-pa was also the nephew of bKra-shis-rnam-rgyal (1399-1458), second abbot of Na-lendra, following the monastery's founder Rong-ston Shes-bya-kun-rig (1367-1449). David Jackson (1989b: 10), portrays bKra-shis-rnam-rgyal as a "non-fanatical teacher and practitioner of traditions coming from several different schools" with "universalist" or "ecumenical" leanings. Even though Karma-'phrin-las-pa was only two when his uncle passed away, a very similar approach is certainly also evident in his writings on the three vows.

10.2. The Replies of Karma-'phrin-las-pa

Karma-'phrin-las-pa deals in some detail with the three vows in two of the replies he wrote to answer the questions of his students and contemporaries, namely rGya-ston Nam-mkha'-rgyal-mtshan and Ngo-gro Bla-ma, written respectively in 1502 and 1509 (the latter being revised in 1516). These *Replies* are two of the eleven replies included in the compilation *The Rosaries of the Common Replies to Questions from*

⁵⁵⁰ Since Myug-la was a teacher of Karma-'phrin-las-pa, his dates are 1458-1515 and not 1518-1576, a full cycle later, as Khetsun Sangpo suggests.

the *Collected Writings of the Lord of Dharma Karma-'phrin-las-pa* (*Chos kyi rje karma 'phrin las pa'i gsung 'bum las thun mong ba'i dri lan gyi phreng ba mams*), which was published as the fifth section of his collected works, covering 69 folios. The blocks for these were carved in 1539 in Rin-chen-ri-bo.⁵⁵¹

The reply to the questions of rGya-ston touches briefly on some interesting topics with regard to the three vows and is written in prose (except, of course, for some quotations), while the second, the reply to Ngo-gro Bla-ma, starts with a question about the nature of the vows. This second work is written in verses and includes a discussion of the nature of the outfits worn by auditors (*śrāvakas*) and Tantric adepts, as well as further interesting discussions of the three vow theories of Sa-skyapa Grags-pa-rgyal-mtshan (1147-1216)—including Vibhūticandra's subsequent criticism—the 'Bri-gung-pa 'Jig-rten-mgon-po (1143-1217), the dGe-lan-pas, and finally (and mainly) the seventh Karma-pa rGyal-dbang Chos-grags-rgya-mtsho (1454-1506), who followed the teachings of sGam-po-pa. In general, the first text, i.e. the *Replies to rGya-ston*, contains twenty questions and the second text, i.e. the *Replies to Ngo-gro*, many more than that. The questions range widely, from purely doctrinal questions about the differentiation between Sūtra and Tantra, etc., to a question about how the names of Tibetan Lamas should be transcribed in Sanskrit.

10.3. Explanations of the Three Vows in Two Replies of Karma-'phrin-las-pa

Karma-'Phrin-las-pa wrote his answers about four hundred years after sGam-po-pa's time. His three-vow doctrine, especially as presented in his reply to Ngo-gro Bla-ma, is accordingly in some respects different from what we have seen in sGam-po-pa's collected works. Although the main thrust seems to be the same, it is evident that his presentation was influenced to some degree by all major systems that developed between sGam-po-pa's and his time. In the following sections (3.1. and 3.2.), I shall describe the contents of both replies. The texts and translations themselves will be presented in chapter 11. The question of a connection between the texts found in sGam-po-pa's collected works and this teaching will be discussed in chapter 13, where I shall attempt to analyze the development of some of the vital terms that make up the Karma bKa'-brgyud-pas' teachings on the three vows.

⁵⁵¹ His songs and replies are available in *The Songs of Esoteric Practice (mgur) and Replies to Doctrinal Questions (driś lan) of Karma-'phrin-las-pa*, published by Ngawang Topgay, New Delhi 1975.

In the following diagrams I would like to sketch in a preliminary way some of the historical key relationships that I have found indicated within the main works investigated in the following chapters. Possible predecessors of the authors are shown above the author, the doctrines criticized by him to the right.

sGam-po-pa (1079-1153)

Karma-pa VII Chos-grags-
rgya-mtsho (1454-1506)

Karma-'phrin-las-pa (1456-1539)

'Jig-rten-mgon-po (1143-1217, mentioned
one of the *Same Intention* commentaries)
criticized here.

Grags-pa-rgyal-mtshan (1147-1216), *Re-
Errors Regarding the Fundamental
Transgressions*

"dGe-lan-pas"

sGam-po-pa (1079-1153)

Karma-pa III Rang-'byung
rdo-rje (1284-1339)

Si-tu Chos-kyi-'bung-gnas
(1699/1700-1774)

Karma-nges-don
(1808-1864 or 67)

Abhayākara Gupta (d. 1125)

Vibhūticandra (12/13th century), *sDom #
'od phreng*

Grags-pa-rgyal-mtshan (1147-1216), *Re-
Errors Regarding the Fundamental
Transgressions*

Sa-paṅ (1182-1251), *Clear Differentiation
the Three Vows*

bKa-'gdams-pas, mKhas-grub Ge-legs-
bzang-po (1385-1438), *sDom gsum man*

Klong-chen-pa (1308-1363)

10.3.1. Karma-'phrin-las-pa's Reply to rGya-ston

rGya-ston Bya-bral Nam-mkha'-rgyal-mtshan was recognized by the eighth Karma-pa Mi-bskyod-rdo-rje as the Tulku of rGya-ston Chos-rje. Except for a brief note in Situ Paṅ-chen and 'Be-lo's *History of the Karma bKa'-brgyud-pa Sect*, vol. 1, p. 646, nothing is known about him. That history mentions him practicing intensively in the monastery of rGyu-ston (*rGyu-ston gDan-sar sGrub-pa-snying-por-dril*), and that he spread the teachings and practices greatly. He was mainly occupied later in life (i.e. after these questions) with being the personal attendant of the eighth Karma-pa (born in 1507). According to the colophon of the reply to rGya-ston, p. 108, Karma-'phrin-las-pa wrote this reply on the fourteenth day of the second month of the *mga chen* (= *chu khyi*) year, i.e. in 1502, at the temple of Ra-mo-che. Neither the colophon nor the introduction of the text mention the circumstances in which the questions were brought forward.

*Replies to rGya-ston 1.*⁵⁵² The ninth question of the *Reply to rGya-ston* inquires about four key terms that should by now be familiar in connection with the three vows, namely "possessing 'upwardly'" (*yar ldan*), "internal contradiction" (*nang 'gal*)⁵⁵³, "preeminence [of] the higher" (*gong ma gtso bo*), and the "times of losing" (*gtong ba'i dus*). Of these, only the last term lacks a corresponding term in sGam-po-pa's *Work A* and *B2*.

Karma-'phrin-las-pa introduces the theme of the three vows from the three perspectives of the Vinaya, the Abhidharma,⁵⁵⁴ and the Mahāyāna-Mantra. Of these three, the question concerns the latter.

Replies to rGya-ston 2. With regard to the latter three vows, the prātimokṣa referred to here is that of the seven or eight classes of prātimokṣa vows (i.e. from householder to full monk).⁵⁵⁵ The bodhisattva vow referred to is that of the Mahāyāna, i.e. that which is endowed with "the special supreme resolve of establishing the benefit for others together with [the mental] base [for that]," thus excluding the production of the resolve of the auditors and solitary Buddhas.⁵⁵⁶ The Mantra vow referred to is that "which is connected with an initiation endowed with

⁵⁵² I have introduced these section numbers for the purpose of easier reference. They are all part of the same question, namely the ninth.

⁵⁵³ The corresponding term in sGam-po-pa, *Work A*, would be "conflicting" (*'dom thug pa*).

⁵⁵⁴ For the three vows in the *Abhidharmakośa*, see the section "The Meaning of the Three Vows" in my introduction.

⁵⁵⁵ Referring to explanations in reply no. seven, *Replies to rGya-ston* p. 98.

⁵⁵⁶ For the productions of the resolve of these, see p. 45.

the special means of protecting the mind from phenomenal marks and [mental] constructions," i.e. presumably one obtained in a tradition of the highest tantra class (*niruttaratantra*).

Replies to rGya-ston 3. Furthermore, the three vows are differentiated into those obtained from their respective rituals and those obtained from the single ritual of an initiation.⁵⁵⁷ Interestingly, this is then connected with the problem of same and different natures of the vows. Since it is evident that Karma-'phrin-las-pa teaches the vows to be of different natures because they are—among other things—obtained from different rituals (*Replies to Ngo-gro* 14), one may assume here that the three vows obtained from the same ritual, namely initiation, would be accepted as having the same nature.

Replies to rGya-ston 4. This section contains a very brief remark on "possessing [the vows] 'upwardly'" (*yar ldan*). Nevertheless, two pieces of information can be derived from this section. The first is that the concept of *yar ldan* seems to be understood against the background of a obtaining of the vows in succession, because it is taught here that "the simple *prātimokṣa* became improved through the possessing of the bodhisattva vows, and these became even better through the possessing of the Mantra vows." Thus "simple *prātimokṣa*" (*so thar rkyang pa*) seems to refer to the *prātimokṣa* received from the specific ritual of the *prātimokṣa* vows, and not received from an initiation together with bodhisattva and Mantra vows. It "became improved" (*bzang du song*) when the higher vow was additionally obtained. It is interesting to note that "possessing 'upwardly'" (*yar ldan*) is here not explained in the same way as in sGam-po-pa's *Work A* 8 and in Karma-'phrin-las-pa's other reply, i.e. *Replies to Ngo-gro* 21. This difference will be discussed in chapter 13.

The second piece of information is the bare fact that the lower vows improve through the possession of the higher. At first glance one would assume this to involve some kind of a transformation of the lower vows themselves, but this is apparently not the case, since we read a little later in section 5 that "such cases [namely prohibiting the monks to have meals after noon and the performing the Tantric feast (*gaṇacakra*) at night are] in reality [...] differentiated through means and volitional impulse and therefore purely non-contradictory." In other words, from the *perspective* of the practitioner of the higher vow, there is no fault. Then the text continues:

On the merely conventional [level], [the solution] is that after blessing [i.e. controlling] the conflicting *prātimokṣa* [vow] by means of being mindful of

⁵⁵⁷ This is also referred to in more detail in Go-rams-pa, *General Topics*, fols. 70v ff. (the section through which he introduces what he accepts as his own tradition), see p. 89.

time, etc.,⁵⁵⁸ so that there is no fault, one should in the first place observe the conflicting [vow of the] vajra vehicle.

Thus it is not the lower vows as such that are transformed or changed, but the perspective of the practitioner. Since he has become a yogi on a higher level, his skilful and well-motivated activities produce no faults for the lower vows. Note also that the lower vows are continued, however, on a "merely conventional [level]."

The point of the changed perspective will be of importance when discussing the *Replies to Ngo-gro* 15 ff., and the continuation of the lower vows on a merely conventional level will be further discussed in connection with *Replies to Ngo-gro* sections 12 and 20.

Replies to rGya-ston 5. This is the longest section—and probably the central point of the answer—but also the most difficult passage to understand. It starts off with a discussion of "internal contradictions" (*nang 'gal*) and ends with a short note on "preeminence [of] the higher" (*gong ma gtso bo*) through which these topics are connected. At first Karma-'phrin-las-pa says:

If as regards the nature of the vows, they are taken to be matter (*gzugs su 'dod*), they are internally contradicting; but if one takes them to be consciousness (*shes par 'dod*), one does not speak of internal incompatibility with regard to the vows as such.

With regard to that, he furthermore states that he understands the question at the beginning, namely "what are the (...) internal contradictions (...) of the three vows," as referring to contradictions of the demarcations of observing the vows. Thus it appears that the original question is slightly altered: Karma-'phrin-las-pa is not dealing with an incompatibility of the vows *as entities*—whatever "entity" might mean in this regard—but is concerned with the three vows's apparent incompatibility in contents, i.e. the question in how far the specific vows are to be maintained if they contradict in contents.

Having established this as the basis of the answer, let me now turn to his examples. His first example is the prātimokṣa vow (of a monk) that prohibits tactile contact with women, and the Mantra pledge that prohibits the verbal abuse of women. It is quite clear how this illustrates the borderlines of observing the vows in that the prātimokṣa deals with restrictions of bodily acts and the Mantra with restrictions of incorrect states of minds (namely depreciating women whose nature is insight). The internal contradiction is a bit less apparent, but I suppose that not to

⁵⁵⁸ Being "mindful of time" might, in general, refer to such commemorations as of the guru's passing away, and in this particular case to the recurrent ceremonies of the prātimokṣa, such as the rituals of confession. On the other hand, it might refer to such teachings as given in sGam-po-pa's *Work A* 10, where the first of the six non-contravenings is to observe the prātimokṣa by day and the Mantra by night. See p. 209.

touch women is thought to contain an element of depreciation in that the monk is usually led to think of women as something impure.⁵⁵⁹ Thereby a Tantric adept monk finds himself in a conflict with his vows, because when he follows the prātimokṣa closely, he contradicts the Mantra vows through depreciating women, whereas when he follows the Mantra, he is supposed to view woman as being the nature of insight (*prajñā*) and thus as something that should be very attractive to him.⁵⁶⁰ The second example is "the teaching that one [as a monk] must observe [the vow prohibiting] meals after noon, and the teaching that one [as a Tantric adept] must perform the Tantric feast (*gaṇacakra*) in the evening." Here the apparent internal contradiction in the teachings for a Tantric adept monk is readily understandable, namely that in performing the tantric feast ceremony he transgresses against his prātimokṣa vow of abandoning meals after noon.

In reality (*yang dag par na*), however, these vows are purely non-contradictory. The reason is that their systems "are differentiated through means and volitional impulse." Thus Karma-'phrin-las-pa refers to the developed abilities of the yogi, such as his production of the resolve for awakening and his possessing the complex means consisting in visualizations, etc. With these greater abilities, he mainly guards against that which is incompatible with the Vajra Vehicle. Therefore the higher vow is also "preeminent." The lower vows, on the other hand, are maintained "merely on a conventional [level]."

As an authoritative statement that the higher system through its higher understanding disproves (or here: overpowers?) the lower one, a famous verse of Śāntideva is quoted:

And the yogis too [are such that one] is disproven by the respective higher one by means of superior understanding.⁵⁶¹

Replies to rGya-ston 6. (As a consequence of section 4?) it is taught that, when the possessor of the vow enters the Mahāyāna, the teaching that the prātimokṣa is lost

⁵⁵⁹ Cf. the examples of Śāntideva, *Bodhicaryāvatāra*, ch. VIII, vv. 49 ff., where the monk is told to view a woman as a sack of excrement, etc.

⁵⁶⁰ Karma-'phrin-las-pa uses as an example for this a quote from Vibhūticandra, *Garland of Rays* 20. That section, however, was not meant as a critique of such a contradiction as I have just sketched it, but as a critique of the Sa-skyapa's doctrine of the same nature of the vows. See the section on Vibhūticandra, *Garland of Rays* 20, p. 69.

⁵⁶¹ Śāntideva, *Bodhicaryāvatāra*, ch. IX, v. 4ab. This, however, was stated in the context of philosophical discussion. The same quote is also contained in Lo-chen Dharma-shrī, *sDom gsum nam nges 'grel pa*, part four. There it appears in connection with the topic "possessing [qualities] upwardly" (*yar ldan*).

at death does not hold.⁵⁶²

Summary of the *Replies to rGya-ston*

This teaching thus concerns the threefold possession of the *prātimokṣa*, *bodhisattva*, and *Mantra* vows as obtained in a gradual succession. When obtained through such a succession, the perspective of the practitioner is changed: Since he has become a *yogi* on a higher level, he possesses more skill in means and greater volitional impulses. In reality, the vows are purely non-contradictory, because their systems are differentiated through means and volitional impulse. With his greater abilities, the Tantric adept mainly guards against that which is incompatible with the *Vajra Vehicle*. Therefore the higher vow is also "preeminent." The lower vows, on the other hand, are maintained "merely on a conventional [level]."

10.3.2. Karma-'phrin-las-pa's Reply to Ngo-gro Bla-ma

The interlocutor of the second text, Ngo-gro Bla-ma, is perhaps to be identified as Ngo-khro Rab-'byams-pa dBang-phyug-dpal. On him there exists a small biographical note in Si-tu Paṇ-chen and 'Be-lo's *History of the Karma bKa'-brgyud-pa Sect* (p. 648). According to that source, when Ngo-khro was still very young, Drung Rinpoche came to him and touched his feet (i.e. bowed down before him). Then he ordered him to study in gSer-mdog-can. Thus he stayed with Paṇ-chen Śākya-mchod-ldan and easily understood all the scriptures (*gsung rab miha' dag bde blag tu khong du chud*). He also studied under many other masters such as Bla-ma

⁵⁶² This reminds one of the 'Bri-gung-pa 'Jig-rten-mgon-po's teaching in the *Same Intention*, ch. III, no. 8, see rDo-rje-shes-rab, vol. 2, pp. 31-37, and Chos-kyi-grags-pa, *dGongs gcig 'grel pa nyi ma'i snang ba*, pp. 152-157. The argumentation is quite complex, and limitations of time and space make it impossible to reproduce this very interesting discussion here, which involves a theory on the intermediate state (*bar do*) and a special interpretation of the six special instructions of Nāropa (*nā ro chos drug*). In general, most *vajra* utterances of the *Same Intention* are interwoven with a number of other *vajra* utterances, and in many cases, such as the topic under discussion, it is impossible to understand 'Jig-rten-mgon-po's intention without reading the whole work. The *vajra* utterances that I have translated in the section on the theory of the 'Bri-gung bKa'-brgyud-pa's, however, may be understood if taken together and in the context of the discussion of this thesis. There are some very interesting remarks on *prātimokṣa* (*not* being lost) at the time of death by the eighth Karma-pa Mi-bskyod-rdo-rje, contained in his lengthy commentary on the *Same Intention*, ch. II(?), i.e. *Dam pa'i chos dgongs pa gcig pa las so so thar pa'i tshoms kyi kar tik chen po*, fols. 43v ff. In the same section we also find a discussion of the example from *The Teaching of the "Hundred-Thousand [Verse?] Tantra[s?]"* [*Treatise*]. Because of limitations of time and space again, however, I have not been able to include the eighth Karma-pa's remarks in this present study.

Tshe-dbang-rgya-mtsho and rJe Blo-gros-dbang-phyug. The list of Karma-'phrin-las-pa's main disciples in the same work (pp. 653 f.) contains a Ngo-khro mKhyen-rab, who presumably is this same "Ngo-gro Bla-ma."

In the colophon of the *Replies to Ngo-gro* (p. 139), the interlocutor's name is given as Ngo-gro Bla-ma sGron-mdog-'bum-pa. It mentions that since Ngo-gro was well versed in the rNying-ma-pa Mantra teachings, he was highly knowledgeable and realized with regard to all Sūtra and Mantra teachings and treasures of the New and Ancient Tradition (*gsar rmying bka' gter mdo sngags ma lus pa la mkhyen rtogs rgyas pa*). The reply was written down at Phreng-po dGa'-ldan-gling in a single session on the morning of the eighth day of the sixth month of the earth-female snake year (*sa mo sbrul*), i.e. 1509, and then later expanded a bit at Yol Rin-chen-gling in the 'dzin byed (= fire mouse/me byi) year, i.e. in 1516.

Replies to Ngo-gro 1.⁵⁶³ This reply among the replies to Ngo-gro amounts almost to a small work, and it begins with Ngo-gro Bla-ma's question on the nature of the vows. The interlocutor refers to the outfits and equipment of the practitioners of different vow systems. In general, *cha lugs* refers to the outfit that is worn, i.e. the different clothing or dress. Thus we have terms like "Chinese outfit" (*rgya'i cha lugs*), "outfit of Tibetans" (*bod pa'i cha lugs*), "outfit [or uniform] of a soldier" (*dmag mi'i cha lugs*), as well as for example "outfit of a householder" (*khyim pa'i cha lugs*) and "outfit of a Buddhist(?)" (*nang pa'i cha lugs*). The term "marks of an ordained person" (*rab byung gi rtags*), refers according to Geshe Thubtan Ngawang (Hamburg), to a shaved head, the Dharma robes, the Dharma name, the alms bowl, the mat, etc. *Cha lugs* refers in the case of non-ordained persons to the ornaments and dress they wear. In the case of ordained persons, they are the same as the *rab byung gi rtags*.

The interlocutor points out that if the vows were of the same nature, the rules for the outfits and marks of the auditor and the yogi of the highest tantra class (*niruttaratantra*) would still remain "different and unmixed." Is it not actually incompatible that the monk has the saffron robes and the alms bowl, while the yogi wears the tiger skin and the skull filled with blood? Why is there not only one outfit? And if the vows were not of the same nature, "[the system of the auditors] would be completely incompatible with the tantras of the highest Tantra section, such as the

⁵⁶³ The questions in the *Replies to Ngo-gro* are not numbered. My section nos. 1-23 refer all to the 24th question by Ngo-gro Bla-ma, which deals with the three vows. As before, in the case of the *Replies to rGya-ston*, I have introduced the section numbers (within this reply) for the sake of easier reference.

six *Guhyasamājantras*.⁵⁶⁴

Replies to Ngo-gro 2. This is then taken by Karma-'phrin-las-pa as the starting point of a detailed discussion of the nature of the vows and of related topics such as "transformation" (*gnas 'gyur*), "maintaining [of vows]" (*bsrung ba*), "occurrence of conflicts" (*'dom thug byung ba*), and "possessing qualities 'upwardly'" (*yon tan yar ldan*). The problem of the different marks and outfits is discussed below only in passing in section 8.

Replies to Ngo-gro 3. The famous work of the Sa-skya-pa master Grags-pa-rgyal-mtshan (1147-1216), namely the *Removing Errors Regarding the Fundamental Transgressions*, is referred to in this section.⁵⁶⁵ The relevant passage has been versified here, but it appears to be a fairly accurate restatement. Even though the term "transformation" (*gnas 'gyur*) does not appear in that work, Go-rams-pa⁵⁶⁶ has taken that passage as an authoritative statement by one of the great five founders of the Sa-skya-pa tradition, teaching a transformation of vows. Grags-pa-rgyal-mtshan said:

At the time the monks produce the resolve for awakening,
all [their] prātimokṣa [vows] turn into the vows of a bodhisattva (*byang sems sdom par 'gyur*).

At the time they enter into the maṇḍala [through Tantric initiation],
all vows [become] vows of the Tantric adept (*rig 'dzin sdom pa*).

He continues with a quote from *The Teaching of the "Hundred-Thousand [Verse?] Tantra[s?]" [Treatise]*,⁵⁶⁷ teaching that the vows of the three families (*rigs can gsum*

⁵⁶⁴ The *Guhyasamājantras* are Tohoku catalogue numbers 442-447, in *P* 81-85. The Tohoku no. 443 is in *P* the eighteenth chapter of no. 81. Furthermore 444=83, 445=82, 446=85, 447=84. The eighteenth chapter of *P* 81 is considered a distinct text called *rGyud phyi ma* (skr. *uttaratantra*), and only *P* 81 is considered to be the "root tantra" (*mūlatantra*) according to the major part of the Indian and Tibetan commentaries. Besides the "root tantra" and the "subsequent tantra" (*uttaratantra*), the other four tantras are considered to be the *ākhyānatrantras* (Tib. *gtam rgyud*), and they are only extant in Tibetan translation. See Yukei Matsunaga, *The Guhyasamāja Tantra*, pp. XX-XXI, with a small printing error in n. 4, read Tohoku 444-447, instead of 443-446. See also Alex Wayman, *Yoga of the Guhyasamājantra*, p. 84.

⁵⁶⁵ See bibliography. The passage that is discussed by Karma-'phrin-las-pa is Grags-pa-rgyal-mtshan, *Removing Errors Regarding the Fundamental Transgressions*, p. 258, fols. 47v f.

⁵⁶⁶ See my translation on p. 135, and the discussion on p. 89, and in chapter 13, on pp. 314 ff.

⁵⁶⁷ The work is described by Karma-'phrin-las-pa as being merely a treatise (*śāstra*). See my discussion of Karma-'phrin-las-pa, *Replies to Ngo-gro* 23 below.

gyi sdom pa) exist because of the existence of particularities of mind (*sems kyi bye brag*). When they enter into the great maṇḍala of Tantric initiation, they are called Tantric adepts. This is likened to the different metals that exist thanks to the particularities of ore. But "through applying the elixir that turns [metals] into gold, all of these are transformed into gold." Through this example, Grags-pa-rgyal-mtshan teaches that the elixir resembles the training of the Vajra Vehicle.

Thus two transformations are taught, namely the transformation of the auditor *prātimokṣa* into the *bodhisattva prātimokṣa*, and the transformation of all vows into the vows of the Tantric adept.⁵⁶⁸ Since all vows turn into the Tantric adept's vows in the end, this also appears to be an example for the teaching of the same nature of the vows after the transformation.

Replies to Ngo-gro 4. Here some lines from Vibhūticandra's *Garland of Rays* are restated. This also appears to be a faithful rendering of the contents of this passage (i.e. parts of *Garland of Rays* 18 and 22, and 20). The modest deviations are mostly caused by the difference in metre: Vibhūticandra uses the traditional seven-syllable lines, while Karma-phrin-las-pa composed his work in the more elaborate style of nine syllables per line.⁵⁶⁹ Vibhūticandra's *Garland of Rays* 19, omitted in this quotation, serves as an introduction to the following verses and makes clear that the argument is directed against the theory that the vows have the same nature.⁵⁷⁰ Vibhūticandra says (*Garland of Rays* 20):

How could it be that the fundamental [*prātimokṣa*] vow guarding against
tactile contact [with women] is lost
if a [fundamental] transgression [against the fourteenth Tantric pledge that
forbids] abusing women arises?
If that were [a loss of the vows], that would be an overextension [of the

⁵⁶⁸ A number of problems are connected with Grags-pa-rgyal-mtshan's interpretation, for example that the example may be good for a Vajra-Vehicle type of transformation, but not really for a transformation of the *prātimokṣa* into the *bodhisattva prātimokṣa*. Except for a discussion of the source of the quotation, which is discussed below in connection with Karma-phrin-las-pa, *Replies to Ngo-gro* 23, these problems are addressed in chapter 13, on pp. 314 ff.

⁵⁶⁹ It should not be forgotten that Vibhūticandra's work exists in at least two traditions (see above, p. 24). Even though it is hard to judge from this small portion of text, it appears that Karma-phrin-las-pa's text is closer to the version which is documented in Go-rams-pa's *General Topics* than to the canonical versions of *P* and *D*. Six out of seven relevant orthographic variants follow the *General Topics*, and in a further case even the wording follows the *General Topics* (i.e. *bor* instead of *las*).

⁵⁷⁰ The fact that the argument quoted was originally directed against the theory that the vows have the same nature has also been omitted in *Replies to rGya-ston* 5.

term "loss"].⁵⁷¹

Replies to Ngo-gro 5. Karma-'phrin-las-pa does not comment on Vibhūticandra's critique. Instead, he presents the Karma-pa's critique of the passage from Grags-pa-rgyal-mtshan's *Removing Errors Regarding the Fundamental Transgressions*.⁵⁷² The seventh Karma-pa Chos-grags-rgya-mtsho (1454-1506) criticizes the Sa-skya-pa's position, focusing on the incompatibility of transformation and same nature. He argues in four steps.⁵⁷³

- (1) If one accepts a transformation: *A* is thus transformed into *B*, but *A* and *B* are neither before nor after the transformation of the same nature, because before the transformation *A* does not exist as of the nature of *B*, and after the transformation *A* does not even exist any more. The examples for *A* and *B* are iron and gold, and the basic consciousness and the mirror-like gnosis.
- (2) If one accepts same nature: *A* and *B* are thus of the same nature, but in that case a transformation is not even necessary. If iron already is of the nature of gold, there is no purpose in transforming it into gold once more.
- (3) If the opponent argues against the first step that *A* and *B* are not of the same nature before the transformation, but they are afterwards, the answer remains the same as in (1), namely that after its transformation, *A* still does not even exist any more.
- (4) Finally it is shown that the opponent would have to accept the absurd consequence that anything that has the same nature after the transformation must also have had the same nature before. (The reason is not given here, but if that were not so, it would be the same case as step one).

It should be clear from this that the Karma-pa was not arguing against the single concept of a same nature, but against a combination of both concepts of transformation and same nature.

Replies to Ngo-gro 6. Here Karma-'phrin-las-pa introduces the teachings of

⁵⁷¹ It is difficult to understand what is actually meant here. Why should the one vow be lost because of a transgression of another? The answer is perhaps that since both vows have the same nature, they must be lost together. In that case, "same nature" is perhaps understood as "single entity." See my discussion on pp. 69 ff.

⁵⁷² See fn. 565 for the *Removing Errors Regarding the Fundamental Transgressions*. The Karma-pa's critique is only known to us through Karma-'phrin-las-pa's teaching.

⁵⁷³ This argumentation is closely connected to Go-rams-pa's answer to Vibhūticandra, *Garland of Rays* 22. See pp. 74 f.

'Bri-gung 'Jig-rten-mgon-po (1143-1217), who was an almost exact contemporary of Grags-pa-rgyal-mtshan and one of the closest disciples of Phag-mo-gru-pa (1110-1170). The latter was, in turn, one of sGam-po-pa's four main disciples. Karma-'phrin-las-pa quotes from the *rDo shes ma*, the earliest available commentary on the *Same Intention*:⁵⁷⁴

The vows become three because the [vow]-possessor has changed.

And: All three vows remove the ten non-virtues together with anything of their kind,

and [they] achieve the ten virtues together with anything of their kind.

And therefore the nature of the vows is not to be even slightly differentiated.

Thus the nature of the vows is here identified as the removing of non-virtue and the achieving of virtue. They are three because there are three different types of persons who perform the removal and achieving in different ways.

Replies to Ngo-gro 7. Here it is established that both the Sa-skya-pas and the 'Bri-gung-pas agree that the nature of the three vows is the same.⁵⁷⁵ And Karma-'phrin-las-pa continues:

One is unable to refute [them]⁵⁷⁶

through the fault of unmixed distinct aspects for [things with] the same nature,

just as one is unable to refute

through the fault that [something with] a single nature has different distinctive aspects.

The "fault of unmixed distinct aspects" is referring back to the objection of the interlocutor in Karma-'phrin-las-pa's *Replies to Ngo-gro 1*: "Do the three vows have the same nature or not? If [they have] the same [nature], [would it not be that] their respective own distinctive aspects [would be] unmixed [and] for themselves."

What the Karma-pa or Karma-'phrin-las-pa appear to say is that one cannot

⁵⁷⁴ For 'Jig-rten-mgon-po see chapter 14, section 1. For the *Same Intention* see chapter 14, section 3. For the citation from the *Same Intention* see *rDo-rje-shes-rab*, vol. 2, p. 438.

⁵⁷⁵ The 'Bri-gung-pas do not speak of a same nature of the vows, but of the same vital point (*gnad gcig*) in all of them, which may be regarded as implying a "same nature" (*ngo bo gcig*).

⁵⁷⁶ Probably this refers only to the Sa-skya-pas, since 'Jig-rten-mgon-po or Shes-rab-'byung-gnas are not known to have taught distinctive aspects (*ldog pa* or *rang ldog*) in connection with the three vows. But in a wider sense one could say that the 'Bri-gung-pas acknowledge specific features of the vows besides their same vital point of abandoning non-virtue and achieving virtue, since they acknowledge different ways of losing and achieving of the three types of persons who practice the vows.

refute the concept of the three vows having the same nature or vital point by referring to the fact that they also possess aspects that they do not hold in common. In other words, it is legitimate to say that the vows have some things in common, but not everything. This might connect with the latter two lines of the above quote in so far as Karma-'phrin-las-pa himself, for example, is a Tantric adept monk with all the different vows, and one cannot say that he is several persons instead of just one.

Replies to Ngo-gro 8. Karma-'phrin-las-pa returns to the question of section 1, where an objection was raised against the same nature of the vows on the grounds of the incompatibility of the outfit of monks and yogis. This objection is rejected since the different outfits are not held to be virtuous, but rather, the vows. (It is also pointed out that the outfit of the yogis has no connection with the characteristics of the *sambhogakāya*⁵⁷⁷ as claimed by the interlocutor.)

Replies to Ngo-gro 9. The three-vow theory of the dGe-lan-pas is presented. According to them, the vows are different because they are obtained through different rituals, and the lower vows are branches of the highest vow. The example is a jewel that has been placed in a tub filled with water. Even though they are all different, through the power of the jewel, the water and the tub turn into the colour of the jewel.⁵⁷⁸

Replies to Ngo-gro 10. The refutation of the dGe-lan-pas by the seventh Karma-pa has three parts:

1. If the lower vows were branches of the higher, the Mantra vows would be incomplete and lost when the lower vows were not obtained or lost.
2. If the lower vows, because they are branches of the higher, were obtained from the same ritual as the Mantra vows, the three could not be different as claimed.
3. If it is claimed that only those lower vows are branches of the highest that are endowed with the Mantra vows, the highest vow would still be lost through losing the lower vows.

Replies to Ngo-gro 11. Karma-'phrin-las-pa states that the (Sa-skyapa's) system of transformation and same nature, and the (dGe-lan-pa's) system of the lower vows as branches of the highest are religious systems of Tibetans that were not taught by

⁵⁷⁷ These are the "five certainties" (*nges pa lnga*) of the *sambhogakāya*, referring to place, body, assembly, doctrine, and time.

⁵⁷⁸ See for example mKhas-grub dGe-legs-dpal-bzang-po (1385-1438), disciple of Tsongkha-pa, third abbot of dGa-lan, *sDom gsum nam bzag*, fol. 95r; and sDe-srid Sangs-rgyas-rgya-mtsho, *dPal ldan gso ba rig pa'i khog 'bugs legs bshad bai dūrya'i me long drang srong dgyes pa'i dga' ston*, p. 477. However, the readings of Sangs-rgyas-rgya-mtsho are in very many cases doubtful.

Indian masters.⁵⁷⁹

Replies to Ngo-gro 12. From here onwards, Karma-'phrin-las-pa presents a system of the three vows that is connected by him to sGam-po-pa collected works. Its five major points are:

1. The natures of the vows are different (*ngo bo tha dad*).
2. The vows are observed as entrusted (*'chol par bsrung*).
3. In cases of conflict, the higher vow is preeminent (*'dom thug byung na gong ma gtso che*).
4. The qualities of the vows are possessed "upwardly" (*yon tan yar ldan*).
5. The occasions for losing and obtaining the vows are different (*gtong thob tha dad*).

The remaining part of the reply to Ngo-gro addresses five major topics. The first is the different natures of the vows, which also involves a discussion of transformation (sections 12-18). Then follow the explanations of (19) "observed as entrusted," (20) "the higher vows being preeminent in cases of conflict," (21) "qualities possessed 'upwardly,'" (22) "different occasions for losing and obtaining the vows," and (23) a conclusion. The largest of these remaining topics is the explanation of the different natures of the vows. It consists of the following sections:

Section 12. The reason for the different natures.

Section 13. Objections.

Section 14. Further reasons for the natures being different.

Section 15. Different types of persons.

Section 16. A different interpretation of the "*Hundred-Thousand [Verse?] Tantra[s?]*" [*Treatise*].

Section 17. A different interpretation for the example of the elixir.

Section 18. Changing of persons *versus* changing of vows.

Section 12 continues: When the auditor prātimokṣa is obtained, it is the uncommon or extraordinary vow since it is obtained through pure objects (i.e. persons), means, and rituals, which are all taught in the authoritative scriptures of the auditor prātimokṣa. Thereafter, when the bodhisattva vows are obtained through their respective pure objects, means, and rituals, the prātimokṣa becomes ordinary while the bodhisattva vows are now the extraordinary vows because of the more developed

⁵⁷⁹ Compare the reference to the Indian systems with Karma-nges-legs, *Good Vase* 6.2, and Kong-sprul, *Pervading All Objects of Knowledge* 5.4.2.2.2.1., who both quote an unidentified (Indian?) text called the *Key to Initiation* (*dBang gi lde'u mig*) that teaches six positions that were held in India. Among them, there is indeed no system that teaches the lower vows as branches of the higher, and none that explicitly teaches a same nature of the vows. There exists, however, a system that teaches a transformation, but again none that teaches transformation and same nature together.

production of the resolve, vow, and mental stream of consciousness of the practitioner. The same applies for the Mantra vows. This is taught to be the reason for the different natures of the vows. Thus the natures of the vows are identified here as those things through which the vows are obtained, and since these things are different for each vow, the natures of the vows are different. In other words, the nature of a vow is something that causes the vow to be distinctive (see also chapter 13, on pp. 310 ff.).

There is also something else implied here, namely that when a higher vow is obtained, the lower is not transformed or integrated into it, but it remains as it is, although it is not the unique extraordinary vow of that person any more. Instead, it remains as something ordinary, which the vow holder has in common with other Buddhists, such as auditors, while the new vow is uncommon in that it is the specific vow of the bodhisattvas or Tantric adepts.

Replies to Ngo-gro 13. Some object that since, for example, "abandoning killing" is the nature of each vow, are the vows not of the same nature in that they have the same nature in their resolution to abandon? Since the Sa-skya-pa doctrine and the 'Bri-gung-pa doctrine were given as examples for a theory of the same nature of the vows,⁵⁸⁰ one assumes the masters of these traditions as the objectors here. In the case of the Sa-skya-pas, however, rJe-btsun Grags-pa-rgyal-mtshan has not offered an explanation of the nature of the vows as the resolution to abandon in his *Removing Errors Regarding the Fundamental Transgressions*. But in the later tradition, namely in the writings of Go-rams-pa bSod-nams-seng-ge (1429-1489), one finds the explanation:

The meaning of "transforming the lower vow into the medium vow" must refer to the giving up of the inferior volitional impulse and to not discarding of the resolution to abandon (...).⁵⁸¹

And:

(...) after one has completely abandoned the inferior intention to pursue peace and happiness merely [for] oneself, that very resolution to abandon that discards opposing factors becomes the nature of the bodhisattva vows.⁵⁸²

Thus "that very resolution to abandon that discards opposing factors" (such as killing) that has not been removed in the process of transformation is considered to be the (same) nature of both vows.

A very similar idea has been noticed by Karma-'phrin-las-pa (sections 6 and 7) also in the 'Bri-gung-pa's *Same Intention (dGongs gcig)* treatise, namely that the abandoning or removing of non-virtue such as killing; etc., is the same vital point

⁵⁸⁰ In Karma-'phrin-las-pa, *Replies to Ngo-gro* 3 and 6. See p. 227 and p. 229.

⁵⁸¹ Go-rams-pa, *General Topics*, fol. 72v. See p. 139.

⁵⁸² *Ibid.*

(*gnad gcig*) in all three vows. Therefore, the objection might indeed come from both traditions, although the use of the term ("same nature") suggest the later Sa-skyapa as the more likely candidates.

The answer to this objection is that abandoning killing, stealing, etc., is indeed "the same in their capacity merely as the resolution to abandon." Similarly, with regard to the three vows they are also the same in their capacity merely as the resolution to abandon. Thus the continuation and sameness of avoiding within the three vows is acknowledged in principle. But the differences between avoiding killing and avoiding stealing, and between avoiding killing on the level of each vow are also pointed out and, in fact, emphasized. Of these, the first is trivial and the second is explained through the different rituals of the vows, their ways of obtaining the vows, and their durations.

Replies to Ngo-gro 14. Furthermore the vows are different with regard to their vehicles, canonical sections, objects from which they are received, rituals, durations, volitional impulses, productions of the resolve, causes and times for losing the vows, and persons who are the support.

Replies to Ngo-gro 15. The last remark, namely that the persons who are the support of the vows are different is explained in some more detail. When one obtains the three vows (successively), one turns into, respectively, a Hīnayāna-type person, a bodhisattva, and a Tantric adept.

In the following sections this will be explained through the quote from the "*Hundred-Thousand [Verse?] Tantra[s?]*" [*Treatise*], section 16, through the example of the elixir (17), and through a passage of sGam-po-pa's *Thar rgyan* (18).

Replies to Ngo-gro 16. The "*Hundred-Thousand [Verse?] Tantra[s?]*" [*Treatise*] has already been quoted in the section on rJe-btsun Grags-pa-rgyal-mtshan's *Removing Errors Regarding the Fundamental Transgressions* (section 3), and it was referred to in the section on Vibhūticandra's teaching (section 4). Karma-'phrin-las-pa's interpretation, however, differs from Grags-pa-rgyal-mtshan's. He explains that the specific kinds of ores are an example for the different vows (instead of being an illustration for "different people"). Through the process of smelting one gains the different metals iron, copper, and silver. In analogy the three types of persons are different when they have obtained their respective vows.⁵⁸³

Replies to Ngo-gro 17. He also explains the example of the elixir in a different way. According to him, the example of iron, etc., that turns into gold when touched by the elixir shows that the person who is the support changes when he enters into the great maṇḍala of Tantric initiation. It does not indicate a transformation of the vows and their becoming the same.

⁵⁸³ The fact that both explanations do not fit perfectly with the example will be discussed in chapter 13, pp. 650 ff.

Replies to Ngo-gro 18. Furthermore, he explains that it is also the intended sense of sGam-po-pa's treatise the *Thar pa rin po che'i rgyan* that the prātimokṣa vows turn into morality of the bodhisattva vows because they have turned into the vows of the mental stream of consciousness of a bodhisattva. In other words, the person changes into a bodhisattva, and only because of that the prātimokṣa vows turn into the vows of a bodhisattva. He concludes:

Therefore, do not confuse [sGam-po-pa's] teaching of the changing person with [the Sa-skyapa's] teaching of the changing vows.

The following should be the passage in question from the *Thar pa rin po che'i rgyan*.⁵⁸⁴

The prātimokṣa vows of the bodhisattvas, too, do not need a specific ritual for obtaining them. If the very training of the auditors [of] before that has been obtained is later endowed with the specific volitional impulse [of the bodhisattvas], it turns into the vows of a bodhisattva having been transformed, because even though the lower volitional impulse has been abandoned, the resolution to abandon has not been discarded.

Here the crucial words are "having been transformed" (*gnas gyur nas*), but grammatically the subject is the vow. According to Karma-'phrin-las-pa's explanation of the intention of this passage, however, the person who was formerly an auditor (with prātimokṣa vows) has become transformed, because he has produced the resolve for awakening. Now that one has become a bodhisattva, i.e. "having been transformed," one's former vows that are now endowed with the specific volitional impulse of the bodhisattvas turn into the prātimokṣa of a bodhisattva. They have not been abandoned, because one still maintains that resolution to abandon that is required for the prātimokṣa, but just that former lower volitional impulse of an auditor (i.e. self-liberation) has been abandoned when the bodhisattva's resolve was produced. This concludes the teachings on the different natures of the vows.

Replies to Ngo-gro 19. That the vows are to be "observed as entrusted" (*'chol par bsrung*) means that the factors that oppose the vows are to be guarded against according to the different systems of vows. And since the factors that oppose the vows also arise as entrusted, the antidotes, too, must be produced as entrusted. This probably means that a specific opposing factor such as verbal abuse of women can only arise when that specific vow has been entrusted. Therefore, the antidote, namely to view women as the nature of insight (*prajñā*), is to be produced according

⁵⁸⁴ sGam-po-pa, *Dwags po thar rgyan*, fol. 61r: *byang chub sems dpa'i so so thar pa'i sdom pa de yang / len pa'i cho ga ni logs su mi dgos te/ sngar nyan thos kyi bslab pa blangs pa nyid phyis bsam pa khyad par can gyis zin na/ gnas gyur nas byang chub sems dpa'i sdom par 'gyur ba yin te/ dman pa'i sems btang yang spong ba'i sems pa ma dor ba'i phyir ro.* Guenther (1959: 107 f.), at the end of ch. VIII.

to the entrusted vow.⁵⁸⁵

Replies to Ngo-gro 20. This section teaches that the higher training is to be practiced as the preeminent one when a conflict between the vows occurs. This is so, because the practice of the Mahāyānist involves the benefit of others. Thus he has to kill for the sake of others, steal for the poor, etc. Even though it is taught (in the *prātimokṣa*) that the *prātimokṣa* vows are lost through acts of that kind, this is not so, because the defects of such infractions are outshone (*zil gyis gnon pa*) through the higher vow.⁵⁸⁶

If, however, someone objects against this kind of behaviour and accuses the Tantric adept of having "performed an infraction entailing expulsion," the Tantric adept returns the lower vows. Unfortunately no reason is given for this, but we know already from the parallel discussion of Karma-'phrin-las-pa's *Replies to rGya-ston* 5 that the lower vows are anyhow maintained "merely on a conventional [level]." Thus here, too, the Tantric adept merely complies with the convention.

Replies to Ngo-gro 21. The qualities of the higher vows are greater than those of the lower vows. Thus even such deeds as killing become a cause of Buddhahood. Here it seems that "possessing qualities 'upwardly,'" (*yon tan yar ldan*) is understood in such a way that the infractions of lower vows are outshone through the greater qualities of the higher vows. Presumably these greater qualities are the higher volitional impulses and greater means of the bodhisattvas and Tantric adepts. Here, "possessing 'upwardly'" (*yar ldan*) is specified as "possessing qualities 'upwardly'" (*yon tan yar ldan*). Moreover, the concept of the infractions of the lower vows being "outshone" (*zil mnan*) is employed.⁵⁸⁷

Replies to Ngo-gro 22. The explanation for the different occasions for losing and obtaining the vows are known from the explanation of the vows as different.

Replies to Ngo-gro 23. The conclusion consists of two parts. The first is that these explanations (of sGam-po-pa's teachings) are attributed to Karma-'phrin-las-pa's principal guru, the seventh Karma-pa Chos-grags-rgya-mtsho (1454-1506), to whom the author offers prostrations.

⁵⁸⁵ To view women as the nature of insight (*prajñā*) is taught through the fourteenth fundamental pledge of Mantra; see *Vajrayānamūlāpatti*, P vol. 69, no. 3308.

⁵⁸⁶ This "outshining" is not the same as that taught by Vibhūticandra. The latter taught an outshining of the lower vows as such. Here only the defects of the infractions are outshone, and thus the vows are not lost. See my discussion of these concepts in chapter 13, on p. 321 ff. See also pp. 79 ff. for Vibhūticandra's doctrine of "outshining."

⁵⁸⁷ This explanation of "possessing qualities 'upwardly'" will be discussed in chapter 13, on p. 321 ff., on the background of sGam-po-pa's teaching of "possessing 'upwardly'" in *Work A* 8 and Karma-'phrin-las-pa's explanation of the same in his other Reply, i.e. *Replies to rGya-ston* 4.

The second part is again linked with the last part of the objection in section 1, according to which through a different nature of the vows the system of the auditors would be completely incompatible with those of the highest tantra class (*niruttaratantra*) such as the six *Guhyasamājatantras*. Once again he refers to Grags-pa-rgyal-mtshan, who is credited with great knowledge with regard to the highest tantra class. His quotation from the "*Hundred-Thousand [Verse?] Tantra[s?]*" [*Treatise*], which appears to be the most significant source for the Sa-skyas-pas' system of the three vows, is criticized as being merely a quotation from the *De kho na nyid ye shes grub pa [śāstra]*, and thus not as a quotation from the Tantra-section of the canon itself. Its validity, however, is not questioned, but for its correct interpretation one should refer to the Karma-pa's teachings.

The quotation from the "*Hundred-Thousand Verse Tantra*" [*Treatise*] is indeed crucial for the discussion of the three vows. It appears (in those works translated in this thesis) first in Grags-pa-rgyal-mtshan's *Removing Errors Regarding the Fundamental Transgressions*, on p. 258, fol. 48v. Thereafter it has been quoted by Vibhūticandra, *Garland of Rays* 17; in Go-rams-pa's *General Topics*, fol. 71v; and by Karma-'phrin-las-pa, *Replies to Ngo-gro* 16, in connection with the theory of the Sa-skyas-pas. It has also been quoted by mNga'-ris Paṅ-chen in the last chapter of his *Clear Comprehension of the Three Vows*, fols. 19vf, and in Lo-chen Dharma-shrī, *sDom gsum mam nges 'grel pa*, fol. 300v. It can furthermore be found in Mi-pham's *sDom gsum ngo bo gcig tu sgrub pa*, fol. 69v.

I found the first mentioning of that text in Grags-pa-rgyal-mtshan's *Removing Errors Regarding the Fundamental Transgressions* where it is called *The Authoritative Text or Teaching (lung) of A Hundred-Thousand Tantras (rgyud 'bum pa'i lung)*, *The Establishment of the Gnosis of Suchness (de kho na nyid ye shes grub pa)*. The question is: what is meant by "A Hundred-Thousand Tantras" (*rgyud 'bum pa*)? When Vibhūticandra referred to this text, he called it the *Hundred-Thousand Authoritative Texts (gzhung 'bum pa)*, where "Authoritative Text" (*gzhung*) has a very similar meaning as Grags-pa-rgyal-mtshan's "Tantras" (*rgyud*). Thus this appears to be first of all a large collection of texts, probably tantras. (Go-rams-pa has exactly the same as Grags-pa-rgyal-mtshan.) Karma-'phrin-las-pa, however, has *Tantra of the Hundred-Thousand (bum pa'i rgyud*, in his *Replies to Ngo-gro* 16) and *Teaching on the Tantra of the Hundred-Thousand ('bum pa'i rgyud kyi lung)*, and also *The Establishment of the Gnosis of Suchness* (in *Replies to Ngo-gro* 23). He makes also explicitly clear (in *Replies to Ngo-gro* 23) that "*lung*" is to be understood as "Teaching" or "Treatise On," indicating that Grags-pa-rgyal-mtshan cites a quotation from a treatise (Skt. *śāstra*) instead of directly from the tantra (*rgyud*). In sum, we have on the one hand either an authoritative text consisting of a hundred-thousand tantras, or a teaching on that, or, on the other hand, a teaching or treatise on the *Tantra of the Hundred-Thousand*.

In the rNying-ma-pa tradition we have with mNga'-ris Paṇ-chen and Lo-chen Dharma-shrī the *Authoritative Text or Teaching on the Five-Hundred Thousand* ('bum phrag lnga pa'i lung), and in Mi-pham's teaching the *Five-Hundred Thousand: Establishment of the Gnosis of Suchness* ('bum phrag lnga pa de kho na nyid ye shes grub pa), where "Five-Hundred Thousand" seems to refer to the (probably mythical) size of 500,000 verses. Could this refer to the *Hevajratāntras* that consisted, according to some traditions, of several root tantras, of which either the largest or the medium one has 500,000 ('bum phrag lnga) verses (*ślokas*; Snellgrove 1959: I, 15; Sonam Dragpa 1996: 50)? For the sake of comparison, the root tantra that exists in the canon, and that has been edited and translated by Snellgrove, has a mere 750 *ślokas*. Snellgrove also mentions (vol. 1, p. 15) that no other tantra has been transmitted apart from the one that he translated. The mentioning of the "*Hundred-Thousand Tantra(s)*," if it means "*Hundred-Thousand Verse Tantra*," on the other hand, rather points to the mythical *Lakṣābhīdhāna Cakrasaṃvara Tantra* of 100,000 (sometimes 300,000) verses (Tsuda 1974: 31 ff.). However, the "*Establishment of the Gnosis of Suchness*," even though treatises with that title exist in the canon, could not be identified, for the passage in question was not found in them.

In any case, considering the long title (i.e. including "*The Establishment of the Gnosis of Suchness*"), we seem to have here a Tantric treatise expressing or summarizing the insights of many tantras, or of a mythical Tantra of 100,000 or 500,000 verses—none of which seem to have survived. Karma-'phrin-las-pa obviously wanted to warn his reader not to expect to find the work in the *Kanjur* collection of tantras, i.e. not in a "*rgyud 'bum*" collection, as they would automatically expect from its title. I regret to say here that I have nothing else to offer that could clarify the problem.

Summary of Karma-'phrin-las-pa's *Replies to Ngo-gro*

Karma-'phrin-las-pa's answers are mainly concerned with the natures of the vows and with related topics such as transformation, maintaining of vows, appearing of conflicts, and possession of qualities "upwardly." While a transformation of vows and a same nature of vows are incompatible, the same nature of the vows cannot be refuted through pointing out the distinct aspects of the vows that exist in an unmixed way. In fact, that which is identified as the nature or the vital point of the vows by the Sa-skya-pas and 'Bri-gung-pas is acknowledged as the continuity of the resolution to abandon as such.

The natures of the vows are identified here as being those things through which the vows are obtained, and since these things are different for each vow, the natures of the vows are different. Thus the nature of a vow is something that causes the vow to be distinctive. When a higher vow is obtained, the lower vow remains as something ordinary, which the vow holder has in common with other Buddhists such

as the auditors, while the new vow is uncommon in that it is the specific vow of the bodhisattvas or Tantric adepts. Through such an obtaining, the vows are not transformed, but the person who is the support of the vow changes into a bodhisattva and a Tantric adept. Thereby the different vows become vows of their respective mental streams of consciousness. This is also the intention of a passage in sGam-po-pa's *Thar pa rin po che'i rgyan*.

The vows are to be maintained according to the specific rules of their respective systems. In cases of conflict between the vows, however, the highest training should be observed as the preeminent one. The infractions of lower vows that may occur thereby are outshone through the greater qualities of the higher vows, which presumably are their higher volitional impulses and greater means.

Two of Karma-'phrin-las-pa's Replies about the Three Vows: Texts and Translations

Since I have already presented a topical outline with a discussion of the main topics of the two relevant passages from Karma-'phrin-las-pa's *Replies* in chapter 10, parts 3.1. and 3.2., here I would like to present their Tibetan texts with translation.

Replies to rGya-ston 1

<100> dri ba dgu pa/ sdom gsum yar ldan nang 'gal gong ma gtso ba⁵⁸⁸ gtong ba'i
dus rnams ji ltar lags/

shes pa'i lan ni/ sdom gsum zhes bya ba'i 'dod lugs kyang mang ste/

'dul ba pa rnams/ dge bsnyen/ dge tshul/ dge slong gi sdom pa gsum la der

'dod pa dang /

mngon pa ba rnams sor zag med bsam gtan gyi sdom la der 'dod pa dang

/

sngags pa rnams so thar byang sems snags sdom gsum la der 'dod pa yod kyang dri
po'i zhe 'dod phyi ma la blo rtsi gtad par bsams nas 'di bshad par bya'o//

Replies to rGya-ston 2

de la so so thar pa ni gong du bshad pa'i rigs bdun nam brgyad po de yin la/ byang
sems kyi sdom pa la smon 'jug gnyis sam/ nyan thos dang theg chen gyi lugs gnyis
sam/ dbu ma sems tsam gyi lugs gnyis sogs bshad tshod mang du yod <101> kyang
/ don ni gzhan phan gzhi bcas bsgrub pa'i bsam pa khyad par can chog⁵⁸⁹ la brten
pa zhig gzhir byed do//

⁵⁸⁸ Read: *bo*.

⁵⁸⁹ Read: *cho ga*.

11.1. Text and Translation of the Ninth Question and Answer in the *Replies to rGya-ston*

Replies to rGya-ston 1

<100> Question no. 9: What are the "being possessed 'upwardly'" "internal contradiction," "preeminence [of] the higher," and the "times of losing" [of] the three vows?

Answer: The doctrinal positions about the so-called "three vows" are many.

(1) The Vinaya[vādins] hold the three vows of the householders, novices and fully ordained monks [and nuns] to be the [three vows],

(2) the Abhidharmikas hold the observances of individual liberation, of [guarding against] evil influences, and of concentrative absorption to be the [three vows],⁵⁹⁰ and

(3) the Tantric adepts hold the three vows of prātimokṣa, of the bodhisattvas, and of Mantra to be [the three vows].

Nevertheless, thinking that the intention of the interlocutor was directed at the latter [set of three vows],⁵⁹¹ I shall explain this.

Replies to rGya-ston 2

Regarding that, [i.e. the three vows of prātimokṣa, of the bodhisattvas, and of Mantra], the prātimokṣa is the seven or eight classes explained above.⁵⁹² With regard to the bodhisattva vows there is an abundance of explanations such as the twofold preliminary resolve [for awakening and] actualization of the resolve [for awakening], or the two systems of the Vehicle of Auditors and the Mahāyāna, or the two systems of Madhyamaka and Cittamātra, <101> but in reality someone who relies on the ritual of the [resolve, i.e. the production of the] altruistic thought to establish the benefit for others together with [the mental] base [for that] serves as the basis.

⁵⁹⁰ See on these observances fn. 20.

⁵⁹¹ In other words: "thinking that the interlocutor had the latter in mind while asking the question."

⁵⁹² "As above" refers to the reply to the seventh question of rGya-ston, dealing with different aspects of the seven classes of the prātimokṣa such as the demarcations of obtaining the vows (*sdom pa thob mtshams*), their differentiation (*dbye ba*), nature (*ngo bo*), and means for repairing in cases of damage (*nyams na 'chos thabs*). The seven classes are there listed as the well known twin groups of (male and female) householders, novices, and fully ordained ones; the seventh being the probation nun and the eighth the holders of the approximation vow. See *Replies to rGya-ston*, p. 97-100.

*sngags sdom la'ang rgyud sde'i dbye ba dang / cho ga'i dbye ba dang / dbang bzhi
so so'i dus su thob pa dang / rgyu dus dang / lam dus kyi dbye ba sogs yod kyang
/ mtshan ma dang nam rlog las yid skyob pa'i thabs khyad par can dbang dang
'brel ba'i sdom pa zhig la 'dod do//*

Replies to rGya-ston 3

*de yang so sor blangs pa'i gsum ldan dang / dbang gcig pu thob pa'i gsum ldan
dang gnyis 'byung ba ni yongs su grags pa ltar yin la/ sdom gsum ngo bo gcig dang
tha dad ces bod na lab brjod shin tu mang bas 'dir ma spros/*

Replies to rGya-ston 4

*yar ldan ni/ so thar rkyang pa de byang sems kyi sdom pa dang ldan pas bzang du
song la/ de sngags sdom dang ldan pas je bzang du song bar 'dod pa yin no//*

Replies to rGya-ston 5

*nang 'gal zhes pa/ sdom pa'i ngo bo gzugs su 'dod na ni nang 'gal yang / shes par
'dod na sdom pa nyid la nang 'gal gyi tha snyad mi byed/ bsrung mtshams nang 'gal
ba ltar snang ba rnams ji ltar bsrung zhes pa'i don du go bas/ dge slong rdo rje
'dzin pa'i sdom gsum gyi mi mihun phyogs nang 'gal ba ltar snang ba mang bas de
yang so thar gyi skabs su bud med la reg pas ltung ba 'byung bar bshad pa dang /
rdo rje 'dzin pa'i skabs su bu med la dmod pas ltung ba 'byung bar bshad pa lta bu/
bi bhu ti tsandras kyang /*

[And] even though there exist [differentiations] with regard to the Mantra vows as well, such as the differentiation of the tantra classes,⁵⁹³ the differentiation of the ritual, and the differentiation of obtaining [the Mantra vows] at the time of the respective four initiations,⁵⁹⁴ the differentiation of the time of the cause, and of the time of the path, I maintain it to be a vow that is connected with the special means of protecting the mind from phenomenal marks and [mental] constructions, [i.e.] the initiation.

Replies to rGya-ston 3

Moreover, as is well known, there exist two [threefold possessions of the vows, i.e. one] threefold possession where [the vows] are obtained from the respective [rituals], and [another] threefold possession where [the vows] are obtained from the single [ritual of] initiation, but since there is very much discussion about "same nature and different natures [of] the three vows" in Tibet, here I have not elaborated on that.⁵⁹⁵

Replies to rGya-ston 4

"Possessing 'upwardly'" is to maintain that the simple prātimokṣa became improved through the possessing of bodhisattva vows, and that these became even better through the possessing of Mantra vows.

Replies to rGya-ston 5

"Internal contradictions." To be sure, if as regards the nature of the vows, they are taken to be matter, they are internally contradicting; but if one takes them to be consciousness, one does not speak of internal incompatibility with regard to the vows as such. [In this sense I] understand [your question] in the sense of "How are [specific vows] the demarcation of observation of which is apparently incompatible to be observed?" Therefore, since apparent mutually opposed internal contradictions of the three vows of a Tantric adept monk are many, e.g., like the teaching that a transgression arises through tactile contact with a woman within the context of the prātimokṣa, and the teaching that a [fundamental] transgression arises through abusing a woman within the context of [being a] Tantric adept, as has also been said by Vibhūticandra:

⁵⁹³ See pp. 57 ff. for differentiations of the tantra classes. In general, this point refers to the different Mantra vows that are obtained from initiation into different tantras belonging to different classes, on which, see, for example, Lessing and Wayman (1968).

⁵⁹⁴ See pp. 62 f. for initiation obtained in different tantra classes.

⁵⁹⁵ He does elaborate on that point in his *Replies to Ngo-gro* 14.

bud med la dmod ltung ba yis//
reg pa'i sdom pa 'chor ram ci// [gtong ngam ci]
'o na ha cang thal gyur te// [gal te gtong na ha cang thal]
mkha' la sprin chen 'khrigs pa yis//
sa yi lo thog bskems(!) shes bsgre//
zhes gsungs pa bzhin no//
gzhan yang phyi dro'i kha zas bsrung dgos par bshad pa dang / tshogs 'khor phyi
dro byed dgos par bshad pa sogs mang po yod pas/ 'di lta bu'i rigs can mams ni
yang dag par na thabs dang bsam pas khyad par phye ba yin pas mi 'gal ba 'ba'
zhig / kun rdzob tsam du ni/ so thar gyi 'gal zla dus dran pa sogs kyis nyes med du
byin gyis brlabs nas/ rdo rje theg pa'i 'gal zla gtso bor bsrung ba <p. 102> yin
te/
nal 'byor pa yang blo khyad kyis//
gong ma gong ma rnams kyis gnod//
ces gsungs pa'i gnad go dgos so// gong ma gtso bo zhes pa'i don kyang sngar gyi
'dis shes par nus so//

Replies to rGya-ston 6

gtong ba'i dus/ theg dman gyi lugs la so thar rigs bdun ji srid 'tsho ba yin pas shi
'phos pa na gtong bar 'dod kyang / theg chen pa byang chub bar du gnas par 'dod
pas sdom pa'i gtong rgyu gzhan ma 'byung na gsum ka ma thob kyī bar du mi gtong
ngo//

[*Garland of Rays* 20] How could it be that the [prātimokṣa] vow protecting against tactile contact [with women] is lost by a [fundamental] transgression [against the fourteenth pledge that prohibits] abusing women?

If that were a loss [of the vows], that would be an overextension [of the term loss].

It would be like arguing by analogy:

By the gathering of the [rain] clouds in the sky the crops of the earth are desiccated.

—moreover: since there are many [apparent contradictory rules like] the teaching that one must abstain from meals after noon, and the teaching that one must perform the Tantric feast (*gaṇacakra*) [in the] evening, etc., [there seems to be a problem; my answer is that] such cases, in reality, are not at all incompatible since they are differentiated through means and volitional impulse (*bsam pa*, skr. *cetanā*) and therefore purely non-contradictory. On the merely conventional [level], [the solution] is that after blessing [i.e. controlling] the conflicting prātimokṣa [vow] by means of being mindful of time, etc., so that there is no fault, one should in the first place observe the conflicting [vow of the] Vajra Vehicle. One must understand the vital point that is taught [in the *Bodhicaryāvatāra* (IX, 4ab)] <102>:

And the yogis, too, [are such that one] is disproven by the respective higher one

through superior understanding.

One is able to understand the meaning of "preeminence [of] the higher," too, through this preceding [explanation].

Replies to rGya-ston 6

"Times of losing." Even though it is held in the Hīnayāna tradition that the seven classes of prātimokṣa are lost when one dies because [they were obtained for] "as long as one lives," since a Mahāyānist holds that [vows] remain until awakening, all three [vows] are not lost until [awakening] is obtained, if no other cause for losing the vows occurs.

Replies to Ngo-gro 1

<p. 122> *sdom gsum ngo bo gcig mi gcig //*
gcig na de nyid rang sa yi//
rang ldog ma 'dres so so ste//
nyan thos lhung bzed ngur smrig dang //
 <p. 123> *rab byung rtags dang cha lugs 'dzin//*
bla med stag sham ko rlon dang //
zhing lpags thod shal thod khrag dang //
rkang gling mchog phur la sogs pa'i//
longs sku'i rtags dang cha lugs 'dzin//
mngon sum 'gal bar mi 'gyur ram//
gcig na lugs gcig rgyu mtshan gang //
mi gcig gsang 'dus rgyud drug sogs//
bla med rgyud sde kun dang 'gal// //

Replies to Ngo-gro 2

zer ba 'di lan phye nas bshad par bya//
sdom gsum ngo bo gcig dang iha dad ces//
bod kyi yul 'dir grub mtha' la zhugs pa'i//
mkhas rmongs kun gyis dogs dpyod du ma mdzad//

Replies to Ngo-gro 3

rje btsun chen po grags pa rgyal mtshan gyis//
rtsa lung rgya cher 'chad byed 'khrul spong las//

11.2. Text and Translation of the 24th Question from the *Replies to Ngo-gro*

Replies to Ngo-gro 1

<122> [Question no. 24]: Do the three vows have the same nature or not?
 If [they have] the same [nature], [would it not be that] their respective own
 distinctive aspects [would be] unmixed [and] for themselves,
 and would it not contradict direct experience that
 auditors keep the alms bowl, saffron colour [robes],
 [i.e.] the marks of ordination and the outfits [of ordained persons], [while in]
 the highest tantra class [*niruttaratantra*] one keeps the tiger [skin] underskirt, the
 fresh [wet] skin,
 the skin of an enemy [of the teachings], the [crown] ornament of skulls, the skull
 [filled with] blood,
 the bone trumpet, the sublime dagger, etc.,
 [i.e.] the marks of the *sambhogakāya* and its outfit?
 If [the three vows have] the same [nature], what is the reason for [their being] one
 tradition?
 [If] they were not of the same [nature], [the auditor's system] would be completely
 incompatible
 with the tantras of the highest class such as the six *Guhyasamājantras*.

Replies to Ngo-gro 2

[Answer]:⁵⁹⁶ I shall explain the answer [to] this question through an analytical
 procedure.
 Here in the land of Tibet all learned and ignorant men who have adopted systems of
 tenets
 have investigated a lot of doubts [about]
 [whether] the three vows are of the same nature or of different [natures].

Replies to Ngo-gro 3

The great revered one, Grags-pa-rgyal-mtshan, said
 in his *Removing Errors*, which teaches the fundamental transgressions [of Mantra]
 in great detail:⁵⁹⁷

⁵⁹⁶ This answer is written in nine-syllable verses, while the question has the form of
 seven-syllable verses.

⁵⁹⁷ See fn. 565.

dge slong gis ni byang chub sems bskyed tshe//
so thar thams cad byang sems sdom par 'gyur//
de dag dkyil 'khor du ni zhugs pa'i tshe//
sdom pa thams cad rig 'dzin sdom pa stel//
'bum pa'i rgyud las rdo yi rigs kyi ni//
bye brag bzhus pas lcags dang zangs dngul 'byung //
gser 'gyur rtsi yi dngos po dang sbyar bas//
de dag kun kyang gser du bsgyur bar byed//
de bzhin du ni sems kyi bye brag gis//
rigs can gsum gyi sdom pa'ang dkyil 'khor ni//
chen por zhugs na rdo rje 'dzin zhes bya//
gsungs phyir dpe ru bkod pa'i rdo de ni//
skye bo phal pa yin zhing lcags kyi dpes//
nyan thos bslab bya zangs ni rang rgyal bslab//
dngul ni byang chub sems dpa'i bslab bya stel//
gser 'gyur rtsi ni rdo rje theg pa yi//
bslab bya yin zhes gsungs pas rjes 'brang 'gas//
sdom gsum gnas 'gyur ngo bo gcig par 'dod//

Replies to Ngo-gro 4

de la bi bhū tsandras skyon brjod tshe//
'bum pa'i rgyud tshig drangs nas de don la//
kha cig sdom gsum gnas 'gyur ngo bo gcig //
sdom pa gong ma'i rtsa ltung byung ba na//
'og ma gtong zhes gzhan la sgrog par byed//
bud(!) med la dmod rtsa ltung byung ba na//
reg pa bsrung ba'i sdom pa 'chor ram ci//
de lta na ni ha cang thal 'gyur te//

"At the time when the monks produce the resolve for awakening, all [their] *prātimokṣa* [vows] turn into the vows of a bodhisattva. At the time when they enter into the maṇḍala [through Tantric initiation], all vows [become] vows of the Tantric adept. In the "*Hundred-Thousand Tantra[s]*" [*Treatise*] it is taught: 'By smelting, iron, copper, and silver appears, through the particularities of ores. Through applying the elixir that turns [metals] into gold, all of these are transformed into gold. Similarly, through the particularities of minds, the vows of the three families also [exist]. If [holders of the two lower vows] enter into this great maṇḍala, they are called Tantric adepts.' Thus the ores that are mentioned in the example [refer to] ordinary people. With the example of iron, the training of the auditors [is referred to]. Copper is the training of the solitary Buddhas. Silver is the training of the bodhisattvas. The elixir that turns [metals] into gold [refers to] the training of the Vajra Vehicle." Since this was so taught [by Grags-pa-rgyal-mtshan], some of his followers [among the Sa-skya-pa] hold that the three vows are transformed [and] of the same nature.

Replies to Ngo-gro 4

When Vibhūticandra criticized this, he quoted from the "*Hundred-Thousand [Verse?] Tantra[s?]*" [*Treatise*] and [remarked]:

[18] Regarding the meaning of this [quotation], some say that the three vows [are possessed in the way of] a transformation [and] of a same nature. If a fundamental transgression [against] a higher vow occurs, the lower [vows are also] lost (...)

[20]⁵⁹⁸ How could it be that the fundamental [*prātimokṣa*] vow guarding against tactile contact [with women] would be lost if a [fundamental] transgression [against the fourteenth Tantric pledge that prohibits] abusing women arises?

If that were [a loss of the vows], that would be an overextension [of the term loss"]. It would be like arguing by analogy: <p. 124>

⁵⁹⁸ This is the same quote as in the *Replies to rGya-ston 5*.

*nam mkha' la ni <p. 124> sprin chen 'khrigs pa yis//
 sa yi lo thog skems par 'gyur zhes bsgre//
 gzan yang nyi ma'i dkyil 'khor 'od bar ba//
 'gang ghā'i chu bor 'gyur bar thal zhes bkod//*

Replies to Ngo-gro 5

*rgyal dbang chos grags rgya msho'i zhal snga nas//
 gnas gyur ba dang ngo bo gcig pa 'gal//
 dper na lcags la gser 'gyur rtsi byugs pas//
 lcags de gser du 'gyur ba yin mod kyang //
 lcags gser ngo bo gcig pa mi srid de//
 lcags kyi tshe na gser gyi ngo bor med//
 gser du 'gyur tshe lcags nyid dmigs su med//
 gzhan yang kun gzhi'i rnam par shes pa ni//
 me long ye shes nyid du gnas gyur tshe//
 de gnyis ngo bo gcig pa ma yin no//
 ngo bo gcig la gnas gyur mi rung ste//
 lcags gser ngo bo gcig na slar yang ni//
 lcags de gser du 'gyur mi dgos pa bzhin//
 gal te ma gyur gong du mi gcig kyang //
 gnas gyur tshe na ngo bo gcig zer na//
 lcags nyid gser gyi ngo bor gyur pa'i tshe//
 lcags kyi ngo bor med pas mi gcig go //
 gnas gyur tshe na ngo bo gcig yin na//
 ma gyur tshe yang ngo bo gcig pa ru//
 'gyur ba mkhas len dgos shes gsung bar snang //*

Replies to Ngo-gro 6

*dpal ldan 'bri gung pa yi dgongs gcig tu//
 rdo rje gsungs las bdag po 'phos pa las//
 sdom pa gsum du 'ong zhes bya ba bzhugs//
 zhes dang sdom pa gsum po thams cad kyi//
 mi dge bcu po 'khor bcas spong ba dang //
 dge bcu 'khor dang bcas pa bsgrub pas na//
 sdom pa'i ngo bo tha dad cung zad med//
 'o na sdom pa gsum zhes bya ba ru//
 gzhas tu med dam zhe na skabs 'dir ni//*

"By the gathering of the [rain] clouds in the sky the crops of the earth wither."
[22bc] Furthermore it would follow that the rays of the sun
could transform itself into the water of the river Ganga.

Replies to Ngo-gro 5

The [Lord Karma-pa] rGyal-dbang Chos-grags-rgya-mtsho stated:

"Transformation and same nature are incompatible.

For example through applying to iron the elixir that turns [substances] into gold,
that iron turns into gold,

but it is impossible for iron [and] gold to have the same nature.

At the time of [being] iron, it does not exist as the nature of gold,

[and] at the time of having been transformed into gold, iron itself cannot be
apprehended.

Furthermore, when the basic consciousness (*ālayavijñāna*)

has been transformed into the mirror-like gnosis,

these two are not of the same nature.

A transformation is impossible for [things that are of] the same nature;

like if iron and gold were of the same nature,

it would not be necessary to transform the iron into gold once more.

If [you] say that [iron and gold] are of the same nature when they were transformed,
yet they were not the same before the transformation,

they [still] could not be the same because [the resulting substance] does not exist any
more] as the nature of iron

when that very iron has been transformed into the nature of gold.

If [iron and gold] were of the same nature when they have been transformed,

you must accept that they would be of the same nature

also when they have not [yet] been transformed."—[This] can be seen to be taught
[by the Karma-pa].

Replies to Ngo-gro 6

A vajra utterance [1.25] [that appears] in the *Same Intention* of the glorious 'Bri-
gung-pa ['Jig-rten-mgon-po] says:

"The vows become three because the [vow]-possessor has changed."

And [furthermore in the commentary on the same]: "All three vows

remove the ten non-virtues together with anything of their kind,

and [they] achieve the ten virtues together with anything of their kind.

And therefore the nature of the vows is not to be even slightly differentiated."

[Objection]: In that case, is there nothing to classify [them] as 'the three vows?'

bsrung rgyu gcig kyang bdag po 'phos pa yis//
 sdom pa gsum zhes bya bar 'gyur ba'i dpe//
 g.yu'am gser sbram rgyan du 'os pa zhig //
 phal pa'i mgul du btags na rgyan de la//
 kun gyis reg tu yod cing rgyan de yang //
 'bangs kyi rgyan zhes bya bar rung ba yin//
 blon po'i mgul du btags na phal gyis ni//
 reg tu med cing blon po'i rgyan du 'ong //
 de dag rgyal po'i mgul du btags pa na//
 gzan gyis <p. 125> blta ru yod kyang reg tu med//
 rgyal po'i rgyan du 'ong yang rgyan de yi//
 ngo bo la ni khyad par ci yang med//
 'dogs sa gsum gyi dbye bas gsum du byung //
 de bzhin byed pa'i las sam bsgrub bya yang //
 sems can gsum la sbyar ba dper bkod nas//
 mi dge bcu yang sdom gsum nyams len pa'i//
 gang zag gsum gyi spong lugs tha dad pas//
 'bras bu gsum yang tha dad so sor thob//
 bsrung bya mi dge bcu dang nyams len la//
 khyad par bye brag rdul tsam med mod kyang //
 nyams su len mkhan bdag po gsum gyi ni//
 khyad par yod pas sdom pa gsum du byung //
 zhes gsungs

Replies to Ngo-gro 7

skabs 'dir 'chad tshul tha dad kyang //
 sdom gsum ngo bo gcig par sa 'bri mihun//

[Answer]: On this occasion the vows become three, because of the changing [of their] possessor, even though the thing to be observed is the same. The example for that [is this]:

If a turquoise or a gold amulet(?) that are suitable as ornaments are hung around the neck of a common subject, those ornaments are to be touched by everyone, and those ornaments are suitable as "ornaments of a subject."

If they are hung around the neck of a minister, they are not to be touched by common people, and they become the ornament of the minister.

If they are hung around the neck of a king,

<p. 125> even though they may be looked at by others, they are not to be touched [by them].

It becomes the ornament of the king. However,

there is not the slightest difference with regard to the nature of these ornaments.

They occur as three through the differentiation of the three places where they are hung ('*dogs sa gsum gyi dbye[!] bas*).⁵⁹⁹

Similarly, their [action] performed or thing to be achieved, too, [is different]:

Citing the example [in which these] are applied to the three [kinds of] beings, [it is shown that] the three results, too, will be separated [and] individually obtained, because of the different systems of abandoning for the three [kinds of] persons who practice the ten virtues as well as the three vows.

[Thus] there is not the slightest difference [or] distinction

with regard to that which is guarded against, [i.e.] the ten non-virtues, and [with regard to] the practice,

but the vows come to exist as three through the difference

between the three possessors [of the vows], i.e. the practitioners. So taught ['Jig-rten-mgon-po].

Replies to Ngo-gro 7

Even though on this occasion their way of explaining is different,

the Sa-[skya-pas] and the 'Bri-[gung-pas] agree that the nature of the three vows is the same.

⁵⁹⁹ rDo-rje-shes-rab, *Same Intention*, p. 439: *byed pas*, "the functioning."

ngo bo gcig la rang ldog ma 'dres pa'i//
 skyon gyis dgag par nus pa ma yin te//
 bdag nyid gcig la ldog pa tha dad pa'i//
 skyon gyis 'gog nus min pa ji bzhin no//

Replies to Ngo-gro 8

de lta mod kyi ngo bo gcig pa la//
 rtags dang cha lugs 'gal ba'i skyon brjod pa//
 khyed cag cha lugs dge bar 'dod dam ci//
 sdom pa gsum ni dge ba kho na ste//
 cha lugs rnams ni lung ma bstan du 'dod//
 stag sham ko rlon zhing lpags thod shal dang //
 thod khrag rkang gling mchog phur la sogs pa//
 longs sku'i rtags dang cha lugs yin no zhes//
 smra pos longs spyod rdzogs pa'i mtshan nyid du//
 nges pa lnga ldan gsungs pa ma 'tshal par//
 sprul dang longs sku 'dres pa'i bab col yin//

Replies to Ngo-gro 9

sdom gsum nam gzhas rtsom mdzad dge ldan pas//
 li gder dangs par chu gtsang blug pa'i nang //
 indra nī la bzhas na chu dang snod//
 sngon por 'gyur bas indra nī la yi//
 yan lag nyid du 'gyur kyang chu dang snod//
 nor bu gsum ka ngo bo tha dad yin//
 de bzhin tha dad cho gas thob pa yis//
 sdom gsum mi gcig na yang gong ma yi//
 sdom pas zin tshe 'og ma'ang gong ma yi//
 yan lag tu ni 'gyur zhes dam bcas nas//

One is unable to refute [them]
 through the fault of unmixed distinct aspects for [things with] the same nature,
 just as one is unable to refute
 through the fault that [something with] a single nature has different distinctive
 aspects.⁶⁰⁰

Replies to Ngo-gro 8

Be that as it may, with regard to your criticism [that is directed] against the same
 nature [of the vows],
 i.e. that the marks and outfits [of the different vows] are incompatible,
 do you hold the outfit to be virtuous? How [is that]?
 Only the three vows are virtuous,
 the outfit is held to be neutral!
 Those who say that the tiger skin underskirt, the fresh [wet] skin, the skin of an
 enemy, the crown ornament of skulls,
 a skull filled with blood, the bone trumpet, the sublime dagger etc.
 are the marks and the outfit of the *sambhogakāya*
 are talking heedlessly, mixing *nirmāṇakāya* and *sambhogakāya*,
 not understanding⁶⁰¹ the possession of the five certainties that was taught [by the
 Buddha] as the characteristics of the *sambhogakāya*.

Replies to Ngo-gro 9

The dGe-lan-pas who composed doctrinal formulation [of] the three vows assert,
 that if you place an *indranīla* [jewel] in a clean bronze tub (*li gder*) into which pure
 water has been filled,
 [both] the water and the receptacle become blue [through the power of the
indranīla's radiance].
 Even though [they] become therefore a branch of the *indranīla* [jewel],
 all three, i.e. the water, the tub, and the jewel are different [with regard to their]
 nature.

Similarly, even though the three vows are not the same
 since they are obtained through different rituals,
 when they are endowed with the highest vow,
 the lower [vows], too, become branches of the higher one. <p. 126>

⁶⁰⁰ See also *Good Vase* 6.6.

⁶⁰¹ Understanding 'tshal ba as *shes pa*.

*de yi shes <p. 126> byed byang chub sems dpa'i sar//
so thar byang sems sdom pa'i phyogs gcig tu//
rig par bya zhes gsungs pa'i lung yang drangs//*

Replies to Ngo-gro 10

*de la rgyal ba'i dbang po 'di skad gsung //
sdom pa 'og ma gnyis po sngags sdom gyi//
yan lag yin par 'dod na 'og ma gnyis//
ma thob pa dang btang ba'i gang zag la//
gong ma'i yan lag ma tshangs pa dang ni//
btang ba'i skyon du 'gyur bar khas len dgos//
gzhan yang 'og ma gnyis po gong ma yi//
yan lag yin phyir gong ma thob byed kyi//
cho ga las kyang thob par byed dgos sam//
de lta na ni 'og ma gnyis po yang //
sngags sdom nyid las gzhan du mi 'gyur bas//
sdom gsum ngo bo tha dad ji ltar smra//
gtong thob tha dad par yang mi rung ngo //
de yi nyes spong gong mas ma zin pa//
yan lag min yang zin pas yan lag tu//
'gyur phyir skyon de med do zhes zer na//
zin pa'i sdom pa 'og ma btang ba na//
gong ma'i yan lag btang bar 'gyur ba sogs//
skyon las nam yang thar pa ma yin no//*

Replies to Ngo-gro 11

*mdor na rgya gar mkhas pa'i gzhung lugs las//
sdom gsum gnas gyur ngo bo gcig pa dang //
'og ma gong ma'i yan lag yin no zhes//
bzhed pa'i pañ chen 'ga' yang mi 'dug pas//*

As proof for that, they quote the *Bodhisattvabhūmi*, which says:⁶⁰²

"The matter(?) of the prātimokṣa and bodhisattva vows is to be known as the same."

Replies to Ngo-gro 10

With regard to this, the Lord of Jinas [i.e. the Karma-pa] taught:

If you hold that the two lower vows are branches of Mantra vows,
then you must [also] accept

that the higher branch becomes faulty in that it is [respectively] incomplete and lost
for a person who has not obtained, and [for one] who has lost the two lower [vows].
Furthermore, since the two lower [vows] are branches of the higher [vow],
is it necessary [that the lower vows] are also to be obtained from the ritual
that causes one to obtain the higher [vow]?

If that is the case, since the two lower [vows]

would also not be different from the Mantra vows themselves,⁶⁰³

how can you say that the three vows [are] different [with regard to their] nature?
[Furthermore] it would also not be suitable that [their rituals for] losing [and]
obtaining [the vows] are [actually] different.

If, [in order to] abandon that defect, you say that this fault does not exist,
since those [lower vows] that are not endowed with the higher [vows] are not a
branch [of the higher ones],

but those that are endowed [with them] become branches,

[still] you will never be free from [that] fault,

[i.e. that] when lower vows that are endowed [with the higher ones] are lost,
the higher branch is [also] lost, and so forth.

Replies to Ngo-gro 11

In brief, since in the scriptural traditions of Indian learned scholars

there are no great scholars at all who maintained

that the three vows are transformed [and] of the same nature,

and that the lower [vows] are branches of the higher ones,

⁶⁰² This quotation or paraphrase could not be traced either through Uī, *Index for the Bodhisattvabhūmi*, Tokyo, 1961, or through Yokoyama and Hirosawa, *Index for the Yogācārabhūmi*, Tokyo, 1996.

⁶⁰³ The lower vows are not different from the Mantra vows when they are obtained from the same ritual, i.e. initiation.

gnyis ka bod pa'i grub mtha' yin zhes gsung //

Replies to Ngo-gro 12

zla 'od gzhon nur gyur pa'i bka' 'bum las//
 sdom gsum ngo bo tha dad bsrung ba'i tshe//
 chol par bsrung zhing 'dom thug byung ba na//
 gong ma giso che yon tan yar ldan yin//
 gtong thob tha dad ces sogs gsungs pa la//
 rgyal dbang chos grags rgya mtsho 'di skad gsung //
 'di ni gsung rab kun gyi dgongs pa ste//
 'dir bshad sdom pa gsum gyi mtshan gzhi ni//
 theg pa dman pa'i so so thar pa dang //
 byang chub chen po'i byang sems sdom pa dang //
 so so'i dbang las thob pa'i sngags sdom yin//
 de yang rang rang gzhung las bshad pa yi//
 blang yul len byed 'bogs pa'i cho ga mams//
 'khrul med mam <p. 127> par dag pas thob pa'o//
 des na sdom pa gong ma ma thob pa'i//
 theg dman so so thar ni theg dman gyi//
 thun mong min pa'i sdom pa yin na yang //
 byang sdom thob tshe theg chen sems bskyed dang //
 sdom pa gong mas zin zhing byangs sems kyi//
 rgyud la ldan pa'i sdom pa yin pas na//
 so so thar de thun mong sdom pa dang //
 byang sdom de ni thun mong min par 'gyur//

both are religious systems of Tibetans. [So] taught [the Karma-pa].

Replies to Ngo-gro 12

In the collected works of Candraprabhākumāra [i.e. sGam-po-pa], it is taught⁶⁰⁴ that [1.] the nature of the three vows is different, that [2.] at the time of observing [them],

[they are] observed as entrusted, that [3.] when there appears a conflict [between them]

the higher is the preeminent one, that [4.] qualities are possessed "upwardly," and that [5.] [the occasions for]

losing and obtaining [the vows] are different, etc.

With regard to that, [the seventh Karma-pa] rGyal-dbang Chos-grags-rgya-mtsho taught:

This is the intention of all the scriptures;

The exemplification for the three vows taught here [i.e. in sGam-po-pa's teaching], are the prātimokṣa of the Hīnayāna,

the bodhisattva vows of great awakening,

and the Mantra vows that are obtained from the respective initiations.

These, too, are obtained through the unerring, completely correct objects [i.e. persons] from whom they are to be taken,

[and] means of being taken, <p. 127> namely the rituals for conferring [the vows], which are taught in their respective authoritative scriptures.

Thus the Hīnayāna prātimokṣa

for which no higher vows have been obtained

is the uncommon vow of the Hīnayāna.

But when the bodhisattva vows are obtained,

that prātimokṣa becomes the common vow,

and the bodhisattva vow is the uncommon vow,

because it possesses the production of resolve [of the bodhisattvas]

and the higher vow,

and it is a vow possessed in the mental stream of consciousness of a bodhisattva.

⁶⁰⁴ sGam-po-pa, *Work A, B1, B2, and B3*. Cf. Kong-sprul, *Pervading All Objects of Knowledge*, vol. 2, p. 201; Karma-nges-legs, *Good Vase*, fol. 163v, and the respective chapters in this book.

sngags sdom thob tshe sngags kyi sems bskyed dang //
 sdom pas zin zhing rig pa'i 'dzin pa yi//
 sdom pa yin phyir 'og ma gnyis ka yang //
 thun mong ba yin sngags sdom thun mong min//
 des na sdom gsum ngo bo tha dad gsungs//

Replies to Ngo-gro 13

de la kha cig gsum ldan slob dpon gyis//
 sdom gsum so so'i ngo bor gyur pa yi//
 srog gcod spong ba'i sdom pa gsum po ni//
 spong ba'i sem(!) par ngo bo gcig pa'i phyir//
 sdom gsum ngo bo gcig par 'gyur zhe na//
 srog gcod spong dang ma byin len spong sogs//
 spong ba'i sem(!) pa tsam du gcig na yang //
 de gnyis ngo bo tha dad yin pa ltar//
 sdom pa gsum gyi spong sems gsum po yang //
 spong ba'i sem(!) pa tsam du gcig na yang //
 cho ga dang ni thob tshul thob dus dang //
 gtong ba'i tshul kyang tha dad yin pas na//
 ngo bo gcig tu thal bar mi 'gyur gsung //

Replies to Ngo-gro 14

mdor na sdom gsum tha dad yin pa'i don//
 gang las 'byung ba'i theg pa tha dad cing //
 gang nas bshad pa'i sde snod kyang tha dad//
 gang las len pa'i yul yang tha dad de//
 len byed cho ga yang ni tha dad yin//
 len pa'i dus dang len pa'i bsam pa dang //
 len pa'i sems bskyed kyang ni tha dad la//
 gtong rgyu gtong dus rten gyi gang zag kyang //
 tha dad nyid du so so'i gzhung las 'byung //

When the Mantra vow is taken, both lower [vows] are common [while] the Mantra vow is uncommon, because it possesses the production of the resolve of Mantra and the [higher] vow, and because it is the vow of the Tantric adepts. Therefore the three vows are of different natures. Thus taught [Lord ṣGam-po-pa].

Replies to Ngo-gro 13

Some ask: Is it not the case that the three vows have the same nature, since the three vows of not taking life—which became the nature of each of the three vows through [initiation from?] the master who possesses the three vows—have the same nature in their resolution to abandon? [Answer]: Even though the resolution to abandon killing and avoiding stealing, etc., is the same in their capacity merely as the resolution to abandon, still the nature [of] both of these is different. Similarly, the three resolutions to abandon of the three vows, too, are—even though they are the same in their capacity merely as resolutions to abandon—different with regard to [their] rituals, [their] ways of obtaining [them], [the] duration [for which they are] obtained, and [their] ways of being lost, too. Thus it does not follow that [they are of] the same nature. [So] teaches [the Karma-pa].

Replies to Ngo-gro 14

In short, the meaning of [the statement] "the three vows are different" is [this]: The vehicles from which [the vows] come forth are different, the sections [of the canon] in which they are taught, too, are different, the objects [i.e. persons] from which they are taken are different, too, and also the rituals that cause their obtaining are different. The durations for which they are taken, the volitional intentions with which they are taken, and the productions of the resolve, too, with which they are taken are different. And that the causes for their loss, the times of their loss, and the persons who are the support are also different is stated in the individual basic scriptures.

Replies to Ngo-gro 15

rten gyi gang zag tha dad yin pa'i don//
 so thar kho na'i sdom pa dang ldan tshe//
 theg pa dman pa'i gang zag nyid yin la//
 byang sdom thob tshe pha rol phyin pa yi//
 byang chub sems dpar 'gyur zhing dbang bskur ba//
 legs par nod tshe rig 'dzin sngags pa <p. 128> yin//

Replies to Ngo-gro 16

'di la dgongs nas 'bum pa'i rgyud las kyang //
 rdo yi rigs kyi zhes sogs lung de gsungs//
 de yang rdo yi bye brag mi 'dra ba//
 lcags rdo zangs rdo dngul rdo bzhus pa las//
 lcags dang zangs dngul tha dad byung ba bzhin//
 sdom pa gsum kyang tha dad yin pas na//
 so thar rkyang pa ldan la theg dman dang //
 byang sdom thob tshe byang chub sems dpa' dang //
 sngags sdom rang gi cho gas thob pa'i tshe//
 rig 'dzin zhes bya de gsum tha dad yin//

Replies to Ngo-gro 17

gser 'gyur rtsi dang mtshungs pa'i dpe don kyang //
 sngar gyi theg pa dman dang byang sems tsam//
 de dag dkyil 'khor chen por zhugs pa'i tshe//
 snga ma'i ming dag dor nas rig 'dzin du//
 'gyur phyir lcags la sogs pa gser 'gyur rtsis//
 thams cad gser du bsgyur dang mtshungs pas na//
 rten gyi gang zag 'gyur ba'i don yin gyi//
 sdom gsum gnas gyur gcig pa'i don min no//

Replies to Ngo-gro 18

kha cig thar pa rin po che rgyan las//
 theg dman so so thar sdom dang ldan la//
 theg chen sems bskyed kyis ni zin pa na//
 byang chub sems dpa'i sdom pa'i tshul khrims su//
 'gyur ba gsungs phyir sdom pa 'og ma ni//
 gong mar gnas gyur gsungs pa yin no lo//

Replies to Ngo-gro 15

The meaning of "the persons who are the support are different" is [this]:

At the time of possessing the vows of prātimokṣa alone
one is just a person of the Hīnayāna.

When one has obtained the bodhisattva vows, one turns
into a bodhisattva of the Perfections [Vehicle] (*pāramitā*), and
when one has properly obtained [Tantric] initiation,
one is a Tantric adept. <p. 128 >

Replies to Ngo-gro 16

With that in mind, the quotation from the "*Hundred-Thousand [Verse?] Tantra[s?]*"
[*Treatise*]:

"[Because of the existence of specific] kinds of ore ..., " was taught.
Moreover, [from] the different specific kinds of ore
the different [metals such as] iron, copper, and silver appear
through [the process of] smelting iron ore, copper ore, and silver ore.
Similarly the three vows, too, are different. Therefore,
the three, [i.e.] one who possesses prātimokṣa alone and is a Hīnayāna adherent,
a bodhisattva when he has obtained the bodhisattva vows,
and a Tantric adept when he has obtained the Mantra vows through the respective
rituals, are different.

Replies to Ngo-gro 17

And also the meaning of the example that compares [the Mantra vows] with the
elixir that turns [metals] into gold [is this]:

Since those who have earlier been just Hīnayāna and bodhisattva adherents
lose their former names and turn into Tantric adepts
when they enter into the great maṇḍala,
this means that the persons who are the support change,
because they are like iron, etc.,
which turns into gold through the elixir that turns [metals] into gold
but not that the three vows transform [and are] the same.

Replies to Ngo-gro 18

Some say: "It is taught [by sGam-po-pa] that the lower vows
are transformed into the higher ones,
because [he] taught in the *Thar pa rin po che rgyan*
that when one possesses the Hīnayāna prātimokṣa vow
but is endowed with the Mahāyāna production of the resolve,
[the prātimokṣa vows] turn into the morality of the bodhisattva vows.

de ni theg pa dman pa'i sdom ldan de//
byang chub sems dang byang sems sdom pa yis//
zin pas byang chub sems dpar 'gyur ba'i phyir//
de yi rgyud kyi so thar sdom pa de//
byang chub sems dpa'i rgyud kyi sdom pa ru//
'gyur phyir byang chub sems dpa'i sdom pa yi//
tshul khirms nyid du 'gyur zhes gsungs pa yin//
de phyir gang zag 'gyur ba gsungs pa dang //
sdom pa 'gyur ba gsungs pa ma 'khrul zhig //

Replies to Ngo-gro 19

bsrung na 'chol par bsrung zhes gsungs pa'i don//
so so thar pa'i bslab bya 'dul ba las//
ji skad bshad bzhin dran dang shes bzhin gyis//
de yi mi mihun phyogs rnams 'bad de bsrung //
byang sems sdom pa'i mi mihun phyogs de yang //
nam mkha'i snying po'i mdo sde la sogs pa// <p. 129>
theg pa chen po'i gzhung lugs las 'byung ba'i//
bslab bya miha' dag dran shes ldan pas skyong //
rig 'dzin sngags kyi sdom pa'i bslab bya yang //
sngags gzhung ji bzhin gces spras byed dgos shing //
sdom pa'i mi mihun phyogs rnams 'chol pa ru//
'byung phyir gnyen po'ang 'chol par bskyed dgos pas//
bsrung na 'chol par bsrung zhes gsungs pa yin//

Replies to Ngo-gro 20

'dom thug byung na gong ma gtso zhes pa//
theg chen gzhan gyi don la gzhol pas na//
snying rje'i dbang gis gzhan srog gcod pa dang //
'jungs pa'i nor rnams ma byin par blangs nas//
dbul la ster dang 'khor los bsgyur ba yi//
tshul gyis btsun mo stong la sbyor ba dang //

[Answer: He teaches that] he who possesses the vows of the Hīnayāna-[type of person]

turns into a bodhisattva because he is endowed with resolve [of bodhisattvas] and the bodhisattva vow.

Therefore the prātimokṣa vows of that person's mental stream of consciousness turn into the morality of the bodhisattva vows, since [they] have turned into the vows of the mental stream of consciousness of a bodhisattva. Thus taught [sGam-po-pa].

Therefore, do not confuse [sGam-po-pa's] teaching of the changing person with [the Sa-skya-pa's] teaching of the changing vows.

Replies to Ngo-gro 19

The meaning of the teaching "if observed, they are observed as entrusted" [is this]: The training of prātimokṣa is observed making effort [against] its opposing factors through recollection and awareness (*dran pa dang shes bzhin*) as it has been explained in the Vinaya.

The opposing factors of the bodhisattva vow, too, are guarded against through possessing the recollection and awareness [of] all the trainings

that appeared from the system of the authoritative scriptures of the Mahāyāna, such as the *Ākāśagarbhanāmahāyānasūtra*.⁶⁰⁵ <p. 129>

[Furthermore] it is necessary that one carefully attends also to the training of the vows of the Tantric adepts according to the authoritative scriptures of Mantra;

and since the factors opposing the vows arise as entrusted, the antidotes, too, must be produced as entrusted.

Therefore it has been taught that "if observed, one observes [the vows] as entrusted."

Replies to Ngo-gro 20

[The meaning of the statement] "if a conflict appears, the higher [vow] is the preeminent one" [is this]:

A Mahāyānist engages in the benefit of others. Therefore such actions as killing another [person, i.e. a sinner] out of compassion, stealing the wealth of misers and giving it to the poor, uniting with a thousand ladies in the manner of a cakravartin,

⁶⁰⁵ *Nam mkha'i snying po mdo*, P vol. 36, no. 926.

gzhan gyi don du brdzun tshig smra ba sogs//
 dgos shing de dag spyad na so thar gyi//
 sdom pa 'chor bar gsungs kyang gong ma yi//
 bslab pa gtso bor byas nas bsrung dgos tel//
 gsum ldan rdo rje 'dzin pas de spyad tshe//
 gzhan gyis pham pa spyad ces kha zer ba//
 yong na 'og ma'i sdom pa 'bul bar gsungs//
 'phya ba med na bslab pa ma phul yang //
 'og ma nyams pa'i pham pa 'byung ba sogs//
 skyon kun gong ma'i zil gyis gnon pas na//
 gzhan gyi don du srog gcod la sogs pa//
 spyad kyang pham ltung phog par mi 'gyur bas//
 de dag gong ma gtso ba'i don yin no//

Replies to Ngo-gro 21

yon tan yar ldan zhes bya'i go don yang //
 'og ma'i pham pa gong mas zil mnan tel//
 srog gcod sogs kyang rdzogs sangs rgyas kyi rgyur//
 'gyur phyir yon tan yar ldan zhes pa'am//
 so thar rkyang pa yon tan che mod kyi//
 byang sems sdom pa de bas yon tan lhag//
 sngags kyi sdom pa de bas ches lhag pas//
 sdom gsum yon tan yar ldan zhes gsungs so//

Replies to Ngo-gro 22

gtong thob tha dad yin pa'i rgyas bshad kyang //
 ngo bo tha dad nyid du 'chad pa yi//
 skabs su bshad las shes phyir 'dir ma bkod//

Replies to Ngo-gro 23

de 'dra'i bshad pa 'di ni legs <p. 130> bshad kyi//
 rab dang phul dang gtso bor gyur pas na//

and telling lies for the sake of others are necessary. .
 And if he [actually] performs such [activities],
 he must observe [his vows], having made the higher training the preeminent one,
 even though it has been taught [in the scriptures] that the prātimokṣa vows will be
 lost.

When a Tantric adept who possesses the three [vows] performs those [activities],
 it is taught that he should return the lower vows
 if others will denigrate him saying: "[You] have committed an infraction entailing
 expulsion."

If there is no [such] denigration, he does not return the discipline—instead
 all defects such as the occurrence of an infraction entailing expulsion through
 damaged lower [vows]
 are outshone [through] the higher [vow]. Therefore
 one will not be afflicted [with] infractions entailing expulsion,
 even though one has, for the sake of others, committed such acts as killing.
 Therefore these [explanations] are the meaning of "higher vows being the preeminent
 ones."

Replies to Ngo-gro 21

The meaning of possessing qualities "upwardly" is also [that]
 infractions entailing expulsion of lower [vows] are outshone by higher [vows].
 Thus, since even such acts as killing become the cause for complete Buddhahood,
 [this is] called possessing qualities "upwardly," or [in other words],
 the qualities of prātimokṣa alone may be great, but
 the qualities of the bodhisattva vows are greater than that,
 [and the qualities of the] Mantra vows are much greater than that. Therefore
 [sGam-po-pa has] taught that the three vows possess qualities "upwardly."

Replies to Ngo-gro 22

Since the detailed explanation that the [occasions for] losing and obtaining [the
 vows] are also different,
 will be known from the explanation in the passage where the natures are explained
 as different,
 here I shall not write [about it any further].

Replies to Ngo-gro 23

Because an explanation like that is the best, the most perfect,
 and the preeminent one of all excellent explanations,

bdag ni rgyal dbang chos grags rgya mtsho yi//
 zhabs la spyi bo sa la 'dud pa phyag //
 lan gcig min par rtag tu 'bul yod do//
 de la khyed kyis gsang 'dus rgyud drug sogs//
 bla med rgyud sde kun dang 'gal zer ba//
 bla med rgyud sde miha' dag gzigs pa yi//
 gzigs rgya can des gang dang 'gal 'gyur ba'i//
 lung dang rigs pa miha' dag slar smros shig //
 bla med rgyud la mkhyen pa'i spyan yangs pa//
 rje btsun chen po grags pa rgyal mtshan gyis//
 rgyud sde dngos las de lung ma drangs par//
 de kho na nyid ye shes grub pa ru//
 'bum pa'i rgyud kyi lung de drangs so zhes//
 bstan bcos las 'byung lung tsam 'dren par mdzad//
 lung de'i don la rgyal ba'i dbang po yis//
 bshad pa mdzad de lung dang 'byor mi 'byor//
 gzur gnas rnam dpyod can rnam la dris shig //

I offer prostrations with my head to the ground at the feet
of rGyal-dbang Chos-grags-rgya-mtsho,
not only once, but constantly.

With regard to these [teachings], you say that they contradict
all tantras of the highest class [*niruttara*] such as the six *Guhyasamājatantras*.
Let that person of vast learning, who has read all tantras of the highest class
state again all the quotations and logical arguments about [what] is incompatible with
what.

The one [with] wide eyes of knowledge with regard to the tantras of the highest
class,

namely rJe-btsun-chen-po Grags-pa-rgyal-mtshan,
has cited the quotation of the "*Hundred-Thousand Tantra*" *Treatise*
[as it appears] in the *Establishing the Gnosis [of] Suchness*
without quoting the citation directly from the Tantra section [of the canon].
He has quoted merely a citation appearing in a treatise (*sāstra*)!

With regard to the meaning of that citation
the Lord of Victors [the seventh Karma-pa], has given an explanation.
Ask the impartial ones endowed with discerning [minds]
whether it is applicable to the basic text or not!

The Three-Vow Theories of Two Prominent Karma bKa'-brgyud-pa Masters of the Eighteenth and Nineteenth Centuries

The present chapter will investigate the latest stages of the doctrinal development among the Karma bKa'-brgyud-pa, as reflected in the writings of two outstanding masters from that tradition in the eighteenth and nineteenth centuries who both were based at the Karma bKa'-brgyud monastery of dPal-spungs in Derge. The first, Karma-nges-legs-bstan-'dzin (fl. eighteenth cent.), lived more than two hundred years after Karma-phrin-las-pa. As a religious scholar close to the circle of the Karma-pas, he contributed further to the Karma bKa'-brgyud-pa's interpretation of sGam-po-pa's three-vow doctrines. Even though his theme and topics are very similar to Karma-phrin-las-pa's teaching in his two replies, Karma-nges-legs nevertheless adds a number of interesting aspects, from among which, historically, the most important is certainly his enumeration of the six positions that were held in India and his naming the third Karma-pa Rang-'byung-rdo-rje (1284-1339) as the originator of this particular interpretation of sGam-po-pa's doctrine. Another hundred years or so after him, a second great master, Kong-sprul Blo-gros-mtha'-yas (1813-1899), summarized in one passage of his encyclopedic work *Pervading All Objects of Knowledge* all the three-vow doctrines mentioned in my thesis. His formulation of sGam-po-pa's doctrine of the three vows represents a kind of final synthesis, and it shows strong influences from the Sa-skyapa, 'Bri-gungpa, and rNying-ma-pa teachings before him.

12.1. Biographical Notes on Karma-nges-legs-bstan-'dzin (fl. 1700s)

Not much is known about the life of Karma-nges-legs-bstan-'dzin. Except for two small notes, I was unable to locate any detailed biographical source on him.⁶⁰⁶ From early on his teachers were the thirteenth Karma-pa bDud-'dul-rdo-rje (1733-1797), Si-tu Paṇ-chen Chos-kyi-'byung-gnas (1699/1700-1774), and Kaḥ-thog Rig-'dzin Tshe-dbang-nor-bu (1698-1755). He especially mastered medicine and astrology and is known as a great scholar of Sūtra and both Ancient and New Mantra Traditions.

⁶⁰⁶ The sources for the small notes on Karma-nges-legs-bstan-'dzin are: Byams-pa-phrin-las, *Gangs rdzongs gso rig bstan pa'i nyin byed rim byon gyi mam thar phyogs bsgrigs*, pp. 372-375; and also Karma-rgyal-mtshan, *Kaṁ tshang yab sras dang dpal phungs dgon pa'i lo rgyus ngo mtshar dad pa'i padma rgyas byed*, pp. 233-235.

Karma-nges-legs-bstan-'dzin's exact dates are not known, but his famous astrological work the *rTsis gzhung nyer mkho bum bzang* has been previously dated to the year 1732.⁶⁰⁷ This date, however, contradicts the remark above that the thirteenth Karmapa had been his teacher "from early on," so 1792 is another possible date of composition for that work. Since he furthermore cites in his three-vow treatise his teacher Si-tu Chos-kyi-'byung-gnas (1699/1700-1774), we may safely assume that he flourished in the mid 1700s.

Another famous (though in modern times still unpublished) work of Karma-nges-legs-bstan-'dzin is the medical treatise *dPal ldan rgyud bzhi sogs gso ba rig pa'i bka' bstan man ngag kun gyi gnad bsdu phan bde'i bsil zer 'phro ba'i zla ba gsar pa*, also known as the *sMan bsdu e waṃ*. This work is said to contain an abbreviated autobiography. His third known work is the three-vow treatise, the *sDom gsum nyer mkho'i bum bzang*.

The *Kaṃ tshang lo rgyus* mentions that he died in his thirty-fourth year and that his main (doctrinal?) disciples are mentioned there as being rDo-rje-grags-pa and rTa-mgrin-mgon-po, and with regard to medicine, 'Tsho-byed Tshul-khrims-'od-gsal.⁶⁰⁸

12.2. Karma-nges-legs-bstan-'dzin's Good Vase

The full title of Karma-nges-legs' work is *The Good Vase That is Essential [For] the Detailed Teaching of the Three Vows: A Treatise that Blissfully Embraces the Minds [of Beings] Such as My Own*.⁶⁰⁹ I obtained my manuscript of this rare work from H.H. Chetsang Rinpoche of the Drikung Kagyu Institute, Dehra Dun, India. It is a photocopy of an *dbu med* manuscript, ca. 8.2 x 31 cm. in size,⁶¹⁰ 166 folios long, fols. 1v-2r written five lines per page, otherwise six lines per page. In the colophon, the author gives his name as dPal-spungs dBon-rgan Karma-nges-legs-bstan-'dzin. The text was composed at dPal-spungs Thub-bstan-chos-'khor-gling, near Derge in

⁶⁰⁷ For a detailed description of the work, see Dieter Schuh, *Tibetische Handschriften und Blockdrucke sowie Tonbandaufnahmen tibetischer Erzählungen*, no. 306 and 307, pp. 290-294. Schuh dates this work through indirect evidence. Curiously the colophon of that work—being an astrological treatise—mentions no date of composition. The work was published by T.G. Dhongthog Rinpoche, New Delhi, 1977. This work is based on the *Vaidūrya dkar po* and helped to strengthen the independence of the *mTshur pu* school of astrology (*mtshur lugs*).

⁶⁰⁸ Karma-rgyal-mtshan, *Kaṃ tshang lo rgyus*, p. 235.

⁶⁰⁹ *sDom gsum nam par bstan pa nyer mkho'i bum bzang zhes bya ba rang 'dra'i rgyud la 'tshams par 'khril ba'i bstan bcos*. According to the title of the 1976 edition by Tsondu Senghe, New Delhi: 'khril ba'i = 'brel pa'i.

⁶¹⁰ The physical measurements are those of my photocopy.

Khams. No date is given. The colophon (fol. 165v) states that this composition was of importance for some fellow monks, that the author had been requested emphatically (*nan gyis bskul*) to compose this text by Karma-mtha'-yas, a new disciple from Nang-chen (*nang chen pa blo gсар karma mtha' yas*), and that it was important for the practice of the prince of rGyal-rong, Nang-po Chos-mdzad Kun-dga'-chos-'phel (*rgyal rong rgyal sras nang po chos mdzad kun dga' chos 'phel gyi nyams bzhes su'ang mkho ba*).

Karma-nges-legs' begins his work with a general introduction that concludes with an offering of praise and a promise to write on fol. 4r. In six main sections he then discusses at length the different vows individually, and finally presents the theories of the relations of the three vows:

1. vows of a male or female householder: 4r-9r,
2. vows of a male or female novice: 9r-11r,
3. vows of a fully ordained monk or nun: 11r-107v,
4. bodhisattva vows: 107v-128r,
5. vows of Tantric adepts: 128r-162v,
6. theories of the three vows: 162v-165.

12.2.1. The Introduction in the *Good Vase* (fol. 2r-4r)

Before discussing the main topics of the last section on the vow theories, I would like to begin by presenting some interesting details from the introduction and from the section on householder vows. Karma-nges-legs-bstan-'dzin characterizes the prātimokṣa as the purport of the Hīnayāna-Vinaya if one is concerned solely with oneself (*rang rkang nyid du na*). In particular it is the support (*rtēn*) of both higher vows. If this support is a good one, then the two higher vows are in principle never unsupported (*brten pa med pa ... ma yin*). If prātimokṣa is endowed with the production of the bodhisattva's resolve, a transformation (*gnas 'gyur ba*) into Mahāyāna prātimokṣa is accepted.⁶¹¹ The Hīnayāna has the small burden of one's own purpose alone (*rang don kho na'i khur chung ngu*), while the Mahāyāna has the great burden of both one's own benefit and that of all others (*rang gzhan gnyis ka'i don gyi khur chen po*).

Karma-nges-legs observes that if one understands the term *sdom pa* in connection with the bodhisattva vows only as "avoiding false conduct" (*nyes spyod*

⁶¹¹ This point, too, even though it is made rather in passing, shows a greater agreement with the Sa-skya-pa doctrine as presented, for example, by Go-rams-pa, than with Karma-'phrin-las-pa's and the 'Bri-gung-pa's doctrine that the vow possessor changes, but not the vow. Cf. chapter 5, section 2.1. for Go-rams-pa's explanation of transformation; see also Karma-'phrin-las-pa, *Replies to Ngo-gro* 15-18, and for the 'Bri-gung-pa's doctrine, chapter 14, sections 5 and 6.

spong ba), one misses, regarding the special characteristics of the bodhisattva vows (*byang sdom gyi khyad chos*), the point that solely establishing the benefit of others is of greatest importance (*gzhan phan sgrub pa kho na gtso che ba*). According to the words of his teacher (Si-tu Chos-kyi-'byung-gnas), of the threefold morality—namely "abandoning [morally] wrong behaviour" (*nyes spyod spong ba'i tshul khrims*), "collecting virtuous factors" (*dge ba chos bsdu'd kyi tshul khrims*), and "causing the benefit of sentient beings" (*sems can don byed kyi tshul khrims*)—the first one is (merely) establishing the definition of the Mahāyāna prātimokṣa(?).⁶¹² The Mantra vows are a morality that is, in addition to that (i.e. the two lower vows), endowed with the profound means and insight (*thabs shes zab mos zin pa'i tshul khrims*).

12.2.2. Good Vase Section 1 on the Vows of a Householder (f. 4r-9r)

Earlier, at the time of the Buddha Śākyamuni himself, there existed a ritual for simultaneously conferring ordination (*rab byung*) and full ordination (*bsnyen par rdzogs*). Thereafter, this ritual became known as a "ancient ritual" (*sngon chog*) that cannot be practiced any more. Nowadays there exist four separate rituals for the approximation vow (*upavāsa*), and for (male and female) householders, novices, and fully ordained monks and nuns. The first two are known as "vows that are to be maintained by householders" (*khyim pas bsrung bya'i sdom pa*), and the last two are known as "[vows] of ordained people" (*rab byung gi yin no*). Of these four kinds of vows, the ones of the householder, novice, and fully ordained monk or nun have to be obtained in succession, and thus one speaks of "the three links that are obtained successively" (*tshigs gsum rim nod*).

With regard to the eight approximation vows, the first four (i.e. to abandon killing, stealing, sexual misconduct, and telling lies) are called the "limbs of the morality" (*tshul khrims kyi yan lag*). The next one (i.e. abandoning alcohol) is known as "limb of attentiveness" (*bag yod kyi yan lag*). The last three (i.e. abandoning the afternoon meal, dance, [prohibited] outfits, etc., and broad and high beds) are called "limb of asceticism" (*brtul zhugs kyi yan lag*). In addition, Aśīa taught the "three white foods" (*zas dkar gsum*), i.e. of yoghurt, milk, and butter, and also of drinking water as additional "limbs of attentiveness." These approximation vows must be obtained from a fully ordained monk or, if one is not available, from a novice early in the morning before one has taken any food—however, it is

⁶¹² Fol. 3v: *dang pos ni theg chen so thar zhes pa'i mtshan nyid 'jog byed du 'gyur bar sems so*. Could *chen* be mistaken for *dman*? In that case the definition of Hinayāna prātimokṣa would be the abandoning of morally wrong behaviour while the bodhisattva's practice would require an active role such as the "solely establishing the benefit of others" mentioned above.

permissible⁶¹³ to obtain them from an ordained person on the first occasion and afterwards from oneself. But there are others who maintain that this practice (of obtaining it from oneself) is merely an intermediate virtuous act (*bar ma dge ba tsam*), and thus it is not considered to be a proper vow (*sdom par mi rtsi ba*). The Vaibhāṣikas maintain that one can never obtain these vows by oneself.⁶¹⁴ When these vows are maintained by a householder, they become a ritual of confession (*poṣadha*) for a householder. If one has not received the vows of a householder, it is merely an approximation vow ritual. From novice upwards this practice should not be followed.

Brahmacaryā and *go mi upāsaka* vows are not the vows of householders, but of the people who belong to the category "the ordained" (*rab byung*). Vow categories such as the "holder of the three refuges" (*skyabs gsum 'dzin pa*) are merely vows of a householder and may be obtained from another householder. The vows of a "fully perfected householder" (*yongs rdzogs dge bsnyen*) that are the support for ordination⁶¹⁵ must be obtained from a full monk, thus it is taught in the *Kar ṣika*.⁶¹⁶

12.3. The Three-Vow Theory in Karma-nges-legs' *Good Vase* Section 6

The following discussions are found in section 6 of the '*Bum bzang* where the author gives his theory of the relations of the vows.

Good Vase 6.1. After the rules of all three vows have been explained, the questions arise: How do the three vows remain in the mental stream of consciousness of a single person, and how should one observe them? With the exception of no. 4, all these points are taught as in Karma-'phrin-las-pa's *Replies to Ngo-gro* 12:

⁶¹³ Read *chog* instead of *mchog*.

⁶¹⁴ In an additional note someone (a later reader?) remarked that the Sautrāntikas maintain that one can obtain the vows by and from oneself if one is a householder.

⁶¹⁵ Here the author distinguishes between such vows of a householder that remain just that for one's entire life and other vows of a householder that are going to be utilized as the support for taking novice or full monk vows later.

⁶¹⁶ This is the Karma bKa'-brgyud commentary on the *Abhidharmakośa* by Mi-bksyod-rdo-rje, Karma-pa VIII, *Chos mngon pa'i mdzod kyi 'grel pa rgyas par spros pa grub bde'i dpyid 'jo*. Mi-bksyod-rdo-rje also composed a "*Dul ṣika*." See his '*Dul ba nyi ma'i dkyil 'khor* ('*Dul ba mdo rtsa'i rgya cher 'grel spyi'i don miha' dpyad dang bsduṣ don sa bcad dang 'bru yi don mihaṣ chags su gnyer ba bcas 'dzam bu'i gling gsal bar byed pa'i rgyan nyi ma'i dkyil 'khor*). A detailed commentary on the Vinayasūtra and Buddhist monastic discipline, 4 vols. New Delhi, Eighth Khams-sprul Don-brgyud-nyi-ma (1931-). Vols. 3-4 published by Delhi Karmapae Chodhey Gyalwae Sungrab Partun Khang, New Delhi, not dated.

1. The natures of the vows are different (*ngo bo tha dad*).
2. They are observed as individually entrusted (*so sor chol par bsrung*).
3. In cases of conflict, the higher training is practiced ('preeminence,' *'dom thug 'gal bar byung na gong ma'i bslab pa dang du len*).
4. The moral faults of the lower are outshone through the higher (*skyon kun 'og la zil gyis gnon*).
5. The qualities are possessed "upwardly" (*yon tan yar ldan*).
6. The [demarcations for] losing and obtaining the vows are different (*gtong dang thob pa tha dad*).

Good Vase 6.2. With regard to a threefold possession of the vows, six positions are said to have been held in India.⁶¹⁷

1. Each higher vow has the support of the respective lower (*rten can*).
2. Through obtaining a higher vow, the lower increases (*'phel ba*) and becomes more pure (*dag pa*).
3. A single vow (*sdom pa gcig nyid*) has the outer, inner, and secret aspect of prātimokṣa, bodhisattva, and Mantra vows (*phyi so thar nang byang sdom gsang ba snags [sdom]*).
4. The respective lower is complete within the higher (*gong ma la tshang ba*), and thus [they are] possessed "upwardly" (*yar ldan*).
5. Through obtaining a higher vow, the lower is transformed (*gnas 'gyur ba*), thus they are successive (*rim pa can*).
6. The vows are perfectly complete (*yongs rdzogs*) in an unmixed way (*ma 'dres pa*).

These positions are said to be contained in a text called *Key to Initiation* (*dBang gi lde mig*), which I have been unable to identify and which is also not known to scholars of the living tradition.

Good Vase 6.3. The scholars of Tibet maintain six views that seem to be subsumed to a certain degree within the six positions from the *Key to Initiation* (*dBang gi lde mig*).⁶¹⁸

- (1) According to the master Abhayākara-gupta,⁶¹⁹ the vows are distinct (*rha dad pa*), although they have the same resolution to abandon (*spong sems*). This is compared to an ornament that is worn on the head,

⁶¹⁷ An identical list is also contained in Kong-sprul's *Pervading All Objects of Knowledge* 5.4.2.2.2.1.

⁶¹⁸ I find this remark rather unclear, since I have difficulties linking most of the following opinions to those described above.

⁶¹⁹ For bibliographical and chronological remarks on Abhayākara-gupta, see Bühnemann (1992 : 120-7). According to Dan Martin Abhayākara-gupta is in Bla-ma Zhang's biography of rGwa Lo-tsa-ba an early to mid twelfth century contemporary of sGam-po-pa.

- around the hand, and around the neck.⁶²⁰
- (2) According to Vibhūticandra the earlier vows are outshone (*zil gyis mnan*) by the later ones, like the moon and the stars by the sun, and their natures are different (*ngo bo tha dad*).
 - (3) According to the intention of Grags-pa-rgyal-mtshan's *Removing Errors Regarding the Fundamental Transgressions* and Sa-pan's *Clear Differentiation of the Three Vows*, the vows are transformed and of the same nature (*gnas 'gyur ngo bo gcig*), and they possess different distinctive aspects (*ldog pa tha dad*).⁶²¹
 - (4) According to the dGe-lan-pas the vows are different (*tha dad*), the lower ones are branches of the higher (*'og ma gong ma'i yan lag*), and the higher have the lower for a support (*rtan can*). This is explained in mKhas-grub dGe-legs-dpal-ba's *sDom gsum nam bzhas* as like a brass bowl, the pouring of water in that bowl, and the placing of an *indranīla* jewel in that.⁶²²
 - (5) The description of the Dwags-po bKa'-brgyud-pa system, i.e. the followers of sGam-po-pa's teachings, is almost identical with what is stated above in *Good Vase* 1. It is strange that Karma-nges-legs uses here simply '*dod pa* ("the opinion") instead of its honorific form *bzhed pa*. Below, in *Good Vase* 4, where the teaching of the third Karma-pa is referred to, the text has *bzhed pa*, as one would expect it. On the other hand, in the beginning of this present section Karma-nges-legs uses the honorific form *bzhes pa* for Abhayākara-gupta's system. Perhaps this list has been copied from somewhere else, possibly a 'Bri-gung-pa source, since they follow a view that is very close to Abhayākara-gupta's view.
 - (6) According to the rNying-ma-pas, the distinctive aspects are unmixed (*rang ldog ma 'dres*), the three vows are complete with respect to the

⁶²⁰ The view and example attributed to Abhayākara-gupta seems to have been refined later by the 'Bri-gung-pa 'Jig-rten-mgon-po; see below, chapter 14, section 4.

⁶²¹ This description is more exact than usual, in that it describes this position as their (implicit) intention (*dgongs pa*). Quite often we find the theory of the Sa-skya-pas, i.e. "same nature" and "transformation," etc., directly attributed to the *Removing Errors Regarding the Fundamental Transgressions* and the *Clear Differentiation of the Three Vows*. In the *Clear Differentiation of the Three Vows*, however, these key points are never explicitly mentioned, and in the *Removing Errors* only very briefly and not in these words (on fols. 47v f.). See Karma-'phrin-las-pa's *Replies to Ngo-gro* 3 and chapter 13, pp. 305 ff.

⁶²² See dGe-legs-dpal-bzang-po, *sDom gsum gyi mam par bzhas pa mdo bsdus te gtan la dbab pa'i rab tu byed pa thub bstan rin po che'i byi dor*.

things to be prevented and the purpose (*dgag dgos yongs rdzogs*) etc., as stated in Klong-chen-pa's teachings.⁶²³

Good Vase 6.4. This sub-section teaches the three vows according to the third Karma-pa Rang-byung-rdo-rje (1284-1339). I was unable to locate the Karma-pa's teaching on this subject in the few works of his that are extant. It is interesting, however, that Karma-'phrin-las-pa (*Replies to Ngo-gro* 12) has attributed them earlier, with the exception of the outshining (but including the different demarcations), to sGam-po-pa himself, saying that they are taught "in the Collected Works of Candraprabhākumāra (= sGam-po-pa)," and Kong-sprul, too, attributed them to him in his *Pervading All Objects of Knowledge* 5.4.2.2.2.2.[a], including both outshining and different demarcations.

What is attributed to the third Karma-pa by Karma-nges-legs differs in two ways from Karma-'phrin-las-pa's list in *Replies to Ngo-gro* 12. The first difference is relatively minor, i.e. that the different demarcations are not mentioned here, probably since they are perhaps implicitly included within the topics "different natures" and "observed as entrusted." Secondly, Karma-nges-legs has a separate topic for the outshining of the moral faults of the lower vows, while Karma-'phrin-las-pa had subsumed this point within the teachings on preeminence of the higher vow (*Replies to Ngo-gro* 20) and possessing qualities "upwardly" (21).⁶²⁴ Otherwise their main topics are the same.

Good Vase 6.5. In this section, Karma-nges-legs points to a problem that arises when the vows are taught as having the same nature. He says that if the vows were of the same nature, it would be difficult "to mentally solve" the contradiction between the fundamental prātimokṣa vow that prohibits sexual acts and the Mantra vow of "transforming that very same bliss into the path," or the contradiction between "the substantial vows that are made out of the four elements" (i.e. the prātimokṣa vows), and "the vow that resides in the state of the inseparability of bliss and emptiness" (i.e. the Mantra vows). Any attempt to explain the "same nature" as something that directly contradicts in that way but does not do so in an indirect way would be an overextension (*ha cang thal*) of the term "same nature."

Karma-nges-legs' Good Vase 6.6. When one investigates the term "distinctive

⁶²³ See Klong-chen-pa, *rDzogs pa chen po bsam gtan ngal gso*, fols. 111-127. These are the six topics that were gathered from Klong-chen-pa's teaching by mNga'-ris Paṅ-chen, *Clear Comprehension of the Three Vows*, fol. 19v, and that were later commented upon by Lo-chen Dharma-shrī, on which see chapter 15.

The text also includes a short note added by a later(?) hand: *gong ma thob nas 'og ma gtong bar 'dod pa'ang yid snang ngo* - "It occurs [to me?] that there is also the opinion that the lower [vows] are abandoned after the higher [vows] were obtained."

⁶²⁴ See p. 295 for *Replies to Ngo-gro* 20.

aspects being unmixed" (*rang ldog ma 'dres*) of the rNying-ma-pas, it is like the term "different isolates" (*ldog pa tha dad*).⁶²⁵ But since one is unable to differentiate a nature through these, the same problem as raised in the previous section would apply, namely the difficulty of the same nature. This appears to be the same argument that was applied in Karma-'phrin-las-pa's *Replies to Ngo-gro* 7.

Summary of Karma-nges-legs' Account

Except for a revaluation of the prātimokṣa vows, the system presented here is, in essence, the same as that of Karma-'phrin-las-pa. But instead of attributing this teaching directly to sGam-po-pa, Karma-nges-legs ascribes it to the third Karma-pa.

The statement that the prātimokṣa is the support (*nten*) of the higher vows reveals a remarkable redefinition of the role of prātimokṣa in the context of sGam-po-pa's teachings. For sGam-po-pa, the lower vows may be abandoned when they come into conflict with the higher vows (see, for example, *Work A* 7). For Karma-'phrin-las-pa, too, the lower vows are maintained merely on a conventional level (see *Replies to rGya-ston* 4). The preeminence of the higher vows is mentioned by him in *Replies to Ngo-gro* 20. On the other hand, Karma-nges-legs, too, teaches the preeminence of the higher vows in cases of conflict. But his emphasis is a bit different than Karma-'phrin-las-pa's: While Karma-'phrin-las-pa has no separate topic for the "outshining" of the moral faults of the lower vows, but subsumes this topic under "preeminence of the higher" and "possession of qualities 'upwardly,'" Karma-nges-legs devotes a separate topic to it. This higher valuation of "outshining" was necessary because the prātimokṣa vows are, according to him, now explicitly stated to be the support of the higher vows and are therefore necessary for the continuation of them. This aspect is certainly an innovation with regard to the doctrines of both sGam-po-pa and Karma-'phrin-las-pa.

12.4. Biographical Notes on Kong-sprul Blo-gros-mtha'-yas (1813-1899)

The long life of the second recent dPal-spungs master Kong-sprul has been well documented through an autobiographical work in 210 folios that Dieter Schuh has analyzed in detail in the foreword to his catalogue of Kong-sprul's works.⁶²⁶ Kong-sprul, who was born and first raised in a Bon-po family and received his first

⁶²⁵ Cf. for the use of the term "isolate" (*ldog pa*) in dGe-lugs-pa scolasticism Anne C. Klein (1991: 66 ff.).

⁶²⁶ The title of Kong-sprul's autobiography is: *Phyogs med ris med kyi bstan pa la 'dun shing dge sbyong gi gzugs brnyan 'chang ba blo gros mtha' yas kyi sde'i byung ba brjod pa nor bu sna tshogs mdog can*. See Dieter Schuh, *Tibetische Handschriften und Blockdrucke*. See also E. Smith's important foreword to Kong-sprul Blo-gros-mtha'-yas, *Kongtrul's Encyclopaedia of Indo-Tibetan Culture*.

Buddhist training from rNying-ma-pa masters before he became affiliated with the Karma bKa'-brgyud-pa school and its dPal-spungs monastery, is now probably best known as co-founder of the universalist or ecumenical (*ris med*) movement. In that movement, his main collaborators were 'Jam-dbyangs mKhyen-brtse'i-dbang-po (1820-1892) and gTer-ston mChog-gyur-gling-pa (1833-?). His literary production and his work as a compiler was immense. In this area he is best known for his "five treasures" (*mdzod lnga*)—an œuvre adding up to more than ninety volumes—that comprise the three great compilations *Rin chen gter mdzod*, a collection of treasure teachings (*gter*); *gDams ngag mdzod*, a collection of basic teachings of eight important Tibetan traditions; and the *bKa' brgyud sngags mdzod*, a collection of the teachings of the Mar-pa bKa'-brgyud-pa school; as well as his own *Shes bya kun khyab mdzod* (on which see the section below) and his *bKa' mdzod* or *Thun mong ma yin pa'i mdzod*.⁶²⁷ Apart from his extensive Mantra studies, he also excelled in such areas as Sanskrit and Tibetan grammar, astrology, medicine, and poetics. Without his (and mKhyen-brtse-dbang-po's) great efforts, many Buddhist traditions that were widely scattered and rarely practiced in his time would have been lost forever.

12.5. Kong-sprul's *Pervading All Objects of Knowledge*

The *Pervading All Objects of Knowledge* is one of the five great treasures (*mdzod chen lnga*) of 'Jam-mgon Kong-sprul. He composed it at the request of 'Jam-dbyangs-mkhyen-brtse'i-dbang-po and Karma-nges-don-bstan-pa-rab-rgyas (1808-1864 or 7)⁶²⁸ between periods of meditation in his secluded mountain retreat Kun-bzang-bde-chen-'od-gsal-gling in the first two months of 1862. When the book—which was requested as a teaching of the three vows but eventually contained a full presentation of virtually all aspects of the Buddhist path from the perspective of the three disciplines (*bslab pa gsum*)—was presented to mKhyen-brtse Rinpoche,

⁶²⁷ Together with the collected works of mKhyen-brtse-dbang-po (13 vols.), the *rGyud sde kun btus* (32 vols.), which was a collection of tantras of the New Schools (*gsar ma*) begun by mKhyen-brtse-dbang-po and completed by Blo-gter-dbang-po, and the *sGrub thabs kun btus* (14 vols.), a collection by mKhyen-brtse-dbang-po of mainly *gsar ma pa sādhanas* and minor instructions, the five great treasures of Kong-sprul represent the literary core of the ecumenical (*ris med*) movement.

⁶²⁸ The first Zla-bzang sPrul-sku and founder of the Til-yag monastery in Nang-chen. He was the author of a famous collection of biographies of the Karma-pas: *Chos rje karma pa sku 'phreng rim byon gyi mam thar mdor bsdus dpag bsam khri shing*: Brief Biographies of the Successive Embodiments of the Black Hat Karmapa Lamas. Reproduced from a ms. made from a print of the mTshur-phu blocks by Topden Tsering. Delhi, Tibetan Bonpo Monastic Centre, New Thobgyal (H.P.) 1973.

the latter urged Kong-sprul to compose an autocommentary for it, which he accordingly began in the autumn of 1863 and finished in the spring of the following year with the assistance of Karma bKra-shis-'od-zer (1836-1910), abbot of dPal-spungs, who also acted as the scribe. Kong-sprul thus produced the largest encyclopedic work of Tibetan literature that explicates historically Tibetan Buddhism with all of its schools as well as the indigenous sciences, and that taught systematically all the main teachings of Buddhism.⁶²⁹

12.6. The Three-Vow Theory in Kong-sprul's *Pervading All Objects of Knowledge*

The *Pervading All Objects of Knowledge* is divided into ten chapters. Of these, the first four deal with (1) the structure of the world and (2-4) how the Buddha and his teachings appeared in the world. The middle chapters (5-8) are devoted to: (5) the highest training of the morality (*lhag pa tshul khrims kyi bslab pa*), (6) the stages of learning (*thos pa'i rim pa*), (7) the highest training of insight (*lhag pa'i shes rab kyi bslab pa*), and (8) the highest training of meditative concentration (*lhag pa'i ting nge 'dzin gyi bslab pa*). The topics of the two final chapters are (9) the levels (*sa*, Skt. *bhūmi*) and paths (*lam*, Skt. *marga*) and (10) the ultimate result (*'bras bu*). Within the fifth chapter on the highest training of the morality, Kong-sprul teaches four topics, i.e. (5.1.) the qualities of the teacher and student, (5.2.) the vows of prātimokṣa (*so thar gyi sdom pa*), (5.3.) the training of the bodhisattvas (*byang sems kyi bslab pa*), and (5.4.) the vows of the Tantric adepts (*rig 'dzin gyi sdom pa*). Within the last topic, the vows of the Tantric adepts, he teaches again two topics, namely (5.4.1.) the pledges of Mantra (*sngags sdom pa bshad pa*) and (5.4.2.) the general meaning of the three vows (*sdom gsum spyi don bshad pa*). Of the latter topic, the contents of the first two of the three main sections has been translated in this study. The three main sections are:

5.4.2.1. Numbers such as "possessing one [vow]," etc.

5.4.2.2. Systems [of] possession [of the three vows] for a single person.

5.4.2.3. Conclusion through teaching the benefits of observing [the vows].

⁶²⁹ I have used the three-volume 1982 edition of the *Shes bya kun khyab mzdod*. Besides that there exists Lokesh Chandra's edition, *Kongtrul's Encyclopaedia of Indo-Tibetan Culture*, and a four-volume edition (*e vaṃ māyā*) without colophon. Note also the *Table of Contents*, Thub-bstan-nyi-ma, *Shes bya kun khyab kyi sa bcad*, Si khron mi rigs dpe skrun khang, 1990, though I have always used the typed subject outline of my colleague Mr. Burkhard Quessel.

There exist two translations of sections: *Myriad Worlds, Buddhist Cosmology in Abhidharma, Kālacakra*, and *Dzog-chen*, and *Buddhist Ethics*, both the products of the same translation project. The latter is briefly discussed in the "Previous Research" section of my introduction.

Of these the second section is again divided into the two sub-sections "Teaching Briefly and Explaining in Detail" (5.4.2.2.1-2.), of which the latter has the two parts (5.4.2.2.2.1.) "The Systems of How to Maintain [the Vows] of India," and (5.4.2.2.2.2.) "The Systems of How to Maintain [them] of the Tibetan Masters."

To avoid repeating too many points, here, in the following summary, I shall only refer to some particular remarks made by Kong-sprul Blo-gros-mtha'-yas. The full text with translation of the passage (5.4.2.1-2.), however, is presented below, in section 8 of this chapter.

In his section 5.4.2.2.2.1., Kong-sprul repeats verbatim the quotation from the *Key to Initiation* (*dBang gi lde'u mig*) with the positions held by Indian masters, which had been presented before by Karma-nges-legs in his section 6.2. "From among these," Kong-sprul says, "here in present-day Tibet the most famous appear to be the two, i.e. the great master Abhayākara-gupta (...) and the great scholar Vibhūticandra (...)."

In section 5.4.2.2.2.(a) Kong-sprul teaches the system that "has been taught by the matchless Dwags-po [lHa-rje sGam-po-pa] himself, by his followers, [i.e.] the masters [of] the four major and eight minor [bKa'-brgyud-pa schools],⁶³⁰ and particularly by the seventh [Karma-pa] Chos-grags-rgya-mtsho." Although he refers here in particular to the seventh Karma-pa, he specifically attributes the teachings of "different natures," "observing as entrusted," "preeminence of the higher," "outshining," and "possession of qualities 'upwardly'" to sGam-po-pa himself (*rje sgam po pa'i gsungs las*).

Here a few things are of particular interest. In his basic verses Kong-sprul teaches that the moral faults of the lower vows are "outshone in the manner of possessing qualities 'upwardly.'" This is explained in his auto-commentary in the following way. When one practices the higher training as the preeminent one in case of a conflict between the rules of the vows, the moral faults of the lower vows do not arise, because they are outshone by the higher vow, since that vow is endowed with the special volitional impuls and means. And because there are not only no moral faults and transgressions, but the benefits of the higher vows arise in great measure, the qualities are also possessed "upwardly." Thus what outshines the faults are the higher volitional impulses and the greater means.

In this same section, Kong-sprul makes also another interesting remark. After establishing the vows as different, he says:

Since their aspects are of the same kind with regard to merely the resolution to abandon—as exemplified by the controlling of non-virtue, and their antidote, i.e. the arising of the correct view—the three vows are the same

⁶³⁰ Thus allegedly including 'Jig-ten-mgon-po, founder of the 'Bri-gung bKa'-brgyud-pas, who, however, at least in the *Same Intention*, taught a quite different system.

with regard to the vital point of their antidote.

Thus, even though he maintains a different nature for the vows, Kong-sprul's teaching nevertheless reveals some degree of influence from the teachings of the 'Bri-gung-pa (for which see chapter 14, section 4).

Good Vase 6.1.

<162v> de nas de ltar du bshad pa'i sdom pa gsum po de gang zag gcig gi rgyud
la ji ltar gnas pa dang bsrung ba'i tshul ni ji ltar zhe na bshad pa/

de ltar so thar sdom pa dang //

byang chub sems dang rig pa 'dzin//

rnam gsum gang zag gcig rgyud la//

gnas tshe ngo bo tha dad ste//

srung na'ang so sor chol par bsrung //

'dom thug 'gal bar byung na ni//

gong ma'i bslab pa dang du len//

skyon kun 'og la zil gyis gnon//

de bzhin yon tan yar ldan te//

gtong dang thob pa tha dad to//

zhe bya ste/

Good Vase 6.2.

de'ang sdom gsum gang zag gcig gi rgyud la gnas tshul la mi mtshungs pa'i bshad
pa 'ga' yod de/ 'phags yul du'ang dbang gi lde mig las gsungs pa ltar na/

[1] sngags sdom gyi rten byang sdom dang de'i rten so thar du bshad pa
rten can/

[2] gong ma thob pa na (rjes ma) 'og ma (sngon ma) 'phel <163r> zhing
dag par 'dod pa dang /

[3] sdom pa gcig nyid phyi so thar nang byang sdom gsang ba sngags su
'dod pa dang /

[4] gong ma gong ma la 'og ma 'og ma tshang bar 'dod pa ste yar ldan
dang /

[5] gong ma thob pas 'og ma'ang gnas 'gyur ba ste rim pa can du 'dod pa
dang /

[6] gcig dang gcig ma 'dres pa ste ma 'dres yongs rdzogs su 'dod pa dang
drug go//

12.7. Text and Translation of Karma-nges-legs' *Good Vase*, Section 6

Good Vase 6.1.

<fol. 162v> Then, [a question:] "How do these three vows that have been taught like that remain in the mental stream of consciousness of a single person and what is the way of observing them?" Answer:

Thus the three vows of prātimokṣa,

of the bodhisattvas, and of the Tantric adepts are

- (1) of a different nature when they remain in the mental stream of consciousness of the same person.
- (2) When they are observed, however, they are observed as they were individually entrusted.
- (3) When they appear to conflict [and] contradict, the training of the higher [vow] is practiced [i.e. is 'preeminent'], [and]
- (4) all [moral] faults are outshone [by the higher] with reference to the lower.
- (5) Likewise, [lower] qualities are possessed "upwardly."
- (6) [The demarcations for] losing and obtaining [the vows] are different.

Good Vase 6.2.

Now, there are several dissimilar explanations with regard to how the three vows remain in the mental stream of consciousness of the same person. In India, according to what is taught in the *Key to Initiation* (*dBang gi lde mig*),

- (1) the bodhisattva vows were taught as the support for the Mantra vows, and the prātimokṣa as the support of the latter, [i.e.] "having a support;"
- (2) The opinion that when higher (later) vows are obtained, the lower (earlier) [vows] increase and become more pure; <163r>
- (3) The opinion that one and the same vow is [in its] outer [aspect] the prātimokṣa [vows], in its inner [aspect] the bodhisattva [vows], and in its secret [aspect] the Mantra [vows];
- (4) The opinion that the respective lower [vows] are completely [included] within the respective higher ones, [i.e. that the vows were] possessed "upwardly;"
- (5) The opinion that by obtaining a higher [vow] the lower ones, in turn, were transformed, [i.e.] that they are successive;
- (6) The opinion that one [vow] is not mixed with another, [i.e.] that they are perfectly complete [in an] unmixed [way].

Good Vase 6.3.

deng sang bod 'dir grangs che ba ni

- [1] *slob dpon a bhyā ka ras gser gyi rgyan gser yin par rigs gcig kyang mgo
rgyan dang rkang rgyan dang lag gdub du tha dad pa bzhin du/ sdom pa
gsum spong sems su gcig kyang gsum du tha dad par bzhed pa dang /*
- [2] *pañ chen bi bhu ti tsañdra ni skar ma zla ba nyi ma gsum bzhin phyi mas
snga ma zil gyis mnan nas bag la zha bar 'gyur ba dang / ngo bo tha dad
par 'dod pa dang /*
- [3] *dpal ldan sa skya pa ni rtsa ltung 'khrul spong dang sdom gsum rab dbye'i
dgongs pa 'grel nas sdom gsum gnas 'gyur ngo bo gcig ldog pa tha dad par
'dod pa dang /*
- [4] *ri bo dge ldan pa ni mkhas grub dge legs dpal ba'i sdom gsum nmam bzhag
du li lder dang / der chu blug pa dang / der indra nī la bzhag pa bzhin du
tha dad dang 'og ma gong ma'i yan lag dang rten can du 'dod pa dang /*
- [5] *dwags po bka' brgyud pa mams ni rje sgam po pa'i gsung las/
ngo ba tha dad dang / srung na chol par srung / 'dom <163v> thug
na gong ma gtso/ 'og ma'i skyon zil gyis gnon/ yon tan yar ldan yin
zhes 'byung ba ltar 'dod pa dang /*
- [6] *gsang sngags mying ma pa mams rje klong chen pa'i gsung las/ rang ldog
ma 'dres/ dgag dgos yongs rdzogs sogs 'dod pa mams te (gong ma thob nas
'og ma gtong bar 'dod pa'ang yid snang ngo)*
- de'i 'dod pa phyi ma drug po yang snga ma drug tu ci rigs tshang yod pa 'dra'o//*

Good Vase 6.3.

Nowadays a majority [of scholars] here in Tibet [follow one of these six opinions]:

- (1) The opinion⁶³¹ of the master Abhayākara Gupta, that the three vows are distinct as three, although they are the same with regard to their resolution to abandon, just as head ornaments, anklets, and bracelets are distinct as three, even though they are the same in their being golden ornaments;
- (2) The opinion of the great scholar Vibhūticandra that the earlier ones are outshone by the later and become dormant, like the [light of] stars, the moon, and the sun, and that [the vows] are of a different nature;
- (3) The opinion of the glorious Sa-skyapa [tradition] that explains the intention of the *Removing Errors Regarding the Fundamental Transgressions* and *Clear Differentiation of the Three Vows* [as teaching] that the three vows are transformed, of the same nature, and possess different distinctive aspects;
- (4) The opinion [of] the Ri-bo dGe-lan-pa [tradition] that [the three vows] are different, that the lower ones are branches of the higher ones, and that the [higher ones] have [the lower ones] for a support, as explained in mKhas-grub dGe-legs-dpal-ba's *sDom gsum mam bzhaḡ* as like a brass bowl, the pouring of water in that [bowl], and the placing of an *indranīla* jewel in that [water-filled bowl];
- (5) The opinion [of] the Dwags-po bKa'-brgyud-pas who follow what is stated in rJe sGam-po-pa's teachings:
 - (1) Different nature; (2) when observed, observed as entrusted; (3) when conflicting, the higher is the preeminent one; (4) the outshining of the [moral] faults of the lower; (5) possessing qualities "upwardly;"
- (6) And the opinion [of] the Ancient Tantra Followers that the distinctive aspects are unmixed, that [the vows] are complete [with respect to] the things to be prevented and the purpose etc., [as stated] in Klong-chen-pa's teachings.

These latter six views, too, seem to be subsumed to a certain degree within the former six.

⁶³¹ The text has *bzhed pa*, but this is not what Karma-nges-legs accepts as his own tradition (*rang lugs*).

Good Vase 6.4.

de dag las mi 'thad pa'i nram pa gzhan lugs su bzhang pa dang / yid yul du bab pa rang lugs su bzhang pa ni gang zhe na gzhan du sna tshogs yod mod/ 'dir karma pa rang byung misho skyes rdo rje'i zhal snga nas gsungs pa ltar nram par bzhang pa la/

- (1) *sdom pa gsum gyi so sor thar pa theg dman gyi sdom pa nyid dang byang sdom nyid dang sngags sdom gsum gyi len yul dus bsam pa rten sogs mi gcig pas tha dad pa dang /*
- (2) *so so nas bshad pa bzhiñ tshang bar bsrung bas so sor chol par bsrung ces pa dang/*
- (3) *'dom thug ces byang sdom bsrung ba'i phyir snying rjes ma byin len dgos pa dang blangs na so thar nyams par 'gyur ba gnyis thug na gong ma byang sdom gtso che ba sogs mang po shes pa dang /*
- (4) *de'i phyir na de dag 'og ma'i skyon zil gyis non pa yin pa dang*
- (5) *yon tan yar ldan yang yin no//*

Good Vase 6.5.

<164r> 'di mams la cung zad dpyad na/ sdom gsum ngo bo gcig ces pa ni cung zad bshad mi bde ste dper na dmigs gcig la bkar na/ nad med kyi nor bus bud med kyi rma'i kha shun las 'das par rol zhing de'i bde ba myangs pa tsam gyis sdom pa gtan nas nyams par gyur pa'i sdom pa de dang / de'i bde ba de nyid lam du byed pa'i sdom pa de gnyis ngo bo gcig pa dang / 'byung ba chen po bzhi dag rgyu byas pa'i gzugs can gyi sdom pa zhig dang /
bde stong gnyis su med pa'i ngor bzhugs pa'i sdom pa gnyis gcig tu 'gyur ba sogs blos gzhig mi bde ba mang ngo//

dnogs su de ltar na'ang brgyud pa'i tshul gyis don 'gal med pas gcig zer na ni ha cang thal lo//

Good Vase 6.4.

[Query:] "From among these, which is the incorrect part that you establish as the "tradition of others" and what is it that seems correct to you and that you establish as your 'own tradition'?" [Answer:] There exist various [differing opinions] elsewhere. But here, to establish [the matter] as taught by the third Karma-pa Rang-byung-mtsho-skyes-rdo-rje (1284-1339),

(1) since the "object" [i.e. person from whom the vows are] taken, the volitional impulse, the "support" [i.e. the person receiving the vows] etc. of the prātimokṣa vows of the Hīnayāna itself, of the bodhisattva vows themselves, and of the Mantra vows of the three vows are not the same, [the three vows] are different.

(2) Since [they are] observed wholly as they were taught in each [vow system], they are observed as individually entrusted.

(3) By "conflicting" there are many [points] understood such as "preeminence of the higher bodhisattva vows" if two things occur together: the need to steal [something] through compassion in order to observe the bodhisattva vows and the damaging of the prātimokṣa, if one takes [that thing].

(4) And because of that they [i.e. the higher vows] outshine the [moral] faults of the lower [vows],

(5) and also the qualities are possessed "upwardly." <164r>

Good Vase 6.5.

If we investigate these [systems of others] a bit, it is a bit uncomfortable to explain what [the Sa-skya-pas and rNying-ma-pas] call "three vows [having] the same nature." For example, if we apply this to one case, there are many points that are difficult to be mentally solved, such as the same nature of [on the one hand] the vow that is completely destroyed by the mere enjoyment of the pleasure of the healthy functioning penis that has pushed its way beyond the lips of the vulva of a woman and experiences its bliss, and [on the other hand] the vow of transforming that very same bliss into the path; or [furthermore] the oneness of on the one hand the substantial vows that are made out of the four elements, and on the other hand the vow that resides in the state of the inseparability of bliss and emptiness.

[Objection:] "Even though [they are] directly like that [i.e. contradicting], they are the same [nature] because they indirectly do not conflict." [Reply:] That would be an overextension [of that term].

Good Vase 6.6.

*rnying ma pa'i rang ldog ma 'dres zhes pa ni ldog pa tha dad zer rgyu yin 'dra bas
ldog pa tha dad pa tsam gyis ni ngo bo dbye mi nus pas gong bshad nyid do// rang
ldog ma 'dres zhes pa'i ma 'dres zer ba la brtags na ngo bo tha dad du'ang bzhed
'dra'o//*

Good Vase 6.7.

*rten can du'ang bshad dka' ste khyab che chung dus rten sogs dpe dang dpe can mi
mishungs pa sogs du ma zhig bshad du dran kyang mi spro'o//*

Good Vase 6.6.

With regard to the so-called "distinctive aspects being unmixed" of the rNying-ma-pas, this seems to be like what is called "different isolates," and hence, since one is not able to differentiate [separate] natures through the mere difference of isolates, it is just as I have already explained above.⁶³² If one investigates the term "unmixed" of the expression "distinctive aspects being unmixed," it is like maintaining [the vows] as having different natures, too, [and thus it would be incompatible with "same nature"].

Good Vase 6.7.

Also [to teach the three vows] as possessing a support [as the dGe-lan-pas do] is difficult to expound. Even though it occurred to me to explain⁶³³ a number of problems [with this interpretation] such as over and underpervasion and, with regard to such things as time and support, that the example and the thing exemplified do not coincide, [here] I shall not go into the matter in detail.

⁶³² The same point is made in *Replies to Ngo-gro 7*.

⁶³³ The text has *su*, but I read *du*. If one reads *su*, it would mean "who does remember?"

Pervading All Objects of Knowledge 5.4.2.

<199> gnyis pa sdom gsum gyi spyi don bshad pa la gsum/
 gcig ldan la sogs pa'i grangs/
 gang zag gcig la ldan lugs/
 bsrungs pa'i phan yon smos pas mjug sdu ba'o/

Pervading All Objects of Knowledge 5.4.2.1.

dang po ni/

sdom pa gsum po gcig gnyis gsum ldan sogs/ dbyer yod/

ces pa ste/ de la gcig ldan ni theg dman so thar rgyud ldan gyi gang zag ste 'di
 la so thar tsam ldan gyi gong ma gnyis mi ldan pa'i phyir/ nyis ldan ni/ byang sdom
 dang ldan pa ste theg chen so thar dang byang sdom gnyis rdzas gcig par ldan pa'i
 phyir/ sum ldan gyi dbang du byas pa ni sngags sdom can te sngags sdom yin na so
 byang sdom ldan yin pa zhig dgos pa'i phyir/

de 'ang dge slong rdo rje 'dzin pa'i rgyud kyi sdom pa gsum po ngo bo gcig la
 ldog pa'i sgo nas phye ba ste/ de'i rgyud kyi nges par 'byung ba'i tshul khirms de/
 gzhan gnod gzhir bcas spong ba'i cha nas so thar sdom/ gzhan phan bsam pas zin
 pa'i cha nas byang sdom/ 'bras bu lam byed kyi thabs kyis zin pa'i cha nas sngags
 sdom du bzhag pa'i phyir/ sogs khongs nas sdom pa gsum rim par zhugs pa dang
 gong ma gnyis gang rung thog mar zhus nas slar so thar gyi sdom pa zhu ba sogs
 kyi ldan tshul dbye ba mang du 'gyur ro/ /

12.8. Text and Translation of Kong-sprul's *Pervading All Objects of Knowledge*

Pervading All Objects of Knowledge 5.4.2.

The second. The explanation of the general meaning of the three vows has three [sections].

5.4.2.1. Numbers such as "possessing one," etc.

5.4.2.2. Systems [of] possession [of the three vows] for a single person.

5.4.2.3. Conclusion through teaching the benefits of observing [the vows].⁶³⁴

Pervading All Objects of Knowledge 5.4.2.1.

The first:

The three vows have the differentiations such as [into] single, twofold, and threefold possession.⁶³⁵

Of these, single possession is [by] a person who possesses the mental stream of consciousness of [a] Hīnayāna-prātimokṣa [type], because he possesses merely the prātimokṣa, but not the two higher [vows]. Twofold possession is [by] a possession of the bodhisattva vow, because he possesses both the Mahāyāna prātimokṣa and the bodhisattva vows as a single entity. Threefold possession is a possession of Mantra vows, because one must be someone who possesses the prātimokṣa and bodhisattva [vows, too], if [his highest vows] are the Mantra vows.

Furthermore, the three vows of the mental stream of consciousness of a Tantric adept monk are of the same nature, but they are differentiated through [their] aspects, because the morality of renunciation of his mental stream of consciousness is maintained as the prātimokṣa vows through the abandoning of harm to others together with its [mental] base, it is maintained as the bodhisattva vows through being endowed with the resolution to benefit others, and it is maintained as the Mantra vows through being endowed with the means of taking the fruit as the path. There will be many differentiations among the ways of possessing [the three vows], such as successively entering the three vows, and having received any suitable one of the two higher [vows] first, receiving the prātimokṣa vows again, etc., mentioned within [the passage] above.

⁶³⁴ This third point is not included in my translation.

⁶³⁵ Lines in bold are quotes from the basic verses of the *Shes bya mdzod*, i, 54 f. They also appear in bold letters in my presentation of the Tibetan text.

Pervading All Objects of Knowledge 5.4.2.2.

gnyis pa la gnyis/ mdor bstan/ rgyas bshad do/ dang po ni/

gang zag gcig la sum ldan tshe/ gnas tshul 'phags bod gnyis su bzhed srol mang /

gang zag gcig gis so byang sngags kyi sdom pa rim can du zhus nas rgyud la gsum ka ldan pa'i tshe/ gnas tshul ji ltar ldan zhe na/

'di la 'phags bod gnyis su bzhed srol mi mthun pa mang du byung ngo / zhes so/ /

gnyis pa la gnyis/ 'phags yul ba'i bzhed srol/ bod kyi slob dpon nmams kyi bzhed srol lo/

Pervading All Objects of Knowledge 5.4.2.2.2.1.

dang po ni/

'jigs med zhabs kyis spong sems rigs mthun kyang / rnam pa tha dad pan chen bi bhu tis/ gong mas 'og ma zil gnong tha dad bzhed/

spyir 'phags yul du'ang dbang gi lde'u mig las gsungs pa ltar na/

[1] sngags sdom gyi rten byang sdom dang de'i rten so thar du bshad pa rten can dang /

[2] gong ma thob pa na 'og ma 'phel zhing dag par 'dod pa dang /

[3] sdom pa gcig nyid phyi so thar/ nang byang sdom/ gsang ba sngags su 'dod pa dang /

[4] gong ma gong ma la 'og ma 'og ma tshang bar 'dod pa ste/ yar ldan dang /

[5] gong ma thob pas 'og ma'ang gnas 'gyur ba ste/ rim pa can du 'dod pa dang /

[6] gcig dang gcig ma 'dres pa ste ma 'dres yongs rdzogs su 'dod pa dang drug yod par bshad do/

Pervading All Objects of Knowledge 5.4.2.2.

The second topic has two [sub-sections]: (5.4.2.2.1.) "Brief Summary" and (5.4.2.2.2.) "Detailed Explanation." The first.

When a single person possesses three [vows], in both India and Tibet there have been many opinions about how [the vows] exist.

[Question:] When a single person possesses all three [vows] in his mental stream of consciousness after having successively received the prātimokṣa, bodhisattva, and Mantra vows, how does he possess [their modes] of existence?

[Answer:] With regard to this, there came to be many dissimilar opinions in both India and Tibet.

The second topic (5.4.2.2.2.) has two [sub-sections]: (5.4.2.2.2.1.) "The Opinions of Indians," and (5.4.2.2.2.2.) "The Opinions of Tibetan Masters."

Pervading All Objects of Knowledge 5.4.2.2.2.1.

The first sub-section.

Abhayākara-gupta maintained that even though the resolution to abandon [of the three vows] is of the same kind, [the vows] have different aspects (*rnam pa*). Paṇ-chen Vibhūticandra maintained that the higher outshine the lower [and that they are] different.

Generally, according to what is taught in the *Key to Initiation* (*dBang gi lde'u mig*), it is explained that there were six [traditions] also in India:⁶³⁶

- (1) the bodhisattva vows were taught as the support for the Mantra vows, and the prātimokṣa as the support of the latter, [i.e.] "having a support;"
- (2) The opinion that when higher vows are obtained, the lower [vows] increase and become more pure;
- (3) The opinion that one and the same vow is [in its] outer [aspect] the prātimokṣa [vows], the inner [aspect] the bodhisattva [vows], and the secret [aspect] the Mantra [vows];
- (4) The opinion that the respective lower [vows] are completely [included] within the respective higher ones, [i.e. that they were] possessed "upwardly;"
- (5) The opinion that by obtaining a higher [vow] the lower ones, in turn, were transformed, [i.e.] that they are successive;
- (6) The opinion that one [vow] is not mixed with another, [i.e.] that they are perfectly complete [in an] unmixed [way].

⁶³⁶ This quote is exactly identical with Karma-nges-legs' citation. See Karma-nges-legs, *Good Vase* 6.2.

de las deng sang bod 'dir grags che ba ni slob dpon chen po 'jigs med zhabs kyis/ gser gyi rgyan gser yin par rigs gcig kyang mgo rgyan dang rkang rgyan lag gdub tu tha dad pa bzhin du/ sdom gsum spong ba'i sems par rigs su mthun kyang gsum po nam pa tha dad par bzhed pa dang / pañ chen bi bhu ti tsandras sdom gsum <201> 'od phreng du sdom pa gsum skar ma zla ba nyi ma'i dpes gong mas 'og ma ste phyi rim gyis nga ma zil gyis mnan nas bag la zha bar 'gyur yang ngo bo tha dad du bzhed pa gnyis snang ngo / /

Pervading All Objects of Knowledge 5.4.2.2.2.2.(a)

gnyis pa ni/

[1] ngo bo tha dad [2] bsrung na 'chol par gsungs/ [3] 'dom thug gong ma gtso zhing [4] 'og ma yi/ skyon kun zil gnon [5] yon tan yar ldan tshul/ [6] gtong dang thob pa'i sa mtshams tha dad ces/ mnyam med dwags po rjes 'brangs bcas pas bzhed/

rje sgam po pa'i gsungs las/

[1] ngo bo tha dad/ [2] bsrung na 'chol par bsrung / [3] 'dom thug na gong ma gtso/ [4] 'og ma'i skyon zil gyis gnon/ [5] yon tan yar ldan yin ces gsungs te/

[1] dang po ni/ sdom gsum gang las byung ba'i sde snod/ len pa'i yul dang dus tshod/ bsam pa cho ga bsrung bya gtong rgyu phan yon nyams pa gsor yod med sogs tha dad pas ngo bo tha dad/ nam pa mi dge ba sdom zhing gnyen po yang dag lta ba skye pas mtshon spong sems tsam du rigs gcig pas sdom gsum gnyen po'i gnad la gcig

[2] spang gnyen gyi yul gang dang phrad pa de dang der rang rang gi lugs bzhin bsrung dgos pas bsrung na 'chol bar bsrung/

From among these here in present-day Tibet the most famous appear to be the two: (1) the great master Abhayākara-gupta's opinion that even though the three vows are, with regard to their resolution to abandon, of a similar kind, they have different aspects (*rnam pa*), as a gold ornament is different as an ornament of the head, of the feet, and of the hands, even though it is of the same kind in being gold; and (2) the great scholar Vibhūticandra's opinion expressed in the *Garland of Rays* that the earlier [vows], being outshone by the respective later ones, are dormant, and also that [they] are of different natures, using [for] the three vows the examples of the stars, moon, and sun.

Pervading All Objects of Knowledge 5.4.2.2.2.2.(a)

The second topic.

The matchless Dwagsh-po [rHa-rje sGam-po-pa] and his followers maintain that

- (1) [the vows] are of a different nature,
- (2) if observed, they are observed as entrusted,⁶³⁷
- (3) in cases of conflict, the higher is the most important ['preeminence'],
- (4) all [moral] faults of the lower [vows] are outshone in the manner of
- (5) possessing qualities "upwardly," and
- (6) [the demarcations of] losing and obtaining [the vows] are different.

In the teachings of rJe sGam-po-pa it is said:

- (1) the natures [are] different, (2) if observed, [they should be] observed as entrusted, (3) in cases of conflict, the higher is the most important, (4) [moral] faults of the lower [vows] are outshone, (5) [and] the qualities are possessed "upwardly."

(1) [Different Natures]. Because the sections of the canon from which the three vows arise, the object [i.e. person] from which they are taken, their duration, their volitional impulse, ritual, things to observe, causes for loss, benefits, whether or not damage can be repaired, etc., are different, the nature [of the vows] is different. Since their aspects are of the same kind with regard to merely the resolution to abandon—as exemplified by the controlling of non-virtue, and their antidote, i.e. the arising of the correct view—the three vows are the same with regard to the vital point of their antidote.

(2) [Observed as Entrusted]. Because it is necessary to observe the respective objects [to be] abandoned and antidotes [to be practiced] according to the respective systems, if they are observed, they are observed as entrusted.

⁶³⁷ Read *bsrung* according to the basic verse.

[3] *tshogs kyi dam rdzas lta bu ma brien na sngags dang 'gal la brien na so thar dang 'gal ba lta bu 'dom thug na gong ma gtsor spyad/*

[4] *de liar na'ang bsam pa dang thabs khyad par can gyis zin pas so thar gyi bcas 'das nyes pa mi 'byung bas 'og ma'i skyon zil gyis gnon/*

[5] *nyes lung med pa tsam du ma zad gong ma'i phan yon rgya chen po 'byung bas yon tan yar ldan yin*

ces mnyam med dwags po nyid dang rjes 'brang zung bzhi ya brgyad kyi mkhas grub mams dang / khyad par rgyal dbang thams cad mkhyen pa bdun pa chos grags rgya mtsho'i zhal snga nas gsungs so/

Pervading All Objects of Knowledge 5.4.2.2.2.(b)

rje btsun grags pa kun mkhyen klong chen sogs/ [1] rang ldog ma <202> 'dres [2] dgag dgos yongs su rdzogs/ [3] ngo bo gnas 'gyur [4] yon tan yar ldan pas/ [5] gnad kyis mi 'gal [6] dus skabs gtsor spyad gsungs/

sa skya'i rje btsun grags pa rgyal mtshan khu dbon/ kun mkhyen klong chen rab 'byams/ sogs khongs nas lo chen rin bzang / rong zom chos bzang rjes 'brang dang bcas pa mams ni

[1] *sdom pa gsum blangs pa'i yul sogs tha dad pas rang rang gi ldog cha ma 'dres/ dgag bya nyon mongs pa rang mtshan pa spong ba dang /*

[2] *dgos pa nyon mongs pas mi 'ching ba gnad gcig pas dgag dgos yongs rdzogs/*

[3] *zangs rdo las zangs dang de'ang gser 'gyur gyi rtsis gser du bsgyur ba'i dpes so thar sdом pa de theg chen sems bskyed kyis zin na de'i sdом pa dang / de'ang thabs shes khyad par can gyis zin pa na sngags sdом du gnas 'gyur bas ngo bo gnas 'gyur/*

(3) [The Higher is the Preeminent.] If there arises a conflict such as incompatibility with the Mantra if one does not rely on such things as the substances of the pledges (*samaya*) of the Tantric feast (*gaṇacakra*), and incompatibility with the *prātimokṣa* if one does, one mainly practices the higher.

(4) [Outshining Faults]. Since in that way, however, the [moral] faults of going beyond the rules of *prātimokṣa* do not arise because one is endowed with the special volitionla impulse and means, the [moral] faults of the lower [vows] are outshone.

(5) [Possessing Qualities "Upwardly"]. Because there are not only no [moral] faults and transgressions, but the benefits of the higher [vows] arise in great measure, the qualities are possessed "upwardly."

Thus it has been taught by the matchless Dwags-po [IHa-rje sGam-po-pa] himself, by his followers, [i.e.] the masters [of] the four major and eight minor [schools], and particularly by the seventh [Karma-pa] Chos-grags-rgya-mtsho.

Pervading All Objects of Knowledge 5.4.2.2.2.2.(b)

rJe-btsun Grags-pa-[rgyal-mtshan], Kun-mkhyen Klong-chen-[rab-'byams-pa], and others, taught that [the three vows] are (1) unmixed [with respect to their] distinctive aspects, (2) [their practice] is perfectly complete [through that which is to be] prevented [and through] the purpose, (3) the nature is transformed [and] (4) the qualities are possessed "upwardly." Therefore (5) [they] are not incompatible through the vital points, [and] (6) what is preeminent is practiced according to the occasion.

Lo-chen Rin-chen-bzang-po, Rong-zom Chos-kyi-bzang-po together with their followers, [including the master] rJe-btsun Grags-pa-rgyal-mtshan, uncle and nephew [i.e. Sa-pan] of Sa-skya, Kun-mkhyen Klong-chen-rab-'byams-pa, and others, taught:

(1) The three vows are unmixed [with respect to their] respective distinctive aspects, because the objects [i.e. persons] from which the three vows are taken, etc., are different.

(2) [Their practice] is perfectly complete [through that which is to be] prevented [and through] the purpose, because that which is to be prevented, i.e. the concrete defilement is abandoned, and the purpose, i.e. not to be bound by the defilements, is the same vital point.

(3) Their nature transforms, because as shown through the example of copper coming from the copper ore and again its transformation into gold through the elixir that turns [metals] into gold, if the *prātimokṣa* vow is endowed with the production of [the bodhisattva's] resolve, it transforms into that [bodhisattva] vow, and when that, again, is endowed with special means and insight, it is transformed into the Mantra vows.

[4-6] gong ma'i sdom pa nyams su blangs pas 'og ma'i dgag 'os dgag dgos yar
 ldan du rdzogs pa'i phyir yon tan yar ldan yin pas bsrung sdom kha cig 'gal ba ltar
 snang yang lia ba dang thabs kyi spyod pa'i gnad kyis mi 'gal la/ dus skabs kyis gtso
 bor gang 'gyur spyad par gsungs pa dang / pan chen padma dbang rgyal gyis/
 sdig to mi dge'i phyogs dang tshogs pa'i gseb/
 'og ma gtsor sbyong 'dod pas dben pa dang /
 spyod pa'i dus dang dben par gsang sngags spyad/
 nang ma 'dom na ma 'dres yongs rdzogs bzung /
 'dom na dgag dgos brtsi zhes mkhas nams bzhed/
 ces gsungs so/

Pervading All Objects of Knowledge 5.4.2.2.2.(c)

dge ldan pa nams tha dad rten can te/ /so sor lung dang rigs pa'i sgrub byed
 mang /

ri bo dge ldan pa ni thob tshul gtong rgyu so sor nges pas sdom gsum tshul tha
 dad/ 'og ma gong ma'i yan lag tu 'gyur ba'i dper/ snod shin tu dwags par chu
 gtsang blug pa'i nang du rin po che indra nih la lta bu bcug na chu mdog kyang rin
 po che'i kha dog tu <203> 'gyur bas mtshon pas gong ma 'og ma'i rten can
 du'ang don gyis bzhed pa ste/ lugs de dag so so nas lung dang rigs pa'i sgrub byed
 mang du mdzad par snang ngo //

(5) Although some vows appear to conflict, there is no contradiction through the vital point of the practice of [correct] view and means, and

(6) what is preeminent is practiced according to the occasion, because

(4) the qualities are possessed "upwardly," since through the practice of the higher vow that which is suitable and necessary to be prevented in the lower [vow] is perfected "upwardly." And [mNga'-ris] Paṇ-chen Padma-dbang-rgyal taught:⁶³⁸

One follows as preeminent the lower [vows with regard to] sins, i.e. non-virtue,

and amidst an assembly. [If] one is free from desire, and

at the time of [Tantric] practice, and in solitude, one practices Mantra.

Wise men hold that when [the vows] do not internally conflict, one observes them unmixed and perfectly complete [according to each system], and if they conflict, to consider [that which is to be] prevented [and] purpose.

Pervading All Objects of Knowledge 5.4.2.2.2.(c)

The dGe-lدان-pas [maintain the three vows to be] different and with support. The respective quotations and logical proofs are many.

The Ri-bo dGe-lدان-pas maintain that the ways of the three vows are different, since the ways of obtaining and the causes for losing them are ascertained as different. Through their example for the lower [vows] becoming a branch of the higher, namely that the colour of the water, too, turns into the colour of jewel if one places an *indranila* jewel in a very clean container in which pure water has been filled, they maintain through that example in fact (*don gyis*) also that the higher has the support of the lower. One can see that many quotations and logical proofs have been given [for] all those respective traditions.

⁶³⁸ See also the rNying-ma-pa section of this book, chapter 15.

The Development of the Key Three-Vow Concepts in the Karma bKa'-brgyud-pa Tradition

From the historical perspective we have gained through the foregoing investigations, certain preliminary conclusions can be drawn. For instance, it appears that sGam-po-pa's *Work A* was an authentic teaching of sGam-po-pa, though with some minor later accretions. Furthermore the subsequent teachings by the three great masters of the Karma bKa'-brgyud-pa tradition, namely Karma-'phrin-las-pa, Karma-nges-legs, and Kong-sprul, represent a progressive systematization of the teachings and delimitation of their own doctrine from those of other traditions. In the following pages I will try to describe this process more precisely by chronologically tracing the different concepts such as "same and different nature," "preeminence of the higher vows," and "possession [of qualities] 'upwardly.'"

In the beginning of chapter 9,⁶³⁹ summing up my previous remarks on the state of sGam-po-pa's collected works, I said that we cannot be certain who actually authored and compiled the texts in the *Tshogs chos bkra shis phun tshogs* such as our *Work A* of sGam-po-pa's collected works. Indeed we have found some evidence⁶⁴⁰ that the teachings to the assembly (*tshogs chos*) and replies (*zhus lan*) sections of the collected works contain a number of later insertions, as was also noticed long ago by lCang-skya Rol-pa'i-rdo-rje (see p. 180). After reading through the material that is contained in sGam-po-pa's collected works, and having analyzed *Work A*, however, one should add that there is also a lot of agreement in tone, vocabulary, contents, and style, as one would indeed expect from a corpus of teachings of a conservative tradition. But even where the tone, vocabulary, etc., is not uniform, it does not necessarily mean that there was another author at work, since, of course, sGam-po-pa himself has adopted different styles in his teachings in accordance with his topics, the aptitude of his disciples, and his own maturity as a teacher. The only way to be able to determine with some degree of certainty whether any given text was authored by sGam-po-pa himself would be to become first an expert in the writings of that and the following period. But we are far from such a possibility, not least because we lack proper editions of the collected works of the majority of key authors of that time (even though 12th century bKa'-bum material like the writings of Phag-mo-gru-pa and Bla-ma Zhang is becoming more and more available now, for example through the efforts of the NGMPP). At present we have to confine ourselves to our immediate topic: the three-vow theories. And here, the only line of guidance that I can follow is this: The more simple the presentation is, the more likely it is, that it belonged to the early phase of doctrinal formulations on the three

⁶³⁹ Section 3.1, pp. 184 ff.

⁶⁴⁰ Described in chapter 9, section 2, pp. 178 ff.

vows in Tibet, i.e. perhaps the eleventh century; with a higher degree of subtleness or fine-tuning in presenting its theory it becomes more and more likely that the given work has been formulated after the twelfth or even thirteenth centuries. After that, we can generally determine at least a relative date on the basis of the other theories mentioned in the text.

The first thing that one notices when one analyzes sGam-po-pa's *Work A* is its plainness and simplicity. Hardly more than two or three main thoughts are presented there, and if one does not allow oneself to be deceived by some (probably later) attempts to structure and justify, they are also expressed in a self-confident and straightforward manner, as with sGam-po-pa's style in so many instructions recorded elsewhere in his collected works. Apart from the problematic quote from the *Vajra-Peak Tantra* (or at least its last two lines), and apart from confusingly different uses of the term "nature" (*ngo bo*) in the text, and also apart from some (or perhaps all?) subject headings, the text appears to me to be quite homogeneous. The main ideas expressed here are (1) that the vows are, when analyzed on the basis of their "duration", "support," etc., of different natures, but when possessed together, they nevertheless exist as an admixture, (2) that the higher vows are so much more powerful than the lower ones that the latter may even be abandoned in cases of conflict, (3) and that their strength lies in the higher practitioner's superior intention, means, and insight (*prajñā*). Especially the reference to insight (implicit in section A 9 and explicit in A 10) as an important factor for the vows appears to be a typical and unique feature of sGam-po-pa's teachings.

It is quite astonishing that the later masters have paid no regard to the "weighing up" of conflicts between the vows through insight as taught by sGam-po-pa. Other aspects of sGam-po-pa's teachings on the three vows, however, appear to have been taken in and, in some cases, have even been developed further to some extent. These developments, however, deserve a more systematic chronological presentation. Let us therefore turn to the description of the different concepts that were presented by sGam-po-pa and his followers, and investigate in which ways these concepts were developed or newly introduced in the course of time.

The Nature of the Vows

In sGam-po-pa's *Work A* the nature of the vows is, in general, determined through the individual properties of the sections (of the scriptures) through which they are established (*grub pa'i sde*), i.e. the Vinaya section, the Mahāyānasūtra section, and the Tantra section, and through their having different causes for arising, times of being obtained, duration, causes for being lost, etc. Since the nature of a vow is therefore determined by its source, means of being obtained, regulation, and person who is the support, etc., the nature of the vow is defined as that which causes each vow to have its distinctive identity, i.e. as different.

But apart from that clear-cut statement, sGam-po-pa also states that the nature of the vows is not always like that, since the vows—despite their "incompatibility"—also exist together in an admixture where they are not different. And this is again qualified by the statement that from this undifferentiated admixture some vows may be lost, while others are continued, and that one can repair such lost vows according to what is taught in their respective tenets (and thus, presumably, integrate them once again into the state where they exist in an admixture with other vows). Thus, it appears to me, this state of the vows—"incompatible" and/or "undifferentiated"—is not sGam-po-pa's final truth on the vows. Instead, three remarks in the last two sections of *Work A* point in my opinion to what sGam-po-pa really has in mind. The first is that if the yogi realizes his perception of what is to be confessed, the confessor, and the confession as being empty of own-nature, "there is no difficulty with regard to confession" (A 9). The second is that conflicts between the vows are non-contravening when the yogi acts after having "weighed up [the conflict] through insight," and the third is that in his practice the two most important things are "insight and skill in means" (A 10). According to that, it is most important that the yogi develops his skills in accomplishing the benefit for others and always analyzes the situation through his insight, namely with regard to his true motives when performing his deeds and with regard to emptiness.

If this is the vital point of sGam-po-pa's teachings on the three vows, we may actually regard his remarks on the "incompatibility of the vows" and their "very different natures" and also on the undifferentiated "admixture" as conventional or surface-level teachings only. Let us now turn to how the later tradition deals with the nature of the vows.

The passage of Karma-'phrin-las-pa's *Replies to Ngo-gro* that I translated was prompted by a direct question about the nature of the vows. Accordingly, Karma-'phrin-las-pa dedicates a lot of space to discussing this topic. His reply begins (section 2):

Here in the land of Tibet all learned and ignorant men who have adopted systems of tenets

have investigated a lot of doubts [about]

[whether] the three vows are of the same nature or of different [natures].

Although Karma-'phrin-las-pa apparently mentions "same nature" and "different nature" as being opposing alternative positions, he nevertheless carefully avoids refuting the same-nature position of the Sa-skya-pas and the 'Bri-gung-pas, but rather establishes the different-nature position of his own tradition *without* contradicting the former.

In the following pages I shall explain how Karma-'phrin-las-pa was able to leave the Sa-skya-pa and 'Bri-gung-pa positions of a same nature of the vows unrefuted without contradicting the position of a different nature of the vows of his own

tradition. Generally, the reason they are not incompatible is that these positions agree in contents, while they disagree merely in their terminology and emphasis. This will be shown in six steps:

1. The Sa-skya-pa position as understood by Karma-'phrin-las-pa.
2. The 'Bri-gung-pa position as understood by Karma-'phrin-las-pa.
3. How both positions agree.
4. sGam-po-pa's position as understood by Karma-'phrin-las-pa.
5. Terminological differences between sGam-po-pa's position and that of the Sa-skya-pa and 'Bri-gung-pa tradition.
6. Agreement in contents between the three traditions.

1. The Sa-skya-pa Position as Understood by Karma-'phrin-las-pa

Karma-'phrin-las-pa quotes Sa-skya-pa Grags-pa-rgyal-mtshan,⁶⁴¹ showing that he advocated the transformation of the prātimokṣa vows into bodhisattva vows through the production of the resolve for awakening, and the transformation of those into the vows of the Tantric adepts through entering the maṇḍala of Tantric initiation, whereby the vows would become of the same nature, just as different metals all become of the same nature through being touched by the elixir that turns all substances into gold. Thereafter he quotes Vibhūticandra's criticism of this,⁶⁴² which is directed against the theory of a same nature of the vows as can be seen in the verse that directly precedes that discussion in the Vibhūticandra's *Garland of Rays*.⁶⁴³ Karma-'phrin-las-pa himself, however, carefully avoids giving either direct support or criticism to Vibhūticandra's argumentation. But he does present a different approach towards the position held by the Sa-skya-pas by quoting his own guru, the seventh Karma-pa, who refuted the compatibility of transformation and same nature (see my remarks on Karma-'phrin-las-pa's *Replies to Ngo-gro* 5). This particular argumentation is *not* directed—as Vibhūticandra's is—against the theory of a same nature of the vows, but merely against a theory that maintains both a transformation and a same nature of the vows.

We shall see in a later section of this chapter how Karma-'phrin-las argues

⁶⁴¹ See fn. 565 for Grags-pa-rgyal-mtshan, *Removing Errors Regarding the Fundamental Transgressions*. The passage is quoted by Go-rams-pa, *General Topics*, p. 235, fol. 71v, and by Karma-'phrin-las-pa, *Replies to Ngo-gro* 3. For a discussion of the quote on which this passage is based, see my remarks on *Replies to Ngo-gro* 23.

⁶⁴² See p. 108 for text and translation of Vibhūticandra, *Garland of Rays* 20. The passage is also quoted by Karma-'phrin-las-pa, *Replies to Ngo-gro* 4. See p. 228, and p. 251.

⁶⁴³ I.e. Vibhūticandra, *Garland of Rays* 19: "The intended meaning of 'possessing the three [vows]' is not that [the three vows] are lost [all at once because they] have the same [nature]. This is also completely disproven by [the following] reasoning (...)." See p. 108.

against the doctrine of a transformation of vows and favours the transformation of the person. Suffice it to say here, that he does not criticize Grags-pa-rgyal-mtshan's statement that the vows have the same nature.

2. The 'Bri-gung-pa Position as Understood by Karma-'phrin-las-pa

Karma-'phrin-las-pa also quotes the 'Bri-gung-pas,⁶⁴⁴ who maintain that "the nature of the vows is not to be even slightly differentiated," because "all three vows remove the ten non-virtues, together with anything of their kind, and [they] achieve the ten virtues, together with anything of their kind." Thus, in Karma-'phrin-las-pa's understanding, the 'Bri-gung-pas' *same vital point* of abandoning non-virtue and achieving virtue of the vows is to be understood as the "same nature" of the vows.

3. How Both Positions Agree

Karma-'phrin-las-pa concludes (*Replies to Ngo-gro 7*):

Even though at this occasion their way of explaining is different, the Sa-[skya-pas] and the 'Bri-[gung-pas] agree that the nature of the three vows is the same.

One can readily see that "their way of explaining is different." Sa-skya Grags-pa-rgyal-mtshan explains the sameness of the nature of the vows as coming to exist through transformation of the vows, and the 'Bri-gung-pas explain that the nature of the vows is not to be differentiated because of their *same vital point*. This vital point of the 'Bri-gung-pas exists with the vow as such, which only becomes three "because the [vow]-possessor has changed."⁶⁴⁵ Thus the point where both doctrines agree is that when someone is initiated into Mantra and is a Tantric adept, the vows have the same nature or same vital point.

4. sGam-po-pa's Position as Understood by Karma-'phrin-las-pa

According to Karma-'phrin-las-pa, sGam-po-pa taught that the natures of the vows are different (*Replies to Ngo-gro 14*):

In short, the meaning of [the statement] "the three vows are different" is [this]:

The vehicles from which [the vows] come forth are different, the sections [of the canon] in which they are taught, too, are different, the objects [i.e. person] from which they are taken are different, too, and also the rituals that cause their obtaining are different.

The durations for which they are taken, the volitional impulses with which

⁶⁴⁴ See rDo-rje-shes-rab, p. 438, translated in chapter 14, section 4, p. 365. See also my remarks on Karma-'phrin-las-pa, *Replies to Ngo-gro 6*, p. 229.

⁶⁴⁵ rDo-rje-shes-rab, *Same Intention*, vol. 2, p. 438; *Replies to Ngo-gro 6*.

they are taken,
and the productions of the resolve, too, with which they are taken are different.

And that the causes for their loss, the times of their loss, and the persons who are the support are also different is stated in the individual basic scriptures.

One can easily recognize the teaching of sGam-po-pa's *Work A 5*, according to which the nature of the vows is different because of the same differences as listed by Karma-'phrin-las-pa.

5. Terminological Differences Between sGam-po-pa's Position and That of the Sa-skya-pa and 'Bri-gung-pa Traditions

While, in general, sGam-po-pa teaches as the nature of the vows that which causes the vows to have different distinctive identities, the Sa-skya-pas and the 'Bri-gung-pas teach as their nature or vital point something that all vows have in common. Thus we have two different concepts of nature, i.e. one consisting of what I will call a "distinctive factor" and the other of what I will call a "unifying factor." When sGam-po-pa, for example, teaches that the vows are of different natures because of such things as their different rituals and durations, he defines the nature of each vow as something unique: the prātimokṣa has the unique nature of being obtained from the prātimokṣa-vow ritual and existing as long as one lives, while the bodhisattva vow has the unique nature of being obtained from the bodhisattva-vow ritual and existing until the seat of awakening is obtained, etc. When the 'Bri-gung-pas, on the other hand, teach that the nature of the vows is not to be even slightly differentiated, they define the nature or the "same vital point" of the vows as that which all of them have in common, namely the removing of non-virtue and the achieving of virtue.

Before going on to Karma-'phrin-las-pa's sixth point, namely the "agreement in contents between the three traditions" (i.e. Dwags-po or Karma bKa'-brgyud-pa, 'Bri-gung-pa, and Sa-skya-pa), let me dwell briefly on the Sa-skya-pa doctrine of the same nature of the three vows. This is necessary because no explanation of what exactly constitutes the same nature of the vows is given in Karma-'phrin-las-pa's exposition of the Sa-skya-pa doctrine in his *Replies to Ngo-gro*. Karma-'phrin-las-pa cites only Grags-pa-rgyal-mtshan's *Removing Errors Regarding the Fundamental Transgressions*, according to which the vows apparently have the same nature through being transformed into the Mantra vows. Grags-pa-rgyal-mtshan said:⁶⁴⁶

At the time the monks produce the resolve for awakening,

⁶⁴⁶ Grags-pa-rgyal-mtshan, *Removing Errors Regarding the Fundamental Transgressions*, p. 258, fols. 48v f., and also Go-rams-pa, *Detailed Exposition*, p. 235, fol. 71v. Quoted by Karma-'phrin-las-pa in *Replies to Ngo-gro* 3.

all [their] *prātimokṣa* [vows] turn into the vows of a bodhisattva (*byang sems sdom par 'gyur*).

At the time they enter into the maṇḍala [through Tantric initiation], all vows [become] vows of the Tantric adept (*rig 'dzin sdom pa*).

Karma-'phrin-las-pa also cites Grags-pa-rgyal-mtshan's quote from the "*Hundred-Thousand [Verse?] Tantra[s?]*" [*Treatise*], which teaches that the vows of the three families (*rigs can gsum gyi sdom pa*) exist because of the existence of particularities of mind (*sems kyi bye brag*). When they enter into the great maṇḍala of Tantric initiation, they are called Tantric adepts. This is likened to the different metals that exist thanks to the particularities of ore. But "through applying the elixir that turns [metals] into gold, all of these are transformed into gold." Through this example, Grags-pa-rgyal-mtshan teaches that the elixir resembles the training of the Vajra Vehicle.

Thus two transformations are taught by Grags-pa-rgyal-mtshan, namely the transformation of the auditor *prātimokṣa* into the bodhisattva *prātimokṣa*, and the transformation of all vows into the vows of the Tantric adept. Since all vows turn into the Tantric adept's vows in the end, this also appears to be an example for the teaching of the same nature of the vows after the transformation.

Grags-pa-rgyal-mtshan's explanation has been refined by the later Sa-skyapa tradition. The famous commentator Go-rams-pa, for example, presents a more detailed explanation for transformation and same nature in his *General Topics*, fol. 72v:

For after one has completely abandoned the inferior intention to pursue peace and happiness merely [for] oneself, that very resolution to abandon that discards opposing factors becomes the nature of the bodhisattva vows (...) [Transformation refers] to the giving up of the inferior volitional impulse and to not discarding the resolution to abandon.

Thus the former nature, the (inferior) intention to pursue peace and happiness merely for oneself, has been replaced by the resolution to abandon of the bodhisattvas, i.e. to discard opposing factors, while abandoning as such continues uninterrupted. In other words, Go-rams-pa teaches that both the *prātimokṣa* and bodhisattva vows have the same nature, namely "the resolution to abandon" (*spong ba'i sems*), which exists before the transformation as the resolution to abandon of *prātimokṣa*, and after the transformation as the resolution to abandon of the bodhisattvas, and which itself is transformed in that its former scope, namely "own benefit," becomes the much wider scope of the bodhisattvas (i.e. the benefit for others).⁶⁴⁷ It is apparent that this "resolution to abandon," which in this regard can only refer to the abandoning of

⁶⁴⁷ Cf. chapter 5.

non-virtue (and in general also refers to suffering), and which appears to be what constitutes the same nature of the vows in the Sa-skyapa doctrine according to Go-rams-pa's explanation, is very similar to the 'Bri-gung-pa's "same vital point," namely the abandoning of non-virtue (and, according to them, also the achieving of virtue).

It is, however, difficult to say whether Karma-'phrin-las-pa was aware of this refined explanation of the Sa-skyapa doctrine of the same nature of the vows by Go-rams-pa. Chronologically it would have been possible for him to know of it, since Go-rams-pa's *General Topics* was written in 1461 when Karma-'phrin-las-pa was a young boy (Karma-'phrin-las-pa wrote the *Replies to Ngo-gro* forty-five years later and revised it once more in 1516). But since he does not quote Go-rams-pa's explanation—even though it would have fitted nicely—we cannot easily prove that he had been aware of it. Suffice it to say, therefore, that Karma-'phrin-las-pa understood the Sa-skyapa's and the 'Bri-gung-pa's doctrines with regard to the "same nature" or "same vital point" of the three vows as being in agreement, and that this appears to have indeed actually been the case, especially in the light of Go-rams-pa's explanation.

6. Agreement in Contents Between the Three Traditions

Let us now see if these positions of the Sa-skyapas, 'Bri-gung-pas, and sGam-po-pa, as accurately sketched by Karma-'phrin-las-pa, are apart from merely terminological differences compatible in their substance. One section in Karma-'phrin-las-pa's *Replies to Ngo-gro* (i.e. section 13) is obviously an answer to an objection by a Sa-skyapa or a 'Bri-gung-pa. The objection, which is stated directly after Karma-'phrin-las-pa's exposition of sGam-po-pa's doctrine of the three vows, is that since, for example, "abandoning killing" is the nature of each vow for all three vows, are the vows not of the same nature? The answer to this objection is that abandoning killing, stealing, etc., is indeed "the same in their capacity merely as resolutions to abandon." Similarly, with regard to the three vows, they are also the same in their capacity merely as resolutions to abandon. Thus the continuation and sameness of abandoning within the three vows is in principle acknowledged by Karma-'phrin-las-pa. But the differences between abandoning killing and abandoning stealing, and between abandoning killing on the level of each vow are also pointed out and, in fact, emphasized. Of these, the first is trivial in that it refers to the obvious differences between the acts of killing and stealing, etc., and the second is explained, as one would expect in an exposition of sGam-po-pa's three-vow doctrine, through the different rituals of the vows, their ways of obtaining the three vows, and their durations. But these differences of rituals, duration for which the vow is obtained, etc., are of course also acknowledged by the Sa-skyapas, for example, when Sa-pan

states in his *Clear Differentiation of the Three Vows* (ch. I, v. 40):⁶⁴⁸

Even in the Mahāyāna prātimokṣa,
that part that consists of the vows such as the monk [vows]
will be lost at death,
whereas that part that consists of the resolve for awakening and its fruit
will arise even after death.

Here the reason for the prātimokṣa vows being lost at death, for example, is a particular point of the ritual, i.e., the specification of duration, which is in the case of the prātimokṣa is stated to be "as long as one lives." Go-rams-pa, too, explained that certain aspects, such as the duration of the vows, are only obtained through the specific rituals of the vows.⁶⁴⁹ Thus there is no essential contradiction between the Sa-skya-pas' teaching of the duration and other particularities of the respective vows, and sGam-po-pa's "nature of the vows," which exists through just these particularities. The difference between these doctrines is rather one of emphasis: The Sa-skya-pas stress the sameness of the abandoning in all three vows and call this the nature of the vows, i.e. their "unifying factor," while sGam-po-pa emphasizes the differences, i.e. their "distinctive factors," and identifies these as their respective natures. The same applies to the 'Bri-gung-pas, too. The "vital point" of abandoning the ten non-virtues is, as we have observed, very similar to the Sa-skya-pas' abandoning as explained by Go-rams-pa, and on the other hand the 'Bri-gung-pas, too, acknowledge the differences of the vows, as can be seen in the illustration of their three-vow doctrine, according to which, for example, an ornament has different functions when worn by different people (Karma-'phrin-las-pa's *Replies to Ngo-gro* 6).

The differences in emphasis, however, should not be neglected. They are, in my view, the key differences between the theories. The Sa-skya-pas and the 'Bri-gung-pas on the one hand have identified the "unifying factor" of the three vows as their nature, and as a consequence they favour a system of vows that very carefully maintains the lower vows, too. sGam-po-pa (and Vibhūticandra, too), on the other hand, identify the "distinctive factor" as the nature, and as a consequence they favour a system that emphasizes the highest vow to the disadvantage of the lower ones.

As for the Karma bKa'-brgyud-pa authors after Karma-'phrin-las-pa, Karma-nges-legs, for example, refers in his *Good Vase* (6.4.) to this topic at first by simply stating that the vows are different since their object, volitional impulse, support,

⁶⁴⁸ The Tibetan text is: *theg chen so sor thar yin yang // dge slong la sogs sdom pa yi// ldog pa shi ba'i tshe na g tong // byang chub sems kyi ldog pa dang // de yi 'bras bu shi yang 'byung.*

⁶⁴⁹ See Go-rams-pa, *General Topics*, fol. 78v.

etc., are not the same. He also criticizes the view that maintains the vows as having the same nature and as having unmixed distinctive aspects (*rang ldog ma 'dres*), which is ascribed by him to the rNying-ma-pas (*Good Vase* 6.5. and 6.6.). In essence, he does not accept any differentiation of something that has the same nature and thus maintains a rather simplistic view of the term "nature" that appears to be very similar to Vibhūticandra's (see p. 69), and that appears to overlook the careful discussion of this topic by Karma-'phrin-las-pa.

Kong-sprul Blo-gros-mtha'-yas, on the other hand, seems to have taken notice of Karma-'phrin-las-pa's discussion, particularly of this topic. After teaching the nature as different because of the different canonical sections from which the vows arise, Kong-sprul states:⁶⁵⁰

Since their aspects are of the same kind with regard to merely the resolution to abandon—as exemplified by the controlling of non-virtue, and its antidote, i.e. the arising of the correct view—the three vows are the same with regard to the vital point of its antidote.

Thus the sameness of the resolution to abandon of the three vows is not only acknowledged in principle, but has been fully incorporated into the system of "the matchless Dwags-po [lHa-rje sGam-po-pa] and his followers," and "particularly the seventh [Karma-pa] Chos-grags-rgya-mtsho" by Kong-sprul, although here the sameness of the resolution to abandon is not regarded to be the nature (*ngo bo*) of the vows, but to be one of their aspects (*rnam pa*).

In sum, we may observe from the somewhat ambiguous teaching of the vows as generally having different natures but nevertheless existing in an undifferentiated admixture in sGam-po-pa's *Work A* onwards a progressive development in the tradition. The teaching of the different natures of the vows is continued with almost the same arguments, but at the same time the position "same nature" of other traditions is acknowledged (first by Karma-'phrin-las-pa), thus continuing the ambiguity, and even in part assimilated (by Kong-sprul).

Transformation of the Vow or of the Person?

Another interesting subject in Karma-'phrin-las-pa's writing on sGam-po-pa's doctrine of the three vows is the topic "different persons" (*Replies to Ngo-gro* 15 ff.⁶⁵¹). This refers to the last point mentioned when teaching the different natures of the vows, i.e. "the persons who are the support [of the vows] are also different" (*Replies to Ngo-gro* 14). The basic theme here is the concept of transformation.

⁶⁵⁰ See p. 299.

⁶⁵¹ The topic "different persons" includes the discussion of the quotation from the "Hundred-Thousand [Verse?] Tantra[s?]" [*Treatise*] (section 16), the interpretation of the example of the elixir (17), and a comment on a passage from the *Thar rgyan* (18).

While the Sa-skya-pas, beginning at least with Grags-pa-rgyal-mtshan in the late twelfth and early thirteenth century, have maintained that the vows change into one another—clarifying later that the nature is transformed so that former and later vows have the same nature while the particularities, such as duration of the former vows, remain just as they are—Karma-'phrin-las-pa claims that already with sGam-po-pa the theory was maintained that not the vows, but their possessors change.

The changing of vows was addressed in Grags-pa-rgyal-mtshan's *Removing Errors Regarding the Fundamental Transgressions* only at one point, in four lines of folio 48v (out of a total of 62 folios):⁶⁵²

[Question]: "In this case, in which manner does someone who has earlier taken the prātimokṣa vows up to the monk [vows] possess the vows when he later, after producing the resolve for awakening, comes furthermore to take [Tantric] initiation?"

[Answer]: At the time when the monk produces the resolve [for awakening], all the prātimokṣa [vows he possesses at that point] turn into the vows of a bodhisattva, and when he enters the maṇḍala, all the vows are [known as] vows of the Tantric adept.

This is then connected with the well-known quotation from the *Teaching of the "Hundred-Thousand [Verse?] Tantra[s?]" [Treatise]: The Establishment of the Gnosis of Suchness*:⁶⁵³

rdo yi rigs kyi bye brag gis//
 bzhus pas lcags dang zangs dngul 'byung //
 gser 'gyur rtsi yi dngos po yis//
 kun kyang gser du bsgyur bar byed//
 de bzhin sems kyi bye brag gis//
 rigs can gsum gyi sdom pa yang //
 dkyil 'khor chen po 'dir zhugs na//
 rdo rje 'dzin pa zhes bya'o//

Through [the process of] smelting, iron, copper and silver appear,
 by means of specific particularities of ores.

⁶⁵² This was quoted *verbatim* by Go-rams-pa. See p. 134 for the Tibetan text.

⁶⁵³ See Grags-pa-rgyal-mtshan, *Removing Errors Regarding the Fundamental Transgressions*, p. 258, fol. 48v; cf. Go-rams-pa, *General Topics*, p. 235, fol. 71v; Vibhūticandra, *Garland of Rays* 17; and Karma-'phrin-las-pa, *Replies to Ngo-gro* 3. This particular quote from the *Teaching of the "Hundred-Thousand [Verse?] Tantra[s?]" [Treatise]: The Establishment of the Gnosis of Suchness* is, as far as I can see, the only citation to which the Sa-skya-pas refer for their particular theory of the transformation of the vows. See, for a different interpretation, Karma-'phrin-las-pa, *Replies to Ngo-gro* 17, and on the possible sources of the quote my remarks on *Replies to Ngo-gro* 23.

Through the elixir by which [metals] turn into gold,
all are turned into gold.

Similarly, by means of the particularities of mind (...)

According to Grags-pa-rgyal-mtshan, "ores" refer in this example to the common people.⁶⁵⁴ And it is furthermore obvious, he says, that the different metals (i.e. iron, copper, and silver, which exist through the particularities of the ores) are the respective trainings (i.e. of auditors, solitary Buddhas, and bodhisattvas, which exist, in turn, through the particularities of minds), while the magic elixir is the training of the Tantric adepts. Now, in order to understand a transformation of the vows from this, one would have to understand the second part of the above quotation like this:

*de bzhin sems kyi bye brag gis//
rigs can gsum gyi sdom pa yang //
dkyil 'khor chen po 'dir zhugs na//
rdo rje 'dzin pa zhes bya'o//*

Similarly, by means of the particularities of mind,
the vows of the three families also [come to be].

If one enters into this great maṇḍala,

they are called "[vows of] the Tantric adepts."⁶⁵⁵

This appears to be, if understood in this way, an explanation for a transformation of *all* lower vows into the Mantra vows, but not, however, an example for the transformation of the auditor prātimokṣa into the vows of a bodhisattva, since iron is not transformed into silver (before it is transformed into gold).

Karma-'phrin-las-pa, in turn, seems to understand the second part of the verse differently:

Similarly, by means of the particularities of mind,
the [*possessors of the*] vows of the three families also [come to be].
If they enter into this great maṇḍala,
they are called "Tantric adepts."

After having made clear his interpretation of the same quote, saying, "those who have earlier been just Hīnayāna and bodhisattva adherents lose their former names and turn into Tantric adepts when they enter into the great maṇḍala," Karma-'phrin-las-pa also refers to sGam-po-pa's *Thar pa rin po che'i rgyan*, maintaining that it also teaches that:

⁶⁵⁴ I.e. *phal pa*. Probably he refers in a general way to the being that is to be the support for the vow.

⁶⁵⁵ That this is the way Grags-pa-rgyal-mtshan understands the verse is already clear from his own introduction of the verse through the question and answer on fol. 48v, quoted above.

The prātimokṣa vows of that person's mental stream of consciousness turn into the morality of the vows of a bodhisattva, since [they] have turned into the vows of the mental stream of consciousness of a bodhisattva.

Thus the transformation takes place in the person: He turns into a person with higher abilities, and henceforth he will practice those vows as a bodhisattva.⁶⁵⁶ He concludes:

Therefore, do not confuse [sGam-po-pa's] teaching of the changing person with [the Sa-skya-pa's] teaching of the changing vows.

The following should be the passage in question from sGam-po-pa's *Thar pa rin po che'i rgyan*.⁶⁵⁷

The prātimokṣa vows of the bodhisattvas, too, do not need a separate ritual for obtaining them. If the very training of the auditors [of] that has been obtained before is later endowed with the specific volitional impulse [of the bodhisattvas], it turns into the vows of a bodhisattva having been transformed, because even though the lower volitional impulse has been abandoned, the resolution to abandon has not been discarded.

In this passage, the only possible interpretation grammatically is that it is the vow of prātimokṣa that is the subject that undergoes transformation. If this is the passage that Karma-'phrin-las-pa had in mind, then he has subjected it to further doctrinal interpretation and restatement. His interpretation is that "after the vow holder's being transformed (*gnas gyur nas*)" into a bodhisattva by producing the special volitional impulse, the *person* who has formerly been an auditor (with prātimokṣa vows), has been transformed. Now that he has become a bodhisattva, i.e. "having been transformed," his former vows that are now endowed with the specific volitional impulse of the bodhisattvas turn into the prātimokṣa of a bodhisattva. They have not been abandoned, because he still maintains that resolution to abandon that is required for the prātimokṣa, and merely the former lower volitional impulse of an auditor has been abandoned when the resolve for awakening was produced. Thus the former

⁶⁵⁶ These vows are not to be confused with the bodhisattva vows proper that are separately obtained. They are still the prātimokṣa vows, now known as "Mahāyāna" or "bodhisattva prātimokṣa." See, for example, fn. 249 for such a distinction in Go-rams-pa's system.

⁶⁵⁷ sGam-po-pa, *Dwags po thar rgyan*, fol. 61r: *byang chub sems dpa'i so so thar pa'i sdom pa de yang / len pa'i cho ga ni logs su mi dgos te/ sngar nyan thos kyi bslab pa blangs pa nyid phyis bsam pa khyad par can gyis zin na/ gnas gyur nas byang chub sems dpa'i sdom par 'gyur ba yin te/ dman pa'i sems btang yang spong ba'i sems pa ma dor ba'i phyir ro.* Cf. Guenther (1959: 107 f.).

vows transform only in so far as they become vows of a superior person.⁶⁵⁸ But I have not located any other more relevant passage, and since Karma-'phrin-las-pa did not specify more exactly the passage from the *Thar rgyan* that he was referring to, we can only assume that this is the passage in question and that the above interpretation is Karma-'phrin-las-pa's understanding of it.

That this topic of the transformation of the vows or of the person has not been addressed in sGam-po-pa's *Work A* might be another indication that we are dealing here with a very early text that dates before Grags-pa-rgyal-mtshan. Only after him does that topic seem to have played a significant role in the discussion, and Vibhūticandra may have been the first one to address it in a critical way. After Karma-'phrin-las-pa, Karma-nges-legs did not even mention the topic. Kong-sprul did mention the transformation of the vows together with the example of the elixir in his section on the Sa-skya and rNying-ma doctrine (Kong-sprul's *Pervading All Objects of Knowledge* 5.4.2.2.2.2.[b]), but he seems to have slightly changed its interpretation.

In sum, after there has been a need to deal with the concept of transformation, Karma-'phrin-las-pa tried to explain the transformation differently from Grags-pa-rgyal-mtshan (and indeed, so it seems, even differently from sGam-po-pa's *Thar rgyan*). This was necessary because a transformation was undesirable, since sGam-po-pa had taught the preeminence of higher vows in a way that left no place for a transformation of the lower vows into the Mantra vows, for according to sGam-po-pa it was a preeminence of the sort that neglected or even abandoned the lesser in favour of the greater (see especially *Work A* 7 and 8).

Preeminence of the Higher Vows

Two sections in sGam-po-pa's *Work A* are related to the discussion of the relation between the lower and the higher vows. Although both sections bear their own headings, at least one of these headings appears to be problematic (section 7: "observed as entrusted," Tib. 'chol par bsrung), and the other may either be a later accretion, or it is to be understood in a specific way (section 8: "possessing 'upwardly,'" Tib. yar ldan). I have already explained (in my remarks on sGam-po-pa's *Work A* 7) that nothing in section 7 refers to an observing of the vows. Instead, the section explains the circumstances under which the lower vows may be neglected or even abandoned. Therefore I tend to believe that the first two sentences of sGam-

⁶⁵⁸ Except for the conclusion, namely that the person transforms and not the vows, this explanation is very close to Go-rams-pa's teaching in the *General Topics*, fol. 72v. See chapter 7.

po-pa's *Work A* 7, i.e. the question and the answer,⁶⁵⁹ are later accretions, and that they are attempts of a later editor of the work to systematize the teaching according to other later doctrines. The section actually teaches that any lower vow that endangers life or obstructs a higher vow is not to be observed. If one would furthermore omit the heading and the quote that teaches the "one vehicle" (i.e. Mantra?) at the beginning of section 8, the text would be a proper continuation of the last sentence of section 7 in that it simply draws the conclusion that the greater (i.e. the higher vow) is not abandoned in favour of the lesser (i.e. the lower vow). This section teaches, moreover, that even the disappearance of the lower entails no moral fault, because of "the [more] powerful rituals [and the more] excellent means [of the higher], and the hierarchy of the vehicles." Thus if the conclusion at the end of section 8, "therefore, if [the vows] are possessed, [they are possessed] 'upwardly,'" is not another inept accretion to begin with, at least its meaning is different from the one that was allegedly taught in the Indian tradition,⁶⁶⁰ and also from the one that is taught in the rNying-ma-pa tradition.⁶⁶¹ I shall return to this point later, when discussing the meaning of "possessing 'upwardly'" (*yar ldan*) in the later Karma bKa'-brgyud-pa tradition. In sum, both of these sections in sGam-po-pa's *Work A* may also be understood as a single section. Its contents may then be summarized as "in cases of danger (to life) the lower is abandoned, and in cases of internal conflict (between the vows), the lower is abandoned for the sake of the higher." Thus all this together could have been an early version of what is otherwise simply called the "preeminence of the higher."

But there appears to be also another possibility with regard to conflict between the vows, for, in general, two "strategies" are taught by sGam-po-pa for the lower vows (when higher vows are possessed). One is, as mentioned before, that the lower vows are left unobserved or are even abandoned as taught in sections 7 and 8. But the next section teaches, along with the means of repairing the vows, that "for one who realizes these three [vows] and all phenomena as being like an illusion, there is no difficulty with regard to confessing (...)." And furthermore, after the fifth point in section 10, that "at the time when there arises a conflict also [between] the three vows (...) it is 'weighed up' through insight." In other words, the two "strategies" found in *Work A* are, in general, preference of the higher, even to the disadvantage

⁶⁵⁹ Tib.: *bsrungs na ji ltar bsrungs zhes na/ bsrung na 'chol par bsrung ste/* - [Question:] If they are to be observed, how are they to be observed? [Answer:] If they are to be observed, [they are to be observed] as entrusted.

⁶⁶⁰ According to the *Key to Initiation* quoted in Karma-nges-legs' *Good Vase* 6.2. and Kong-sprul's *Pervading All Objects of Knowledge* 5.4.2.2.2.1.

⁶⁶¹ For "possessing qualities 'upwardly,'" see Lo-chen Dharma-shrī, *Commentary on the "Clear Comprehension"*, part 4.

of the lower vows, and for the yogi in particular, to realize phenomena as being like an illusion and to "weigh up" the conflicts through insight (*prajñā*).

Now, could it be that the beginning of section 8, namely the heading "possessing 'upwardly'" (*yar ldan*) (and perhaps also the quote that follows, according to which "the deliverances of the three vehicles exist as the fruit of the one vehicle") is to be understood as indicating just that "yogic perspective" that can also be witnessed in other passages of *Work A*? In that case, the intention of the quotation would be to show—since the fruits of the lower vehicles are included within the "one vehicle" (namely Mantra?)—that there is no fault in abandoning the lower vows. "Possessing 'upwardly'" (*yar ldan*) would then mean that the practitioner should aim within his practice for the higher vows, because they also include the fruits of the lower ones.

Even though this appears to be a sensible explanation, both the heading and the quote may still be a later accretion by an editor who was not quite satisfied with sGam-po-pa's blunt statements in sections 7 and 8, according to which the lower is to be abandoned in cases of conflict. Such problems may be solved, let us hope, on the grounds of an improved textual basis in the future.

According to Karma-'phrin-las-pa's *Replies to rGya-ston* 5 (and also sGam-po-pa's *Work* 10), the internal contradiction between higher and lower vows is, in any case, only an apparent one. Since the vows as such are held to be consciousness, the systems of the vows are in reality "differentiated through means and volitional impulse." For the concrete practice this means that the partaking of the Tantric feast in the evening (*gaṇacakra*) by a Tantric adept is only apparently in conflict with the prātimokṣa rule of avoiding meals after noon. This is not explained in detail here, but since reference has been made to the means, one may assume it to mean that through the "excellent means of Mantra" the yogi does not perceive himself as an ordinary person and the substances of the pledges as ordinary food, etc. In other words, according to Karma-'phrin-las-pa, in reality there is no transgression against the rules of the prātimokṣa, even when practicing the Tantric rituals such as the Tantric feast in the evening.

In any case, both sGam-po-pa and Karma-'phrin-las-pa teach that (in cases of conflicts between the vows) the moral faults of the lower vows are overcome by the higher view and the greater means of the yogi on higher levels. In Karma-'phrin-las-pa's *Replies to Ngo-gro* 20, and later also in Kong-sprul's *Pervading All Objects of Knowledge* 5.4.2.2.2.2.(a), however, this "overcoming" of the moral faults of the lower vows is termed the "outshining of the moral faults of the lower vows through the higher," and it appears that in the course of time there has been a shifting from "view," i.e. insight (*prajñā*) as in sGam-po-pa's *Work A*, to "means" as in Karma-'phrin-las-pa's *Replies to Ngo-gro* and Kong-sprul's *Pervading All Objects of Knowledge*, as can be witnessed for example in two quotes from sGam-po-pa's *Work*

A 10 and Kong-sprul's *Pervading All Objects of Knowledge* 5.4.2.2.2.(a). sGam-po-pa says:⁶⁶²

At the time when there arises a conflict also [between] the three vows in that way, it is [non-contravening because one acts only after having] weighed up [the conflict] through insight.

And Kong-sprul says:⁶⁶³

Since in that way, however, the [moral] faults of going beyond the rules of prātimokṣa do not arise because one is endowed with the special volitional impulse and means, the [moral] faults of the lower [vows] are outshone.

Possession [of Qualities] "Upwardly" and Outshining of the Moral Faults of Lower Vows

The possession [of qualities] "upwardly" is mentioned in all our Karma bKa'-brgyud-pa sources, and it additionally appears in the teachings of the rNying-ma-pa tradition of Klong-chen-pa, mNga'-ris Paṅ-chen, and Lo-chen Dharma-shrī. Karma-nges-legs and Kong-sprul both quote an identical, though otherwise unidentified source, namely the *Key to Initiation* (*dBang gi lde'u mig*), which teaches, among a number of positions that were allegedly maintained in India, the following:

gong ma gong ma la 'og ma 'og ma tshang bar 'dod pa ste yar ldan (...)

The respective lower [vows] are completely included within the respective higher ones, [i.e. they were] possessed "upwardly" (...).

Thus here the meaning of possession "upwardly" is the inclusion of the lower vows within the higher ones.

We also find the term "possessing 'upwardly'" in sGam-po-pa's *Work A* 8 as discussed above, and additionally in the three fragments of sGam-po-pa's *Work B1*, *B2*, and *B3*. Here it is simply stated that "possessing 'upwardly'" is the preeminence of the higher in cases of conflict. *B1-6* has additionally the gloss "because the lower exists within the higher" (*'og ma gong ma'i khongs na yod pas*), and thus a similar concept as it is expressed in the *Key to Initiation* (*dBang gi lde'u mig*), but both *B2* and *B3* omit that line and such an inclusion is also not supported in any of the other sections of sGam-po-pa's three vow treatises. Let us therefore investigate how the later tradition deals with that term.

Karma-'phrin-las-pa's *Replies to rGya-ston* 4 states that "possessing 'upwardly'" (*yar ldan*) indicates "that the simple prātimokṣa became improved through the possessing of bodhisattva vows, and that these became even better through the possessing of Mantra vows." Since he teaches in the immediately following section of that same reply that "on the merely conventional [level], [the solution] is that after

⁶⁶² For the Tibetan text, see p. 210.

⁶⁶³ For the Tibetan text, see p. 300.

blessing [i.e. controlling] the conflicting prātimokṣa [vow] by means of being mindful of time, etc., so that there is no fault, one should in the first place observe the conflicting [vow of the] Vajra Vehicle," the "improving" of "simple prātimokṣa" seems to be understood as mainly the practice of Mantra. That is to say that by guarding against that which is incompatible with Mantra, the prātimokṣa is maintained more effectively. This topic is explained by Karma-'phrin-las-pa in his later *Replies to Ngo-gro* (section 21):

The meaning of possessing qualities "upwardly" (*yon tan yar ldan*) is also [that]

infractions entailing expulsion of lower [vows] are outshone by higher [vows].

Thus, since even such acts as killing become the cause for complete Buddhahood,

[this is] called possessing qualities "upwardly," or [in other words],

the qualities of prātimokṣa alone may be great, but

the qualities of the bodhisattva vows are greater than that,

[and the qualities of the] Mantra vows are much greater than that. Therefore [sGam-po-pa has] taught that the three vows possess qualities "upwardly."

If we understand this section together with the teaching that the person changes, but not the vows (Karma-'phrin-las-pa's *Replies to Ngo-gro* 15-18), we may conclude that the vows are improved and the qualities become greater because the person who is the support of the vows has turned into a bodhisattva and finally into a Tantric adept, both of whom are endowed with respective higher volitional impulses and greater means. Thus here the meaning of possessing "upwardly" is closely connected with the concept of "outshining" and with the more general concept of a "preeminence of the higher vows." "Possessing 'upwardly'" may furthermore be understood in the context of the teaching of the changing possessor of the vows as the vows being possessed by a yogi of a higher level with developed abilities.

Later on in the tradition, both Karma-nges-legs and Kong-sprul, too, connect the outshining and the possessing "upwardly" to the preeminence of the higher vow. Thus Karma-nges-legs, *Good Vase* 6.4., says:

(3) By "conflicting" there are many [points] understood such as "preeminence of the higher bodhisattva vows" if two things occur together: the need to steal [something] through compassion in order to observe the bodhisattva vows and the damaging of the prātimokṣa, if one takes [that thing].

(4) And because of that, they [i.e. the higher vows] outshine the [moral] faults of the lower [vows],

(5) and also the qualities are possessed "upwardly."

Kong-sprul, *Pervading All Objects of Knowledge* 5.4.2.2.2.2.(a), also states:

If there arises a conflict such as incompatibility with the Mantra if one does not rely on such things as the substances of the pledges of the Tantric feast, and incompatibility with the prātimokṣa if one does, one mainly practices the higher.

Since in that way, however, the [moral] faults of going beyond the rules of prātimokṣa do not arise because one is endowed with the special volitional impulse and means, the [moral] faults of the lower [vows] are outshone. Because there are not only no [moral] faults and transgressions, but the benefits of the higher [vows] arise in great measure, the qualities are possessed "upwardly."

Thus it appears that according to this later tradition, we have two functions coming together in the teaching of the preeminence of the higher. One is the outshining of moral faults through greater means and volitional impulses through which there is no transgression of the lower vows, and the second is the possession of the vows themselves or of their qualities on the level of the realized yogi, through which the vows improve and greater benefits arise. Both concepts are not explicitly mentioned in sGam-po-pa's *Work A*, but all these elements seem already to have been presented there, even if only in a loosely connected way. sGam-po-pa certainly teaches, for example, the preeminence of the higher vows when he states that the higher vow should be observed and that the non-observance of the lower does not entail a moral fault (sections 7 and 8), and he describes something similar to the "outshining" when he teaches higher levels such as the realization of all phenomena (including confession) as an illusion (section 9), and the weighing up of conflicts between the vows through insight (*prajñā*) (in section 10). Furthermore, his mentioning of the higher volitional impulse of the benefit for others and the greater means of being able to revive beings who have been killed, etc. (in section 10), may be regarded as something similar to the "possessing qualities 'upwardly.'" Thus we may conclude here that the later tradition has not so much introduced innovations into the teachings, as it might appear at first sight, but that it has systematized the teachings from sGam-po-pa's *Work A* in such a way that it resembles—not in its substance, but in its key-words and topic headings—the teachings of other traditions. Let us therefore investigate shortly how these key-words were used in other traditions.

The term "outshining" (*zil gyis gnon pa*), for example, was previously also used outside of the later Karma bKa'-brgyud-pa tradition by Vibhūticandra (*Garland of Rays* 27 ff.). There, however, the outshining is the function of a superior entity through which the entities of the lower vows are outshone in such a way that they become entirely unmanifested in a dormant way (*bag la nyal ba'i tshul du*). When the later Karma bKa'-brgyud-pa masters used that term, they omitted such a "substantialistic" connotation and limited its functioning to the outshining of the moral faults, thus leaving the lower vows intact and functioning. In that way the

tradition also appears to delimit itself from the teachings of Vibhūticandra, whose outshining of the lower vows as such was perhaps recognized as being dangerously close to the teaching of the so-called "Red Master" (*ācārya dmar-po*).

The "Red Master's" system has been described by another eminent master of the Karma bKa'-brgyud-pas and the rNying-ma-pas, namely Karma-chags-med (1613-1678), in this way.⁶⁶⁴

[According to] his religious system, [all the vows were] taken [successively],

beginning with the vows of refuge, up to those of a monk.

Each [set of vows] were maintained for some years [or] months according to the authoritative scriptures.

Thereafter, by receiving the [ritual of] the production of the resolve for awakening,

the prātimokṣa vows turned into the bodhisattva vows.

After that, none of the Vinaya rules had to be maintained.

The training of the resolve for awakening, the meditative exchange of oneself and others, was cultivated for some years.

Thereafter, by receiving the four initiations of the Mantra, these bodhisattva vows, too, turned into the vows of Mantra.

After that, the training of the bodhisattva vows did not have to be maintained.

Because one was a practitioner of Mantra, one was even allowed to take a wife,

[and] even though one took one, the defect of having lost the [monastic] vows did not occur.

Because his followers increased greatly,

⁶⁶⁴ Karma-chags-med, *Ri chos*, pp. 72 f.: *khong gi chos lugs dang po skyabs sdom nas// dge slong bar du rim par zhus byas te// re re gzhung bzhi lo shas zla shas bsrung // de nas byang chub sems bskyed zhus pa yis// so thar sdom pa byang sems sdom par 'gyur// de nas 'dul khrims gcig kyang srung mi dgos// byang sems bslab bya gtong len lo shas bsgom// de nas gsang sngags dbang bzhi zhus pa yis// byang sdom de'ang sngags kyi sdom par 'gyur// de nas byang sdom bslab pa bsrung mi dgos// sngags 'chang yin phyir chung ma len yang chog // blang yang sdom pa shor ba'i nyes pa med// khong gi rjes 'brang mang po dar ba'i phyir// mnga' ris dbus gtsang kun du ser khyim dar// 'di la a' tsar dmar po'i chos log zhes// mkhas grub kun gyis gshe zhing bkag pa mdzad//*

I found Karma-chags-med's presentation of these systems not to be very accurate in those cases where I have seen the original texts and formulations. His teaching, however, was dictated to a scribe through a hole in the wall of his retreat hut, and it provides an excellent insight into how such an eminent master of his time thought about those three-vow theories.

householder monks (lit. "yellow householders")⁶⁶⁵ spread everywhere in mNga'-ris, dBus and gTsang.

All learned ones censure and refute [this doctrine], calling it the "perverted doctrine of the Red Master."

And in the same treatise, Karma-chags-med goes on to describe Vibhūticandra's system as follows.⁶⁶⁶

[According to] the content of the Indian treatise *The Garland of Rays of the Three Vows*

which was composed by the lesser scholar Vibhūticandra,

a disciple of the great scholar Śākyaśrī,

the prātimokṣa vows resemble the stars, the bodhisattva vows resemble the moon,

and the Mantra vows resemble the sun.

[The Vows] exist separately in the mental stream of consciousness of a person.

Even though one [vow] is lost, one does not lose the other [two vows].

Although the moral faults of losing [one's vows] exist separately, breaking a lower [vow] cannot make one fall into the lower realms.

For example, even though the moon and the stars have set,

if the sun is present, darkness cannot cause any harm.

Therefore, if one is able, one should maintain all [vows];

but [if] one is not able [to do so], one [should] adhere to the higher Mantra vows as preeminent ones.

Since the bKa'-brgyud-pas practice this system,

they are a little lax with the Vinaya rules.

These quotations also provide ample reason why it was necessary to systematize sGam-po-pa's teachings and to delimit them at the same time from such teachings as Vibhūticandra's as they were later somewhat unfavourably characterized by

⁶⁶⁵ Tib. *ser khyim pa*, "householder monk," a person who wears the yellow robes of the monks in the monastery, but at the same time has a wife at home. See fn. 32 of the introduction.

⁶⁶⁶ The Tibetan text is (*Ri chos*, p. 74): *paṇ chen shāk shrī slob ma paṇ chung ni// bi bhūti tsantra zhes bya ba yi// mdzad pa'i sdom gsum 'od 'phro rgya gzhung don// so thar skar ma byang sdom zla ba dang // sngags sdom nyi ma dag dang mshungs pa ste// gang zag gcig gi rgyud la so sor yod// gcig de shor yang gzhan kun mi shor ste// shor ba'i nyes ltung so sor yod na yang // 'og ma shor bas ngan 'gror ltung mi nus// dper na zla ba skar ma nub na yang // nyi ma yod na mun pas gnod mi nus// de phyir thub na thams cad srung dgos kyi// ma lcogs sngags sdom gong ma gtso bor 'dzin// bka' brgyud pa mams 'di yi lugs mdzad pas// bka' brgyud pa mams 'dul khrims cung zhig lhod.*

Karma-chags-med, himself an adherent of a bKa'-brgyud-pa school. Although Karma-chags-med lived later than Karma-'phrin-la-pa and Karma-nges-legs, I have no doubt that his view about the being lax with the Vinaya rules of the bKa'-brgyud-pas existed also before him (as can be witnessed in a number of stories related to another much earlier bKa'-brgyud-pa master, i.e. 'Jig-rten-mgon-po of the 'Bri-gung-pas, who is said to have been opposed and even expelled by the monks of Phag-mo-gru when he served as abbot there, because he did not allow the monks to drink alcohol, etc.⁶⁶⁷).

Secondly, we find such terms as "possessing qualities 'upwardly'" (*yon tan yar ldan*), and "outshining" (*zil gyis gnon pa*) also in the rNying-ma-pa tradition as represented by Klong-chen-pa, mNga'-ris Paṇ-chen, and Lo-chen Dharma-shrī. Here, however, these terms are used in a way that is slightly different in emphasis from the teachings of the later masters of the Karma bKa'-brgyud-pas. mNga'-ris Paṇ-chen, for instance, said (fol. 64v):

[Between] worldly [people] and meditators, [and between] meditators, the respectively higher refute the lower through their superior understanding, and thus

the qualities of the lower [ones] are possessed "upwardly," [and the defects of] the lower ones are outshone.

Lo-chen Dharma-shrī, after quoting the *Bodhicaryāvatāra* (ch. IX, 4ab),⁶⁶⁸ which is also cited in Karma-'phrin-las-pa's *Replies to rGya-ston* 5, explains:

Accordingly, because of the fact that the qualities of the lower [vows] are included and completely pure within the higher ones by virtue of [their] increase within the mind [of] the person who is the basis [of the vows], it is not necessary [for such a person] to observe separately that which is to be observed of the prātimokṣa and the bodhisattva [vows], because he is able [to observe them] incidentally if he is capable of keeping the Mantra pledges intact.

Thus Lo-chen Dharma-shrī teaches precisely that sense of inclusion that is, according to Karma-nges-don and Karma-'phrin-las-pa, contained in the explanation of "possessing 'upwardly'" (*yar ldan*) in the *Key to Initiation* (*dBang gi lde'u mig*). This sense of inclusion of the lower within the higher is furthermore coupled with the teaching of a transformation of the vows, as can be seen in Lo-chen Dharma-shrī's teaching on the three vows (part 3), where he states that the vows are "successively transformed from one into the other." While "possessing qualities 'upwardly'" in the Karma bKa'-brgyud-pa tradition, as we have seen, basically

⁶⁶⁷ See fn. 748.

⁶⁶⁸ The famous verse, according to which "the yogis, too, are disproven by the respective higher ones by means of superior understanding."

means that the lower vows may be neglected because the higher ones are more powerful, the rNying-ma-pas teach that it means that the lower vows are maintained incidentally because they are included within the higher.

The presentation of "outshining" is also slightly different in both traditions, since that which is outshone is, according to Lo-chen Dharma-shrī (part 4), the defilements:

Someone who has turned away from concrete desire by outshining the defilements [which are] that which is to be abandoned, will not only avoid the accumulation of the propensities of defilements, which is the purpose, but will also bring the accumulations of merit and gnosis to perfection, and because [such a person] will possess in perfect completeness all moral disciplines and all vows of prātimokṣa and of the bodhisattvas, the qualities will be possessed "upwardly."

Here, however, the difference is even smaller, because in both traditions the outshining is done through the greater means of the Tantric adept (*yogī*): Practicing with skill in means, the Tantric adept avoids being stained through defilements and thus an infraction of the lower vows, too. Therefore, the teaching of the outshining by the later Karma-bka'-brgyud-pa tradition seems to be closer to the teaching of the rNying-ma-pas than to that of Vibhūticandra. The term "outshining" is, as far as I can tell, first attested in the rNying-ma-pa tradition in Klong-chen-pa's *bSam gtan ngal gso*.⁶⁶⁹ In the Karma bKa'-brgyud-pa tradition, it is first attested with the connotation of outshining the moral faults in the replies of Karma-'phrin-las-pa, who attributes these teachings to his teacher the seventh Karma-pa. Karma-nges-legs, however, ascribes these teachings to the third Karma-pa Rang-byung-rdo-rje (1284-1339),⁶⁷⁰ but I was unable to find this doctrine expressed in the few texts of his known to be extant.

It would be a very interesting task for the future to try to trace these terms still further back. My main sources, however, only allow these few preliminary conclusions for the present.

⁶⁶⁹ Klong-chen-pa, *bSam gtan ngal gso*, p. 58.

⁶⁷⁰ The third Karma-pa passed away when Klong-chen-pa was thirty.

The Three-Vow Doctrine of the 'Bri-gung-pa

With this and the following chapter, I intend to present the teachings on the three vows of two more masters from two further traditions, namely, 'Jig-rten-mgon-po of the 'Bri-gung bKa'-brgyud-pa and Lo-chen Dharma-shrī of the rNying-ma-pa. In the previous chapters I have already pointed out the development of the main terms in Go-rams-pa's teachings, which are a refinement of the Sa-skya-pa Grags-pa-rgyal-mtshan's doctrine, and in certain later Karma bKa'-brgyud-pas' teachings, which are a refinement or further development of sGam-po-pa's doctrine. 'Jig-rten-mgon-po shows, by contrast, a quite different approach to the three vows, when compared with the works of sGam-po-pa discussed in chapter 9. Nevertheless, as I have already shown on several occasions, his teachings appear to have influenced the understanding of the later Karma bKa'-brgyud-pas, especially of Karma-'phrin-las-pa and Kong-sprul Blo-gros-mtha'-yas.

14.1. Biographical Notes on 'Jig-rten-mgon-po

'Bri-gung 'Jig-rten-mgon-po (1143-1217) was the founder of one of the most influential traditions of Tibetan Buddhism in the late twelfth and early thirteenth century, namely the 'Bri-gung bKa'-brgyud-pa. He was one of the chief disciples of sGam-po-pa's eminent student Phag-mo-gru-pa. Doctrinally, 'Jig-rten-mgon-po is best known for his propagating a unique cycle of teachings, the *Same Intention* (*Dam chos dgongs pa gcig pa*), which was recorded by his nephew and chief disciple Shes-rab-'byung-gnas.⁶⁷¹ Besides that, he gave numerous instructions of which the public instructions were gathered into his "collected works"⁶⁷² and the personal instructions

⁶⁷¹ For Shes-rab-'bung-gnas, see section 2 of the present chapter, p. 335 f.

⁶⁷² His public instructions are contained in the *Collected Writings of 'Bri-gung Chos-rje 'Jig-rten-mgon-po Rin-chen-dpal*. These shall soon be complemented through a new and careful edition that is presently being carried out under the guidance of H.H. Drikung Chetsang Rinpoche of the Drikung Kagyu Institute, Dehra Dun. The new edition is based on two more handwritten editions of the bKa' 'bum. The first is the sKyob pa'i bka' 'bum, vols. ka-ca and A, 800 folios. We were able to microfilm it for the Nepal-German Manuscript Preservation Project in the spring of 1997 in Dehra Dun (reel nos. DD 17/2-18/1, vol. ka, ga-cha, A, and DD 8/2, vol. kha). It was discovered in the library of the 'Bras spungs-monastery in Lhasa and brought to Dehra Dun as a photocopy. The catalogue (*dkar chag*) was (continued...)

into the two cycles referred to as the *Profound Dharmas* (*zab chos*)⁶⁷³ and the *Special Dharmas* (*khyad chos*).⁶⁷⁴

'Jig-rten-mgon-po was born in Khams in the year 1143 in the 'Brug-rgyal sKyu-ra family.⁶⁷⁵ His father seems to have been a yogi of the rNying-ma tradition. At first he was named rDo-rje-dpal.⁶⁷⁶ In his childhood he received from Rwa-sgreng sGom-chen the "Stages of the Doctrine" (*bstan rim*), the "Stages of the Path" (*lam rim*), and other instructions of the bKa'-gdams-pas.⁶⁷⁷ His most important teacher was Phag-mo-gru-pa rDo-rje-rgyal-po (1110-1170), a direct disciple of sGam-po-pa. He met him in 1167 at the age of twenty-four, when Phag-mo-gru-pa himself was

⁶⁷²(...continued)

filmed separately (reel nos. DD 35/14-18). At that time we were also able to microfilm a newly composed catalogue of the xylographic edition of Khangsar Tulku (reel no. DD 35/19-36/1). This catalogue is valuable since the table of contents which is contained in the xylographic edition is very limited and contains errors. The second manuscript which is being used for the new edition, containing 440 folios, was discovered for the NGMPP by Dr. Klaus Mathes in 1994 during an expedition to Dolpo (reel no. L 546/1-2; Dr. Mathes found out only after the expedition what that manuscript really was; his team had filmed the manuscript with wrong title pages). A partial catalogue of that was filmed in Dehra Dun (reel no. 36/2-3).

⁶⁷³ Parts of the *Profound Dharmas* material were filmed in Dehra Dun (NGMPP, reel no. DD 18/2-19/1): 'Jig rten mgon po'i bri gung pa chen po mnyam med skyob pa rin po che'i zab chos byin rlabs 'od stong 'bar ba'i gsang chen nor bu'i gter mdzod, 244 and 268 folios. A manuscript with *Profound Dharmas* writings that is, because of its numerous corruptions, very unsatisfactory had already been published in 1975, i.e. the 'Bri gung thel chos bdud rtsi'i thigs pa.

⁶⁷⁴ To my knowledge this material is unpublished and has also not been filmed. I do not, however, know the distinction between *Profound* and *Special Dharmas* teachings. The material that has been found by H.H. Chetsang Rinpoche is presently being typed into computer in Dehra Dun.

⁶⁷⁵ According to a short introduction to the sKyu-ra family in dPa'-bo gTsong-lag-phreng-ba, *mKhas pa'i dga' ston*, pp. 821 f., this family goes back to the time of the king Glang-dar-ma, when a woman—nowadays referred to as the female protector A-phyi Chos-kyi-sgrol-ma—gave birth to a son called Nam-mkha'-dbang-phyug. His fourth son, rNal-'byor-pa rDo-rje, was 'Jig-rten-mgon-po's father. His mother's name was Ye-shes-kyi-mkha'-gro-ma Rag-shi-sa bTsun-ma. If, however, A-phyi Chos-kyi-sgrol-ma lived in the ninth century, it is hardly possible that her grandson, who must have lived in the tenth century, was 'Jig-rten-mgon-po's father.

⁶⁷⁶ His childhood name appears to have been dBal-'bar-thar, for which there are many spellings such as "dPal-ba-dar."

⁶⁷⁷ Khetsun Sangpo, vol. 9, pp. 205 ff.

fifty-seven.⁶⁷⁸ At that time 'Jig-rten-mgon-po maintained the vows of a householder and one of the first things he received was the bodhisattva vow ritual, through which he received the name Rin-chen-dpal (*ratnaśrī*). At that time Phag-mo-gru-pa announced that his retinue was now complete and that 'Jig-rten-mgon-po's arrival would enhance the realization of Mahāmudrā in many of his disciples.⁶⁷⁹

The thirty-two months 'Jig-rten-mgon-po spent with Phag-mo-gru-pa are described in some detail by a traditional history quoted in Khetsun Sangpo.⁶⁸⁰ From him he received Mahāmudrā teachings according to the system of Saraha, and many other teachings such as on the Cakrasamvaratantra.⁶⁸¹ Besides Phag-mo-gru-pa and probably other teachers, he also studied the *Path with Its Fruit* (*lam 'bras*) instructions with the master rTsi-lung-pa,⁶⁸² and the four yogas of Mahāmudrā with Jo-sras bTsan-ting, the master rLung-mo-ba and sGam-po-pa's nephew Dwags-po

⁶⁷⁸ dPa'-bo gTsug-lag-phreng-ba, *mKhas pa'i dga' ston*, p. 832.

⁶⁷⁹ 'Gos Lo-tsā-ba, *Deb ther sngon po*, p. 662.

⁶⁸⁰ On Khetsun Sangpo's biographical account, see p. 335. On the time 'Jig-rten-mgon-po spent with Phag-mo-gru-pa, see also dPa'-bo gTsug-lag-phreng-ba, *mKhas pa'i dga' ston*, pp. 823 f.

⁶⁸¹ Khetsun Sangpo, vol. 9, pp. 208 and 210.

⁶⁸² rTsi-lung-pa, another close disciple of Phag-mo-gru-pa, was the founder of 'On and Dol-gyi-rtsi-lung. See 'Gos Lo-tsā-ba, *Deb ther sngon po*, p. 666; dPa'-bo gTsug-lag-phreng-ba, *mKhas pa'i dga' ston*, p. 820. The remark in bsTan-'dzin-pad-ma'i-rgyal-mtshan, '*Bri gung bka' brgyud gser phreng*, fols. 56 f., that these teachings appeared to be artificial (*bcos pa*) and not useful (*ma phan*) to 'Jig-rten-mgon-po is certainly a later sectarian interpretation since 'Jig-rten-mgon-po's own main teacher, Phag-mo-gru-pa, had received the *Lam 'bras* teachings and revered them very much. In fact, one of the volumes of Phag-mo-gru-pa's collected works is completely dedicated to these teachings. This is, according to a catalogue of the golden manuscript of Phag-mo-gru-pa's collected works that was prepared by Tibetan scholars in the Drikung Kagyu Institute in Dehra Dun, and which I was able to copy during our expedition (see fn. 329), volume *nga* with fifteen titles and around 320 folios. For that catalogue, see reel no. DD 5/1. The golden manuscript was filmed by the 1998 Dehra Dun expedition of the NGMPP, reel no. E 3169/1-3171/1. The catalogue of the same collection that was prepared in Beijing (*Shes bya'i gter mdzod*, Mi rigs dpe skrun khang, 1997, pp. 16-28) contains the same titles on the *Lam 'bras* (catalogue no. 003856).

That 'Jig-rten-mgon-po must have also revered rTsi-lung-pa is evident through the circumstances of his full ordination approximately seven years later, in which rTsi-lung-pa was requested to act as the master. See 'Gos Lo-tsā-ba, *Deb ther sngon po*, p. 666, dPa'-bo gTsug-lag-phreng-ba, *mKhas pa'i dga' ston*, p. 820.

sGom-tshul.⁶⁸³

'Jig-rten-mgon-po practiced the following seven years in the E-chung cave,⁶⁸⁴ interrupted once after three years by a pilgrimage to Phag-mo-gru.⁶⁸⁵ After returning to the cave, he fell ill with leprosy and was about to practice the transference of the consciousness (*'pho ba*), when he prostrated to a statue of Avalokiteśvara. Suddenly he was overcome by a great compassion towards all living beings who were not in possession of the precious teachings that he had received. The leprosy left his body in the form of serpent demons (*nāgas*), and he realized insight into dependent origination (*pratītyasamutpāda*) and had a vision of his meditation deity.⁶⁸⁶

Shortly after this, in 1177, 'Jig-rten-mgon-po took up full ordination in order to avoid the approach of a woman.⁶⁸⁷ From dMyal-pa 'Dul-'dzin he received teachings on the Vinaya.⁶⁸⁸ After he went to Zangs-ri and taught the Dharma, he served as abbot in Phag-mo-gru, but the monks were not satisfied with him because

⁶⁸³ Khetsun Sangpo, vol. 9, p. 214; bsTan-'dzin-pad-ma'i-rgyal-mtshan, '*Bri gung bka' brgyud gser phreng*, fol. 56v. The first two teachers are unidentified. sGom-tshul was the eldest son of sGam-po-pa's elder brother rGya-ba-gser-re. He is usually known by his ordination name Tshul-khrims-snying-po. He was the first abbot in sGam-po and founded the monastery 'Tshur lHa-lung. See 'Gos Lo-tsā-ba, *Deb ther sngon po*, pp. 551 ff, and dPa'-bo gTsug-lag-phreng-ba, *mKhas pa'i dga' ston*, pp. 800 ff.; cf. also chapter 9, p. 194.

⁶⁸⁴ dPa'-bo gTsug-lag-phreng-ba, *mKhas pa'i dga' ston*, p. 824: g.Ye-chung; Khetsun Sangpo, vol. 9, p. 214 and bsTan-'dzin-pad-ma'i-rgyal-mtshan, '*Bri gung bka' brgyud gser phreng*, fol. 56v: dBye-chung; Padma-dkar-po, *Chos 'byung*, p. 555: E-chung.

⁶⁸⁵ Khetsun Sangpo, vol. 9, p. 215; bsTan-'dzin-pad-ma'i-rgyal-mtshan, '*Bri gung bka' brgyud gser phreng*, fol. 57r.

⁶⁸⁶ According to dPa'-bo gTsug-lag-phreng-ba, *mKhas pa'i dga' ston*, p. 824, Khetsun Sangpo, vol. 9, p. 214, and bsTan-'dzin-pad-ma'i-rgyal-mtshan, '*Bri gung bka' brgyud gser phreng*, fol. 56v, he was served there at first by bla ma Yal. He realized inner and outer dependent origination and his "winds" (*prāṇa*) entered the central channel (*avadhūti*). Furthermore he had a vision of Tārā. Then he practiced again for two years, fell ill, and then recovered through his realization, whereby his mind attained equality with the mind of all Buddhas (*sangs rgyas thams cad dang dgongs pa mnyam pa nyid du 'gyur*). According to Padma-dkar-po, *Chos 'byung*, p. 556, he realized the "one-taste of meditation" (*sgom ro gcig gi rtogs pa*).

⁶⁸⁷ The version of 'Gos Lo-tsā-ba, *Deb ther sngon po*, p. 703, is supported by Khetsun Sangpo, vol. 9, p. 216, and Padma-dkar-po, *Chos 'byung*, p. 556, but dPa'-bo gTsug-lag-phreng-ba, *mKhas pa'i dga' ston*, p. 824, has a completely different motivation for his ordination.

⁶⁸⁸ Padma-dkar-po, *Chos 'byung*, p. 556, has: "With gNyal-pa 'Dul-'dzin he thoroughly studied the basic Vinaya (*['dul ba] mdo rtsa*)."

he followed the rules of Vinaya very strictly and insisted that others do the same.⁶⁸⁹ Therefore he left Phag-mo-gru in 1179 and proceeded to 'Bri-gung, where he established his main monastery.⁶⁹⁰ Soon the monastery flourished and a vast number of disciples gathered. In the later part of his life, more than fifty-thousand disciples are said to have gathered on one occasion to receive instructions from him. In the late twelfth century he travelled to Dwags-po in order to "help in a famine."⁶⁹¹ He also transferred the religious texts of Phag-mo-gru to Dwags-la-sgam-po⁶⁹² and worked on the renovating of bSam-yas.⁶⁹³

When the first Karma-pa Dus-gsum-mkhyen-pa visited 'Bri-gung, he is said to have recognized 'Jig-rten-mgon-po as the master Nāgārjuna.⁶⁹⁴ A similar story is connected with the younger brother of Kha-che Paṇ-chen Śākyaśrībhadrā, who was ordered by a Ceylonese arhat to convey a flower to "Nāgārjuna in Tibet," who was then discovered by Kha-che Paṇ-chen himself in a vision to be 'Jig-rten-mgon-po of 'Bri-gung. Furthermore, Kha-che Paṇ-chen revealed to his disciple Vibhūticandra that 'Jig-rten-mgon-po was a Buddha.⁶⁹⁵ 'Jig-rten-mgon-po is listed as one of those disciples of Phag-mo-gru-pa who realized the "one-taste (of meditation)" (*ro gcig*), i.e. the sameness of all entities or *dharma*s. Together with sTag-lung-thang-pa he built a temple in Phag-mo-gru (1198). In 1208 he sent sPyan-snga Grags-pa-'byung-gnas to Phag-mo-gru and installed him as abbot there. Grags-pa-'byung-gnas

⁶⁸⁹ See fn. 748.

⁶⁹⁰ But according to dPa'-bo gTsug-lag-phreng-ba, *mKhas pa'i dga' ston*, p. 825, this was only in his thirty-eighth year (*lcags pho byi ba*), which is 1180.

⁶⁹¹ dPa'-bo gTsug-lag-phreng-ba, *mKhas pa'i dga' ston*, p. 826. According to Khetsun Sangpo, vol. 9, p. 217, he remained in Dwags-po as abbot on the request of Dwags-po 'Dul-'dzin and all the monks: *dwags po 'dul 'dzin gyis sgam po'i gdan sa phul zhing grwa pa thams cad kyis gsol ba btab pas gdan sar bzhugs*. But this appears to be a later elaboration. He is neither listed as abbot in dPa'-bo gTsug-lag-phreng-ba, *mKhas pa'i dga' ston*, p. 805, nor in 'Gos Lo-tśā-ba, *Deb ther sngon po*, pp. 551-557, nor in Padma-dkar-po, *Chos 'byung*, pp. 523 f. Shes-rab-'byung-gnas is also reported to have served as abbot in Dwags-la-sgam-po (see the present chapter, section 2). According to Padma-dkar-po, *Chos 'byung*, p. 560, another of his main disciples, namely sPyan-snga Grags-pa-'byung-gnas (1175-1255), accompanied 'Jig-rten-mgon-po to Dwags-po in his seventeenth year (1191). This date is not mentioned in the other sources.

⁶⁹² Which caused heavy disagreement with sTag-lung-thang-pa. See dPa'-bo gTsug-lag-phreng-ba, *mKhas pa'i dga' ston*, p. 826, and 'Gos Lo-tśā-ba, *Deb ther sngon po*, p. 673.

⁶⁹³ 'Gos Lo-tśā-ba, *Deb ther sngon po*, p. 673; Khetsun Sangpo, vol. 9, p. 217.

⁶⁹⁴ See 'Gos Lo-tśā-ba, *Deb ther sngon po*, p. 705.

⁶⁹⁵ See Jackson (1990: 20-21), and (1994: 69 f.); as well as van der Kuip's (1994) significant review of the first. See also chapter 2, section 1 of this book, and Khetsun Sangpo, vol. 9, p. 218.

remained there for twenty-six years, i.e. until about 1234. 'Jig-rten-mgon-po passed away, meanwhile, in 1217.

The earliest biography of 'Jig-rten-mgon-po appears to be the work *Chos rje 'jig rten mgon po'i nam thar rdo rje rin po che 'bar ba* (47 fols.), which was compiled by sPyan-snga 'Grags-pa-'byung-gnas⁶⁹⁶ shortly after 'Jig-rten-mgon-po's passing and includes eyewitness accounts from several of his disciples. Furthermore, there exist several (mostly versified) biographies in the liturgical compilation '*Bri gung bka' brgyud kyi chos spyod rab gsal*'.⁶⁹⁷

1. 'Bri-gung Gling-pa (=Shes-rab-'byung-gnas), *rNam thar phyogs bcu dus gsum gyi don mdor bsdu*s, pp. 153-159. Material related to this versified biography is also found in the *Collected Writings of 'Bri-gung Chos-rje 'Jig-rten-mgon-po Rin-chen-dpal*, vol. 1, pp. 123-180. The verses with a detailed commentary were also published in 1995 by the 'Bri gung bka' brgyud rdo rje dbyings gtsug lag slob gnyer khang: *sKyob pa'i nam thar phyogs bcu dus gsum ma* (52 pp.).

2. Shākya'i dGe-slong Shes-rab-'byung-gnas, *rNam par thar pa zad mi shes pa'i za ma tog gi bkod pa*, pp. 159-173.

3. Shākya'i dGe-slong Shes-rab-'byung-gnas, *rNam par thar pa mthong ba don yod*, pp. 187-211.

4. ? (but probably the same author), *rNam par thar pa rma bya seng ge ma*, pp. 174-187.

Further biographical material is contained in the following later Tibetan histories:

1. 'Gos Lo-tsā-ba gZhon-nu-dpal (1392-1481), *Deb ther sngon po*, pp. 701-707. The corresponding passage in Roerich's translation is on pp. 596-601.

2. dPa'-bo gTsug-lag-phreng-ba (1504-1566), *mKhas pa'i dga' ston*, pp. 821-827. The passage of pp. 1341-1346 is identical with pp. 821 ff., except that the part on pp. 826-827 is not repeated. The repeated version is part of the ninth chapter in the third section of the *mKhas pa'i dga' ston* (*Bod kyi skabs - 'Bri gung bka' rgyud kyi nam thar*). gTsug-lag-'phreng-ba, the author of this work, is said to have spent one year of his life in 'Bri-gung-thil.

3. 'Bri-gung Kun-dga'-rin-chen (1475-1527), *bKa' brgyud bla ma nmams kyi nam thar rin chen gser phreng*, fols. 33v-41r. This text is a part of the *Miscellaneous Writings (bka' 'bum thor bu)* of 'Bri gung Chos rje Kun dga' rin chen, Smanrtsis Shesrig Spenzdod [number not mentioned], Leh, 1972.

⁶⁹⁶ It can be found at the beginning of the first volume of the *dGongs gcig yig cha*, pp. 1-95, published by Tsondu Senghe, see the bibliography for rDo-rje-shes-rab.

⁶⁹⁷ Published by D. Tsondu Senghe, Bir, date unknown.

4. Padma-dkar-po (1527-1592), *Chos 'byung bstan pa'i padma rgyas pa'i nyin byed*, pp. 555-559.

5. The fourth Che-tshang sPrul-sku bsTan-'dzin-padma'i-rgyal-mtshan (1770-1820?), *'Bri gung pa chen po'i gdan rabs chos kyi 'byung tshul gser gyi phreng ba*, fols. 51v-73r. This is a collection of biographies of important 'Bri-gung gurus (who mostly served as abbots) up to the eighteenth century. It is a hand-written manuscript in a special *dbu-med*-style script of Khams. The Tibetan text was also published in 1989 in book form by the Bod ljongs bod yig dpe rnying dpe skrun khang gis bskrun. An abridged account of the life of 'Jig-rten-mgon-po taken from this work was published by Khenpo Könchog Gyaltshen in his *Prayer Flags* (Snow Lion, 1988).

6. Khetsun Sangpo, *Biographical Dictionary of Tibet and Tibetan Buddhism*, vol. 9, pp. 204-220. Khetsun Sangpo, vol. 9, pp. 208-213. Khetsun Sangpo's account is based on the *La dwags 'bri gung dgon sgang sngon bkra shis chos rdzong dgon gyi phyag dpe 'bri gung gser phreng gser chos bris ma*, starting on fol. 47r. This text and its author is unknown to me, but I am informed by Drikung Ontul Rinpoche of Tso Pema (Rewalsar, H.P.) that dGon-sgang-sngon bKra-shis-chos-rdzong is the 'Bri-gung monastery of Phyang, Ladakh. He told me that he has actually seen this text and that it is written in golden letters.

14.2. Biographical Notes on Shes-rab-'byung-gnas (1187-1241)

Shes-rab-'byung-gnas, also known as 'Bri-gung Gling-pa, was probably the most intimate disciple of 'Jig-rten-mgon-po.⁶⁹⁸ After Shes-rab-'byung-gnas had studied intensively for four years with 'Jig-rten-mgon-po, the great master remained from then on behind drawn curtains while his disciple gave all instructions, except only the introduction to the mind alone, which the great master conveyed himself. 'Jig-rten-mgon-po also ordered Shes-rab-'byung-gnas with great insistence to become his successor on the abbatial chair, but Shes-rab-'byung-gnas requested to be allowed to renounce everything and concentrate solely on his practice, a request that was later on granted. It was also Shes-rab-'byung-gnas who collected and compiled the teachings of his guru and who eventually compiled and taught the most important of 'Jig-rten-mgon-po's instructions, namely the *Same Intention*.⁶⁹⁹

⁶⁹⁸ The main source for these notes has been Rin-chen-phun-tshogs (1509-1557), *sPyan snga 'bri gung gling pa'i nam thar snyan pa'i 'brug sgra*, which has been the subject of my MA-Thesis (unpublished). Other works, such as dPa'-bo gTsong-lag-phreng-ba, *mKhas pa'i dga' ston*, and 'Gos Lo-tsa-ba, *Deb ther sngon po*, are identified in the footnotes.

⁶⁹⁹ For the *Same Intention*, see below, section 3 of this chapter.

Shes-rab-'byung-gnas, like his teacher, was born in the sKyu-ra clan⁷⁰⁰ in 1187.⁷⁰¹ From his eighth year onward he learned reading and writing and was particularly known after his thirteenth year for his beautiful voice "which resembled Brahma's speech" (fol. 2r). In his seventeenth year (1203) he met with dPal-ldan Ngad-phu-ba,⁷⁰² whom he attended for four years. During that time he received full ordination and instructions on Mahāmudrā, and he "developed an extraordinary realization free from discursive development." In his twenty-first year (1207), he went to 'Bri-gung and met with 'Jig-rten-mgon-po (fol. 2v).⁷⁰³ The first three years he stayed in Gling-seb⁷⁰⁴ and did not miss a single word of 'Jig-rten-mgon-po's teachings. Without pursuing any other activities, he spent all of his time, except when receiving teachings, in meditation. Probably already during this time 'Jig-rten-gsum-mgon ordered him to engage in the composition of religious texts (fol. 2v).⁷⁰⁵ Around 1209 he became the personal attendant of his teacher and thus the person closest to him. During that time he had the opportunity also to prove his profound learning to his teacher. On one occasion, for example, while he remained behind a curtain while 'Jig-rten-mgon-po was teaching, the teacher could not remember the exact words of a quotation from Dharmakīrti's *Pramāṇavinīś'caya*. Immediately Shes-rab-'byung-gnas spoke the required words behind the curtain, and the teacher became very happy. He bestowed all general and personal instructions upon him (fol. 3r).

⁷⁰⁰ Within the sKyu-ra clan he belonged to the 'O-phrom sept. This had again two branches, i.e. lHa-sgra and lHa-rgod. The former had again two branches, namely rGod and dPal-chen, of which he belonged to the latter. See the *rNam thar*, fol. 1v, 'Gos Lo-tsā-ba, *Deb ther sngon po*, p. 710, and dPa'-bo gTsug-lag-phreng-ba, *mKhas pa'i dga' ston*, p. 828.

⁷⁰¹ The *dbu chen* edition of his *rNam thar* states the years corresponding to 1188, but this is inconsistent with other dates in the text. The *dbu med* edition, fol. 2v, has a note that corrects the mistake. Cf. also dPa'-bo gTsug-lag-phreng-ba, *mKhas pa'i dga' ston*, p. 828, notes that difference and decides, like 'Gos Lo-tsā-ba, *Deb ther sngon po*, p. 711, for 1187.

⁷⁰² He is mentioned in bsTan-'dzin Padma'i-rgyal-mtshan, '*Bri gung bka' brgyud gser phreng*, p. 145, as a disciple of 'Jig-rten-mgon-po. According to bsTan-'dzin-chos-kyi-blo-gros, *Gangs ri chen po ti se lo rgyus*, fol. 26r, he was sent by 'Jig-rten-mgon-po as the leader of the first 'Bri-gung-pa expedition to mount Kailash. Since 'Gos-lo-tsā-ba, *Deb ther sngon po*, p. 711, mentions the year of his passing as being 1206 (and dPa'-bo gTsug-lag-phreng-ba, *mKhas pa'i dga' ston*, indirectly, too, on p. 829), and since Shes-rab-'byung-gnas stayed with him between 1203 and 1206, this first expedition dates probably before 1203. Shes-rab-'byung-gnas' biography does not mention the death of his teacher.

⁷⁰³ The *dbu chen* edition of the *rNam thar* has "thirty-first," but all other sources, including the *dbu med* edition, fol. 3r, have "twenty-first." "Thirty-first" is impossible, since that would have been 1217, i.e. the year of 'Jig-rten-mgon-po's passing.

⁷⁰⁴ Unidentified, but probably a temple close to the main monastery.

⁷⁰⁵ See also dPa'-bo gTsug-lag-phreng-ba, *mKhas pa'i dga' ston*, p. 829.

Furthermore, when 'Jig-rten-mgon-po taught the Dharma to the assembly, by a mere glance from the side of his eyes he could have Shes-rab-'byung-gnas continue the teaching of any Dharma he wished (fol. 4r). From 'Jig-rten-mgon-po's seventieth year onwards (1213), the master stayed within a drawn curtain, and all instructions were given by Shes-rab-'byung-gnas, except for the introduction to the nature of mind, the sole teaching that 'Jig-rten-mgon-po continued to give (fol. 4r). 'Jig-rten-mgon-po taught him many special doctrines such as the *Same Intention* (*dGongs pa gcig pa*), without it being noticed by others. He also ordered him with great insistence to serve as his successor, but Shes-rab-'byung-gnas requested to be allowed solely to practice,⁷⁰⁶ which later he was permitted to do (fol. 5v). When Shes-rab-'byung-gnas was in his thirty-first year (1217), 'Jig-rten-mgon-po passed away and the disciple performed the consecration ritual for his reliquary stūpa (fol. 6r-v).

In the following year (1218), Shes-rab-'byung-gnas travelled to gNam-mtsho'i-do and then for seven years (1219-25) to Ti-se, where he spent his time composing religious texts and meditating (fols. 6v ff.). In his thirty-ninth year (1225), he returned to 'Bri-gung (fol. 9v).⁷⁰⁷ During that time he erected many statues and transmitted the special and profound Dharmas (*khyad dang zab chos du ma'i gsung rgyun*). In the same year, he proceeded to lHo-brag and upper bSam-yas with a group of fourteen students. There he also met Sa-skya Paṇḍita and stayed with him for two days (fols. 9v-10r).⁷⁰⁸ In 1226 he proceeded from dGon-dkar to mKhar-chu, where he had spent most of the winter. While he remained there he wrote down the special Dharma (i.e. the *Same Intention*) of one-hundred ninety vajra utterances.⁷⁰⁹

⁷⁰⁶ Cf. 'Gos Lo-tsā-ba, *Deb ther sngon po*, p. 712, and dPa'-bo gTsug-lag-phreng-ba, *mKhas pa'i dga' ston*, p. 830.

⁷⁰⁷ See also 'Gos Lo-tsā-ba, *Deb ther sngon po*, p. 712, and dPa'-bo gTsug-lag-phreng-ba, *mKhas pa'i dga' ston*, p. 830.

⁷⁰⁸ See also 'Gos Lo-tsā-ba, *Deb ther sngon po*, p. 712, dPa'-bo gTsug-lag-phreng-ba, *mKhas pa'i dga' ston*, p. 830.

⁷⁰⁹ The meaning of the term "vajra utterance" (*rdo rje'i gsung*) has been explained by mKhan-po dKon-mchog-rgyal-mtshan in his introduction to Rig-'dzin Chos-kyi-grags-pa's commentary to the *Same Intention*, the *dGongs gcig 'grel ba nyi ma snang ba*, in the following way (p. 12 f.): "The meaning of the term "vajra utterance" is this: Since [such a statement] can not be separated from the absolute nature [of] the dependent origination [of] all phenomena, it is called a "vajra;" since it cannot turn from that natural state to something else, it is called a "vajra;" since it is difficult to make it the object of the intellectual sphere of dialecticians, it is called a "vajra;" and since it totally uproots not realizing, erroneous notions, and doubt—the huge mountain of ignorant error, it is called a "vajra." (*rdo rje'i gsung zhes pa'i go don ni/ chos kun rten 'brel rang babs la mi phyed pas na rdo rje/ gshis* (continued...))

Thereafter he condensed and arranged them as one-hundred fifty vajra utterances (fol. 10v).⁷¹⁰ In the following years until his death in 1241, he travelled to many different places in southern dBus province and taught the *Same Intention* as well as other teachings and composed numerous religious texts.⁷¹¹

Works of Shes-rab-'byung-gnas Listed in his Biography

- 1219-25 *rJe gsum ldan ma'i gsol 'debs* (fol. 7v)
rNam thar phyogs bcu dus gsum ma'i lha (fol. 7v)
rNam thar rma bya seng ge ma (fol. 7v)
Ma dros mtsho 'gram ma'i bstod pa (fol. 9r)
- 1225 *Ri bo mchog ma'i bstod pa* (fol. 9r)
- 1226 *bSlab khog gi rtsom pa* (fol. 10r)
Dam chos dgongs pa gcig pa (fol. 10v)
- 1227-34 *gNang bas gangs pa'i chos bcu* (fol. 11r)
Theg pa chen po'i snying po (fol. 11r)
Ber ka gcig rten ma (fol. 11v)
- 1234 *Ri bo mchog rab ma* (fol. 12r)
sGam po gsol 'debs ma (fol. 12r)
'Og min ltar bstod pa (fol. 12r)
bDe ba can ltar bstod pa (fol. 12r)
- 1235-41 *Theg pa chen po'i tshul* (fol. 12v)
Rab gnas sa bcu pa (fol. 12v)
Chos zhag nyer bdun (fol. 12v)
 two "Zhus lan" (fol. 13r)
Ye shes kyi sgron ma (fol. 13r)

Except for the *Same Intention*, the *rNam thar phyogs bcu dus gsum ma* and the *rNam thar ma bya seng ge ma*, none of these works have been located so far, but there does exist a *bKa' 'bum*.⁷¹² In addition to those works listed in his *rNam thar*, I have

⁷⁰⁹ (...continued)

de las gzhan du 'gyur du med pas na rdo rje/ rtog ge mkhan gyi blo spyod yul du gyur dka' bas na rdo rje/ de nyid rtogs na ma rtogs log rtog the tshom ste ma rig 'khrul pa'i ri bo chen po rtsad as 'byin pas na rdo rje zhes so//).

⁷¹⁰ See also 'Gos Lo-tsa-ba, *Deb ther sngon po*, p. 713, and dPa'-bo gTsug-lag-phreng-ba, *mKhas pa'i dga' ston*, pp. 830 f.

⁷¹¹ 'Gos Lo-tsa-ba, *Deb ther sngon po*, p. 713, says: "He remained eight winters in mKhar-chu. The first of eight summers he remained in Gro-bo-lung. The six [summers] after that he remained in Ya-rgyal. In the last [of the eight summers] he came to Yar-'brog."

⁷¹² *rJe spyan snga rin po che'i bka' 'bum*, 285+2 folios in *dbu med* script, NGMPP reel (continued...)

found four other works composed by Shes-rab-'byung-gnas (see "Works Located" below):

rNam thar mthong ba don yod pa, 25 pp.

rNam par thar pa zad mi shes pa'i za ma tog gi bkod pa, 15 pp.

Chos rje 'jig rten gsum gyi dgon la nges pa don gyi bstod, 8 pp.

Sems bskyed kyi cho ga bsil byed tsan dan gyi phreng ba dge slong sher 'byung gis mdzad pa, 257 pp.

Works Located

dGongs gcig yig cha [= *Dam chos dgongs pa gcig pa*]. See bibliography for rDo-rje-shes-rab.

rNam thar phyogs bcu dus gsum ma'i lha. In: *Collected Writings of 'Bri-gung Chos-rje 'Jig-rten-mgon-po Rin-chen-dpal*, vol.1, pp. 123-180.

rNam thar rma bya seng ge ma. In: *Collected writings of 'Bri-gung Chos-rje 'Jig-rten-mgon-po Rin-chen-dpal*, vol. 1, pp. 10-26. It can also be found in the '*Bri gung bka' brgyud kyi chos spyod rab gsal*, pp. 174-187.

rNam thar mthong ba don yod pa. In: '*Bri gung bka' brgyud kyi chos spyod rab gsal*, pp. 187-211.

rNam par thar pa zad mi shes pa'i za ma tog gi bkod pa. In: '*Bri gung bka' brgyud kyi chos spyod rab gsal*, pp. 159-173.

Sems bskyed kyi cho ga bsil byed tsan dan gyi phreng ba dge slong sher 'byung gis mdzad pa. In: '*Bri gung thun mtshams chos spyod*, pp. 367-523.

The Nges don gyi bstod pa, 4 fols., private photocopy of a blockprint.

In addition, a collection of works of Shes-rab-'byung-gnas has been filmed by the NGMPP during the Dehra Dun expedition,⁷¹³ though until now I have not yet had the opportunity to investigate that collection.

14.3. The Same Intention (*dGongs pa gcig pa*)

The *Same Intention* contains, according to Shes-rab-'byung-gnas's biography, special teachings that were, on several special occasions, given to him alone. It consists of 150 vajra utterances with forty supplements (*lhan thabs*), which were written down by Shes-rab-'byung-gnas in 1226 and later divided by him into seven chapters:⁷¹⁴

1. *Chos kyi 'khor lo spyi'i gnad bsdu*. "Summary of the Vital Points of the Wheels of Dharma in General."

⁷¹²(...continued)

no. DD 8/3-9/1.

⁷¹³ For the microfilmed *bKa' 'bum*, see fn. 712.

⁷¹⁴ For a short introduction to the structure of the *Same Intention*, see Dan Martin (1997b: 264 ff.).

2. *Kun la phan pa rten 'brel gyi gnad bsds*. "Summary of the Vital Points of Dependent Origination, [which] is of Benefit for All."
3. *'Dul ba so sor thar pa'i gnad bsds*. "Summary of the Vital Points of the Vinaya Prātimokṣa."
4. *bSlab pa byang sems gnad bsds*. "Summary of the Vital Points of the Bodhisattva Training."
5. *gSang sngags rig 'dzin sdom pa*. "The Vows of the Tantric Adepts."
6. *Khyad par lta sgom spyod pa*. "The Special View, Practice, and Conduct."
7. *'Bras bu sangs rgyas kyi sa*. "The Resultant Buddha-*bhūmi*."

These vajra utterances⁷¹⁵ are held to express doctrines that have the same (*gcig pa*) intention (*dgongs pa*) as the Buddha's, and they are formulated often in an almost provocative manner, sometimes apparently contradicting such standard treatises as for example the *Abhidharmakośa*.⁷¹⁶ The *Same Intention* therefore attracted the attention of numerous contemporary and later Tibetan scholars, who, not surprisingly, did not always view its contents in an approving manner.⁷¹⁷ The entire cycle is much too complex to be analyzed here, since in most cases a single vajra utterance cannot be fully appreciated without the context of a number of other vajra utterances. I hope, however, to be able to produce such an analysis in the future.

The earliest available commentary on the *Same Intention* was composed by one of Shes-rab-'byung-gnas' disciples, namely rDo-rje-shes-rab.⁷¹⁸ Another first

⁷¹⁵ For the meaning of the term "vajra utterance" see fn. 709.

⁷¹⁶ The interpretation of "*dGongs pa gcig pa*" as "[Having] The Same Intention [as the Buddhas]" is based on oral communications of mKhan-po dKon-mchog-rgyal-mtshan and Mr. Ngawang Tsering. Their interpretation is probably again based on such statements as the following one from the *Dam chos dgongs pa gcig pa'i khog dbub* (rDo-rje-shes-rab, vol. 1, fol. *kha* 1r), according to which the author "is going to write down a brief summary from the teachings [of] the precious [one, i.e. 'Jig-rten-mgon-po, namely] the intention of all Buddhas of the three times, ... [in] the manner of one-hundred and ninety statements [accepted as that of my own tradition]" (*ji snyed dus gsum rgyal ba thams cad kyi// thugs dgongs bla med khyad par 'phags pa nams// brgya dang dgu bcur mam grangs bzhed pa'i tshul// rin chen gsung las mdor bsds bri bar bya//*).

⁷¹⁷ A number of commentaries on Sa-paṅ's *Clear Differentiation of the Three Vows* identified the "Bri-gung-pas" as one of the main opponents criticized, referring especially to certain teachings in the *Same Intention*.

⁷¹⁸ For full references to all the commentaries mentioned here, see the bibliography. rDo-rje-shes-rab is also said to have been a disciple of 'Jig-rten-mgon-po by bsTan-'dzin Padma'i-rgyal-mtshan, '*Bri gung bka' brgyud gser 'phreng*, p. 105. Dan Martin (1997b: 292, n. 15) has provisionally dated rDo-rje-shes-rab's commentary to 1267. If that is accurate, he must

(continued...)

generation commentary, the *Rin byang ma*, has recently surfaced in India and will probably be published within the next years.⁷¹⁹ In the sixteenth century the eighth Karma-pa Mi-bskyod-rdo-rje (1507-1555) composed a large commentary, and in the seventeenth century the 'Bri-gung-pa master Rig-'dzin-chos-kyi-grags-pa (1595-1659) produced one commentary in 1633, and his elder brother, the sixth Zhwa-dmar-pa Ngag-dbang-chos-kyi-dbang-phyug (1584-1635) another one. A short commentary was composed by rTogs-ldan Rin-po-che dKon-mchog-thub-bstan in the twentieth century. Beyond these few examples, there existed also many other commentaries that are presently unavailable and perhaps even no longer extant.

14.4. The Three-Vow Theory of the *Same Intention*

For my presentation of 'Jig-rten-mgon-po's doctrine of the three vows according to the *Same Intention* I have used the commentary by rDo-rje-shes-rab (on which see the bibliography). The 1976 edition of the same commentary by Kunsang Topgey, Thimphu, Bhutan, has been consulted, but this manuscript from the eighteenth century is only a copy from the block prints of 'Bri-gung-thil that were also used by Tsondu Senghe for his publication of rDo-rje-shes-rab's commentary one year earlier. It has some minor orthographic variants, but otherwise the text is identical, even down to the same spelling mistakes.

As was mentioned in section 3 above, the *Same Intention* contains as chapters 3 to 5 what amounts to a three-vow treatise of its own. But general remarks about the three vows can also be found in other chapters, such as in chapter 1. I have selected four vajra utterances from chapters 1 and 5 of rDo-rje-shes-rab's commentary for translation, because these contain the most important principles of 'Jig-rten-mgon-po's teachings on the three vows. The first two are concerned with the thing to be abandoned through all three vows, which is the same vital point as in abandoning the non-virtues (*Same Intention*, ch. I, no. 24), and with the fact that the vows become three because the possessor has changed (ch. I, no. 25). These vital points were later also discussed by Karma-'phrin-las-pa in his *Replies to Ngo-gro* (section 6 and 7).⁷²⁰ The other two vajra utterances are especially concerned with Mantra practice. The first one (ch. V, no. 23) states that there exists no occasion where a non-virtue of the Mantra becomes virtue. This is to say that the defilements, which are only of a temporary nature, lack an established ground, while the qualities

⁷¹⁸(...continued)

have been quite young at the time of 'Jig-rten-mgon-po's death in 1217.

⁷¹⁹ The author of the *Rin byang ma* is stated in bsTan-'dzin Padma'i-rgyal-mtshan, '*Bri gung bka' brgyud gser 'phreng*, p. 105, to have been Thub-pa bSod-snyoms, one of the younger brothers of Shes-rab-'byung-gnas, whose religious name was Rin-chen-byang-chub.

⁷²⁰ See chapter 10, section 3.2 and chapter 11, section 2 of this book.

of awakening, which exist from the beginning, are not like that. Therefore the defilements are *not* transformed into the qualities. The second vajra utterance (ch. V, no. 24) therefore states that that which is non-virtue in the Vinaya is also non-virtue in the Mantra. One of the main points made here is that the teachings of the tantras must not be taken literally, but that they need to be interpreted.

In general, the main modern representative of the 'Bri-gung-pa school, H.H. Chetsang Rinpoche, believes that each single vajra utterance can be traced back to the teachings of Tilopa and Nāropa. Although I did not have the opportunity to benefit from Chetsang Rinpoche's profound knowledge of the *Same Intention* in greater detail, I have found evidence myself that 'Jig-rten-mgon-po's teachings concerning the three vows go back at least to the instructions of his guru Phag-mo-gru-pa, since he mentions him several times as the master from whom he received those very teachings.⁷²¹

14.5. Topical Outline of 'Jig-rten-mgon-po's Relevant Vajra Utterances

'Jig-rten-mgon-po says in his vajra utterance (1.24): "[Regarding all] three vows, the thing to be abandoned is the same vital point [as] in abandoning the ten non-virtues." rDo-rje-shes-rab begins his commentary on this vajra utterance with the remark that all the Buddha's teachings, if summarized as practice, are included within the three vows.⁷²² Then he presents some general teachings on the three vows from canonical sources, including a rNying-ma-pa tantra,⁷²³ which he apparently thinks were misunderstood and which he therefore wishes to contrast with his master's teaching. He quotes, for example, a verse according to which "the Sage allowed the seven non-virtues of body and speech for the great beings [i.e. bodhisattvas]"⁷²⁴ and Śāntideva's *Bodhicaryāvatāra* (ch. V, v. 84), according to

⁷²¹ This becomes especially clear in 'Jig-rten-mgon-po, *sDom gsum gnad gcig*, where he attributes the teaching that "the three vows have the same vital point, which is [also] possessed 'upwardly'" to his teacher (fol. 2v: *da res 'dir ni dpal phag mo gru pa'i bzhed pa sdom pa gsum gnad gcig yar ldan yin te*). The same statement can also be found on fol. 3v of the same work: *de ltar na rje phag mo gru pa'i thugs dgongs sdom gsum gnad gcig yar ldan yin no*.

⁷²² Go-rams-pa makes the same statement in the beginning of *General Topics*, fol. 4r. See chapter 2, section 5.

⁷²³ In general, the rNying-ma-pa tantras were, along with several other disputed doctrines such as the tradition of the "adept Vajrapāṇi" and certain teachings of "mental inactivity" (*amanasikāra*), accepted by 'Jig-rten-gsum-mgon as "[genuine] Dharma." See 'Jig-rten-mgon-po, *Collected Writings of 'Bri-gung Chos-rje*, vol. ka, no. 13, *Dad ldan yid kyi dga ston nam yon tan rin po che dpag tu med pa bskyed par byed pa'i man ngag bsil byed tsan dan gyi phreng ba*, p. 231.

⁷²⁴ This verse is unidentified, but cf. Go-rams-pa, *General Topics*, fol. 79v, in the section (continued...)

which "the Merciful-One [the Buddha] through far-sightedness allowed them [i.e. the bodhisattvas] even those things that were prohibited [for others]." ⁷²⁵ For the vows of the Tantric adepts, he refers in particular to a passage from a rNying-ma-pa tantra, ⁷²⁶ which was apparently understood by certain rNying-ma-pas as advocating the view that everything one does is purely virtuous after one has realized the sense of the primordial complete purity of the ultimate reality of all phenomena, i.e. reality or emptiness, through abandoning chiefly the defilement of ignorance. ⁷²⁷

To avoid any misunderstanding in this regard, 'Jig-rten-mgon-po stated that it is impossible for any vow from among the three vows to become an obstacle for some people and a supramundane achievement (*siddhi*) for others. This is to say that, for example, "killing" cannot be, on the one hand, a means to attain supramundane achievements for the Tantric adept, while being an obstacle for the practitioner of prātimokṣa, or, *vice versa*, that "abandoning killing" could be a virtuous activity for the practitioner of prātimokṣa, leading to birth in higher spheres of existences, while that same abandoning could be an obstacle on the Tantric adept's path. The reason for this is "the vital points of the Buddha's attainment of the gnosis that perceives what is and what is not appropriate and [his] four kinds of fearlessness." The first, namely "the gnosis that perceives what is and what is not appropriate" (Skt. *sīhānāsthānājñānabalām*, Tib. *gnas dang gnas ma yin pa mkhyen pa'i stobs*) is the first of the "ten powers of the Tathāgata" (*daśatahāgatabalāni*). This power is in general explained as the knowledge that karma and defilement are the cause of the

⁷²⁴(...continued)

through which he presents his own tradition: "When there exists a special purpose such as its being for the benefit of sentient beings, even all restricted things for body and speech are allowed for such a person who is the basis (...);" (*sems can gyi don du 'gyur pa sogs dgos pa khyad pa can yod pa'i tshe rten gyi gang zag de lta bu la lus ngag gi bcas pa thams cad kyang gñang ste*); and, specifically with regard to killing, stealing, sexual misconduct, and telling lies, see Lo-chen Dharma-shrī, *Commentary on the "Clear Comprehension"*, fol. 306r: "Similarly they are also not incompatible with the bodhisattva vows, because [such activities] are endowed with compassion that wishes to benefit others and with special means, for [activities] possessing these two [i.e. compassion and special means] are allowed for bodhisattvas;" (*de bzhin du gñan la phan 'dogs kyi snying rje dang thabs khyad par can gyis zin pas byang sdom dang yang 'gal ba med de/ de gnyis dang ldan pa ni byang chub sems dpa' mams la'ang gñang ba'i phyir*).

⁷²⁵ This verse is also cited by both Go-rams-pa and Lo-chen Dharma-shrī in the above quoted passages. See previous note.

⁷²⁶ The *Sangs rgyas kun gyi dgongs pa 'dus pa'i mdo*, see Eichī Kaneko (1982), catalogue no. 160.

⁷²⁷ The view criticized here and connected to "certain rNying-ma-pas" has yet to be identified.

birth of beings, and that a self and a creator (*īśvara*) are not that cause, and that it is possible that higher spheres arise through virtue, but impossible that lower spheres arise through it, etc.⁷²⁸ Thus in the terms stated above, namely that a vow cannot become an obstacle for some people and a supramundane achievement for others, 'Jig-rten-mgon-po makes the point that non-virtue such as "killing" is not only an "obstacle" and not a "supramundane achievement" in the practice of *prātimokṣa*, but that this is also valid for the Mantra path.⁷²⁹

The second reason for the impossibility for an obstacle in one Vehicle becoming a supramundane achievement in another one stated by 'Jig-rten-mgon-po above is "the vital points of the Buddha's attainment of (...) his four kinds of fearlessness." These "four kinds of fearlessness" (Tib. *mi 'jigs pa bzhi*, Skt. *catvāri vaiśāradyāni*)⁷³⁰ are referred to in at least three basic works: The *Abhidharmakośabhāṣya*, the *Mahāyānaśāṅgraha*, and the *Ratnagotravibhāga*.⁷³¹

<i>Abhidharmakośa-bhāṣya</i> P 266-2-3; Pradhan (414, 3 ff.)	<i>Mahāyānaśāṅgraha</i> see Griffiths (1989: 322)	<i>Ratnagotravibhāga</i> III, 8; see Takasaki (1966: 214), P 28-5-2
<i>mngon par rdzogs par sangs rgyas par gyur pa</i>	<i>ye shes: nga yang dag par rdzogs pa'i sangs rgyas pa</i>	<i>chos kun rdzogs par byang chub</i>
<i>zag pa zad par gyur pa</i>	<i>spangs pa: zag pa 'di dag spangs so</i>	<i>gegs ni 'gog par byed pa (vibandhapratishedhana)</i>
<i>nyan thos mams la bar du gcod pa'i chos su gsungs pa</i>	<i>bgegs byed de dag nyid la bar du gcod pa'i chos gang dag bstan pa</i>	<i>lam ston pa (mārgākhyāna)</i>

⁷²⁸ Tibetan-Chinese Dictionary, p. 1546: *las dang nyon mongs pa ni sems can skyed pa'i rgyu yin par shes la bdag dang byed pa dang dbang phyug la sogs pa ni sems can skyed pa'i rgyu ma yin par mkhyen pa dang / dge bas mtho ris su skyed ba'i rgyu gnas yin la/ mi dge ba spyad pas ngan song du skyed ba'i gnas yin la/ des mtho ris su skyed ba'i gnas ma yin par mkhyen pa sogs so*. The same point is also referred to in the vajra utterances 23 and 24 of ch. V of the *Same Intention*. See for the ten powers, *Mahāvīyutpatti*, nos. 119-129. Cf. *Ratnagotravibhāga*, ch. XV, v. 2-5; Takasaki (1966: pp. 338 f.); *Abhidharmakośabhāṣya*, Pradhan (1967: 411, 133; 414, 3).

⁷²⁹ See also the vajra utterance no. 24 of ch. V below, according to which non-virtue in the Vinaya is non-virtue also in Mantra.

⁷³⁰ See *Mahāvīyutpatti*, nos. 130-134.

⁷³¹ The first point of the *Abhidharmakośabhāṣya* is the same as in the *Ratnagotravibhāga*, point 2 equals point 4, 3 equals 2, and 4 equals 3.

<i>nyan thos nmams kyi nges par 'byung ba'i lam du gsungs pa</i>	<i>nges 'byung ngas nyan thos nmams la nges par 'byung ba'i chos gang dag bshad pa</i>	<i>'gog thob (nirodhāpti)</i>
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Unfortunately rDo-rje-shes-rab does not explain in any detail the meaning of his reference to the "four kinds of fearlessness." Judging, however, from his reference to the first of the "ten powers of the Tathāgata," it appears that he wants to show the purpose of the vows of both Mahāyāna and Mantra to be nothing but the elimination of the defilements. Therefore he says that "since those ten non-virtues are included within the three poisons, there is no differentiation of the ways of abandoning into main and secondary." Thus the thing to be abandoned in all three vows is the same vital point as in abandoning the ten non-virtues.

After stating by means of three quotations that the ten non-virtues are to be abandoned through prātimokṣa, Mahāyāna, and Mantra alike, and after presenting an etymology for the Buddha's designation "*bcom ldan 'das*" that explains "*bcom*" in terms of being "victorious [over] the four māras," one of which is the māra of defilement, rDo-rje-shes-rab launches into a detailed explanation of the karmic results and ripenings of the ten non-virtues and the ten virtues. At the end of his commentary on this vajra utterance, he takes the example of abandoning killing and shows again how this is contained in all the three vows: It is one of the four fundamentals of prātimokṣa, the respective cause and nature for the two kinds of resolve for awakening, and also contained within the second pledge of Mantra, namely not to "transgress against the teachings of the Sugata."⁷³²

The next vajra utterance (1.25) is: The vows become three because the possessor has changed. rDo-rje-shes-rab begins his commentary with the assertion that the sense of the Buddha's teachings, if summarized as practice, is the three vows. This is certainly accepted in all Tibetan traditions, but it is nevertheless remarkable that it is spelled out here so clearly: The three vows are the vital point of Buddhist practice. Having thus defined the value and importance of the three vows, he cites three statements by unidentified persons who attempt to explain the reason for the vows becoming three. Some appear to emphasize the incompatibility of the things

⁷³² "Abandoning killing," being one of the fundamentals of the prātimokṣa, is "the cause for the preliminary resolve for awakening" (*praṇidhi*), because that resolve arises only on the basis of the prātimokṣa, and it is the "nature of the actualization of the resolve for awakening (*prasthāna*) vow," since "abandoning killing" is a part of "benefitting beings." For the second pledge of Mantra, see Aśvaghoṣa, *Vajrayānamūlāpatti*.

to be abandoned, presumably the non-virtues of body and speech in the *prātimokṣa*, of mind for the *bodhisattva*, and perhaps the mental constructions for the Tantric adept. Others say they arise as three for the three different things to be achieved, namely the practices (of auditors, *bodhisattvas*, and Tantric adepts). And still others say they arise as three for the three incompatible things to be obtained, namely the spiritual results (of auditors, *bodhisattvas*, and Tantric adepts). Therefore, such people say, the specifics (*khyad par*) of the three vows are differentiated. And this they understand as the reason for the teaching that the vows are to be individually obtained and continued, and that they are to be observed as entrusted.

What is criticized here by 'Jig-rten-mgon-po is the first conclusion, namely that the specifics of the vows are differentiated, and also the reasons given for that. The second conclusion, namely that the vows are to be obtained and continued individually, and that they are to be observed as entrusted ('*chol par bsrung*'), is not opposed here, although I have elsewhere seen a passage where 'Jig-rten-mgon-po said that he does not maintain "*chol par bsrung*," which in that context probably was understood as "mixed observance."⁷³³ Thus what is primarily opposed in this *vajra* utterance is any differentiation with regard to the three vows of the thing to be abandoned and the thing to be achieved. He says: "All three vows remove the ten non-virtues together with anything of their kind, and [they] achieve the ten virtues together with anything of their kind. And therefore the nature of the three vows is not to be even slightly differentiated."

Even though the thing to be observed is therefore the same in all three vows, the vows become three through the differences of the changing possessor. As an illustration, the example is given of one and the same ornament that is hung around the neck of a common subject, a minister, and a king. Even though there is no difference with regard to the nature of that ornament, its functioning is different in that it can be touched by everyone in the first case, it is not to be touched by common people in the second case, and although it will be looked at by others in the third case, it is not to be touched by anyone except the king. This example appears to be a slightly refined version of the example that is ascribed by Kong-sprul to the Indian master Abhayākara-gupta.⁷³⁴ According to Kong-sprul:

Even though the three vows are, with regard to their resolution to abandon, of a similar kind, they have different aspects (*rnam pa*), as a gold ornament is different as an ornament of the head, of the feet, and of the hands, even though it is of the same kind in being gold.

We do indeed find the "different aspects/same kind" argumentation in

⁷³³ The *sDom gsum gnad gcig*, in: '*Bri gung thel Chos bDud rtsi'i Thigs pa*, vol. 1, fol. 2v; cf. chapter 9, p. 191.

⁷³⁴ See Kong-sprul, *Pervading All Objects of Knowledge* 5.4.2.2.2.1.

Abhayākara Gupta's *Munimatālaṅkāra*. The vows "arise as something other and persist differently, like different ornaments on one support," but "they are not in the least to be differentiated through their abandonings such as [the abandoning of] killing ..., like many golden ornaments."⁷³⁵ There is a small difference between Kong-sprul's and 'Jig-rten-mgon-po's examples, and Abhayākara Gupta's text, namely that the latter does not speak of different locations for the gold ornament, while 'Jig-rten-mgon-po and Kong-sprul do. 'Jig-rten-mgon-po has refined the example by explaining that the vows are taken by people with different abilities; hence the "king, minister, and subjects" of his example.

Furthermore, the functioning of the ten virtues is also illustrated through food that is eaten by different persons. If it is eaten by a servant, he will perform his duties. If it is eaten by a minister or a strong young man, he will perform the action of sustaining life or subduing enemies. If it is eaten by a king or by a spiritual teacher, he will establish benefits and happiness for the country or kingdom. Thus there are different systems of abandoning and establishing for the three persons who practice the vows. Accordingly, the results (of the three vows) will also be individually obtained. But there is not the slightest distinction with regard to that which is guarded against.

The next **vajra utterance (5.23)** states: "There exists no occasion where a non-virtue of the Mantra becomes virtue." Here the main topic is that some people maintain in general that Mantra belongs to the path that transforms the basis through skill in means, i.e. that through Mantra practice each defilement is transformed into its respective gnosis (*jñāna*). There are also two other opinions mentioned, according to which Mantra belongs to the path that abandons the basis, or that no other condition is necessary for liberation than to understand the nature of the basis, i.e. emptiness.

Of these positions the first, namely the transformation of the basis, is, in specific contexts, a fairly standard Tantric theory. Within the bKa'-brgyud-pas, for example, we find already in sGam-po-pa's writings the teaching that the Perfections Vehicle is a path that eliminates the basis (*gzhi spong ba'i lam*) and the Mantra Vehicle a path that transforms the basis (*gzhi sgyur ba*).⁷³⁶ There, however, this teaching was to be understood in its specific context, namely the pointing out of the

⁷³⁵ Abhayākara Gupta, *Munimatālaṅkāra*, D vol. 72, no. 3903, fols. 89r f.; P vol. 101, no. 5299, 155/4/7 f.). The Tibetan text is according to D (fol. 89r): *de mams gzhan nyid skye zhing tha dad nyid du gnas pa rten gcig la mam pa sna tshogs pa'i rgyan ltar yin* (in the original text posed as a qualm; but accepted); (fol. 89v): *srog gcod pa la sogs pa spong ba nyid kyes na khyad par cung cad kyang med de ... gser gyi rgyan du ma bzhin no*.

⁷³⁶ sGam-po-pa, *Collected Works*, vol. 1, p. 268. See also Jackson (1994: 27).

superiority of Mantra. Furthermore, in the same teaching sGam-po-pa shows the Mahāmudrā at the pinnacle, since the Mahāmudrā of the highest Mantra or the point of the Great Perfection (*rdzogs pa chen po'i don*) is a path that knows the defilements as the basis of the great gnosis, a position which has certain similarities with the third "position of others" in rDo-rje-shes-rab's commentary, which is there ascribed to "some rNying-ma-pas." In the *Same Intention*, however, these positions are presented as general statements without the specific framework of, for example, sGam-po-pa's teaching above.

With regard to these statements, 'Jig-rten-mgon-po taught that they are not true Mantra. According to him, both the Perfections and Mantra belong to the path that purifies the basis (*gzhi sbyong ba'i lam pa yin*), because the Buddha taught that one possesses from the beginning "the wheel of maṇḍala of the Lord Buddha" that is "obscured by adventitious defilements" (*glo bur gyi dri ma*), and because it is said in the *Hevajratantra*⁷³⁷ that sentient beings are the Buddha; however, they are "obscured by adventitious defilements." If these temporary defilements are removed, the result is the Buddha of the ultimate fruit. This is also the reason that Mantra functions through taking the result as the path. To show that this is also valid for the general Mahāyāna, reference is made to an (unidentified) passage from the *Buddhāvataṃsakasūtra*. For the validity of this interpretation also for the sūtras of definite meaning, reference is furthermore made to the *Ratnagotravibhāga* which explains that the "Buddha-nature" (*tathāgatagarbha*) does not change either through defilement (*saṃkleśa*) or through complete purification. The defilements exist only temporarily, while the qualities are possessed by nature, since the *dharmatā* is unchangeable.

As for the second position, namely that Mantra belongs to the path that abandons the basis, that is also not acceptable, since the "Buddha-nature" that exists on the basis would also be abandoned, and since at the time of the basis, too, oneself is the deity, which is also the reason why Mantra takes the result as the path. The third position, according to which Mantra belongs in general to the path that understands the basis, is also not acceptable, even though it is accepted that one part of that path exists for both Mantra and Sūtra. But even though one has understood the nature (of mind), there will arise the new mental construction of "non-duality," and emptiness itself will arise as cause and result.⁷³⁸ Therefore complete realization needs a "perfectly complete medium of skill in means and the entire dependent

⁷³⁷ See Snellgrove (1959): vol. 2, ch. IV, p. 69.

⁷³⁸ This is connected with another vajra utterance of 'Jig-rten-mgon-po, i.e. no. 17 in ch. VI: *stong nyid rtogs nas stong pa nyid rgyu 'bras su 'byung bar bzhed do*. This point is explained in detail by rDo-rje-shes-rab in his commentary on fols. 37v-41v (margin marked *ta*), where he quotes especially Phag-mo-gru-pa, sGam-po-pa, and Atiśa.

origination of causes and results."

The next *vajra* utterance (5.24) is: "That which is virtue in the Vinaya is virtue also in the Mantra, and that which is non-virtue [in the Vinaya] is non-virtue [also in the Mantra]." This is, of course, closely connected with the three previous utterances. The main point that is criticized here is the opinion that activities which are considered non-virtuous in the Vinaya become virtuous in the Mantra through skill in means. This refers to such teachings as from the *Guhyasamājantra* (16.61 c-f):

You should kill living beings!

You should tell lies!

You should also steal!

You should also resort to women!

'Jig-rten-mgon-po points out that the true sense of the majority of the Mantra teachings is hidden or esoteric. To discover the true pith instructions, one mainly has to understand the intentional (*ābhiprāyika*), the non-literal (*na yathārūta*), the hidden meaning (*garbhin*), the hidden intention with regard to transformation (*pariṇāmanābhisaṃdhī*), and the symbolic language of Mantra (*saṃketa*?). Of these, the first refers to the "six positions" (*mtsha' drug*, Skt. *ṣaṭkoṭī*): the intentional (*dgongs pa can*, Skt. *ābhiprāyika*) and the non-intentional (*dgongs min*, Skt. ?), the provisional meaning (requiring to be further or otherwise interpreted, *drang don*, Skt. *neyārtha*) and the definitive meaning (*nges don*, Skt. *nītārtha*), as well as the literal (*sgra ji bzhin pa*, Skt. *yathārūta*) and the non-literal (*sgra ji bzhin ma yin pa*, Skt. *na yathārūta*).

"The intentional," again, refers to the "four intentions" that are taught in the *Mahāyānasūtrālaṅkāra*,⁷³⁹ and similarly the *Mahāyānasāṅgraha*⁷⁴⁰ and the *Abhidharmasamuccaya*.⁷⁴¹ According to these sources, these four are: 1. the intention that has in mind the sameness (of the *dharma*kāya for Buddha Śākyamuni and other Buddhas, *mnyam pa nyid la dgongs pa*, Skt. *saṃatābhiprāya*), 2. the intention that has in mind a different meaning (i.e. different from the literal one, *don gzhan la dgongs pa*, Skt. *arthāntarābhiprāya*), 3. the intention that has in mind a different time (i.e. not the immediate future as expected from the literal meaning, *das gzhan la dgongs pa*, Skt. *kālāntarābhiprāya*), and 4. the intention that has in mind the individual's mental disposition (*gang zag gi bsam pa la dgongs pa*, Skt.

⁷³⁹ See Lévi (1983: ch. XII, 16-18).

⁷⁴⁰ See Lamotte (1973: ch. XI, 31).

⁷⁴¹ See Rahula (1971: 142), Pradhan (1950: 106-107).

pudgalāś'ayābhiprāya).⁷⁴²

The mentioning of the "hidden meaning" (Skt. *garbhīn*) in rDo-rje-shes-rab's commentary refers to the "four ways" (*tshul bzhi*) of Tantric exegesis, i.e. 1. the literal meaning (of the words, *tshig gi don*, Skt. *ākṣarārtha*; also *yi ge'i don*), 2. the common meaning (for Sūtra and Mantra, *spyi'i don*, Skt. *samastāṅgam*), 3. the hidden meaning (referring to the first three stages of perfection, *sbas pa*, Skt. *garbhīn*), and 4. the ultimate meaning (*mīhar thug pa*, Skt. *kolikam*).⁷⁴³

The mentioning of the "hidden intention with regard to transformation" (*pari-ṇāmanābhisamdhī*) in rDo-rje-shes-rab's commentary refers to the "four hidden intentions" (*ldem por dgongs pa*, Skt. *abhisamdhī*). These are 1. the hidden intention with regard to introducing (someone to the teachings, *gzhug pa la ldem por dgongs pa*, Skt. *avatāraṇābhisamdhī*), 2. the hidden intention with regard to the characteristics (of the *dharma*s, i.e. their lacking a *svabhāva*, *mīshan nyid la ldem por dgongs pa*, Skt. *lakṣaṇābhisamdhī*), 3. the hidden intention with regard to the antidote (in order to remove faults, *gnyen po la ldem por dgongs pa*, Skt. *prati-pakṣābhisamdhī*), and 4. the hidden intention with regard to transformation (where the intention does not depend at all on the words, *bsgyur ba la ldem por dgongs pa*, Skt. *pariṇāmanābhisamdhī*).⁷⁴⁴

"Symbolic language," Skt. *saṃketa* or *chomā* (as in the *Hevajratāntra*), is a code language that is employed in the tantras themselves, as well as in Tantric songs. It is not limited to speech alone, but also includes gestures.⁷⁴⁵

⁷⁴² On the four intentions, etc., see Seyfort Ruegg (1969: 165 ff., 1985b: 309 ff., 1989b) and (1988: 1-4); Steinkellner (1978: 451 ff.), as well as the works of Broido (1982, 1983, and especially 1984). As far as Broido's Tibetan sources are concerned, i.e. Bu-ston's commentary on the *Guhyasamājantra*, Thogs-med-bzang-po's commentary on the *Mahāyānasūtrālaṅkāra*, Tsong-kha-pa's commentary on the *Guhyasamājantra*, and Padma-dkar-po's works on *Guhyasamāja* and *Hevajra*, and his *dBu ma gzhung lugs gsum*, etc., they are all later than the *Same Intention* and rDo-rje-shes-rab's commentary. One would expect to find these concepts also employed in other passages within 'Jig-rten-mgon-po's and Phag-mo-gru-pa's still unexplored collected works.

⁷⁴³ The "four ways" have been dealt with in some detail by Steinkellner (1978: 453 ff.). His main source was Candrakīrti, *Pratīpoddhyotanā* (P no. 2650); see his note 6. For variants he used its commentary, Bhavyakīrti, *Pratīpoddhyotanābhisamdhīprakāśikā* (P no. 2658a,b), and *Vajrajñānasamuccayatantram* (P no. 84). See also Broido (1981: 18 ff.), and (1984: 31, n. 40).

⁷⁴⁴ The canonical sources are again the *Mahāyānasūtrālaṅkāra*, the *Mahāyānasāṅgraha*, and the *Abhidharmasamuccaya*. See Lamotte (1973: Vol. I, 41; Vol. II, 131 f.), and Seyfort Ruegg (1969: 165 f.), and (1985b: 316), and the work of Broido (1984).

⁷⁴⁵ See Snellgrove (1959, vol. 1, 7); and Broido (1984: 32, n. 41), and (1982: 13 ff.).

rDo-rje-shes-rab also offers three concrete examples for correct explanations of intentional and non-literal Mantra teachings. The first appears to be a passage from the *Guhyasamājatantra*,⁷⁴⁶ according to which those who pay respect to their father and mother "will proceed immediately to hell" (after death). Here the correct explanation is that "father" means "ignorance," and "mother" means "desire." The second example refers to the initial quote from the *Guhyasamājatantra* (193-3-8). The correct explanation⁷⁴⁷ is that "stealing," "telling lies," "killing," and "resorting to women" means to strongly cultivate or produce the resolve for awakening, to resort to relative truth (when leading the beings on the path), to realize the deity, and to cultivate the four brahmic states.

The third example is the teaching that the use of alcohol in Mantra practice is to be understood as intentional and non-literal.⁷⁴⁸ As an example for such a non-literal statement, rDo-rje-shes-rab quotes 'Jig-rten-mgon-po, who said:

I am drunk with the alcohol of great bliss.

Please do not scold me blindly [lit.: "biased"]
for blathering drunken words.⁷⁴⁹

⁷⁴⁶ The Tibetan short title, *gTan la phab pa*, suggests a quotation from the *dPal gsang ba 'dus pa'i 'grel pa man ngag gtan la dbab pa* (*Upadeśaṇīścayanāmaśrīguhyasamājavṛtti*), *P* vol. 63, no. 2706.

⁷⁴⁷ *gSang 'dus bshad rgyud dgongs pa lung bstan zhes bya ba'i rgyud* (*Sandhivivākarāṇa-nāmatantra*), *P* vol. 3, no. 83. The quote is in *P* on 249-3-8, and in *D* on p. 1083 (vol. 29, no. 444).

⁷⁴⁸ 'Jig-rten-mgon-po was very strict with regard to the use of alcohol. The biographies relate the story of 'Jig-rten-mgon-po serving as abbot in Phag-mo-gru after the guru's passing, and after 'Jig-rten-mgon-po's seven year retreat and his full ordination. But the monks were not satisfied with him because he followed the rules of the Vinaya strictly and insisted that others did the same. It is especially mentioned that he did not allow the use of alcohol. The situation escalated, until 'Jig-rten-mgon-po was apparently forced to leave the monastery. See 'Gos Lo-tsā-ba, *Deb ther sngon po*, p. 672, dPa'-bo gTsug-lag-phreng-ba, *mKhas pa'i dga' ston*, p. 825, and Khetsun Sangpo, vol. 9, p. 217. In his collected works, for example, he has said that "there exist no connection between all those who drink [alcohol] and me" (*gang 'thungs pa thams cad dang nga dang 'brel pa med gsungs pa*). See *Collected Writings of 'Bri-gung Chos-rje 'Jig-rten-mgon-po*, vol. 4, fol. 17r. Furthermore, he said that his own master, too, namely Phag-mo-gru-pa, did not even allow the use of alcohol in Tantric rituals such as the feast in the evening. And in addition to not drinking alcohol, 'Jig-rten-mgon-po also asked his disciples not to eat meat (vol. 2, p. 22), and even though he became a full monk only after his thirty-fifth year, he said that he had spent his whole life in perfect celibacy and that the only woman who ever touched him was his mother (vol. 1, p. 131).

⁷⁴⁹ *Collected Writings of 'Bri-gung Chos-rje 'Jig-rten-mgon-po*, vol. 2, p. 122; see p.

A lengthy passage of the commentary is then dedicated to the transformation of alcohol into nectar.⁷⁵⁰ A great adept (*mahāsiddha*) like Saraha, who was able to transform the colour, smell, taste, and potency of alcohol, drank from the nectar and realization arose in his mind. This is the pledge of Mantra, and it is also permitted in the Vinaya. But if the drinking of alcohol would be permitted for anyone with less powers than that, anything would be possible or permissible! Those who merely claim to possess that ability are in truth only craving for alcoholic drinks. 'Jig-rten-mgon-po points out that in general alcohol is not so much taught to be nectar. Instead, excrement is recommended. Thus, anyone who wishes to drink alcohol should mix it with the same amount of excrement, stir well, and drink it. If he thinks that this is disgusting, then where is the nectar?

A fully realized yogi, on the other hand, is fully competent in both meditation stages of production and perfection, is never overwhelmed through the objects of the senses, possesses full control over phenomena, and reveals many means for training living beings. Such a yogi's activities are also not prohibited by the Vinaya. Such persons are magical emanations of Vajradhara (*rdo rje 'chang gi sprul pa yin*). Similarly, it has been taught in the large *Prajñāpāramitāsūtra* that all phenomena, too, are magical emanations. Therefore, 'Jig-rten-mgon-po says, we follow the genuine intention (*dgongs pa tshad mar gyur ba*) that is in accordance with the Buddha's gnosis that perceives what is and what is not possible (*gnas dang gnas ma yin pa mkhyen pa'i ye shes dang mthun pa*).

⁷⁴⁹ (...continued)

379.

⁷⁵⁰ This kind of transformation (*bsgyur ba*) is not to be confused with the transformation of defilements, which is considered impossible (see vajra utterance 5.23). While defilements, which only exist temporarily, can never become the gnoses (*jñāna*), since these exist from the beginning, the transformation of alcohol is considered one of the supramundane achievements of the fully realized yogi.



Abb. 5: sGam-po-pa bSod-nams-rin-chen (1079-1153)
(Same Intention, vol. 1, p. 155)



Abb. 6: 'Jig-rten-mgon-po (1143-1217)
(Same Intention, vol. 1, p. 201)



Abb. 7: dBon-po Shes-rab 'byung-gnas a.k.a. 'Bri-gung Gling-pa
(1187-1241) (Same Intention, vol. 2, p. 586)



Abb. 8: Kong-sprul Blo-gros-mtha'-yas (1813-1899)
(Kailash, vol. 3-4, 1975, p. 384)

<431> *sdom pa gsum yang spang bya mi dge ba bcu spong bar gnad gcig
bya ba 'di bzhugs/*

*de la spyir sangs rgyas bcom ldan 'das kyi chos kyi 'khor lo ji snyed cig bskor ba
thams cad kyi don nyams len du 'dril na/ sdom pa gsum du 'du/ sdom pa gsum po
de spang bya spong tshul dang / gnyen po brten tshul tha dad de/ so sor thar pa'i
sdom pa ni mi dge ba bcu las <432> lus ngag gi mi dge ba bdun 'khor dang bcas
pa spong zhing / de'i nang nas kyang gtsor 'dod chags spong bar gsungs te/ bye
brag bshad mdzod las/*

nyes spong yon tan rin chen 'phel ba yi//

spong bdun thub pas gsungs pa'i legs spyad 'di//

ma thob pa la sdom pa med gyur pas//

thog mar thob par byed pa'i thabs 'di'o//

ces pa dang / sdom la/ lung rnam 'byed las/

mi tshangs spyod dang rku ba dang //

*ces gsungs/ byang chub sems dpa'i sdom pa ni/ mi dge ba bcu la yid kyi mi dge ba
gsum dang zhe sdang gtso bor spong zhing / lus ngag gi mi dge ba bdun ni 'gro don
du 'gyur na gnang bar gsungs te/*

sems dpa' che la lus ngag gi//

mi dge bdun po thub bas gnang //

ces pa dang / spyod 'jug las/

zhe sdang lta bu'i sdig pa med//

bzod pa lta bu'i dka' thub med//

de bas bzod la nan tan du//

sna tshogs tshul gyis bsgom par bya//

ces pa dang /

14.6. Texts and Translations of 'Jig-rten-mgon-po's Vajra Utterances from the *Same Intention*

This [next vajra utterance (1.24)] is:

[Regarding all] three vows, the thing to be abandoned is the same vital point [as] in abandoning the ten non-virtues.

[Some] say that in general, if one summarizes the content of all the lord Buddha's teachings whatsoever as practice, it is included within the three vows. Those three vows are different [with regard to] how it is given up and how one makes use⁷⁵¹ of the antidote. The prātimokṣa vows abandon, from among the ten non-virtues, <432> the seven of body and speech, together with related acts. And again, regarding these [seven], it is chiefly taught that [the defilement of] desire is to be abandoned. In the *Bye brag bshad mdzod*⁷⁵² it is said:

For those who have not obtained this excellent conduct

taught by the Buddha of the [things that are] abandoned, i.e. the seven [things],

—[a conduct] that abandons [moral] faults [and] increases the precious qualities—

the vows do not exist. Therefore,

this is the means for obtaining [it] first.

And with regard to the vows, the *Lung mam* 'byed⁷⁵³ says:

Sexual misconduct, stealing, ... [etc.]

With regard to the bodhisattva vows, it is taught that the three non-virtues of mind from among the ten non-virtues, and chiefly [the defilement of] hatred, are to be abandoned, and that the seven non-virtues of body and speech are permitted if they will benefit beings.

The Sage allowed the seven non-virtues

of body and speech for the great beings [i.e. bodhisattvas].

And in the *Bodhicaryāvatāra* (ch. VI, v. 2) it is said:

There is no sin like hatred,

there is no austerity like patience.

Therefore, exerting oneself with regard to patience,

one should cultivate [it] in various ways.

⁷⁵¹ Read: *gnyen po bsten tshul*.

⁷⁵² Unidentified. According to the *Tibetan-Chinese Dictionary*, this text is known under several names: *Bye brag tu bshad pa chen po*, or alternatively *Bye brag bshad mtsho*, *Bye brag bshad mdzod*, or *Bye brag bshad mdzod chen mo*.

⁷⁵³ This must be the '*Dul ba mam par 'byed pa* (*Vinayavibhaṅga*), *P* vol. 42, no. 1032, *D* vol. 2-3, no. 3, but I could not locate the quote. Cf. the quote next page.

thugs rje mnga' ba ring gzigs pas//
bkag pa nmams kyang de la gnang//
ces gsungs/ gsang sngags kyi sdom pa ni/ mi dge ba bcu log lta dang / gtso bor gti
mug spong ste shes bya thams cad la rmongs pas ma rig pa/ ci yang mi shes pa gti
mug yin pas(!) de dang / log par lta ba spangs nas/ chos thams cad kyi gnas lugs
gdod nas mam par dag pa de kho na nyid stong pa nyid kyi don rtogs nas byas tshad
dge ba 'ba' zhig yin pas/ log lta ma gtogs pa spyir mi dge ba dgu char gnang zhing
bye brag tu rtsa ba bzhi gnang bar gsungs te/ dgongs 'dus las/
ci bzhin nyid de ma bcos na//
chen po bzhi la gnas byas kyang//
nam mkha' la ni sprin bzhin du//
'di ni nram dag legs pa'i lam//
ces gsungs pas/ de liar na bsrung rgyu'i rigs mi mthun pa gsum po des sdom
<433> pa gsum du 'byung ba yin zer/
'dir zhal snga nas/ sangs rgyas bcom ldan 'das kyis gnas dang gnas ma yin pa
mkhyen pa'i ye shes dang / mi 'jigs pa bzhi brnyes pa'i gnad kyis sdom pa gsum ka
la/ la lar gegs su 'gyur ba/ la lar dngos grub tu 'gyur ba mi srid de/ mi dge ba bcu
po de yang dug gsum du 'du bas/ gtso 'khor du spong lugs kyi bye brag med/ mi dge
ba bcu sdom pa gsum char gyis spong dgos te/ so sor thar pa'i sdom pa la yang /
lung mam 'byed las/
lus kyi sdom pa legs pa ste//
ngag gi sdom pa legs pa yin//
yid kyi sdom pa legs pa ste//
thams cad du ni sdom pa legs//
ces gsungs/

And [in the same text]:⁷⁵⁴

The Merciful-One, being far-sighted

allowed them [i.e. the bodhisattvas] even those things that were prohibited
[for others].

With regard to the vows of the Tantric adepts, wrong views [from among] the ten non-virtues, and chiefly [the defilement of] ignorance are to be abandoned. After that [which is called] "delusion," since it is deluded regarding all the objects of knowledge, that [which is called] "ignorance," because⁷⁵⁵ nothing whatsoever is known, and "wrong views" have been abandoned, one realizes the sense of the primordial complete purity of the ultimate reality of all phenomena, [i.e.] reality [or] emptiness. Since everything one does [after that] is purely virtuous, it is taught that with the exception of wrong views, all the other nine non-virtuous deeds are, generally speaking, permitted, and, in particular, the four fundamental-[infractions entailing expulsion] are permitted. In the *dGongs 'dus* it is taught:⁷⁵⁶

If one does not alter that "howness" [ultimate reality],
even though one has dwelled in the great four;
like a cloud in the sky,
this will be a pure, excellent path.

Thus, [some others maintain that] in that way, according to the three different kinds of things to be observed, there arise the three vows. <433>

[Reply:] In this regard, the [lord 'Jig-rten-mgon-po] stated: Because of the vital points of the Buddha's attainment of the gnosis that perceives what is and what is not possible and [his] four kinds of fearlessness, it is impossible that with regard to all three vows, they would become for some [people] obstacles and for some [people] supramundane achievement (*siddhis*). Since those ten non-virtues are included within the three defilements, there is no differentiation of the ways of abandoning into main and secondary. The ten non-virtues must be abandoned through all three vows. With regard to the *prātimokṣa*, it is said in the *Lung nam 'byed*.⁷⁵⁷

The vows of the body are excellent,
the vows of the speech are excellent,
the vows of the mind are excellent,
the vows are excellent in every respect.

⁷⁵⁴ *Bodhicaryāvatāra*, ch. V, v. 84.

⁷⁵⁵ Read *ci yang mi shes pas gti mug yin pa?*

⁷⁵⁶ This is a text from the collection of rNying-ma-pa tantras, namely the *Sangs rgyas kun gyi dgongs pa 'dus pa'i mdo*, Eichi Kaneko (1982), catalogue no. 160.

⁷⁵⁷ Cf. fn. 753. '*Dul ba mam par 'byed pa* (*Vinayavibhaṅga*), D fol. 22v.

mi dge ba bcu char spong / byang chub sems dpa'i sdom pas kyang mi dge ba bcu spong ste/

gang la nyan thos theg pa yod gyur de la rang rgyal theg pa yod pa yin/ gang la rang rgyal theg pa yod kyang de la theg pa chen po yod pa yin/ ces gsungs pas/ mi dge ba bcu spong / gsang sngags rigs 'dzin gyi sdom pas kyang mi dge ba bcu spong ste/

bcom ldan 'das la rje btsun gsang ba'i bdag pos gsang sngags kyi dam tshig gang lags zhus pas/ mi dge ba bcu spong ba'o
gsungs pas mi dge ba bcu spong gsang sngags la nyon mongs pa ma spangs pas sangs rgyas mi 'ong ste/ bcom ldan 'das zhes gsungs pa'i phyir/ bcom pa ni bdud bzhi bcom pa yin te/ de'i ya gyal gcig nyon mongs pa'i bdud yin la/ nyon mongs pa de dug gsum yin pas de sdom pa gsum kas spong dgos par gsung rab mams su sdar bzhin gsungs pa yin la/ 'khor ba(!) sdug bsngal de tsam du che ba na/ 'khor ba'i sdug bsngal thams cad skyed par byed pa chags sdang rmongs gsum dang / mi dge ba bcu yin/ zhe sdang gis kun nas bslang ba'i mi <434> dge ba bcu spyad pas dmyal bar skyed/ chags pas kun nas bslang ba'i mi dge ba bcu spyad pas yi dag su skyed/ gti mug gis kun nas bslang ba'i mi dge ba bcu spyad pas dud 'gror skyed/ de mi dge ba bcu spyad pa'i rnam smin yin/

ngan song gi rnam smin de myong nas mir skyes nas kyang / mi dge ba bcu spyad pa'i myong ba rgyu 'thun yod⁷⁵⁸ srog bcad pa'i myong ba rgyu 'thun tshe thung nad mang / dus rtag tu na tsha'i kha nas mi thar ba yin/ byed pa rgyu 'thun srog gcod pa la dga' ba yin/ (... <435> ...) log par lta ba'i myong ba rgyu 'thun dkon mchog gsum la yid mi ches/ bden pa gnyis la sogs pa'i chos la yid mi ches pa yin/ byed pa rgyu 'thun rgyu 'bras la yid mi ches par log par lta ba 'dzin pa yin/

'khor ba'i sdug bsngal thams cad skyed par byed pa chags sdang rmongs gsum mi dge ba bcu yin pas/ chags sdang rmongs gsum mi dge ba bcu la/ dgra dang skyon dang nyes dmigs su bsgoms nas/ rgyu 'bras la yid ches par bya ste/ mi dge ba phra ba yan chad la 'dzem pa yin/

⁷⁵⁸ One should insert a *shad* (/) here.

[Thus] all the ten non-virtues are abandoned. Through the vows of the bodhisattvas, too, the ten non-virtues are abandoned, [as it is said:]

Whatever exists in the vehicle of auditors, exists in the vehicle of solitary Buddhas. And whatever exists in the vehicle of solitary Buddhas, exists in the Mahāyāna.

Therefore the ten non-virtues are abandoned. Through the vows of the Tantric adepts, too, the ten non-virtues are abandoned.

The Lord of Secrets asked the Lord [Buddha]: "What are the pledges of the Mantra?" [The Lord Buddha] answered: "Abandon the ten non-virtues!"

Therefore the ten non-virtues are [to be] abandoned. By not abandoning the defilements in the Mantra one cannot become a Buddha, because [a Buddha] is called "victoriously passed beyond" (skr. *bhagavant*, tib. *bcom ldan 'das*). [Here] "victorious" [means] victorious [over] the four mārās. One of them is the māra of defilement (*kleśamāra*), and since the defilements are the three poisons, it has been very carefully taught in the holy scriptures that they must be abandoned through all the three vows. As the suffering [of] saṃsāra is so great, the things that produce all saṃsāric suffering are desire, hatred, and ignorance, and the ten non-virtues. Through the practice of the ten non-virtues motivated by hatred, one is born in hell. <434> Through the practice of the ten non-virtues motivated by desire, one is born among hungry ghosts. Through the practice of the ten non-virtues motivated by ignorance, one is born among animals. These are the karmic ripening of the practice of the ten non-virtues.

Even if one is born as a human after one has experienced the karmic ripening [as rebirths in] the lower realms, one has [karmic results] from having practiced the ten non-virtues in which the experience agrees with the cause. [The karmic result] where the experience is similar to the cause is short life and many illnesses. One can never escape from diseases. The [karmic result] in which one's actions agree with the cause is that one enjoys killing (...<435>...).⁷⁵⁹ The [karmic result] from having entertained wrong views in which the experience agrees with the cause is that one has no confidence in the three supreme jewels. One has no confidence in such teachings as the two truths. The [karmic result] in which one's actions agree with the cause is that one holds to wrong views, lacking confidence with regard to cause and result.

Since desire, hatred, ignorance, and the ten non-virtues are the producers of all saṃsāric suffering, by learning to see desire, hatred, ignorance, and the ten non-virtues as enemies, faults, and defects, one should have confidence with regard to karmic cause and result, and one should avoid non-virtue down to the subtle [levels].

⁷⁵⁹ Similarly it is explained for the other non-virtues.

'khor ba'i sdug bsngal thams cad skyed par byed pa chags sdang rmongs gsum/ mi dge ba bcu/ 'dod pa'i yon tan lnga/ phyin ci log bzhi yin pas/ de mams la dgra dang skyon dang nyes dmigs su bsgoms nas/ ma chags pa dang / zhe sdang med pa dang / gi mug med pas kun nas bslang ba'i dge ba bcu nyams su blangs pas miho ris lha dang mi'i lus thob nas 'ong/ dge ba bcu nyams su blangs pa'i myong ba rgyu 'thun dang byed pa rgyu 'thun/ srog bcod pa spangs pa'i myong ba rgyu 'thun tshe ring ba nad nyung / byed pa rgyu 'thun lus la gtar kha dang me btsā byed ma myong zer ba 'ong ba yin/ (... <436> ...) log par lta ba spangs pa'i myong ba rgyu 'thun rgyu 'bras la yid ches pa/ dkon mchog gsum dang bden pa gnyis la sogs pa la yid ches <437> pa cig yong ba yin/ byed pa rgyu 'thun lta ba bzang po 'dzin pa cig yong ba yin/

mi dge ba'i nyes dmigs shes par byas nas mi dge ba phra ba yan chod spong ba yin/ dge ba'i phan yon shes par byas nas khas blangs pa'i bsrung sdom dag par byas nas las 'bras la yid ches par byed pa yin/ las 'bras man chad kyi chos de mams thams cad thun mong gi lam yin/ nyan thos dang rang sangs rgyas dang / rdo rje theg pa gsum ka'i lam yin te/ 'jig rten mgon pos/

so sor thar dang byang chub sems//

rig 'dzin sngags kyi sdom pa yis//

dam tshig sdom pa gang bsrungs pa//

nyon mongs dug gsum spong bar bya//

ces pas/ sdom pa gsum spang bya mi dge ba spong bar yang gnad gcig la/ gnyen po dge ba bcu sgrub par yang gnad dang rtsa ba gcig ste/ gnad ces bya ba srog yin te/ de yod med las med pa'i phyir/⁷⁶⁰

⁷⁶⁰ Or: de med las ['di] med pa'i phyir/?

Since the producers of all samsāric suffering are desire, hatred, ignorance, the ten non-virtues, the five objects of the senses, and the four wrong views, [by] learning to see them as enemies, faults, and defects one will obtain the body of a deity or a human being in the higher realms, having practiced the ten virtues that are motivated by non-desire, absence of hatred, and absence of ignorance. [There are also the karmic results] from having practiced the ten virtues in which the experience agrees with the cause and in which one's actions agree with the cause. [The karmic result] from having abandoned killing in which the experience agrees with the cause is long life and little illness. [The karmic result from that] in which one's actions agree with the cause is that one will not experience (*sic!*) blood-letting and cauterization⁷⁶¹ (... <436> ...).⁷⁶² [The karmic result] from having abandoned wrong views which agrees with the cause is that one becomes a person who has confidence with regard to cause and result [and] who has confidence with regard to the three supreme jewels, the two truths, etc. <437> [The karmic result for that] in which one's activities agree with the cause is that one becomes a person who holds to a correct view.

Having been made to understand the faults of the non-virtues, one should abandon the non-virtues down to even very minor [infractions]. Having been made to understand the benefits of virtuous acts, one should purify the vows which one has taken, and one should make oneself trust in karma and result. All those teachings starting with "karmic cause and effect" on down(?) are the common path. They are the path of all three vehicles, [i.e. the vehicles of] the auditors, solitary Buddhas, and Mantra. [As was said] by 'Jig-rten-mgon-po:

Whichever pledge [or] vow is observed
through prātimokṣa vows, bodhisattva vows,
and vows of the Tantric adepts,
the defilements, [i.e.] the three poisons, are to be abandoned.

Therefore, not only do the three vows have the same vital point in their abandoning non-virtue, [i.e. actions motivated by defilements], but also in their accomplishing the antidote, namely the ten virtues, do they have the same vital point and root. The term vital point means "life-force," because there is nothing besides its existence or non-existence.⁷⁶³

⁷⁶¹ Here something must have gone wrong with the text. One would expect that the respective activity is to save life whenever possible. This is also explained in some detail in the "karma" (*las rgyu 'bras bu*) chapter in sGam-po-pa's *Thar pa rin po che'i rgyan*. See Guenther (1959: 79).

⁷⁶² Similarly it is explained for the other virtues.

⁷⁶³ *de yod med las med pa'i phyir* - a scribal error? Jackson proposes to read *de med las* (continued...)

sdom pa gsum po gang rung gcig la nyams chags byung na gsum ka la 'byung ste/ dper na srog gi dbang po 'gags na dbang po gzhan thams cad gcig tu hril hril 'ong ba'i gnad yod de/ 'dir gcig la mtshon na/ srog gcod spong ba de mi chos bcu drug gi dang po yin la so sor thar sdom gyi risa bzhi'i ya gyal gcig yin/ de byang chub sems dpa'i sdom par smon pa ma skyes pa skye ba'i rgyu/ skyes nas bslab bya 'jug sdom gyi ngo bo/ gsang sngags su dbang bskur nas risa ltung gnyis pa bde gshegs bka' 'das kyi dam tshig tu 'ong / mihar ihug sangs rgyas pa'i tshe dbang bcu las de tshe la dbang thob [/] de bzhin du dge mi dge thams <438> cad tshul de bzhin du 'ong bas/ 'di lta bu'i don la bsams nas/ bdag cag rnam kyis nyams len ma 'khrugs par bya gsung//

bdag po 'phos pas sdom pa gsum du 'ong bya ba 'di bzhugs/ de la yang dag par rdzogs pa'i sangs rgyas bcom ldan 'das kyis chos kyi 'khor lo bskor ba'i don/ brjod bya nyams len du dril na sdom pa gsum yin/ gsum du 'ong ba'i rgyu mtshan gang yin na/ 'ga' zhig na re/ sdom pa gsum spang bya mi mthun pa gsum du byung ba yin zer/ 'ga' zhig na re/ sgrub bya nyams len tha dad pa gsum du byung ba yin zer/ 'ga' zhig na re/ thob bya 'bras bu mi mthun pa gsum du 'byung ba yin zer te/ de ltar bsrung rgyu mi mthun pa tha dad pas sdom pa gsum gyi khyad par phyed ba yin pas sdom pa gsum len na so sor len/ gnas na gcig tu gnas/ bsrung na 'chol par bsrung bya ba yin zer/ 'dir zhal snga nas/ sdom pa gsum kas kyang mi dge ba bcu 'khor dang bcas pa spong la/ dge ba bcu 'khor dang bcas pa sgrub pa yin pas

⁷⁶³(...continued)

[*'di understood*] *med pa'i phyir*: "Because [that] does not exist when [this one] fails to exist."

If a transgression occurs against any one of the three vows, it occurs against all three. For example, there exist vital points [in one's body] which, if damaged, will cause all the other sense faculties together automatically to cease functioning. If one illustrates [the matter] here through one [example], to give up killing is the first of the sixteen rules of men,⁷⁶⁴ and it is one of the four fundamental [vows] of the prātimokṣa vows. That [i.e. not to kill] is the cause for the arising of the [yet] unarisen "resolve" (*smoṇ pa*) within the bodhisattva vows. After [the resolve] arose, [to abandon killing] is the nature of the "actualization-[of-resolve]-vow" [which is] the [actual] training (*bslab bya 'jug sdom*). After one is initiated into Mantra, [to abandon killing] becomes the pledge of [guarding against] the second fundamental transgression, [i.e.] "transgressing against the rules [enacted by] the Sugata." Finally, at the time when [oneself has become a] Buddha, [to abandon killing becomes] the obtaining of the "power over life" from among the ten powers. Likewise all the virtues and non-virtues <438> function similarly. Therefore [the lord 'Jig-rten-mgon-po] taught that we should perform [our] practice in a way that is not mixed up, keeping the above point in mind.

[The next vajra utterance (1.25)] is: **The vows become three because the possessor has changed.** Now, if one summarizes as practice the content or sense [expressed] by the completely perfected Buddha, the Exalted One through his turning the wheel of Dharma, it is the three vows.

[Question]: "What is the reason for [their] coming as three?" [Opinions of others:] Some say: "The three vows arise for the three incompatible [things] to be abandoned." Some say: "[The three vows] arise for the three different things to be achieved, namely practices." Some say: "[The three vows] arise for the three incompatible things to be obtained, namely the spiritual results." [Such people] say that since the incompatible things to be observed [i.e. the things to be abandoned, practices, and results] are thus different, the specifics of the three vows are differentiated. Therefore, if the three vows are taken, they are to be taken individually, if they continue, they continue individually (? "as one," *gcig tu*), and if they are observed, they are to be observed as entrusted.

[Reply:] In this regard, the [lord 'Jig-rten-mgon-po] taught: "All three vows remove the ten non-virtues together with anything of their kind,⁷⁶⁵ and [they] achieve the ten virtues together with anything of their kind."

⁷⁶⁴ The *Tibetan-Chinese Dictionary* lists the "sixteen pure rules [for] men" that were promulgated by Srong-btsan-sgam-po, but avoiding killing is not explicitly among them. One can, however, understand it implicitly through the rule "to benefit the people of the country and the villagers [or: neighbours]."

⁷⁶⁵ 'khor dang bcas pa is similar to *phyogs gtogs*.

sdom pa'i ngo bo la tha dad du bya ba cung zad tsam yang med gsung/
'o na de ltar tha dad med na sdom pa gsum zhes bya bar bzhang tu med ce na/
'dir bsrung rgyu gcig kyang bdag po 'phos pa'i bye brag gis sdom pa gsum du
'gyur te/ dper na g.yu rdog gam/ gser sbram cig 'bangs phal pa'i mgul du btags na/
de thams cad kyi reg tu yod cing 'bangs kyi rgyan du 'ong/ blon po'i mgul du
btags⁷⁶⁶ na phal gyis reg tu med cing blon po'i rgyan du 'ong/ de rgyal po'i mgul du
btags na gzhan gyis bltar yod kyang reg tu med cing rgyal po'i rgyan du 'ong bas
rgyan gyi ngo bo la khyad par med de/ 'dogs sa gsum gyi byed pas gsum du byung
ba dang 'dra/ <439> byed las sam bsgrub bya yang mi gcig ste/ dper na zas za
bar 'dra yang za rgyu gang yin de g.yog gam 'bangs phal pas zos na me 'bud shing
len pa la sogs pa'i bya ba byed la/ blon po 'am stag shar⁷⁶⁷ gyis zos na srid 'tsho
ba 'am dgra 'dul ba'i bya ba byed/ rgyal po 'am/ dge ba'i bshes gnyen⁷⁶⁸ gyis zos
na/ yul ris dang rgyal khams kyi phan bde sgrub pa dang 'dra bas/ mi dge ba bcu
'khor bcas kyi 'gro ldog 'di yang sdom pa gsum nyams su len pa'i gang zag gsum
gyi spong lugs dang sgrub lugs tha dad pa bzhin du/ 'bras bu yang tha dad du so sor
'thob pas/ de ltar na don nyams len la bsrung rgyu la khyad par ram/ bye brag rdul
tsam yang med kyang nyams su len mkhan bdag po gsum gyi khyad par gyis sdom
pa gsum du byung ste/ 'di ni bcom ldan 'das la rje bisun gsang ba'i bdag pos sngags
kyi dam tshig gi zhu ba phyi ma zhus pa la brien nas gsungs pa'i don de yin gsung//

⁷⁶⁶ The text has *brtags*.

⁷⁶⁷ Gloss?

⁷⁶⁸ Gloss?

And therefore the nature of the three vows is not to be even slightly differentiated."

[Objection]: "In that case, if there is thus no difference [between them], they should not be termed 'the three vows.'"

[Answer]: Here, even though the thing to be observed [through all three vows] is the same, they become three vows through the differences of a changing possessor. For example, it is like when a piece of turquoise or a gold amulet(?) is hung around the neck of a common subject, it can be touched by everyone and it becomes the ornament of a subject. If it is hung around the neck of a minister, it is not to be touched by common people and it becomes the ornament of a minister. If it is hung around the neck of a king, even though it will be looked at by others, it is not to be touched, and it becomes the ornament of a king. Therefore, there is no difference with regard to the nature of the ornament, but it occurs as three through the functioning of the three places where it is hung. <p.439> Its action performed or thing to be achieved is also different. For example, it is like even though the eating of food is similar [for various people], if whatever is to be eaten is eaten by a servant or an ordinary subject, then [that person] will perform the action of collecting fire wood, etc. If it is eaten by a minister or a strong young man, [that person] will perform the action of sustaining life or subduing enemies. If it is eaten by a king or by a spiritual teacher, [that person] will establish benefits and happiness for the country or kingdom. Therefore, also with regard to this functioning of the ten non-virtues together with anything of their kind, the results will be separated [and] individually obtained in accordance with the different systems of abandoning and establishing for the three persons who practice the three vows. Therefore, in that way, there is not the slightest difference or distinction with regard to that which is observed in the practice of the matter, but the vows nevertheless come to exist as three through differences between the three possessors [of the vows], i.e. the practitioners. This is the sense expressed in the [text] *The Later Requesting of the Mantra Pledges by the Lord of the Secrets [Vajrapāṇi] from the Exalted One*.⁷⁶⁹ So taught ['Jig-rten-mgon-po].

⁷⁶⁹ This is an otherwise unidentified Tantric text dealing with the pledges of Mantra.

<322> rdo rje'i gsung //

sngags kyi⁷⁷⁰ mi dge ba dge bar 'gyur ba'i gnas med bya ba 'di bzhugs/
 de la spyir mtshan nyid rgyu'i theg pa dang / 'bras bu sngags kyi theg pa'i khyad
 par ni/ mtshan nyid pha rol tu phyin pa'i theg pa la/ 'dul ba dang theg chen gnyis
 las/ dam pa'i chos 'dul ba gzhi spong ba'i lam pa yin/ mtshan nyid pha rol tu phyin
 pa gzhi sbyong ba'i lam pa yin/ gsang sngags thabs mkhas pas gzhi bsgyur ba'i lam
 pa yin te/ 'dod chags lam du bsgyur ba bde ba'i ting nge 'dzin/ 'bras bu longs spyod
 rdzogs sku/ zhe sdang lam du bsgyur ba gsal ba'i ting nge 'dzin 'bras bu sprul pa'i
 sku/ gti mug lam du bsgyur ba mi rtog pa'i ting nge 'dzin 'bras bu chos kyi skur
 bsgyur ba dang / sha lnga bdud rtsi lnga dang chang bdud rtsir bsgyur ba yin pas/
 mam rtog ci isam mang ba bzhin du ye shes kyi rol par 'char ba yin zer/

'ga' zhig na re/ gsang sngags nyid kyang gzhi spong ba'i lam pa yin te/ gzhi la
 yod pa thams cad spangs nas/ 'bras bu de las bzang ba mthar thug pa cig yod pa de
 sgrub pa yin zer/ rnying ma ba 'ga' zhig na re/ gzhi'i rang bzhin shes pa lam yin te/
 rgyu 'bras thams cad stong pa nyid yin pas/ 'di shes shing rtogs na grol bar 'gyur
 ba'i phyir/ gzhi la ji liar yod pa de nyid bsgoms pas 'bras bu yang de kho na 'dra
 ba cig 'byung ba yin/ rgyu rkyen gzhan mi dgos <323> zer/

⁷⁷⁰ The text has *kyis*, but I prefer to read *kyi*.

<322> [The next] vajra utterance (5.23.) is this:

There exists no occasion where a non-virtue of the Mantra becomes virtue.

[Other opinions:] Regarding that, [some] say that in general, with regard to the difference between the Causal Vehicle of Non-Tantric Doctrine⁷⁷¹ and the Resultant Mantra Vehicle, the Vehicle of the Non-Tantric Doctrine⁷⁷² has two parts: the Vinaya and the Mahāyāna. Of these, the noble doctrine of the Vinaya is a teaching belonging to the path that abandons the basis [i.e. defilement-ridden existence]. The [Mahāyāna]-Perfections of the Non-Tantric Doctrine belong to the path that purifies the basis. Mantra belongs to the path that transforms the basis through skill in means. The transformation of desire into the path is the *samādhi* of bliss, of which the result is the *sambhogakāya*. The transformation of hatred into the path is the *samādhi* of clarity, whose result is the *nirṇāṇakāya*. The transformation of ignorance into the path is the *samādhi* of [mental] non-construction, of which the result is transformation into the *dharmakāya*; and the five kinds of flesh and the five kinds of fluids and alcohol are transformed into nectar. Therefore the more [mental] constructions arise, the more [opportunity exists that] the play of gnosis arises.

Some say that Mantra itself also belongs to the path which abandons the basis; after one has gotten rid of everything that exists on the level of the basis, one establishes a result that is better than that and that is an ultimate result. Some rNying-ma-pas say that to understand the nature of the basis is the path, since all causes and results are emptiness, and therefore if one understands and realizes that [nature], liberation will ensue. By cultivating in meditation just that which exists on the basis, a result, too, which is exactly like that will appear. No other causes or conditions are necessary. <323>

⁷⁷¹ According to the *Tibetan-Chinese Dictionary* the *rgyu'i theg pa* is, in general, the vehicle which practices as the path the cause through which buddhahood is obtained, such as the perfection of the thirty-seven elements of awakening. As contrasted with '*bras bu sngags kyi theg pa*', however, of which the full form is "the Resultant Mantra Vajra Vehicle" ('*bras bu gsang sngags rdo rje theg pa*'), the same source gives "the Causal Vehicle of Definition [or the Defining Marks]" (*rgyu mtshan nyid kyi theg pa*). The key point appears to be the Tantric theory of "taking the fruit [or result] as the path" ('*bras bu lam byed*'), which is opposed to the path of the Non-Tantric doctrines as a whole. Elsewhere the term *mtshan nyid pa* came to mean a dialectician or scholastic monk, who analyzes the meaning through scripture and reasoning, possibly through a different etymology (*mtshan nyid* = "definition").

⁷⁷² Here the vehicle *mtshan nyid kyi pha rol tu phyin pa'i theg pa* should be without *pha rol tu phyin pa*, since this vehicle is in the next step further divided into the main subsections Vinaya—which stands for *nyan thos kyi theg pa*—and Mahāyāna (*theg [pa] chen [po]*).

'dir zhal snga nas// de nmams gang yin yang gsang sngags su mi 'gyur gsung/
'o na gang yin na/

gzhi sbyong ba'i lam pa yin te/ phar phyin gzhi sbyong ba'i lam par 'dod pa
dang rang re'i 'di 'thun/ de yang rang nyid gdod ma nas/ sangs rgyas bcom ldan
'das kyi dkyil 'khor gyi 'khor lo dang bcas pa gcig yin pa la/ blo bur gyi sgrib pas
bsgribs pas/ de bsal na 'bras bu mihar thug gi sangs rgyas su gsungs te/ kye'i rdo
rje'i bntag pa 'og ma le'u bzhi pa las/

sems can nmams ni sangs rgyas te⁷⁷³//

'on kyang blo⁷⁷⁴ bur dri mas bsgribs//

de nyid bsal nas⁷⁷⁵ sangs rgyas nyid//

ces gsungs pas/ gzhi sbyong ba'i lam yin te/ gsang sngags 'bras bu lam du byed pa'i
rgyu mshan yang de yin/ bsgyur ba'i lam par 'dod pa ni/ sa bon bra bo la⁷⁷⁶ 'bras
bu nas mi⁷⁷⁷ 'byung ba dang mtshungs/ de yin na gsang sngags badzra las drangs
pa'i/ sgra don gsang sngags rdo rje'i theg par yang mi 'gyur te/

'dir gsang sngags re shig zhog / gzhi bsgyur lam du 'dod pa ni/ mtshan nyid kyi
lam du yang mi 'gyur te/ mtshan nyid du yang rang nyid sangs rgyas bral 'bras yon
tan dang bcas pa gdod nas yin pa la blo bur gyi dri mas bsgribs pas⁷⁷⁸ don de ston
pa la/ sangs rgyas phal po che las/ bcom ldan 'das kyi stong gsum dar yug gi dpe
mdzad nas bstan pas/

⁷⁷³ Snellgrove: *nyid*.

⁷⁷⁴ Snellgrove: *glo*.

⁷⁷⁵ Snellgrove: *na*.

⁷⁷⁶ The parallel passage in Ngag-dbang-chos-kyi-dbang-phyug's *dGongs gcig gsal byed*, fol. 104r, suggests *las*.

⁷⁷⁷ Om. *mi*, since the negation contradicts the sense. See fn. 781.

⁷⁷⁸ The genitive would be preferable.

[Reply:] In this regard [the lord 'Jig-rten-mgon-po] taught that whatever those [positions] may be, they are not [true] Mantra.

[Question:] "In that case, what is [Mantra]?"

[Answer:] [Mantra] belongs to the path that purifies the basis. The opinion that the Perfections Vehicle (*pāramitā*) belongs to the path that purifies the basis and this [position] of ours agree. Moreover, it is taught [by the Buddha] that oneself from the beginning has been someone possessing the wheel of the maṇḍala of the lord Buddha, but [that] has been obscured by adventitious⁷⁷⁹ defilements. If [these] are removed, the result is the Buddha of the ultimate fruit. In the fourth chapter of the second part of the *Hevajratāntra* it is said.⁷⁸⁰

Sentient beings [are] the Buddha.

They are, however, obscured by adventitious defilements.

If these are removed, they are buddhas.

Therefore [Mantra] is a path that purifies the basis. This is also the reason that Mantra [functions through] taking the result as the path. The opinion that [Mantra] belongs to a path that transforms [the basis] is like getting barley as fruit from a seed of buckwheat.⁷⁸¹ If that would be the case, the etymological sense of the [Sanskrit] *mantra vajra* (Tib. *badzra*) would not be the *mantra[yāna] vajrayāna* (Tib. *gsang sngags rdo rje'i theg pa*).⁷⁸²

Let us here leave Mantra aside for the time being. To hold the transformation of the basis as the path will also not [work] for the General Non-Mantric [Mahāyāna] Path. In the General Non-Mantric [Mahāyāna], too, we ourselves have been from the beginning [in possession of] the separation-result of buddhahood together with [its positive] qualities, but we have been obscured by adventitious defilements. Thus, in order to demonstrate this, the Exalted One explained in the *Buddhāvataṃsaka[sūtra]* using the example of the three-thousand rolls of silk-cloth.⁷⁸³

⁷⁷⁹ *blo bur* is another orthographic form of *glo bur*.

⁷⁸⁰ Snellgrove (1959: vol. 2, ch. IV, v. 69).

⁷⁸¹ Getting barley from the seed of buckwheat is an example for an impossible transformation. The Tibetan text has "not getting barley from the seed of buckwheat," which would be an example for 'Jig-rten-mgon-po's opinions that the basis cannot be transformed into something else.

⁷⁸² This remark remains unclear. Is this an example for the unchangeable Buddha-nature, i.e. is the concept of an unchangeable vajra referred to, or has this to do with the concept of the possibility of "translation," i.e. that sort of change where the thing "transformed" remains the same—hence where the emphasis is not on change but on continuity?

⁷⁸³ I have not been able to locate this particular story in the *Buddhāvataṃsaka[sūtra]*. Cf. rDo-rje-shes-rab, *dGongs gcig yig cha*, vol. 2. pp. 348 f.

*sgrib pa de lia bu de sbyong bar byed pa la thabs mkhas pa'i sgo tshang bar byas
nas nyams su blangs pas sgrib pa dag nas/ gzhi la ji ltar yod pa'i sangs rgyas de
mngon du 'gyur bar gsungs pa dang / gzhan yang nges don chos kyi 'khor lo'i mdo
rnams su tshul de ltar gsungs te/ rgyud bla ma las/*

nyes pa blo <324> bur dang ldan dang //

yon tan rang bzhin nyid ldan phyir//

ji ltar sngar bzhin phyis de bzhin//

'gyur ba med pa'i chos nyid do//

*ces gsungs pas/ gzhi sbyong ba'i lam pa 'di lia bu ni mtshan nyid pha rol tu phyin
pa yang yin gsung / gzhi bsgyur ba'i lam yin na ni sngags su mi 'gyur te/ sangs
rgyas bcom ldan 'das kyis gnas dang gnas ma yin pa mkhyen pa'i ye shes dang 'gal
ba'i phyir dang / bcom ldan 'das zhes pa bdud bzhi bcom pa yin pas/ de'i nang nas
kyang bdud che shos nyon mongs pa'i bdud bcom pa la zer te/ kye'i rdo rje brtag pa
gong ma de kho na nyid kyi le'u ste lnga pa las/*

kun nas⁷⁸⁴ nyon mongs la sogs bdud//

'joms⁷⁸⁵ phyir bcom ldan 'das shes bya//

ces gsungs pas/

⁷⁸⁴ Snellgrove: *yang na*.

⁷⁸⁵ Snellgrove: *bcom*.

He taught that the buddhahood which is actually present in the basis will manifest itself, after purifying the obscurations by practicing with a full complement of skill in means in order to purify that sort of obscuration. Furthermore [the same point] is taught in a similar way in the sūtras of the Dharma wheel of definite meaning. Thus it is said in the *Ratnagotravibhāga*.⁷⁸⁶ <324>

Since the faults are possessed [only] adventitiously
and the qualities are possessed [by] nature,
the unchangeable *dharma*tā
[exists] later just as it existed before.

Therefore this sort of teaching belonging to a path that purifies the basis is also the Non-Tantric Perfections [the master] says. If this were a path that transforms the basis, it could not be Mantra, because it would be incompatible with the Buddha's gnosis that perceives what is possible and what is impossible.⁷⁸⁷ And also for the following reason:⁷⁸⁸ Since "*bhagavan*" is [equivalent with] "destroyer of the four māras," he is called the destroyer of the *māra* of defilement which is the worst of the māras.⁷⁸⁹ Thus in the fifth chapter of the first part of the *Hevajratantra*, the chapter on reality (v. 15ef), it is said:⁷⁹⁰

He is to be known as *Bhagavan*
because he has destroyed the māras such as the defilements (*saṃkleśas*).⁷⁹¹

⁷⁸⁶ This is not from one of the basic verses. The passage explains that the nature of the Buddha-nature neither changes through *saṃkleśa* nor through complete purification (*de bzhin gshegs pa'i kham*s ... *kun nas nyon mongs pa dang nam par byang ba de dag gis mi 'gyur ba*...). See Nakamura (1867: 81), and Takasaki (1966: 234).

⁷⁸⁷ For the Buddha's gnosis of knowing what is possible and what is impossible, see above, p. 343.

⁷⁸⁸ The reason is stated through the construction "*pa'i phyir*" which follows at the end of the next paragraph.

⁷⁸⁹ This is one of the etymological explanations of the Tibetan term *bcom ldan 'das*. It also appears in Sanskrit works. See the following quote from the *Hevajratantra*.

⁷⁹⁰ Snellgrove (1959: vol. 1, p. 16 f.): *yang na nyon mongs la sogs bdud// bcom phyir bcom ldan 'das shes bya*. Note the use of *bhañj* ("to break") in the Sanskrit text: *athavā kleśādimārāṇaṃ bhañjanād bhagavān iti*. Cf. the etymologies for *bhagavān* in Ishikawa (1990: 6).

⁷⁹¹ Snellgrove, *ibid.* has *kleśādi* instead of *saṃkleśādi*.

*dug gsum la gzhi grub pa med pa dang / bsgyur bas 'gyur na mi dge ba dge bar
'gyur te/ rgyu 'bras kyi gshis dang 'gal bas mi 'gyur ba'i phyir rol//*

*gzhi spong ba'i lam pa yin na yang gsang sngags su mi 'gyur te/ de bzhin
gshegs pa'i snying po rtag pa dang 'gal ba'i phyir dang / gzhi'i dus su phung po
dang khamdang skye mched thams cad lha'i dkyil 'khor yin pas/ de thabs mkhas
pas nyams su blangs pas 'bras bu yang de kho na thob par 'gyur bas na/ gsang
sngags 'bras bu lam du byed pa'i phyir rol//*

*gzhi rang bzhin shes pa'i lam pa gcig pu yin na yang gsang sngags su mi 'gyur
te/ mnyam pa nyid rtogs nas rang bzhin shes kyang / gnyis med dbye ba med tshul
du rtog pa skye bas sangs rgyas la sgrib par byed pas/ thabs mkhas pa'i sgo ma lus
pa tshang dgos pa'i phyir dang / stong nyid rtogs nas/ stong nyid rgyu 'bras su
'byung ba'i phyir rol//*

*de ma gtogs pa gzhi'i rang bzhin shes pa'i lam phyogs gcig <325> ni sngags
mtshan nyid gnyis ka la yod kyang de la thabs mkhas pa'i sgo ma tshang ba med pa/
rgyu 'bras kyi rten 'brel ma lus pa dgos pa yin gsung //*

rdo rje'i gsung //

*'dul bar dge ba de gsang sngags su yang dge la / mi dge ba de mi dge bar
'gyur bya ba 'di bzhugs/*

*de la dam pa'i chos 'dul bar ni/ rtsa ba bzhi lta smos kyang ci dgos te chang yang
bkag la/ theg pa chen po gsang sngags su/ gzhi mi dge ba 'dul bar nyes par 'gyur
ba rnam kyang thabs mkhas pas dge bar 'gyur te/ ji skad du*

'dod chags zhe sdang gti mug dang //

nga rgyal phrag dog mi spang ngo//

ces pa dang /

Therefore the three poisons lack an established basis,⁷⁹² and if they would change through transformation, non-virtue would transform into virtue, but there is no transformation⁷⁹³ since that would be incompatible with the nature of cause and effect.⁷⁹⁴

Also if that were [a teaching] belonging to a path that abandons the basis, it could not be Mantra, because that would be incompatible with a permanent Buddha-nature (*tathāgatagarbha*), and because since at the time of the basis all the psycho-physical constituents of the person are the maṇḍala of the deity, one will attain just that result through practicing the [deity] through skill in means, and therefore Mantra takes the result as the path.

Also if [that teaching] belonged solely to a path that understands the nature [of] the basis, it could also not be Mantra, because it is necessary for [all] the media of skill in means without exception to be complete, since even though one has understood the nature after having realized sameness, there will arise a [mental] construction in the manner of "non-dual" [and] "inseparable" and this will obscure buddhahood; and because emptiness will arise as cause and result after one has realized emptiness.

Apart from that, even though one part of the path that consists in understanding the nature of the basis exists for both Mantra and Non-Mantra, <325> that requires a perfectly complete medium of skill in means and the entire dependent origination of causes and results. So taught [the master].

<325> [The next] vajra utterance (5.24.) is this:

That which is virtue in the Vinaya is virtue also in the Mantra, and that which is non-virtue [in the Vinaya] is non-virtue [also in the Mantra].

[The opinion of others:] In this regard, [some say]: With regard to the holy teachings of the Vinaya, what need is there to mention the four fundamentals, but even alcohol is prohibited! But in the Mantra [which belongs to the] Mahāyāna, even the basic non-virtue,⁷⁹⁵ [i.e.] that which is morally faulty in the Vinaya, is virtuous through skill in means. As it is said [in a Mantra text]:

One should not abandon desire, hatred, ignorance, pride, and jealousy.

⁷⁹² They exist, other than the qualities, only temporarily.

⁷⁹³ The concise Tibetan formulation suggests this gloss.

⁷⁹⁴ This reason refers to an assertion above, namely that the transformation of the basis could not be Mantra because it would be incompatible with the Buddha's gnosis that perceives what is possible and what is impossible.

⁷⁹⁵ I.e. the four fundamentals referred to in the previous sentence: killing, stealing, sex, and lying.

*gsang 'dus pa dngos grub thams cad kyi dkyil 'khor mngon par byang chub pa'i le'u
ste bcu drug pa las/*

khyod kyis srog chags bsad par bya//

brdzun gyi tshig kyang smra bar bya//

ma byin par yang khyod kyis blang //

bud med dag kyang⁷⁹⁶ bsten par bya//

*ces pas/ rtsa ba bzhi la yang spyad pas chog la/ sha chang la sogs 'dul ba dang mi
mihun pa'i zas rnams la yang spyad pas chog ste/ ji skad du/*

chang med pa la dngos grub ci//

ye shes yang ni de bzhi no//

zhes gsungs pa dang /

sngags pa gzi mdangs bskyed pa'i phyir//

dpa' bo chang yang btung bar bya//

*ces pas/ de lta bu rnams la longs spyad pas dge bar 'gyur bas/ gsang sngags kyi
dngos grub thams cad thob par 'dod pa yin ces zer//*

*'dir zhal snga nas/ 'dul bar mi dge ba sngags su yang mi dge ste/ gsang sngags
pa la⁷⁹⁷ cher/ bcom ldan 'das rdo rje 'chang chen pos rgyud dkrugs/ lung gcus/ man
ngag gab tu bcug pa yin pa dang / mtha' drug la dgongs pa can dang / sgra ci bzhi
ma yin par <326> bshad pa dang / tshul bzhi las sbas don bshad pa shas che ba
dang / ldem dgongs bzhi las bsgyur ba la ldem dgongs shas che bar gsungs pa dang
/ gsang sngags brdas bshad pa yin te/ gtan la phab pa las/*

⁷⁹⁶ P: *pha rol bu med*.

⁷⁹⁷ Read *phal* instead of *pa la*.

And in the sixteenth [chapter of] the *Guhyasamājatantra*, the chapter of the maṇḍala of all supramundane achievements, the supreme awakening, it is said [16.61 c-f]:⁷⁹⁸

You should kill living beings!

You should tell lies!

You should also steal!

You should also resort to women!

Therefore, not only may one indulge in the four fundamental [infractions], but also one may indulge in those nourishments which are incompatible with the Vinaya, such as meat and alcohol. As it is stated [in a Mantra text]:

How could supramundane achievements [be possible] for someone who has no alcohol?

And the same applies also for gnosis.

And:

The Tantric adept, in order to produce the [bodily] radiance,⁷⁹⁹
being a hero, he should drink alcohol, too.

Therefore, we maintain that all supramundane achievements of the Mantra are [thus] to be gained since it will be virtuous [if one practices] through indulging in such things. So [other people] say.

[Reply:] Here the lord ['Jig-rten-mgon-po taught that] non-virtue in the Vinaya is non-virtuous also in the Mantra. Regarding the Mantra [teachings] for the most part, the great Buddha Vajradhara has disordered the tantras, has turned around the authoritative statements (*lung*), and has hidden the pith instructions. And with regard to the six positions (*mūha' drug*, Skt. *ṣaṭkoṭi*) [he] taught through the intentional (*ābhiprāyika*) and non-literal (*na yathārūta*) [expression], < 326 > and from among the four ways (*tshul bzhi*) [he] explained mainly [with] the hidden meaning (*sbas don*; *sbas pa* = Skt. *garbhin*), and from among the four hidden intentions (*ldem por dgongs pa*, Skt. *abhisamdhī*) [he] taught mainly with hidden intention alluding to transformation (*bsgyur ba la ldem por dgongs pa*, Skt. *pariṇāmanābhisamdhī*), and [he] taught through the symbolic language (*brda*, Skt. *saṃketa*?) [of] Mantra. In the *gTan la phab pa* it is said:⁸⁰⁰

⁷⁹⁸ The fundamental tantra of *Guhyasamāja*: *De bzhin gshegs pa thams cad kyi sku gsung thugs kyi gsang chen gsang ba 'dus pa zhes bya ba brtag pa'i rgyal po chen po* (Sarvatathā-gata-kāyavākciitarahasyoguhyasamājanāmamahākālpārāja) *P* vol. 3, no. 81. Chapter XVI begins on 192-4-1. This passage is on 193-3-8. An almost identical passage is contained in the *Hevajratantra* II, iii, 29; see Snellgrove (1959: 56 f.).

⁷⁹⁹ See fn. 817 with some remarks on the potency that is ascribed to alcohol.

⁸⁰⁰ Probably this is the *dPal gsang ba 'dus pa'i 'grel pa man ngag gtan la dbab pa* (*Upadeśanīścayanāmaśrīguhyasamājavṛtti*), *P* vol. 63, no. 2706.

ji ltar shin tu pha ma la//
 bsti stang byas pas rjes su gzhol//
 ji ltar nyams su blangs pa dag/
 mshams med sems can dmyal bar 'gro//
 ces gsungs pa lta bu de'i don bshad na/ de nyid las
 ma rig pa ni pha zhes bya//
 'dod chags pa las⁸⁰¹ ma zhes bya//
 bsti stang byas pas rjes zhugs nas//
 mshams med sems can dmyal bar 'gro//
 ces gsungs pa lta bu yin pas/ 'dir gsang ba 'dus pa rtsa ba'i rgyud du/ rigs bzhi'i
 dam tshig gsungs pa de/ bshad rgyud dgongs pa lung bstan du/ don de nyid bkral te/
 ci skad du/ rdo rje sbyor ba zhes bya ba'i dam tshig gis 'grub pa mchog bstan pa'i
 le'u ste bcu gnyis pa las/
 ma byin pa la⁸⁰² byang chub sems//
 kun rdzob ston⁸⁰³ pa brdzun smra ba//
 phung po srog chags gyur pa ste//
 tshangs pa'i gnas bzhi bud med yin//
 dgongs pa yis ni bshad pa la//
 byis pa mams ni yi ger rtog//
 rdo rje theg par⁸⁰⁴ gnas 'dod⁸⁰⁵ kyang //
 chos nyid de yis⁸⁰⁶ mi shes so//
 ces gsungs te/ de lta bu de 'dul bar yang gnam /
 gnyis pa chang yang dgongs pa can dang / sgra ci bzhi ma yin pa ste/ 'jig rten
 mgon pos/
 bde chen ro yi btung ba la//
 chang zhes nam par bshad pa'o//
 ces pa dang /

⁸⁰¹ Read: *la*.

⁸⁰² *P + D: len pa*.

⁸⁰³ *P: bsten, D: bstan*.

⁸⁰⁴ *P + D: la*.

⁸⁰⁵ *P + D: khyod*.

⁸⁰⁶ *P + D: yang*.

Just as they very much(?) follow (? *rjes su gzhol*) their father and mother
by paying respect to [them],
those who practice in that way
will proceed immediately to hell.

If the meaning of such a teaching [of the Buddha] is explained, the same [text] states:

Following ignorance, i.e. the "father,"
[and] desire, i.e. the "mother"
by paying respect [to them]
one will proceed immediately to hell.

Since it is as taught in that text in this connection that teaching the pledges of the four families in the fundamental tantra of *Guhyasamāja*⁸⁰⁷ is explained in the *bShad rgyud dgongs pa lung bstan* as [having] that very same sense. As it is said in the twelfth chapter which teaches the supreme attainment through the pledge called "vajra application" (*rdo rje sbyor ba*):⁸⁰⁸

To steal [refers to] the resolve for awakening;
to reveal the relative [truth] is to tell lies;
the psycho-physical constituents [of the person] are the living beings;
the four brahmic states are women.⁸⁰⁹

⁸¹⁰Fools consider the letters of what was taught
through allusion.

Even you⁸¹¹ who dwell in the Vajra Vehicle,
do not understand the reality, either.⁸¹²

Such a thing is also permitted in the Vinaya.

Secondly, [the teaching of the use of] alcohol, too, is [to be understood as] intentional and non-literal. [The lord] 'Jig-rten-mgon-po said:⁸¹³

The drinking of the taste of great bliss
has been explained as "alcohol."

⁸⁰⁷ See the above quote from the sixteenth chapter.

⁸⁰⁸ It appears that the *bShad rgyud dgongs pa lung bstan* consists of twelve chapters, of which the twelfth chapter bears almost the same title as the twelfth chapter of the root tantra of *Guhyasamāja* (vol. 3, no. 81).

⁸⁰⁹ This is possibly a wordplay: *tshangs pa'i gnas* and *tshangs par spyod pa*, i.e. Skt. *brahmavihāra* and *brahmacaryā*. The meaning is that to resort to women (which is of course the opposite of *brahmacaryā*) means to practice the four brahmic states.

⁸¹⁰ The following lines actually appear a bit further down in the source text.

⁸¹¹ Here I follow *P* and *D*, reading *khyod*.

⁸¹² Here I follow *P* and *D*, reading *yang*.

⁸¹³ I was as yet unable to identify this quote.

nga bde ba chen po'i chang gis bzi//
 bzi gnam cal col smra ba la//
 khyed phyogs ris kyi bka' skyon mi mdzad zhu//
 ces pa dang / dgongs pa lung bstan yang dag par byang chub kyi sems bshad pa'i
 le'u ste gnyis pa las/ <327>
 dgongs pa'i tshig la rmongs pa mams//
 gsungs pa'i don 'di ma rtogs nas//
 gsungs pa⁸¹⁴ 'di las gzhan min zhes//
 de ni ji bzhin sgrar 'dzin to//
 ces gsungs pa yin/
 sngags kyi dam tshig tu bsten par gsungs pa de yang yi ge gsum gyis kha dog sgyur
 te 'o ma lta bu/ dri dang ro bsgyur te chab tsha lta bu/ nus pa bsgyur te bzi ba dang
 ra ro ba med par/ 'thungs pa tsam gyis rang byung lhan cig skyes pa'i ye shes rtogs
 par nus pa/ dper na bram ze chen po thod pa'i chang la brten te sems nyid phyag
 rgya chen po'i rtogs pa shar ba de lta bu byung na/ gsang sngags kyi dam tshig tu
 gsungs pa yin/ de 'dra byung na 'dul ba dang mtshan nyid du yang bkag pa lta ci
 smos te ye gnang chen po yin/ de liar ma yin par ci rung ma rung /
 slob dpon bir wa pas kyang chang mang po gsol ba yin/ bdud rtsir bsgyur ma
 nus kyang / sngags kyi cho ga la brten nas chang 'thung ba gnang ba yin zer/

⁸¹⁴ P: *gsung ba*.

And:⁸¹⁵

I am drunk on the alcohol of great bliss.
Please do not scold me blindly [lit.: "biased"]
for blathering drunken words.

And in the second chapter of the *dGongs pa lung bstan pa*, [i.e.] the "Explaining of the Correct Resolve for Awakening,"⁸¹⁶ it is said <327>:

Those who are ignorant of allusive words
do not understand this sense that has been taught
and saying: "the teaching is nothing but this,"
[they] take it to be a literal statement.

The teaching that one should make use of [such substances as of] the pledges of Mantra [are as follows:] Through the three syllables [*om āḥ hūm*] one transforms the colour [of alcohol] and [it becomes] like milk; one transforms the smell and taste and [it becomes] like salt(?) water; one transforms the potency⁸¹⁷ and by merely drinking [this nectar] remaining free from intoxication and drunkenness [one is] able to realize the innate simultaneously arisen gnosis. For example, the great brahmin [Saraha] resorted to the alcohol of the skull cup (*kapāla*), and if something such as the arising [of] the realization of the mind itself, [i.e.] Mahāmudrā, occurs, [that] was taught [by the Buddha] as the pledge of Mantra. If such [a thing] occurs, how could it be prohibited even in the Vinaya and again for the Non-Tantric [Mahāyāna] tradition? [It] is a great absolute permission! [But] not being like that, what is permissible and not permissible?

[Objection:] "The master Virūpa, too, drank a lot of alcohol. Even though one is unable to transform [alcohol] into nectar, relying on the ritual of Mantra one is permitted to drink alcohol."⁸¹⁸

⁸¹⁵ 'Jig-rten-mgon-po, *Collected Writings*, vol. 2, p. 122.

⁸¹⁶ As above, *P* vol. 3, no. 83, p. 236-2-4.

⁸¹⁷ My colleague Anne MacDonald pointed out to me that these categories, i.e. colour, taste and (what I termed here) potency (*kha dog*, *ro* and *nus pa*), also appear in a medical context in the *Madhyamakāvatāra*, in the commentary to ch. VI, v. 10a-b. There, *virya* is "un genre de force, certain pouvoir merveilleux, comme, par exemple, la guérison des hémorroïdes par le seul contact du corps avec l'herbe *arśa*." See La Vallée Poussin (1957: 281). Even though the power of alcohol is a lot less magic, there is a certain similarity, and I would expect a lot more similarities between Tantric and medical systems. Studies on the connection between Tantric, medical, and Taoist terminology, too, are certainly a *desideratum*.

⁸¹⁸ See for the latter two stories, rDo-rje-shes-rab, *dGongs gcig yig cha*, vol. 2, pp. 350 f. (Saraha); pp. 352 f. (Virūpa).

*zhal snga nas cis kyang mi 'thung ma tshugs shing chang ni 'thung 'dod/ bsgyur ba'i
 nus pa yang rang la med na/ gsang sngags gsar snying med pa nas/ dri chen 'di
 bdud rtsi lnga'i che shos su gsungs/ chang la bdud rtsir gsungs pa de tsam med
 kyang rang chang 'thung 'dod pa'i phyir mgo snyoms la/ chang mang nyung ci tsam
 'thung ba tsam du bdud rtsi chen po yang de dang mnyam du dkrug gis bsres la
 btung / de la bag dri nga bas mi tshugs par 'dug na bdud rtsi yul gsum phyogs na
 med de/ dug yin pas de bsten na/ 'dir yang bgegs mang pos gtser te/ smad cing
 nyams par gyur nas phyi mar dmyal ba ngu 'bod du skye bar gsungs pas/ gsang
 sngags su yang bkag ste/ bde mchog 'byung ba 'am <328> sdom pa 'byung bar/
 myos byed nges par 'byung ba'i⁸¹⁹ rim par phye ba nyi shu rtsa drug pa las/*

*om āḥ hūṃ zhes bya sngags kyis//
 rtag tu byin gyis brlab par bya//
 ha ho hriḥ zhes bya sngags kyis//
 sbyangs pa dang⁸²⁰ ni rtogs bya ste//
 yi ge has ni kha dog 'phrog/
 ho yis dri ni 'joms pa ste//
 yi ge hriḥ yis nus pa'ang⁸²¹ bcom//
 bdud rtsi mam par bsten par bya//*

⁸¹⁹ P: *bstan pa*.

⁸²⁰ P: *sbyang ba nang*.

⁸²¹ rDo-rje-shes-rab: *pa*.

[Reply:] The lord ['Jig-rten-mgon-po] taught: If one absolutely cannot resist to drink, and wishes to drink alcohol, and if one does not have also the ability to transform [it, one should know that] it was taught in all tantras without any distinction of new and old that this very excrement is the greatest of the five nectars. With regard to alcohol, it is not taught that much as being nectar. However, since oneself desires to drink alcohol, one [should] equalize [the two substances], and having mixed as much alcohol as one will drink with that great nectar [i.e. excrement], one should drink it. If one cannot bear that because of its bad smell, the nectar does not exist anywhere within the three objects.⁸²² And since it is poison, here, too, one is harmed by many demons if one resorts to it. Thus it is taught [by the Buddha] that being low⁸²³ and destroyed, later one will be born in the howling hell. Therefore, [alcohol] is prohibited also in Mantra. In the *bDe mchog 'byung ba* or *sDom pa 'byung ba*, in the twenty-sixth chapter, the teaching on alcohol, it is said:⁸²⁴

Bless [i.e. control it] constantly
 through the Mantra "*om āḥ hūṃ!*"
 Purify and realize [it]
 through the Mantra "*ha ho hriḥ!*"
 Steal the colour with the syllable "*ha!*"
 Defeat the smell with "*ho!*"
 Defeat the potency, too, with the syllable "*hriḥ!*"
 [Thus you] should fully partake of the nectar!

⁸²² The "three objects" (*yul gsum*) are according to the *Tibetan-Chinese Dictionary*: *zhen yul*, *snang yul* and *dmigs yul*. Their Sanskrit equivalents are not clear. These terms are part of an actually fourfold division, where *zhen yul* is replaced by '*jug yul* in cases of direct perception, and where *dmigs yul* is sometimes given as *gzung yul*. There difference lies in the distinction between what appears to a consciousness and what is being comprehended by it. See Anne Klein (1986: 38), and Lati Rinpoche (1980: 28 f.).

⁸²³ Or *smod* [*par 'gyur*], as below, i.e. "insulted?"

⁸²⁴ *bDe mchog 'byung ba zhes bya ba'i rgyud kyi rgyal po chen po* (*Śrīmahāsaṃvarodaya-tantrarājanāma*), *P* vol. 2, no. 20. Chapter twenty-six is on pp. 216-3-4 to 217-2-6. The quote starts on 216-5-1. A canonical commentary to this tantra is the *dPal sdom pa 'byung ba'i rgyud kyi rgyal po chen po'i dka' 'grel padma can* (*Śrīsaṃvarodayamahātāntrarajasya-padminināmapañjikā*), *P* vol. 51, no. 2137. This section is dealt with on pp. 110-1-8 to 111-2-3.

lha⁸²⁵ gsum la sogs dang bral bar//
 ci⁸²⁶ ste dam tshig can gyis 'thung⁸²⁷ //
 de las dug 'gyur the tshom med//
 sngags kyi dngos grub mi skye'o//
 su zhig chang gis myos gyur pa⁸²⁸//
 de la bgegs ni mang po 'byung //
 sngags pa chang gis myos gyur pa⁸²⁹//
 'dod la brkam zhing 'khrig par sred//
 rgod cing glu⁸³⁰ ni de bzhiñ len//
 'thab⁸³¹ mo la spro nam par 'khrul//
 smod cing nyams par byed pa ste⁸³²//
 ngu 'bod dmyal bar 'ished par 'gyur//
 rnal 'byor ma mams khros nas ni//
 de la nad dang mya ngan 'jigs//
 'jigs par byed pas nam⁸³³ gtses nas//
 sdig can dmyal bar 'khrid par 'gyur//
 bla ma la 'khu bla ma smod//
 sems can 'khu la sbyin mi bya//
 bdud rtsi de nyid dug de nyid//
 dngos grub sgrub⁸³⁴ pa 'bras bu med//
 sngags pas 'di dag spang bar ni//
 sngon gyi sangs rgyas mams kyis gsungs//
 tsa ru'i bza' bar ldan⁸³⁵ pa dang //
 cho ga yang dag ldan pas spyad//
 ces gsungs pa dang / kye'i rdo rje las/
 dag pas dug med byas nas ni//
 ces pas/

⁸²⁵ According to *P* and commentary. lHa-btsun Nam-mkha'-snying-po and rDo-rje-shes-rab read: *ha*.

⁸²⁶ *P*: *ji*.

⁸²⁷ *P*: *mtungs*.

⁸²⁸ *P*: *'gyur ba*.

⁸²⁹ *P*: *nam 'gyur bas*.

⁸³⁰ *P*: *klu*.

⁸³¹ *P*: *thab*.

⁸³² *P*: *pas kyang*.

⁸³³ rDo-rje-shes-rab: *mams*.

⁸³⁴ rDo-rje-shes-rab: *grub*.

⁸³⁵ rDo-rje-shes-rab: *ba bsten*.

But if [it is] drunken by those who possess the pledges [of Mantra],
[while they are] separated from the three deities,⁸³⁶ etc.,
there is no doubt that it turns into poison

[and] the supramundane achievements of Mantra will not arise.

Many demons will appear

for him who has become intoxicated by alcohol.

A Tantric adept who gets drunk on alcohol (*P*: [whose state of mind] is altered by alcohol)

longs for passion and craves for sex.

[They are] become wild⁸³⁷ and sing songs accordingly.

[They] delight in fights [and] are completely deluded.

[They] abuse [themselves] and destroy [their vows].

[They] will be cooked in the howling hell.

The yoginīs become furious [with them]

and they scare them [with] disease and misery.

Having been tortured by being terrified,
the sinful ones are led to hell.

Do not give [nectar] to those who offend the guru,

[who] abuse the guru, [and who] offend sentient beings!

That very nectar is this same poison!

The acquiring of supramundane achievements has no result.

That the Tantric adepts abandon such [wrong practices]

has been taught by the former Buddhas.

Resort to the [three white] offerings⁸³⁸

and partake of them through the completely pure ritual!

And in the *Hevajraṣṭāntara*⁸³⁹ it is said:

Having removed the poison through purity ...

⁸³⁶ Both *rDo-rje-shes-rab* and *lHa-btsun Nam-mkha'-snying-po* read *ha gsum la sogs*, "the three *has*, etc.," i.e. the three *has* as they are contained in the three syllables *ha*, *ho*, *hriḥ*? The canonical commentary, however, explains *lha* as it appears in *P*. According to that, the three deities are Vairocana, Amitābha, and Akṣobhya, whose natures are the syllables *om*, *āḥ*, and *hūṃ*.

⁸³⁷ The Sanskrit text, I am informed by Dr. Harunaga Isaacson, has "they dance (*nṛtyate*) and sing songs," which makes perfect sense here.

⁸³⁸ The three white *gor mas* (*dkar gsum gyi bshos bu*, or *zas dkar gsum*), i.e. yoghurt, butter, and milk. Cf. *Good Vase*, section 1, p. 276.

⁸³⁹ *Hevajraṣṭāntara* I, ix, 7d: *nirviṣṭṛyaśuddhiṭaḥ*.

ma dag pa gzugs sdug pa la sogs pa'i 'dod yon la dbang po 'jug pa yin pas/ rnal
 'byor pa bskyed rdzogs gnyis kyi nus pa dang ldan zhing / phyi'i 'dod yon gyis rang
 rgyud tshur zil gyis gnon mi nus pa snang ba la dbang bsgyur ba/ seng ge lta bu
 rnams kyi nyams len <329> las bong rgan lta bu mihu med pa rnams kyi byas na
 rang rmas shing gnad⁸⁴⁰ par 'ong bas/ 'grel pa dri ma med pa'i 'od las/

las dang po pas rnal 'byor pa'i spyod pa mi bya//

rnal 'byor pas grub pa thob pa'i spyod pa mi bya//

grub pa thob pas thams cad mkhyen pa'i spyod pa mi bya//

zhes gsungs pas/ brtan pa thob pa'i gang zag snang ba la dbang bsgyur zhing 'gro
 ba 'dul ba'i thabs tshul du ma ston pa de ni 'dul bar yang ma bkag ste ma gha dha
 bzang mo'i rtogs pa brjod pa las/ dgra bcom pa rnams la de bzhin gshegs pa'i bka'
 mngon sum du gnang ba/ grong khyer bu ram shing 'phel du khyim bdag phyä
 mkhan 'dul ba la dgongs nas/ sras sgra can 'dzin bzang pos/ 'khor los bsgyur ba'i
 cha lugs su sprul pas 'gro ba dpag tu med pa'i don byung ba yin/

sngags nas kyang rgyal po'i cha lugs su btsun mo'i 'khor dang rgyan la sogs
 pa rgyal po indra bo dhi lta bu 'gro ba 'dul ba'i thabs la mkhas pa yin pas/ u rgyan
 gyi khyi tshun chad mkha' spyod du khrid pa yin/

mtshan nyid pha rol tu phyin pa la yang brgyad stong pa las/ byang chub sems
 dpa' chos 'phags rten dge slong yin yang / btsun mo brgyad khri'i dbus na bzhugs
 nas 'dod yon gyis rtse zhing / 'gro ba dpag tu med pa'i don mdzad pa yin pas/

⁸⁴⁰ Read: gnad.

Therefore, [this is] the practice of those [yogis] who are like a lion, who have power over appearances, meditators who possess the ability [to remain in] both [stages of] production and perfection and whose mind cannot be overpowered by the outer objects of the senses through the sense organs engaging the impure sense objects such as the pleasing forms. But if [such practices as drinking alcohol] <329> are performed by those who are without power like an old donkey, they will wound and hurt⁸⁴¹ themselves. Therefore it is said in the *Commentary Vimalaprabhā*:⁸⁴²

Therefore the beginner should not perform the activities of a yogi, the yogi should not perform the activities of an adept, and the adept should not perform the activities of an Omniscient One.

Therefore, the controlling of appearances and revealing of many means of training living beings by a person who has obtained firmness, is not prohibited even in the Vinaya. In the *Avadāna of Sumagadha*,⁸⁴³ the Tathāgata's direct command to the arhats [was such that] intending to tame the householder Sthapati in the town Buram-shing-'phel (Skt. Puṇḍravardhana, *Mvyt.* 4113), [Buddha's] son Rāhulabhadra magically manifested himself in the dress of a universal emperor whereby the benefitting of immeasurable beings came to pass.

In the Mantra, too, someone like the king Indrabodhi, in the outfit of a king, [with] the retinue of queens and ornaments, etc., was an expert with regard to skill in means for training living beings, and consequently [everyone] in [his kingdom] Uḍḍiyāna, including the dogs, was led to the celestial realms.⁸⁴⁴

For the Perfections Tradition within the Non-Tantric doctrinal systems, too, it is said in the *Aṣṭasāhasrikā (Prajñāpāramitā)* [that] even though the bodhisattva Dharmodgata was a full monk, he amused himself with the sense-objects remaining in the midst of eighty-thousand ladies, and he benefitted immeasurable sentient beings.⁸⁴⁵

⁸⁴¹ Read *gnod*.

⁸⁴² A large commentary on the *Kālacakratāntra*, the *bsDus pa'i rgyud kyi rgyal po dus kyi 'khor lo'i 'grel bshad rtsa ba'i rgyud kyi rjes su 'jug pa stong phrag bcu gnyis pa dri ma med pa'i 'od* (*Vimalaprabhānāmamūlatantrānusāriṇīdvādaśasāhasrikālaghukālacakratāntra-rājāṅkā*), *P* vol. 46. no. 2064. The same passage is also quoted by Lo-chen Dharma-shrī.

⁸⁴³ *Sumagadhāvadāna*, *P* vol. 40, no. 1015. See for this story also rDo-rje-shes-rab, vol. 2, pp. 353 ff.

⁸⁴⁴ See for this story rDo-rje-shes-rab, vol. 2, pp. 346 ff.

⁸⁴⁵ See for example Conze (1958: 204-205): "The bodhisattva Dharmodgata, however, with his retinue, diverts, enjoys and amuses himself only for a certain time, and thereafter he always demonstrates the perfection of wisdom (...). Many hundreds of thousands of living beings, Gods and men, assemble there to listen."

'dul ba'i nyan thos 'phags pa dgra bcom pa nmams kyi mdzad pa dang / theg chen gyi de lta bu dang / sngags kyi slob dpon rgyal po indra bo dhi dang / bir wa pa la sogs pa grub thob mams kyi mdzad pa de dag ni khyad par med par mishungs pa yin pas rang nyid 'dod yon la zhe 'dod kyi dgur khrid mi bya/ <330>

gzhan yang 'dul bar gang zag gi rigs kyis 'dod yon gyis mi gnod pa yang yod de/ bcom ldan 'das kyis nga'i nyan thos nmams kyis mi sdug pa bsgoms pa la brien nas nram par grol ba thob pa la/ dge slong seng ge ni sdug pas mam par grol ba thob bo zhes gsungs pa yin la/ sngags su yang / rgyal po indra bo dhi lta bu mishan nyid [phar phyin?] du yang byang chub sems dpa' dga' byed lta bu yin/

'dul ba nas rab tu byung ba'i lag len gso sbyong byed par gsungs la sngags nas kyang / dang por gso sbyong sbyin par bya// ces pa yod pas/ de ltar sngags kyis⁸⁴⁶ sbas pa'i don mams la dgongs pa len dgos pa yin/

'o na sgra gcan 'dzin bzang po'i⁸⁴⁷ de lta bu de sprul pa yin ce na/ slob dpon rgyal po'i⁸⁴⁸ de lta bu yang rdo rje 'chang gi sprul pa yin la/ de tsam du chos thams cad kyang / sprul pa yin par yum rgyas pa las gsungs pas/ 'dir dgongs pa tshad mar gyur ba sangs rgyas bcom ldan 'das kyis gnas dang gnas ma yin pa mkhyen pa'i ye shes dang mthun pa 'di'i rjes su 'brang ste/ bcom ldan 'das kyis des bar du gcod par gsungs pa'i chos nmams kyis bar du gcod par mi 'gyur ro// zhes lha dang bcas pa'i 'jig rten na sus kyang chos dang 'thun par rgol bar nus pa med ces mi 'jigs pa bzhi'i spangs pa la dam bcas pa las gsungs pas/

⁸⁴⁶ Read: *kyi*.

⁸⁴⁷ Better to om. the genitive?

⁸⁴⁸ Better om. the genitive?

Therefore, since the deeds of the auditors, saints, [and] arhats of the Vinaya and such [deeds] of the Mahāyāna, and those deeds of the adepts such as the master of the Mantra [practices] king Indrabodhi and Virūpa are the same without any difference, do not mislead yourself with⁸⁴⁹ desire for sense-objects.

<330> Furthermore in the Vinaya there also exist [persons who] through their [being a specific] type of person are not harmed by the sense-objects; thus the Exalted One said: "My auditors obtain complete liberation through meditating on disgusting things, but the monk Simha obtained complete liberation through beautiful things." And in the Mantra, too, it is like king Indrabodhi, [and] in the Non-Tantric doctrines [of the Perfections] it is like the bodhisattva *dGa'-byed*.⁸⁵⁰

In the Vinaya it is taught [by the Buddha] that to perform the public confession (*poṣadha*) [is] a limb of ordination, and in the Mantra too, it is said [in the *Hevajratāntra*, ch. IX, vv. 9-10]:⁸⁵¹

In the beginning one should offer public confession ...

Therefore, one must thus extract the intention regarding those hidden meanings of the Mantra.

[Objection:] "Is not such a one as Rāhulabhadra a magical emanation?"

[Answer:] That sort of king, the master [Indrabodhi], too, is a magical emanation of Vajradhara. And it has been taught in the large *Prajñāpāramitāsūtra* that to that extent all phenomena, too, are magical emanations. Therefore, here [we] follow the genuine intention, which is in agreement with the Buddha's⁸⁵² gnosis that perceives what is and what is not possible. Because it is taught by the Exalted One in the assertion with regard to the "abandoning" [as a part] of the four kinds of fearlessness that no one at all in this entire world including the gods has the power to assert in accordance with the religious principle that those factors that were taught by the lord Buddha to be impediments will not be impediments.

⁸⁴⁹ Reading *kyis*.

⁸⁵⁰ Vehicle, and Mantra as in the beginning of vajra utterance 5.23 (p. 367).

The bodhisattva *dGa'-byed* is unidentified. According to *rDo-rje-shes-rab*, vol. 2, pp. 358 f., the daughter of a merchant saw *dGa'-byed* and was attached to his voice and beauty. She was so much tormented by desire that she passed away. In *dGa'-byed*'s mind, too, attachment arose, but in the very same moment he became aware of it, understood emptiness and obtained tolerance of the unborn Dharma (*mi skye ba'i chos la bzod pa thob*). Later the Buddha said: "The bodhisattva *dGa'-byed* fully realized all Dharma through the thought of desire" (*'dod chags kyi sems las chos thams cad yongs su rtogs so*).

⁸⁵¹ Snellgrove (1959: vol. 2, p. 91).

⁸⁵² Read *kyi*.

*mdor na rgyu nyon mongs pa dug gsum dang 'brel ba'i sgo gsum gyi las mi dge ba
de dag sngags su yang mi dge la/ rgyu nyon mongs pa dug gsum med pa las byung
ba'i sgo gsum gyi las mams 'dul bar yang dag la sngags su yang dge gsung //*

Thus in short, those non-virtuous deeds of the three venues [body, speech, and mind] which are connected with the three defilements [or] poisons as the cause, are non-virtuous also in the Mantra, and those deeds of the three venues which come into existence through an absence of the three defilements [or] poisons as the cause are completely pure in the Vinaya, and [they are] also virtuous in Mantra. [Thus] taught ['Jig-rten-mgon-po].

The Three-Vow Doctrines of the rNying-ma-pas

As in the previous chapter, I would like to widen the scope of this study a bit further by describing the teachings on the three vows as found within yet another tradition, here that of the rNying-ma-pas as represented by Klong-chen Rab-'byamis-pa (1308-1363), mNga'-ris Paṅ-chen Padma-dbang-rgyal (1487-1542), and Lo-chen Dharma-shrī (1654-1718). Since I have already discussed in previous chapters the most important terms and concepts with some remarks about their historical development, I shall restrict myself in the translations of this chapter to just a few additional remarks in the footnotes. Klong-chen-pa's doctrine of the three vows is, in essence, not different from that of Go-rams-pa and 'Jig-rten-mgon-po, for example, in that he teaches that the defilements are to be prevented, and so forth, but his way of explaining that and his willingness to plunge deep into details of Tantric practice stand in obvious contrast to those two masters.

15.1. Biographical Notes on mNga'-ris Paṅ-chen Padma-dbang-rgyal

We will begin with mNga'-ris Paṅ-chen Padma-dbang-rgyal-rdo-rje, a rNying-ma master, who was born in 1487 in the Ma-thang village of Glo-bo (in present-day Mustang, Nepal).⁸⁵³ His father was 'Jam-dbyangs Rin-chen-rgyal-mtshan, a great learned and accomplished master of the rNying-ma who was descended from the Gung-thang royalty, and his mother was 'Bro-lcam Khrom-pa-rgyan. In his eighth year he received the vows of a householder. From his father he obtained the bodhisattva vows, as well as cycles of instructions of the Ancient Translation School (otherwise referred to as rNying-ma-pa tantras).⁸⁵⁴ From the master Nor-bstan-bzang-

⁸⁵³ These biographical notes are based on Dudjom Rinpoche (1991: 805-808). This is a translation of fols. 297r-299v of bDud-'joms 'Jigs-bral-ye-shes-rdo-rje (1904-1987), *History of the Nyingma School of Tibetan Buddhism*, by Gyurme Dorje, with the collaboration of Matthew Kapstein. See also Khetsun Sangpo (1973 ff., vol. 3, pp. 632-663), which is based on a work referred to as the *'Dus pa mdo dbang* history.

⁸⁵⁴ The text mentions mainly three works: *mDo sgyu sems gsum gtso bor gyur pa'i snga 'gyur bka' ma'i skor*. According to Ehrhard (1990: 3) *mDo* is short for *mDo dgongs 'dus* (*bShad rgyud*: P. 452 = *rNying ma rgyud 'bum* 160; *rTsa rgyud*: P. 454 = *rNying ma rgyud 'bum* 162); *sGyu* is short for *sGyu 'phrul drwa ba*, a group of tantras with the *Guhyagarbhatantra* as the central one (P. 445 = *rNying ma rgyud 'bum* 187); *Sems* is short for a work that represents in this transmission the *rDzogs-chen* teachings, i.e. the *Chos thams cad rdzogs pa chen po byang chub kyi sems kun byed rgyal po* (P. 451 = *rNying ma rgyud* (continued...))

po he heard instructions on Vinaya, Sūtra, and the cycle of the bKa'-gdams-pa's teachings, etc. In his twentieth year, he began with Madhyamaka, epistemology (*pramāṇa*), and Perfections Vehicle (*prajñāpāramitā*) studies, and eventually, after years of further studies, is said to have mastered "a hundred great scriptural traditions" (*gzhung lugs chen po*). In his twenty-first year he received the initiation and instructions for *Red Yamāri*, etc., from 'Jam-dbyangs Chos-skyong, Tshul-khrims-dpal, and others. In the next year he is said to have resolved all his doubts regarding the Word and Treasure Teachings of the Ancient Translation School (*snga 'gyur bka' gter*) under the spiritual guidance of his father. He especially practiced successfully the *Eight Instructions*, i.e. the *bKa' brgyad bde gshegs 'dus pa*.⁸⁵⁵ In his twenty-third year he received twice the *Path with its Fruit* (*lam 'bras*) from Glo-bo-[mkhan-chen],⁸⁵⁶ and two years later he also received full ordination from him. He received further instructions on grammar and epistemology, as well as on the tantras and initiations of the New Translation School from Glo-bo-mkhan-chen, the great scholar of Gu-ge, rNam-rgyal-dpal-bzang, and 'Jam-dbyangs Blo-gros-dpal. He also studied many northern treasures (*byang gter*) under *gTer-ston* Shākya-bzang-po of Drang-po.

From his thirty-eighth year onward, mNga'-ris Pan-chen began to teach according to both the Old and New Tradition. He especially intended to restore the deteriorated teaching lineages of dBus and gTsang, so he went there to teach and live. In his forty-sixth year he discovered in Samye the treasure of the *Later Gathering of the Instructions*.⁸⁵⁷ He passed away in his fifty-sixth year in 'On sMon-thang. Dudjom Rinpoche mentions specifically "his inconceivably great kindness alone through his composing the treatise *The Ascertaining the Three Vows* (*sDom gsum rnam par nges pa'i bstan bcos*)," which—though tersely expressed—conveyed exceedingly much meaning. The work remains, he says, down to the present day,

⁸⁵⁴(...continued)

'bum 1). See also Ehrhard (1990: 84, n. 9) with further references.

⁸⁵⁵ rNying ma rgyud 'bum, vols. 31-32, nos. 375-388. The revealing of the *Eight Instructions-Treasure* is attributed to Nyang-ral Nyi-ma-'od-zer (Meiszahl 1985: 10).

⁸⁵⁶ I.e. Glo-bo mkhan-chen bSod-nams-lhun-grub (1456-1532), on whom see the introduction by E. Gene Smith, pp. 1-12, to Glo-bo mkhan-chen bSod-nams-lhun-grub, *Tshad ma rigs pa'i gter gyi rnam par bshad pa rigs pa ma lus pa la 'jug pa'i sgo*: A commentary on the *Tshad ma rigs gter* of Sa-skya Paṇḍita, S.T. Kazi (publ.), Gangtok, 1970. See also Jackson (1984) and (1987), both with numerous references to Glo-bo mkhan-chen.

⁸⁵⁷ The *bKa' 'dus phyi ma rig 'dzin yongs 'dus kyi chos skor*. In: *Rin chen gter mdzod*. Ngodrub and Sherap Drimey, Paro, 1976, vol. 6, pp. 123-149; vol. 11, pp. 1-112; and vol. 30, pp. 61-227.

a "throat ornament" for those who maintain the teachings of the Ancient Tradition.⁸⁵⁸ He also mentions an autobiographical work of mNga'-ris Paṅ-chen in verses, which I have been otherwise unable to identify or locate.⁸⁵⁹

15.2. mNga'-ris Paṅ-chen's *Clear Comprehension of the Three Vows*

The *Clear Comprehension of the Three Vows* is a short versified text of nineteen folios (in the *rNying ma bka' ma rgyas pa* edition).⁸⁶⁰ Neither its colophon, fol. 19r, nor its introduction mention the date, place, or any other circumstance of its composition. On fol. 2v the nine vehicles (*yānas*) of the Great-Perfection Tradition are introduced. As the author mNga'-ris Paṅ-chen explains, even though there are many "Dharma doors" [approaches to the doctrine], here they are not to be explained in detail. Instead, the three teachings for those with highest, medium, and lowest capacity will be explained in short. The highest is for such masters as Indrabhūti, who produced the three vows simultaneously (*gcig car skyes*) through obtaining initiation, and who realized (the highest supramundane achievement) and attained liberation at the same time. The medium type is for such masters as Nāgārjuna, who successively obtained (*rim thob*) the three vows on the basis of the respective rituals of the three vows. The lowest is for persons of little fortune (*skal dman*) who are very difficult to discipline spiritually (*shin tu gdul dka'*). They start with the "public confession" (i.e. the *poṣadha* ritual). Then they should be taught the following disciplines: the four tenets (i.e. of the Vaibhāṣikas, the Sautrāntikas, the Yogācāras and the Mādhyamikas), the three lower tantras, and finally the tantras of the highest yoga (*niruttara*) such as *Hevajra*.⁸⁶¹ In the present treatise, the author mNga'-ris Paṅ-chen intends to explain such points as the obtaining, maintaining, etc., of the three vows by the medium type of person.

mNga'-ris Paṅ-chen's *Clear Comprehension of the Three Vows* has five main parts: (1) The introduction, (2-4) the teaching of the respective three vows, which is the main body of the treatise, and (5) the teaching of the three vows possessed together, which is a sort of a supplement. Part 2 starts on fol. 3r with the teaching

⁸⁵⁸ bDud-'joms-rin-po-che, *History of the Nyingma School of Tibetan Buddhism*, fol. 299r: *gzhan yang tshig nyung la don shin tu mang ba sdom gsum mam par nges pa'i bstan bcos mdzad pa gcig pu'i bka' drin kyang bsam gyis mi khyab pas deng sang gi bar du snga 'gyur bstan 'dzin mams kyi mgrin pa'i rgyan du gyur pas mtshon.*

⁸⁵⁹ *Ibid.* *spyir skyes bu dam pa 'di mkhas btsun grub gsum gyi mam thar bsam gyis mi khyab pa'i tshul rje rang gi zhal gsung mam thar tshigs bcad mar gsal ba ltar.*

⁸⁶⁰ See my remarks on a recent English translation of this work in the "Previous Research" section of the introduction.

⁸⁶¹ This, of course, alludes to the famous quote from the *Hevajratāntra* already quoted by Go-rams-pa, *General Topics*, fol. 72r, l. 5. See Snellgrove (1959: II, 116).

of the Vinaya-prātimokṣa. Then follows part 3, the explanation of the bodhisattva's training in the production of the resolve for awakening (fol. 10r), and part 4, of the vows of the Tantric adepts (fol. 14v). Part 5, which concerns us most, explains in two pages, namely fol. 17v-18v, how the three vows are possessed together.⁸⁶² The exposition is extremely terse, and thus a translation must yield an unwieldy result with many square brackets and very little aesthetic value. The problem, however, is not only an aesthetic one, but also one of meaning. For if, as in the case of the fifth part of mNga'-ris Paṅ-chen's teaching, a large number of lines from the text consists of hardly more than certain key words strung together, interpretation becomes crucial. Therefore, for reaching my understanding I have had to rely on the largest and most widely accepted commentarial source, namely Lo-chen Dharma-shrī's *Commentary to the Clear Comprehension* written in the early eighteenth century. I have also briefly consulted two further commentaries, i.e. Karma-nges-don's *sDom gsum mam nges tshig don legs 'grel'*⁸⁶³ and bDud-'joms Rin-po-che's *sDom gsum mam nges 'bru 'grel'*.⁸⁶⁴ Neither of the latter two works, however, goes beyond the explanations of Lo-chen Dharma-shrī, at least not in the fifth part that I have consulted. In fact, bDud-'joms Rin-po-che's commentary in particular appears to be little more than an abridgment of Lo-chen Dharma-shrī's text.

The Tibetan text of mNga'-ris Paṅ-chen's *Clear Comprehension of the Three Vows* will be presented later in this chapter in section 6. Since the six verses⁸⁶⁵ dealing with the simultaneous possession of the three vows appear as citations at the beginning of each of the respective six parts in Lo-chen Dharma-shrī's final chapter, which is translated below in section 5, the reader should refer to those passages for a translation of the relevant parts of mNga'-ris Paṅ-chen's text.

⁸⁶² The colophon, containing only some verses that explain the necessity of composing such a work, a dedication, and the statement that this treatise was written by the great scholar of mNga'-ris, Padma-dbang-gi-rgyal-po, covers six lines on fols. 40v and 41r.

⁸⁶³ The fifth part begins in Karma-nges-don's text on p. 163. His full name is given in that text as Karma-nges-don-snying-po gZhan-phan-chos-kyi-dbang-phyug of dPal-spungs. The colophon states that he incorporated the instructions of the "two 'Jam-mgon Lamas" into the text. The "two 'Jam-mgon Lamas" may be 'Jam-dbyangs mKhyen-brtse'i-dbang-po (1820-1892) and Kong-sprul. Is Karma-nges-don-snying-po therefore the same as Karma-nges-don bsTan-pa-rab-rgyas (1808-1864 or 7), who requested, together with mKhyen-brtse'i-dbang-po, Kong-sprul to compose his *Pervading All Objects of Knowledge*? Cf. chapter 12, section 5 of this book.

⁸⁶⁴ The relevant part, which is in this commentary the third, begins here on fol. 191r.

⁸⁶⁵ These "six verses" contain between two and nine lines each.

The Six Topics of Klong-chen-pa

The six parts of Lo-chen Dharma-shrī's final chapter reflect the "six verses" of mNga'-ris Paṇ-chen, and these, again, reflect the "six topics" connected with the three vows that were introduced into the discussion by Klong-chen Rab-'byams-pa (1308-1364). These "six topics" appear, as far as I know, for the first time in Klong-chen-pa's *rDzogs pa chen po bsam gtan ngal gso'i 'grel pa shing rta mnam par dag pa*. After refuting some other doctrines of the three vows, Klong-chen-pa replies to the question how the vows are maintained in the mental stream of consciousness of a single person as three:⁸⁶⁶

- (1) [The three vows are] unmixed [with respect to their] distinctive aspects,
- (2) [their practice] is perfectly complete [through that which is to be] prevented [and through] the purpose,
- (3) the nature is transformed,
- (4) the qualities are possessed "upwardly,"
- (5) [they] are not incompatible through the vital points of the three vows [and]
- (6) one practices whatever is preeminent according to occasion.

These topics are then explained in the following four folios. This explanation, however, appears not to be as systematized as in the later tradition; there is, for example, no strict order for the six topics; instead he deals at first with the first two points, then mentions briefly the sixth point, then the fourth, the fifth, the third, and again the first and the fourth, before finally explaining the last point. Some of his explanations are also very brief, while others are presented in a little more detail. I shall refer to Klong-chen-pa's explanations of these six topics in his *Ngal gso skor gsum* in the translation of Lo-chen Dharma-shrī's commentary.⁸⁶⁷

15.3. Biographical Notes on Lo-chen Dharma-shrī

The following biographical notes are again based on Dorje and Kapstein's translation of bDud-'joms Rin-po-che's *History of the Nyingma School of Tibetan Buddhism*, fols. 247r-249v.⁸⁶⁸ Lo-chen Dharma-shrī was born in 1654 as the younger brother of the great treasure-finder (*gter ston*) 'Gyur-med-rdo-rje.⁸⁶⁹ From him he also

⁸⁶⁶ Klong-chen-pa, *rDzogs pa chen po bsam gtan ngal gso'i 'grel pa shing rta mnam par dag pa*, fol. 137v: *rang ldog ma 'dres dgag dgos yongs rdzogs/ ngo bo gnas 'gyur/ yon tan yar ldan/ sdom gsum gnad kyis mi 'gal/ dus skabs kyi gtso bor gang 'gyur bar bya ba dang drug go*.

⁸⁶⁷ For an introduction to the *Ngal gso skor gsum*, see Guenther (1975: XIII-XXV).

⁸⁶⁸ For the translation by Dorje and Kapstein, see Dudjom Rinpoche (1991: 728-732).

⁸⁶⁹ On 'Gyur-med-rdo-rje sMin-gling-gter-chen, a.k.a. gTer-bdag-gling-pa (1646-1714), (continued...)

received the householder vows as a child. In his fifteenth and twentieth year he received the novice and full monk vows from the fifth Dalai Lama. Later in his life he again received full ordination from Kha-rab dKon-mchog-bstan-'dzin according to the pure lineage of the Lower Vinaya.⁸⁷⁰ From his elder brother he also received the bodhisattva vows of the three traditions (*bka' srol gsum*).⁸⁷¹ Since he had received also from that same master the Tantric initiation of the *Rig 'dzin thugs thig*⁸⁷² already in his twelfth year, the vows of prātimokṣa and of the bodhisattvas, which he received later, are said to have arisen for him "as a section of the morality of Mantra" (*sngags kyi tshul khrims las phye ba*) and "as the nature of the Mantra vows" (*sngags kyi ... sdom pa'i ngo bor skyes pa*).

From his sixteenth year onward, Dharma-srī thoroughly studied such minor subjects as grammar, poetics, astrology, divination and dance, under such teachers as Gung-thang Paṇ-chen bShes-gnyen-rnam-rgyal, IDum-pa Don-grub-dbang-rgyal, sTag-lung sKra-pa, and his elder brother 'Gyur-med-rdo-rje. Under another elder brother, namely rGyal-sras-bstan-pa'i-nyi-ma, he studied Vinaya, under Sangs-rgyas-chos-dar, Vinaya, Abhidharma, and the Perfections Vehicle (*prajñāpāramitā*), and again under Gung-thang Paṇ-chen, Vinaya and Madhyamaka. From mKhas-grub Chos-skyong-rgyal-mtshan he learned the *Zab mo nang don* of the third Karma-pa Rang-byung-rdo-rje. From his brother 'Gyur-med-rdo-rje, he learned a great number of works of Klong-chen-pa, of the masters of the Zur lineage, and of the great Rong-zom. He also studied, in particular, under his brother the tantras of the Ancient Tradition, though he studied, in general, under some twenty masters of all traditions.

⁸⁶⁹(...continued)

see Dudjom Rinpoche (1991: 825-834), for the Tibetan text, fols. 310v-318v.

⁸⁷⁰ It is not clear from the text whether the fifth Dalai Lama conferred ordination in any tradition other than that of the "Lower Vinaya Lineage" (*smad 'dul*, "Lower" = "Eastern," as opposed to "Upper" = "Western"). According to Tulku Thondub (in the foreword to Ngari Panchen, 1996: XII), the "Lower Vinaya Lineage" was brought to Tibet by Śāntarakṣita in the ninth century. The "Upper Vinaya Lineage" (*stod 'dul*) was brought to Tibet by the Indian master Dharmapāla, but it no longer exists. The other major lineage still existing is the "Middle [or Central] Vinaya Lineage" (*'bar 'dul*) that was brought to Tibet by Śākyasribhadra. After the "Upper Lineage" ceased to exist in Tibet, the "Middle Lineage" was sometimes referred to as "Upper." This reconstruction, however, raises some doubts and the matter needs a thorough investigation on the basis of earlier records (such as *gSan yigs*).

⁸⁷¹ I do not know what these "three traditions" (*bka' srol gsum*) might be. They do not appear to be connected to the two well-known bodhisattva-vow traditions of the Mādhyamikas and the followers of Cittamātra, on which see my remarks on p. 49.

⁸⁷² Discovered by 'Gyur-med-rdo-rje a.k.a. gTer-bdag Gling-pa, see *Rin chen gter mdzod*, vol. 13, pp. 61-197.

Lo-chen Dharma-shrī is said to have spent his whole life engaged in the three activities of the wise, i.e. in teaching, debating, and composing. In many cases he taught or gave to others up to eight times the teachings and initiations that he had received. His collected works consist of eighteen volumes, beginning with his writings on the intentional meaning of the '*Dus pa'i mdo* and the *sGyu 'phrul drwa ba*,⁸⁷³ and continuing through to his works on the traditional "sciences" (*rig gnas*). Lo-chen Dharma-shrī passed away in 1718.

15.4. Lo-chen Dharma-shrī's *Commentary on the "Clear Comprehension"*

Lo-chen Dharma-shrī's commentary on mNga'-ris Paṇ-chen's *Clear Comprehension of the Three Vows* is a huge work, consisting of 317 folios in the accessible edition. As Gyurme Dorje and Matthew Kapstein have remarked in a footnote to their translation of Dudjom Rinpoche's *Nyingma School of Tibetan Buddhism* (n. 948), it is "doubtlessly the most influential [commentary on mNga'-ris Paṇ-chen's work] among the modern rNying-ma-pas." In the colophon (fol. 314v), the author states that being glad to have received the order (to compose this work) from the Tantric adept of rDo-rje-brag (i.e. Padma-phrin-las, 1640?-1718), and positively influenced by the secretary dGe-slong O-ḍyan-dpal-'byor,⁸⁷⁴ he, the "deluded full monk from gNyos," has composed this work in his fifty-fifth year [in 1708], at the great temple of O-ḍyan-smin-grol-gling.⁸⁷⁵ 'Gyur-med-rdo-rje states in the xylographer's colophon (*par byang*, fol. 316v) that since mNga'-ris Paṇ-chen did not compose a commentary himself, his terse teachings are difficult to understand (*rtogs dka'*). Therefore, in order to assist the intelligent ones who make endeavours, requested by the younger brother mKhan-chen Lo-tśā-ba (i.e. by Lo-chen Dharma-shrī, the author himself), and because the Tantric adept of rDo-rje-brag [Padma-'phrin-las] said that it would be very necessary for the adherents to the rNying-mā-pa doctrine (*rang lugs 'dzin pa rnams la*), the work was printed through the great efforts of his [other] younger brother Kun-dga'-tshul-khrims-rgya-mtsho in 1709.

The work is structured according to mNga'-ris Paṇ-chen's basic text, and thus I do not need to comment about that here. The last part, which deals with the possession of the three vows in the mental stream of a single person and which thus concerns us most, begins on fol. 296r.

⁸⁷³ See fn. 854.

⁸⁷⁴ The very elaborate Tibetan construction in the colophon suggests that Oḍyan-dpal-'byor provided some encouragement through giving a mat or paper, and perhaps also some sort of assistance as secretary or scribe: "who brought together the contributing factor of the writing basis of the composition" (*rtsoṃ gdan gyi bri gzh'i'i rkyen sbyar ba*).

⁸⁷⁵ sMin-grol-gling is the monastery that was founded by gTer-bdag Gling-pa in 1670 in South-Central Tibet. See Dudjom Rinpoche (1991: 468, and map 6, J16).

**Transmissions of "The Three Vows Transformed,
of the Same Nature, and Having Distinctive Aspects"**

Proposed by Lo-chen Dharma-shrī and Kong-sprul

Two authors, namely Lo-chen Dharma-shrī and Kong-sprul, have provided lineages for their own transmission and for the general transmission of three-vow teachings. Lo-chen Dharma-shrī, fol. 300r, describes his own transmission in the following way:

The Masters of the Early Spreading [of the Teachings]

Rong-zom Chos-kyi-bzang-po (11th C.)

:

"most of the gurus of the system of Zur"

:

sNar-ston Seng-ge-'od

:

Klong-chen-pa Kun-mkhyen Dri-med-'od-zer (1308-1363)

:

gTer-chen Chos-kyi-rgyal-po ['Gyur-med-rdo-rje] (1646-1714)

:

Lo-chen Dharma-shrī (1654-1718)

It is strange that mNga'-ris Pan-chen, author of the root text that was commented on by Lo-chen Dharma-shrī is not mentioned. No rNying-ma-pa treatise before Klong-chen-pa is known to me to treat the six points altogether. My colleague Dorji Wangchuk, however, pointed out to me several passages in Rong-zom's collected works that deal with some aspects of the later rNying-ma-pa three-vow theory such as transformation, perfection of the lower in the higher vows, and non-contradiction of their vital points. Unfortunately I can not include any of this certainly interesting material in the present work, but perhaps will be later able to do so in a separate article.

gTer-chen Chos-kyi-rgyal-po is 'Gyur-med-rdo-rje sMin-gling-gter-chen, a.k.a. gTer-bdag Gling-pa, the elder brother of Lo-chen Dharma-shrī.

Another "transmission" of the same teaching is mentioned by Lo-chen Dharma-shrī on the same folio:

[Masters] of the Later Spreading [of the Teachings]

Lo-chen Rin-chen-bzang-po (958-1055)

:

Sa-skya Paṇḍita (1182-1251)

Here "same teaching" refers to "the three vows transformed, of the same nature, and having distinctive aspects." If Rin-chen-bzang-po was part of a transmission of teachings on the three vows, it might have been an oral transmission. As is shown in chapters 3-5, it is very doubtful whether Sa-pan ever used such terminology in his writings. He certainly did not mention a "transformation," "same nature," or "distinctive aspect" in his *Clear Differentiation of the Three Vows*. Kong-sprul, *Pervading All Objects of Knowledge* 6, too, describes Sa-pan's lineage of the three-vow teachings as going back to Rin-chen-bzang-po, and Klong-chen-pa's as going back to Rong-zom. Future investigations will hopefully shed more light on pre-twelfth century three-vow doctrines than I have been able to do.

*gsum pa sdom pa gsum gang zag gcig gi rgyud la 'gal med du nyams su len tshul
gyis [gyi?] mtha' dpyad pas don bsdu ba la gsum ste/*

(1) brjod bya'i lus ngos bzung ba'i sgo nas mdor bstan/

(2) yan lag rgyas par bshad pa'i sgo nas mtha' dpyad/

*(3) sdom gsum nyams su len tshul bsdu te bstan pa'i sgo nas mjug bsdu
ba'o//*

dang po ni/

de lta'i sdom gsum gang zag rgyud gcig la//

(1) rang ldog ma 'dres (2) dgag dgos yongs su rdzogs//

(3) ngo bo gnas 'gyur (4) yon tan yar ldan pas//

(5) gnad kyis mi 'gal (6) dus skabs gang gtsor spyad//

ces pa ste/

15.5. Text and Translation of Lo-chen Dharma-shrī's

sDom gsum nam nges 'grel pa (Chapter 3)

Third [chapter]. To summarize through an exhaustive investigation how the three vows are practiced in the mental stream of consciousness of a single person without incompatibility, [the exposition] has three sections:

- 3.1. A short explanation through identifying the [main] body of the subject to be expressed [i.e. the main topics];
- 3.2. An exhaustive investigation through explaining the limbs in detail; and
- 3.3. Concluding by briefly teaching how to practice the three vows.

3.1. Short Explanation Through Identifying the [Main] Body of the Subject to be Expressed

[In mNga'-ris Paṅ-chen's *Clear Comprehension of the Three Vows*, fol. 17v, line 6, it is said]:

The three vows which are such [as explained before,⁸⁷⁶ are maintained] in the mental stream of consciousness of a single person [in the following way]:

- (1) [They are] unmixed [with respect to their] distinctive aspects, (2) [their practice] is perfectly complete [through that which is to be] prevented [and through] the purpose,
- (3) the nature is transformed [and] (4) the qualities are possessed "upwardly." Therefore
- (5) [they] are not incompatible through the vital points, [and] (6) one practices whatever is preeminent according to occasion.

⁸⁷⁶ That is, as they are explained separately as vow systems in their own right in chapters 2-4 in mNga'-ris Paṅ-chen's *Clear Comprehension of the Three Vows*. See also section 2 of the present chapter.

*de ltar so byang sngags kyi sdom pa gsum po de rim par nod pa'i gang zag gi rgyud
 la ji ltar ldan zhe na/ de gtan la 'bebs par byed pa la 'dir don drug tu bsdu nas
 gsungs pas kun mkhyen chos kyi rgyal po dri med 'od zer dang yang dgongs pa gcig
 tu 'bab ste/ bsam gtan ngal bso las/*

nyan thos byang chub sems dang rig pa 'dzin// <297r>

sdom pa gsum po dag dang ma 'gal bar//

rang rgyud sdom zhing gzhan phan ci 'grub dang //

cir snang dag pa'i lam du bsgyur bar bya//

How are [the vows] possessed in the mental stream of consciousness of a person who has successively received⁸⁷⁷ the three vows of prātimokṣa, the bodhisattvas, and the Tantric adepts in such [a way as explained before]? In order to ascertain that, it was taught here [in mNga'-ris Paṅ-chen's *Clear Comprehension of the Three Vows*] summarizing it into six topics. Therefore it has the same intended meaning as that of the omniscient king of the doctrine, [Klong-chen-pa] Dri-med-'od-zer, for [the latter] said [in his] *bSam gtan ngal bso*:⁸⁷⁸

[The possessor of the three vows] has to control his mental stream of consciousness, to establish all possible benefit for others,
and to transform all possible appearances into the pure path [Klong-chen-pa:
path of liberation],
without conflicting with the three vows
of the auditors, bodhisattvas, and Tantric adepts;

⁸⁷⁷ This statement, i.e. "received [the three vows] successively" (*rim par nod pa*), is not as strong as for example what we find in Karma-nges-legs-bstan-'dzin, who uses in his introduction (fol. 4r f.)—in a different context—the term "three links which are obtained successively" (*ishigs gsum rim nod*) for the immediate taking of householder, auditor, and full monk vows one after the other. There the term implies that it is necessary that these prātimokṣa vows are to be obtained successively. Go-rams-pa, *General Topics*, fol. 72r f., also discusses the possibility of taking up the three vows of prātimokṣa, the bodhisattvas and the Tantric adepts in different successions, and we know from the biographical notes on Lo-chen Dharma-shrī, section 3 of the present chapter, that he himself received Tantric initiation first, and that the vows of prātimokṣa and of the bodhisattvas are thus said to have arisen as a section of the morality of Mantra and as the nature of the Mantra vows. In the beginning of the *Clear Comprehension of the Three Vows*, fol. 2v, mNga'-ris Paṅ-chen points out that he is going to explain the taking up of the vows as being successively obtained (*rim thob*) on the basis of the respective rituals of the three vows. This is called the taking up of vows for "persons with medium capacity" The highest type, like Indrabhūti, produces the three vows simultaneously (*gcig car skyes*) through obtaining initiation, i.e. like Lo-chen Dharma-shrī. In general, however, all the three vow doctrines dealt with in this book take the obtaining of the vows in succession as the norm and teach accordingly.

⁸⁷⁸ Klong-chen-pa, *rDzogs pa chen po bSam gtan ngal gso*, fol. 114v, and also *rDzogs pa chen po bSam gtan ngal gso*'i 'grel pa shing rta mam par dag pa, fol. 136: nyan thos byang chub sems dang rig pa 'dzin// sdom pa gsum po dag dang ma 'gal bar [bas]// rang rgyud sdom zhing gzhan phan ci 'grub dang // cir [ci] snang dag [thar] pa'i lam du bsgyur bar bya/ / (text according to Lo-chen Dharma-shrī, text variations in square brackets according to Klong-chen-pa). See also Guenther (1976: 58-59, 104, fn. 10).

zhes pa'i don 'grel pa shing rta nam dag tu 'chad pa na/ ji skad du/
 'o na gang zhe na/ gang zag gcig gi rgyud la gsum ldan du bsrung ba la/
 rang ldog ma 'dres/ dgag dgos yongs rdzogs/ ngo bo gnas 'gyur/ yon tan yar
 ldan/ sdom pa gsum gnad kyis mi 'gal ba/ dus skabs kyis gtso bor gang
 'gyur bya ba dang drug go//
 zhes gsungs pa'i phyir ro//

gnyis pa la don drug las/ dang po rang ldog ma 'dres pa ni/
 de yang blang yul bsam pa cho ga nams//
 so sor nges phyir rang ldog ma 'dres yin//
 zhes pa ste/ de yang sdom pa gsum po de rang gang las blang ba'i yul/ gang gis len
 pa'i bsam pa/ ji ltar len pa'i cho ga tha dad pa'i sgo nas/ ji srid blangs pa'i dus
 kyang so sor nges pa'i phyir/ ngo bo gnas gyur kyang ldog pa la gzhi mthun mi srid
 pas rang rang gi ldog cha ma 'dres par yod de/ thob la ma nyams par so sor gnas
 pa'i phyir/

[and because] the meaning of that is explained [by the same author] in the [auto]-commentary *Shing rta mam dag*.⁸⁷⁹

How is this? For observing [the three vows] as a threefold possession in the mental stream of consciousness of a single person, [there are] six [topics]: [They are] unmixed [with respect to their] distinctive aspects, [their practice] is perfectly complete [through that which is to be] prevented [and through] the purpose, the nature [of the lower vows] is transformed [so that] qualities are possessed "upwardly," there is no incompatibility through the vital points [of] the three vows, [and] practicing whatever is preeminent according to the occasion.

[Part 1: Unmixed with Respect to Their Distinctive Aspects]

[3.2. Exhaustive investigation through explaining the limbs in detail.] The second section entails six topics [parts 1-6], the first of which is "unmixed [with respect to their] distinctive aspects." [It is said in the *Clear Comprehension of the Three Vows*, fol. 18r]:

Since the object [i.e. person] from which they are taken, the volitional impulses, [and] rituals [through which they are taken] are ascertained as separate, the distinctive aspects [of the three vows] are not mixed.

Now, since the three vows are ascertained as separate regarding their object [i.e. person] from which one takes them, the volitional impulse through which one takes them, [and] the duration for which they are taken, too, through the different rituals for taking them, even though the nature [of the vows] are transformed, the distinctive aspects of each [vow] continue to exist unmixed, because there is no possibility for these distinctive aspects to have a common basis, for after they are obtained, they continue to exist individually without decay.⁸⁸⁰

⁸⁷⁹ Klong-chen-pa, '*Grel pa shing rta mam par dag pa*, fol. 137v. This appears to be the *locus classicus* for the "six topics."

⁸⁸⁰ Note that the same argumentation is used by sGam-po-pa and his successors for determining the *natures* of the vows as different. See, for example, sGam-po-pa, *Work A 5*, and Karma-'phrin-las-pa, *Replies to Ngo-gro* 14. See also chapter 5, section 2.1. of this book for Go-rams-pa's explanation of the transformation of the nature of the vows, according to which the prātimokṣa vows are to be continued as Mahāyāna prātimokṣa. Although Go-rams-pa did not use the term "distinctive aspects" (*rang ldog*, *ldog pa* or *ldog cha*), he also quotes Sa-pan's *Clear Differentiation of the Three Vows*, ch. I, v. 40 (in *General Topics*, fol. 78v), where the term *ldog pa*, here perhaps best rendered as "part," points in the same direction as the term "distinctive aspects" used by the rNying-ma-pas.

(continued...)

de yang yin te/ so thar ni ji srid 'tsho'i bar dang / byang sdom ni snying po
<297v> byang chub kyi bar du khas blang kyi/ sngags sdom thob dus de dang de
nyams rkyen dang gtong rgyur ma bshad pa'i phyir/

dper na bsam pa sams bskyed kyi zin pas cho ga nyan thos kyi lugs ltar blangs
pa'i theg chen so thar ram/ nyan thos kyi dge slong gi sdom pa nyid slar sams bskyed
blangs pas der gnas gyur pa'i rgyud kyi so thar gyi sdom pa gang yin kyang rung
ste/ de la kun slong byang chub kyi sams kyi ldog pa dang / sdom pa'i ldog pa gnyis
yod pa las/ snga ma tshe 'phos pa'i dus su mi gtong ste/ de byang sams kyi sdom
pa'i gtong rgyu ma yin pa'i phyir/ phyi ma sdom pa khas blangs pa'i dus kyi ldog
cha ni tshe 'phos pa'i dbang gis gtong ste/ dge slong gi sdom pa de ji srid 'tsho'i
mtha' can gyi sdom pa yin pa'i phyir/ de ltar yang rab dbye las/

theg chen so so thar yin yang //

dge slong la sogs sdom pa yi//

ldog pa shi ba'i tshe na gtong //

byang chub sams kyi ldog pa dang //

de yi 'bras bu shi yang 'byung //

zhes gsungs pa'i phyir ro//

gnyis pa dgag dgos yongs rdzogs ni/

dgag bya nyon mongs dgos pa mi 'ching bar//

rang rang lam gyi ngos nas yongs su rdzogs//

spong bsgyur lam du byed pa so so yang //

nyon mongs <298r> rang mshan spong bar mkhas mams mthun//

zhes pa ste/ sdom pa gsum kas rang rgyud kyi mi dge ba sdom par gcig ste/ 'dul ba
lung las/

⁸⁸⁰(...continued)

Klong-chen-pa, *bSam gtan ngal gso*, fol. 140v f., explains that the "parts are unmixed" (*ldog pa ma 'dres par yod de*), because, in general terms, the obtaining of the higher vow does not constitute a cause for the loss of the lower vow. As long as there does not arise the condition for a loss from those parts which were accepted, i.e. the individual unmixed distinctive aspects (*ldog cha so sor ma 'dres*) of the vows, they continue to exist individually (*so sor gnas pa*). It is interesting to note here that Klong-chen-pa also uses the term "entity" (*rdzas*): "Since the nature is in the Mantra the same entity, the other two [entities of the lower vows have been] transformed" (*ngo bo sngags su rdzas gcig pas gzhan gnyis gnas 'gyur yin*). The term does not appear in mNga'-ris Paṇ-chen's *Clear Comprehension of the Three Vows*, but Lo-chen Dharma-shrī employs it in part 3 in the same sense, delimiting it, however, from Vibhūticandra's and the bKa'-gdams-pas' usage.

That is the case, because one accepts the prātimokṣa for as long as one lives, and the bodhisattva vows until one fully awakes [to buddhahood on] the seat [of awakening (Skt. *bodhimaṇḍa*)], but at the time [of] obtaining the Mantra vows, the conditions for damaging or the causes for losing [the two above-mentioned vows] are not taught.

For example, whether it is a Mahāyāna prātimokṣa taken according to the system of the auditor ritual endowed with the volitional impulse of the production of the resolve [for awakening], or [whether it is] the prātimokṣa vows in a mental stream of consciousness where just the full-monk vow of the auditors has afterwards been transformed into that [Mahāyāna prātimokṣa] by formally accepting the production of the resolve [for awakening], in either case that [Mahāyāna prātimokṣa] has both a distinctive aspect of the resolve for awakening, which is the volitional impulse, and a distinctive aspect of the [prātimokṣa] vow. And from among these two [distinctive aspects], the first is not lost at the time of death, since [death] is not a cause for the loss of the bodhisattva vows. For the second, [i.e. the full monk vows of the prātimokṣa] the distinctive aspect of the duration for which one has taken the vow, is lost through death, since the vows of the full monk are vows which possesses the limit of "until death."

For as it is said in the same vein in [Sa-pan's] *Clear Differentiation [of the Three Vows]* [ch. I, v. 40]:

Even in the Mahāyāna prātimokṣa,
that part which consists of the vows such as the monk [vows]
will be lost at death,
whereas that part which consists of resolve for awakening and its fruit
will arise even after death.

[Part 2: Perfectly Complete Through What is to be Prevented and Through the Purpose]

With regard to "perfectly complete [through what is to be] prevented [and through the] purpose" it is said [in the *Clear Comprehension of the Three Vows*, fol. 18r]:

Each is perfectly complete with respect to its own path as that which is to be prevented, [namely] the defilements,

[and] the purpose, [i.e.] not to be bound [by the defilements].

Though [the antidotes of these paths] are specific—[i.e.] abandoning, transforming, and taking [the defilements] as the path—the wise agree that the defilements as such are abandoned [in all three paths].

[The vows are] the same in that the non-virtuous [elements] as such are controlled through [each of] the three vows [similarly]. Thus it is said in the *'Dul ba lung*:

chos gang zhig dngos dang brgyud nas kun tu 'dod chags pa'i rgyur 'gyur gyi/ kun tu 'dod chags dang bral bar mi 'gyur ba (? read: bral ba'i rgyu mi 'gyur ba) 'di ni chos ma yin/ 'dul ba ma yin/ ston pa'i bstan pa ma yin par shes par byos shig/ chos gang zhig dngos dang brgyud nas kun tu 'dod chags dang bral ba'i rgyur 'gyur gyi/ kun tu 'dod chags pa'i rgyur mi 'gyur ba 'di ni chos yin/ 'dul ba yin/ ston pa'i bstan pa yin par shes par byos shig/ ces zhe sdang la sogs pa'i bar du rgyas par gsungs so//

de yang mi tshangs spyod lia bu nyan thos pas spyod pa'i nus pa yod bzhin du mi spyod pa dang / sdom pa gong ma gnyis kyi thabs kyis zin pas spyad [spyod] pa gnyis dgag bya nyon mongs pa spong ba dang / dgos pa nyon mongs pa des mi 'ching bar gnad gcig stel/ de gnyis ka la 'dod pa'i dri mas ma gos par mshungs pa'i phyir ro//

des na rang rang gi lam mam pa mi 'dra ba liar snang yang don gnad gcig pa'i ngos nas dgag dgos yongs su rdzogs pa yin te/ 'di liar nyan thos pa <298v> bden pa gnyis ka rdzas yod du blia bas so thar gyis nyon mongs pa spong ba dang / byang chub sems dpas kun rdzob rdzas yod du bltas te nyon mongs pa chos nyid du bsgyur ba dang / gsang sngags pas nyon mongs pa lnga'i rang bzhin ye shes lngar bltas te lam du byed pas na/ de gsum gnyen po'i mam pa so so ba liar snang yang / don nyon mongs pa rang mshan pa spong bar gcig pa yin no//

Know that any factor which either directly or indirectly becomes the cause of passion (Skt. *saṃrāga*), but which is not the cause of separation from passion is not the Dharma, is not the discipline (*vinaya*), and is not the doctrine of the teacher [i.e. the Buddha]. Know that any factor which either directly or indirectly becomes the cause of separation from passion, but which is not the cause of passion is the Dharma, is the discipline, and is the doctrine of the teacher.⁸⁸¹

This was taught in detail [regarding the main defilements] up to "hatred" and so forth. Both [activities, i.e.] non-performance [of] such acts as unchastity by an auditor while [in principle he is] able to perform [it], and the performance [of such acts] through [being] endowed with the special means of the two higher vows [have] the same vital point, [i.e.] that they abandon the defilements, which are that which is to be prevented, and that they are not bound by these defilements, which is the purpose, since both are alike in that they are unsullied by the stains of desire.⁸⁸²

Thus although the individual paths [of the three vows] may seem to be dissimilar forms, in fact⁸⁸³ with respect to their same vital point, they are perfectly complete [through] that which is to be prevented [and] the purpose. Accordingly, the auditor abandons the defilements through [the practice of] the *prātimokṣa* [vows] because he views both [relative and absolute] truths as an existing substance. The bodhisattva transforms the defilements into *dharmaṭā*, viewing the relative truth as an existing substance.⁸⁸⁴ The Tantric adept takes the defilements as the path, viewing the own nature of the five defilements as the five gnoses.⁸⁸⁵ Therefore the three [vows] may seem [to consist of] separate forms of antidotes, but in fact [they are] the same in that [they] abandon the actual defilements.

⁸⁸¹ The same quote appears in Klong-chen-pa, *bSam gtan ngal gso*, fol. 137v f.

⁸⁸² Klong-chen-pa, *bSam gtan ngal gso*, fol. 138r, adds that if it were the case that "absolute non-performance [of sexual union] would be the main [thing]" (*rnam pa thams cad du mi spyod pa nyid gts'o bo yin na*), then it would be justified to say that the vow especially arises in eunuchs and little children. And furthermore, if *prātimokṣa* and the third initiation (involving sexual union) would be "mutually utterly incompatible" (*dnegos 'gal du gnas pa*), it would be justified to say that a householder alone may become the basis of Mantra. In the *Kālacakratāntra*, however, it was taught that "among the three bases the full monk is the highest" (*rten gsum las ni dge slong mchog*).

⁸⁸³ "In fact" = *don [la]*. See below for another parallel use of *ltar snang yang / don la*.

⁸⁸⁴ Karma-nges-don, *sDom gsum rnam nges tshig don legs 'grel*, p. 167, is a little bit more explicit on this point: "The bodhisattvas transform (*bsgyur ba*) the defilements into the *dharmaṭā* by understanding them as being not established through their own nature, and they perform them for the benefit of others."

⁸⁸⁵ See my discussion of these concepts of transformation, etc., in chapter 14, section 5, vajra utterance no. 23 of ch. V.

*dper na/ dug spong ba dang / sman gyis sbyong ba dang / sngags kyis btab ste za
ba gsum kas kyang dug gis mi 'chi ba'i dgos pa sgrub par gcig pa bzhin no//*

gsum pa ngo bo gnas 'gyur ni/

so thar kun slong sems bskyed kyis zin na//

nyes spyod sdom pa zhes bya'i tshul khirms yin//

dbang thob rdo rje 'dzin pa'i sdom par 'gyur//

de phyir ngo bo gnas 'gyur yin no zhes//

'bum phrag lnga pa'i lung gis gsal bar grub//

*ces pa ste/ de la spyir snga phyir byon pa'i chen po dag/ sdom pa gsum ngo bo tha
dad du 'dod pa dang / gnas 'gyur ngo bo gcig tu 'dod pa'i lugs gnyis/ tha dad du
'dod pa la'ang / gong mas 'og ma zil gnong du 'dod pa dang / 'og ma gong ma'i rten
du byas te rten bsten par 'dod pa'i lugs gnyis/ <299r>*

*dang po ni/ skar zla nyi gsum gyi dpes gong mas 'og ma zil gyis mnan nas kun
gzhi la bag la nyal gyi tshul du gnas kyi/ 'og ma mngon gyur du mi gnas par bzhed
pa yin no//*

*de mi thad de/ byang sems sdom ldan gyi rgyud la dge slong gi sdom pa mngon
gyur med kyang kun gzhi la de'i bag la nyal yod pa tsam gyis dge slong gi go chod
dam mi chod/*

For example, it is like abandoning poison, purifying [it] through medicine,⁸⁸⁶ or eating [it] after reciting a spell—they are all the same in that through all three of them one achieves the purpose of not dying from poison.

[Part 3: Transformation of the Nature]

With regard to the transformation [of] the nature [of the vows] it is said [in the *Clear Comprehension of the Three Vows*, fol. 18r]:

If prātimokṣa is endowed with the production of the resolve [for awakening], [as] the volitional impulse,
it is the moral discipline which is called "controlling [morally] wrong behaviour."⁸⁸⁷

[If] initiation is obtained, [the vows] become the vows of the Tantric adept. Therefore it is said that the nature is transformed.

This is clearly established through the quote from the *Fivehundred Thousand ('Bum phrag lnga pa)*.⁸⁸⁸

Regarding this, in general, the great ones who appeared during the Ancient and Later [Translation Schools maintained] two systems, one of which maintained the three vows as [being of] different natures, [whereas the other] maintained them to be transformed [and of] the same nature. The position maintaining [the three vows] to be different had two traditions, of which [one] maintained that [the moral faults of] the lower vows were outshone by the higher ones, and [the other] maintained that the lower [vows] formed the support of the higher ones as "the support and the supported."⁸⁸⁹

<299r> The first one⁸⁹⁰ [argues] through the example of the sun, moon, and stars that the lower [vows] remain in the basic consciousness (*ālayavijñāna*) in a dormant way after the lower vows were outshone by the higher ones, but that the lower ones do not remain [there] in a manifested way.

That is not acceptable! Does the mere dormant existence of the full monk vows in the basic consciousness even though they are unmanifested in [his] mental stream of consciousness which possesses the bodhisattva vows, suffice to make one a monk?

⁸⁸⁶ *Ibid.*, p. 168: transforming it into medicine (*sman du bsgyur ba*).

⁸⁸⁷ For the three moral disciplines, see p. 10.

⁸⁸⁸ For the problem of the [*Five or One?*]-*Hundred-Thousand [Verse?] Tantra [or Tantras?]*, see p. 237.

⁸⁸⁹ The vows are maintained as different in Vibhūticandra's and the bKa'-gdams-pa's doctrine. The position of sGam-po-pa and his successors is, for reasons unknown, not discussed by Lo-chen Dharma-shri.

⁸⁹⁰ The following refers to Vibhūticandra, *Garland of Rays* 27 ff.

chod na sems can thams cad chos can/ dge slong du thal/ kun gzhi la dge slong gi bag chags yod dgos pa'i phyir/ der ma zad dge slong gi sdom pa phul ba'i gang zag chos can/ khyod kyi rgyud la dge slong gi sdom pa yod par thal/ de'i shes rgyud la sdom pa'i bag chags 'brang ba yod pa'i phyir/

dpe yang mi thad de/ nyi ma'i 'od zer gyi 'og tu zla ba dang skar ma'i 'od sgrib byed kyi thun mong ma yin pa'i mun pa srid par thal/ sdom pa gsum nang ma 'dom pa'i tshe gong ma'i bcas pa las gzhan pa'i 'og ma'i bcas 'gal gyi ltung ba thun mong ma yin pa du ma zhig srid pa'i phyir ro//

gnyis pa ni/ snod dvangs par chu myog med blugs pa'i nang du margad lta bu bcug pa na/ snod dang chu gnyis ka rin po che'i kha dog tu 'gyur bas rin po che'i yan lag tu gyur <299v> kyang / de gsum rten bten pa'i tshul du rdzas tha dad pa bzhin du gong mas zin pa na 'og ma yan lag tu 'gyur ba las rdzas tha dad pa'o zhes bzhed/ de yang mi 'thad de/ snod de chu dang nor bu gnyis ka'i rten du med mi rung ba bzhin du sdom pa gong ma gnyis kyi rten du so thar nges par dgos par 'gyur la/

If it did suffice, then it would follow that all sentient beings would be full monks, because the propensities of the full monk [vows] must exist on [their] mental stream of consciousness.⁸⁹¹ And that is not all! It would also follow for a person who has surrendered his full monk vows that the full monk vows would exist in his mental stream of consciousness, because the propensities of the vows accompany [him] in his mental stream of consciousness.

The example [of the sun, moon, and stars] is also not acceptable. It would follow that there would exist under the light of the sun a specific, uncommon darkness which obscures the light of the moon and stars, because when there is no internal contradiction between the three vows, there are possible a great number of specific, uncommon transgressions against the rules of the lower vows, as distinct from the rules of the higher vows.⁸⁹²

The second [system] maintains⁸⁹³ that when the higher one is present, the lower ones become branches, yet are different substances, as when one puts an emerald (Skt. *marakata*) into a pure receptacle which is filled with undefiled water: Both the receptacle and the water are branches of the jewel since they have turned into the colour of the jewel, but those three are different substances, in the manner of support and supported.⁸⁹⁴ This, too, is not acceptable. Just as the receptacle is an indispensable support of *both* the water *and* the jewel, the prātimokṣa would be necessary as the support of the two higher vows.

⁸⁹¹ The argument seems to presuppose that all beings obtained the propensities of a full monk in one of their former lives.

⁸⁹² "Internal contradiction" refers to a case that is also considered by Lo-chen Dharma-shrī, namely that in cases of internal contradiction one considers that which is to be prevented and the purpose. That is to say, the skill in means of the higher vow allows it to be preeminent without damage to the lower ones. See below, part 6 of Lo-chen Dharma-shrī's explanation. But when there is no such internal contradiction, namely when there occurred a transgression that is common with a lower vow, but uncommon with the higher, such as any of the two-hundred odd full-monk vows that are not held in common with the Mantra vows, and when the Mantra vows would outshine these, too, then the light of the stars (= prātimokṣa vows in Vibhūticandra's example) would be obscured by the light of the sun. This would attribute a quality to the sunlight which is able to produce an obscuring darkness.

⁸⁹³ The use of the honorific term *bzhed* indicates Lo-chen Dharma-shrī's reverence for Atiśa's lineage.

⁸⁹⁴ Such a system of different substances of the vows, of the lower vows being the support and the highest vow being the supported, and of the lower vows becoming branches of the higher is usually ascribed to the bKa'-gdams-pas or dGe-lan-pas. See for example Vibhūticandra, *Garland of Rays* 31-33, and Karma-'phrin-las-pa, *Replies to Ngo-gro* 8, where such a system is also criticized. A similar example can be found in the "own-tradition" (*rang lugs*) section of mKhas-grub-rje dGe-legs-dpal-bzang-po, *sDom gsum mam gzhaḡ*, fol. 95r.

de liar na gong ma gnyis kyang rten gling gsum gyi skyes pa bud med kho na las gzhan la skye ba med par thal zhing / der ma zad/ dge slong rdo rje 'dzin pas gzhan don du 'gyur nges par mihong nas dge slong gi bslab pa phul pa'i tshe/ de'i rgyud kyi dge slong gi sdom pa chos can/ khyod phul bas sdom pa gong ma gnyis kyang gtong bar thal/ khyod sdom pa gong ma gnyis kyi skye ba dang gnas pa'i rten yin pa gang zhig/ rten btiang na brten pa gtong ba'i phyir te/ rten snod med na de la brten pa'i chu dang nor bu'ang med dgos pa'i phyir ro//

zil gnon dang rten brten par 'dod pa gnyis ka'i skyon thun mong ba ni/ sdom pa gsum ngo bo rdzas tha dad du 'dod pa de liar na/ gtso sems gcig gi 'khor du sems byung sems pa rigs mihun ngo bo tha dad pa gsum gcig car yod par thal ba'i phyir mi 'thad do//

des na rang lugs gzhung 'di'i dgongs pa ni/ sdom <300r> pa gsum gnas gyur ngo bo gcig la ldog pa tha dad du bzhed pa yin cing / lugs 'di ni snga 'gyur gyi rgyud sgyu 'phrul drwa ba la sogs pa nas gsal bar bstan pa liar/ lo tswa ba rong zom chos kyi bzang po/ zur lugs kyi bla ma phal che ba dang / de'i bshad bgros [sgros?] rtsal 'don gyis 'chad pa po snar ston seng ge 'od/ khyad par kun mkhyen chen po dri med 'od zer/ bdag cag gi rtsa ba'i bla ma rje gter chen chos kyi rgyal po sogs snga 'gyur gyi mkhas grub rnam dang /

And in that case, it would follow that the two higher vows, too, could not arise in anyone else than men and women of the three continents [as the existential] support. Moreover, let us take as our subject the full-monk vows of a Tantric adept monk when he returns the full-monk vows after seeing that it would definitely be for the benefit of others. It would follow that the two higher vows, too, would be lost through returning the full monk vows, because the [full monk vows] are the support of the arising and continuing of the two higher vows, and if he loses the support, the supported would [also] be lost, since if there is no supporting receptacle, the water and jewel that rest upon that could also not exist.

To hold the outshining [of the lower vows] and the support and the supported also has a common fault: According to the position holding the nature [of] the three vows as distinct entities, it would follow that there would exist simultaneously three different mental factors of the same class as attendants of the main factor, a single mind. Therefore [this] is not acceptable.⁸⁹⁵

Therefore the intention of this treatise [of] our own system is that we maintain the three vows transformed [and] to be of the same nature and to have distinctive aspects. And this system is worthy of being praised by the learned ones since it is the unimpaired intention of the unrivalled masters of the New and Old [Traditions], namely, of the masters of the Early Spreading [of the teachings] such as Lo-tsā-ba Rong-zom Chos-kyi-bzang-po (11th C.),⁸⁹⁶ of most of the gurus of the system of Zur,⁸⁹⁷ of the main expounders of their system such as sNar-ston Seng-ge-'od,⁸⁹⁸ especially Kun-mkhyen Dri-med-'od-zer [i.e. Klong-chen-pa], and my fundamental guru gTer-chen Chos-kyi-rgyal-po⁸⁹⁹ in accord with what was clearly taught in Tantras of the Ancient Tradition such as the *sGyu 'phrul drwa ba*,⁹⁰⁰

⁸⁹⁵ See my discussion of Vibhūticandra, *Garland of Rays* 23.

⁸⁹⁶ My colleague, Ms. Orna Almogi, pointed out some interesting passages in the collected works of Rong-zom to me (see her M.A.-Thesis, Hamburg University, 1997). Mainly bodhisattva and Mantra vows are discussed in vol. 2, fols. 241-245, and vol. 3, fols. 153-161, and 274-286. At least in these passages, however, none of the vocabulary of the "six topics" is employed as in Klong-chen-pa's, mNga'-ris Paṇ-chen's, and Lo-chen Dharma-shri's works on the three vows. Thus, at present, Klong-chen-pa's *bSam gyan ngal gso* appears to be the *locus classicus* for our "six topics." But see also p. 398.

⁸⁹⁷ The Zur-family, see Ehrhard (1990: 3, n. 8); see also Dudjom Rinpoche (1991: 617 ff.).

⁸⁹⁸ He is mentioned briefly as belonging to the Zur and Khams tradition, *ibid.*, p. 702.

⁸⁹⁹ This must be his elder brother, gTer-bdag Gling-pa 'Gyur-med-rdo-rje. See section 3 of the present chapter.

⁹⁰⁰ See fn. 854.

gsar ma'i lo chen rin chen bzang po/ 'jam pa'i dbyangs bdag nyid chen po sa skya pañḍi ta rjes 'brangs dang bcas pa mams te/ gsar mying gi mkhas grub 'gran zla bral ba nam ki dgongs pa rma med pa yin pas mkhas pa'i skyes bus bsngags par 'os pa'o//

de la gnas 'gyur tshul ni/ snga ma snga ma phyi ma phyi mar gnas gyur pa'i tshul gyis so// ji liar zhe na/ nyon mongs pa rang ga mas spyad pa'i sgo gsum gyi las kyis 'khor bar 'ching mod/ de nyid shes rab dang snying rje phyogs gcig gis bzung nas nyes spyod spong ba'i sdom pa la zhugs pa na ngan 'gro las thar nas miho ris dang thar pa'i rgyur 'gyur ba ni nyan thos kyis so thar/ de nyid <300v> thabs dang shes rab chen po'i bsam pas zin nas gzhan don gyi bya ba la zhugs pa na byang chub chen por grol ba'i rgyur 'gyur ba ni byang sdom/ de nyid kyang thabs shes chen po'i yang chen pos yongs su zin par spyad pa na bla na med pa'i byang chub chen por 'bad rtsol med par lhun gyis grub pa'i rgyur 'gyur ba ni sngags sdom mo// de bas na/ rgyud 'bum pa las/

rdo yi rigs kyis bye brag cig//

bzhu bas lcags dang zangs dngul 'byung //

zhes sogs sngar drangs pa liar rigs can gsum gyi sdom pa sngags sdom du 'gyur tshul gsungs pa liar ram/ yang na gsang ba spyod pa'i rgyud las/

dper na rdo las zangs su 'gyur//

zangs las gser gyi rnam pa ste//

zangs kyis dus na rdo med la//

gser du gyur pas zangs mi snang //

and [of the masters] of the Later Spreading [of the teachings] such as Lo-chen Rin-chen-bzang-po⁹⁰¹ and the great Mañjuśrī himself, Sa-skyā Paṇḍita, together with his followers.

The way of transformation is a way in which the earlier [vows] are transformed into the later ones. How is that? To be sure, the deeds of the three venues [body, speech, and mind,] performed through the ordinary defilements, bind one to saṃsāra. Yet when these [deeds] follow the vows of giving up [morally] wrong behaviour through partial possession of insight and compassion, this becomes the cause that being liberated from the lower realms of life, [one obtains] higher realms and liberation—[this is] the prātimokṣa of the auditors. When these [deeds], endowed with the mentality of [skill in] means and great insight, follow the work for the welfare of others, [this] becomes the cause for "liberation into the great awakening"—[this is] the bodhisattva vow. When these [deeds], again, are practiced while being perfectly endowed with still greater means and insight, [this] becomes the cause for effortless spontaneous manifestation of the unsurpassed great awakening—[this is] the Mantra vow. Thus it is as quoted before in the "*Hundred-Thousand [Verse?] Tantra[s?]*" [*Treatise*]:

Through [the process of] smelting, iron, copper and silver appears,
because of the particularities of the ores (...)

where it is explained how the vows of the three families become Mantra vows. Or, it should be explained as quoted from the *gSang ba spyod pa'i rgyud*⁹⁰² by the great translator Rin-chen-bzang-po in his *General Exposition(?)* (*sPyi nam*) and by the great omniscient one [Klong-chen-pa] in his *Shing rta nam dag*:

For example, ore turns into copper,
from copper, the form of gold.

But at the time of [being] copper, the ore does not exist.

And through transformation into gold, copper is not seen [any more].

⁹⁰¹ I do not know of any work of Rin-chen-bzang-po on the three vows or related topics. Skorupski and Snellgrove (1980: 84), mention some "religious chants for use in Tibetan ceremonial," but their translation of Rin-chen-bzang-po's biography does not mention these or any other works outside of his translations. There is mention in Lo-chen Dharma-shrī's work below of a quote from the (unidentified) *gSang ba spyod pa'i rgyud* by Rin-chen-bzang-po in his "*sPyi nam*." This might be a so-called "outer biography" (*spyi'i nam thar*), but such a title does not appear in rDo-rje-tshe-brtan, *Collected Biographical Material About Lo-chen Rin-chen-bzang-po*. It could also be a "General Exposition" (*spyi nam*), but again, such a work is otherwise not identified.

⁹⁰² Unidentified. Cf. Klong-chen-pa, *bSam gtan ngal gso*, fol. 140v, where the title is rendered as "*gSang ba cod pan*."

rig 'dzin nang gi dge slong la//
 so so thar dang byang chub sems//
 gnyis po gnas pa nyid du ni//
 sangs rgyas nyid kyis ma gsungs so//

zhes lo chen rin chen bzang gi spyi nam dang / kun mkhyen chen pos shing rta nam
 dag tu drangs pa liar 'chad de/ dper na zangs rdo bzhu bas zangs su 'gyur la/ de la
 gser 'gyur gyi rtsis btab pas gser du 'gyur ba bzhin du/ nyan thos liar dman
 <301r> sems kyis blangs pa'i so thar gyi sdom pa de'ang / byang chub sems dpa'i
 sdom pas zin pa na dman sems btang yang rang gi ngo bo gzhan gnod gzhi bcas
 spong ba'i ldog cha de byang chub sems dpa'i nyes spyod sdom pa'i tshul khriims su
 gnas gyur la/ de gnyis kas dbang thob pa na mnyam pa chen po'i thabs kyis ma zin
 pa'i tha mal snang zhen gyi ldog cha re yod pa de btang nas/ so thar gyi gzhan gnod
 gzhi bcas spong ba dang byang sdom gyi gzhan la phan 'dogs pa'i ldog cha gnyis
 po de rdzas gong 'phel gyi tshul gyis mnyam pa chen po'i thabs khyad par can gyis
 zin pa'i sngags kyi nyes spyod sdom pa dang rigs lnga spyi'am nam snang gi dam
 tshig tu gnas gyur pa yin no//

des na go gsum gyi bya ba gzhi gcig la'ang thabs che chung gis gong ma gong
 mar gnas gyur te/ rig 'dzin sngags kyi rang dus na so byang zhes rdzas gzhan tha
 dad pa med de/ sngags kyi sdom rdzas su ngo bo gnas gyur du yod pa'i phyir/

de yang gzhi gcig la dper mtshon na/ so byang sngags kyi dus su thob pa'i srog
 gcod spong ba'i sems pa gsum po de snga ma phyi mar gnas gyur te ngo bo gcig pa
 bzhin dang / gzhan don du bskal pa grangs med gsum na rdzogs byang tsam
 <301v> thob 'dod kyi sems pa dang / sngags kyi rdzogs byang tshe gcig gis thob
 par 'dod pa'i sems pa gnyis snga ma phyi mar gnas gyur te ngo bo gcig pa bzhin
 no//

That prātimokṣa and bodhisattva [vows]
 remain for the monk within a Tantric adept
 was not taught by the Buddha himself.⁹⁰³

For example, just as copper-ore turns into copper by smelting, and that copper turns into gold by applying a magical elixir which turns [substances] into gold, so, too, [for] that prātimokṣa vow that has been taken with an inferior volitional impulse like an auditor [does], the nature [of the vow]—that aspect which consists in abandoning harm for others together with the [mental] basis [for that]—is transformed into the bodhisattva's moral discipline which restrains one from wrong behaviour, when it becomes endowed with the bodhisattva vow, even though the inferior volitional impulse is abandoned. And when [someone] obtains initiation while possessing both of the [vows], having abandoned the respective aspects consisting of attachment [to] ordinary appearances that does not [yet] possess the [special Tantric] means of "great sameness," the two aspects "avoiding harm for others together with its [mental] basis" of the prātimokṣa and "the benefitting of others" of the bodhisattva vows are transformed by way of an improvement of the substance [of the vows] into the vows of controlling [morally] wrong behaviour of the Mantra that are endowed with the special means of "great sameness" and [into the] general pledges of the five families or that of Vairocana.

Therefore, even for one item from among the activities of the three venues [body, speech, and mind], there is transformation higher and higher through the greater or smaller means.⁹⁰⁴ On the level of Tantric practice proper, there do not exist any "prātimokṣa" or "bodhisattva [vows]" [as] other, distinct entities, because their nature has been transformed into the entity of the Mantra vows.

To exemplify this regarding a single case, it is like the three resolutions to abandon killing which are obtained on the respective occasions of prātimokṣa, bodhisattva, and Mantra [vows, which] are successively transformed from one into the other [while retaining] the same nature. And it is like the wish to obtain "mere" perfect awakening in the three immeasurable aeons for the sake of others and the wish to obtain the perfect awakening of the Mantra [vehicle] within one life, [which] are successively transformed one into the other [while retaining] the same nature.

⁹⁰³ Cf. Klong-chen-pa, *bSam gyan ngal gso*, fol. 140v, where Klong-chen-pa comments on this quote with the words: "At the time of [being a] Tantric adept, there do not exist distinct entities of their own called 'prātimokṣa' and 'bodhisattva [vows]'. " (*rigs 'dzin sngags kyi rang dus na so thar dang byang sems zhes rdzas gzhan tha dad pa med do*).

⁹⁰⁴ See the example in the next paragraph.

*de lta na'ang sdom pa gsum gyi sems pa ldog pa tha dad pa gsum po de mams skye
 'jig gnas gsum du gcig pa ma yin te/ dang po ji ltar blangs pa ltar gnas 'jig byed
 pa'i rang ldog ma 'dres pa'i phyir dang / gsum ldan gyi rgyud kyi sdom pa snga ma
 mams phyi ma dang ngo bo gcig kyang / phyi ma snga ma dang gnas gyur ngo bo
 gcig pa ma yin te/ snga ma snga ma las phyi ma phyi ma rgya che ba'i phyir dang
 / phyi ma blangs tshe sngar gyi sdom pa'i rdzas rgyun ma yin pa'i sdom rdzas gsar
 pa du ma zhig skye ba yod dgos pa'i phyir ro//*

*de la kha cig na re/ gsum ldan rdo rje 'dzin pa'i rgyud kyi dge slong gi sdom
 pa chos can/ de'i rgyud kyi byang sdom dang sngags sdom du thal/ de dang der gnas
 gyur pa'i phyir zer na/ de'i lan la khyab pa ma nges pa dang / 'gal ba'i lan gnyis/
 dang po ni/ khyod kyi de la khyab pa ma nges pa yin te/ snga ma phyi mar gnas
 gyur kyang de de yin mi dgos pa'i phyir/ kun gzhi dang nyon yid sangs rgyas kyi ye
 shes su gnas gyur kyang de de ma yin pa bzhin no//*

And similarly those three different distinctive mental aspects of the three vows are not the same in their arising, decaying and continuing, because their distinctive aspects which determine their [separate] continuation and decay are unmixed according to how they were first taken [through their respective rituals]. And, even though the earlier vows of a mental stream of consciousness that possesses three [vows] are of the same nature as the later one, this is not a sameness of nature through transformation of the later and earlier, because each later [vow] is [in its substance] vaster than the earlier one, and because when later [vows] are taken, numerous new vow entities must arise that are not a continuation [of the] entities of earlier vows.⁹⁰⁵

About this, some say that it would follow that the full monk vows of the mental stream of consciousness of a Tantric adept who is in possession of three [vows] would be the bodhisattva [vows] and the Mantra vows of that mental stream of consciousness, because it is transformed into these two. There are two [parts] to [my] reply to this: (1) The answer that the pervasion is uncertain, and (2) the answer that [the pervasion] is incompatible.

The first. There is an uncertain pervasion in that [argument] of yours, because even though something earlier is transformed into something later, it is not necessary that [after the transformation] the one is the other, as in the case where basic consciousness (*ālayavijñāna*) and *kliṣṭāmanas* are not the gnosis of the Buddha even though they are transformed into that.⁹⁰⁶

⁹⁰⁵ In short, the nature of the lower vows transforms into the one entity that is the nature of the higher vow. That entity increases, thus is more vast than the previous one, because more new vow-entities have also been added through taking a higher vow. The distinctive aspects that are only obtained through the respective rituals of the vows determine the arising, continuing, and decaying of the respective vows. Thus the full monk vows, for example, are lost at death, because of their distinctive aspect of their duration, while the nature of *prātimokṣa*, which has been transformed, remains in the mental stream of consciousness of that person.—The following four short paragraphs contain objections and their respective replies. Thereafter follow two paragraphs at the end of part three that summarize the meaning of the above teaching.

⁹⁰⁶ Uncertain pervasion (*khyab pa ma nges pa*) means that only a part of the set which represents the logical sign (*linga*) is present in the predicate (*sādhya-dharma*). For a syllogism to be sound, all members of the set which represents the logical sign must occur in the predicate, as in the following example:

The subject "sound"
is an impermanent phenomena
because of its being a product.

Since all products are impermanent, the subject "sound" as a member of that set must be
(continued...)

*gnyis pa ni/ <302r> dngos po gzhir byas kyi steng du khyod kyi khyab pa de 'gal
ba yin te/ gzhon nu rgan por gnas gyur kyang de dang de 'gal ba bzhin no//
gghan yang gnas gyur pa'i tshe de der ston pa yin na/ rigs can gsum gyi sdom
pa sngags sdom du gnas gyur pa'i tshe/ nyan rang gnyis kyi sdom pa chos can/ theg
chen gyi sdom par thal/ rig pa 'dzin pa'i sdom pa yin pa'i phyir/ zhes pa la lan med
do//*

*dpes kyang de gsum gzhi mihun pa mi srid par ston te/ lcags zangs dngul gsum
'gyur rtsis gser du gyur pa'i tshe de gsum der gnas gyur kyang / de gsum de yin pa
mi srid pa'i phyir ro//*

⁹⁰⁶(...continued)

impermanent, too. An example for an "uncertain pervasion" is this:

The subject "sound"

is an impermanent phenomena

because of its being an object of knowledge.

Here, only some of all objects of knowledge are impermanent phenomena, and thus the pervasion is uncertain. Cf. Perdue (1992: 33 ff.); see also *Tibetan-Chinese Dictionary* under "*khyab pa ma nges pa*."

In Lo-chen Dharma-shrī's work the opponent says:

The full monk vows of a Tantric adept who possesses the three vows

are the bodhisattva vows and the Mantra vows

because they are transformed into these two.

If that were a logical pervasion, then the basic consciousness and the *kliṣṭamanas*, too, would be the gnosis of the Buddha into which they are transformed. But they "are not the gnosis of the Buddha, although they are transformed into that," presumably because the arising of gnosis (*jñāna*) entails the extinction of the *ālayavijñāna*. This appears also to be the sense of the above quoted passage from the *gSang ba spyod pa'i rgyud* in Klong-chen-pa's *Shing rta nam dag*. For *jñāna* entailing the extinction of the *ālayavijñāna*, see Schmithausen (1987: 473, n. 1167).

[My] second [reply]. Regarding the entity [i.e. the monk vows] that is the basis, your pervasion is [actually] incompatible, as [in the case where] a young person and an old person are incompatible, even though the one has been transformed into the other.⁹⁰⁷

Furthermore, if [you try] to demonstrate that this [beginning state] is that [final state] when a transformation [occurs], it would follow that when the vows of the three families are transformed into the Mantra vows, the vows of auditors and solitary Buddhas would be Mahāyāna vows because they are vows of a Tantric adept. To this you have no reply.⁹⁰⁸

It can also be shown through an example that these three do not have a common basis, because when iron, copper, and silver are transformed into gold by the transforming elixir, it is not so that these three [metals] are that [resultant gold], even though these three are transformed into that.⁹⁰⁹

⁹⁰⁷ As for Lo-chen Dharma-shrī's second point, in general, incompatible pervasion (*khyab pa 'gal ba*) means that the logical sign and the predicate do not correlate (*mi mthun pa*). An example (Perdue, 1991: 33 ff.; *Tibetan-Chinese Dictionary*: "*khyab pa 'gal ba*") for incompatible pervasion is this:

The subject "sound"
is a permanent phenomena
because of its being a product.

Here, being a product (the logical sign) and being permanent (the predicate) are actually incompatible, i.e. there is nothing that is both a product and permanent. With regard to the opponent's syllogism, according to the rule of positive pervasion (*anvavyāpti*) everything that is transformed must be such that afterwards the beginning state is the final state, i.e. in this case the full monk vows are the bodhisattva vows and the Mantra vows (after the transformation), because of the transformation. According to Lo-chen Dharma-shrī, here the logical sign ("because of transformation") and the predicate ("are the bodhisattva vows and the Mantra vows") are incompatible, i.e. it is never the case that in a transformation the source is (afterwards) the target. His example is the case where youth and old age are incompatible even though the one has been transformed into the other.—"Transformation" is thus used in a very conventional way: It is like saying "Dorje has become old." He is still the same Dorje, son of so-and-so, but one would not say "that young Dorje that we used to know is this old man," because he is not young any more.

⁹⁰⁸ With this argument, Lo-chen Dharma-shrī simply turns the opponent's objection back at him, based on the twofold argumentation above.

⁹⁰⁹ What "common basis" (*gzhi mthun*) means is something that is both of the two things. For example, a golden vase is a vase as well as gold. Thus the "golden vase" is the common basis for "vase" and "gold." Similarly, there is nothing, according to Lo-chen Dharma-shrī, which is all three vows, i.e. the prātimokṣa vows, the bodhisattva vows, and the Mantra vows. According to him, the distinctive aspects of the vows remain unmixed (*ldog pa ma* (continued...))

gzhan yang gsum ldan gyi rgyud kyi so byang gnyis de'i rgyud la yod cing der gnas gyur pas sngags sdom yin no snyam na/ dge slong gi rgyud kyi dge bsnyen dang dge tshul/ sngags pa'i rgyud kyi bskyed rdzogs kyi sdom pa mams kyang de der 'dod dgos par thal ba bzlog tu med do//

de ltar bshad pa'i grub don la gnyis te/ gnas gyur tshul dang / ngo bo gcig pa'o// dang po ni/ snga ma snga ma phyi ma phyi mar gnas gyur pa ste/ nyes spyod sdom pa'i spong sems snga ma mams phyi mar dang / dge ba chos sdud dang <302v> sems can don byed kyi tshul khrims sngar byang sems kyi theg pa thob pa de dag / phyis sngags kyi theg par thob pa de dang der gnas gyur pa yin no//

gnyis pa ni/ gsum ldan rdo rje 'dzin pa'i rgyud kyi gzhan gnod gzhi bcas spong ba'i sems pa dang / gzhan don sems can la phan bde sgrub pa'i sems pa dang / rig pa 'dzin pa'i dam tshig mtha' dag la slob pa'i sems pa gsum po de ngo bo gcig pa yin te/ de'i rgyud kyi gzhan la phan pa sgrub pa'i sems pa de ka gnod pa spong ba'i sems pa dang sngags kyi dam tshig la slob pa'i sems pa yang yin pa'i phyir dang / de bzhin du cig shos gnyis kyang phan tshun du gcig la gcig yin pas/ de gsum gyi ldog pa la gzhi mihun mi srid kyang / ngo bo la gzhi mihun srid pa yin no//

bzhi pa yon tan yar ldan ni/

'jig rten rnal 'byor rnal 'byor blo khyad kyis//

gong ma gong mas gnod phyir 'og ma yi//

yon tan yar ldan 'og ma zil gyis gnon//

zhes pa ste/ ji skad du/

rnal 'byor pa dang 'jig rten rtsod//

ces pa dang /

⁹⁰⁹(...continued)

'dres), i.e. they are not transformed into the higher vow—(see the first of the "six topics"). In other words, the Mantra vows without the distinctive aspects of all the vows which remain unmixed even after transformation are not the common basis for all the vows, i.e. the Mantra vows are not the prātimokṣa vows in the same way as a golden vase is a vase.

This, Lo-chen Dharma-shrī says, is also the intention of the example of the metals turned into gold—there exists nothing which is iron, copper, and silver, particularly not the gold into which they have been transformed. What he has in mind is perhaps that as in the case of the vows, where certain distinctive aspects remain unchanged, here, too, certain aspects, such as the shape of the thing-to-be-transformed, remain the same even after their transformation into gold.

Furthermore, someone may have the doubt: "Both prātimokṣa and bodhisattva [vows] of the mental stream of consciousness [of a person who] possesses the three [vows] exist in his mental stream of consciousness, and by being transformed they are the Mantra vows." [We answer]: [In that case], you could not refute the logical entailment that it would be necessary to hold that the householder and novice vows of the mental stream of consciousness of a full monk [and] the vows of the production and perfection [stages of meditation] of the mental stream of consciousness of a Mantra [vow holder], too, are that [i.e. identical with the Mantra vows].

The established sense of what has thus been explained has two sections: 1) the way of transformation, and 2) same nature. [Regarding] the first, [the way of transformation]: The respective earlier [vows] are transformed into the respective later ones. The earlier resolution to abandon controls wrong behaviour [which is transformed] into [the respective] later [resolves], and the moral disciplines of gathering virtuous factors and of performing the benefit of beings that were previously obtained [in] the vehicle of the bodhisattvas, are later transformed into the respective things obtained in the Mantra Vehicle.

Regarding the second topic, [the same nature]: The three resolutions of the mental stream of consciousness of a Tantric adept who possesses the three [vows]—i.e. the resolution to abandon harm for others together with its [mental] basis, the resolution to achieve benefits and happiness for other sentient beings, and the resolution to train in all pledges of the Tantric adept—are of the same nature. [This is so] because that very thought in that person's resolution to achieve benefit for others is also the resolution to abandon harm and the resolution to train in all the pledges of Mantra and similarly, since both of the other ones are also mutually the same, even though it is impossible for the distinctive aspects of the three vows to have a common basis, it is possible for the nature to have a common basis.

[Part 4: Possession of Qualities "Upwardly"]

With regard to the "possession of [lower] qualities 'upwardly'" it is said [in the *Clear Comprehension of the Three Vows*, fol. 18r]:

[Between] worldly [people] and meditators, [and between] meditators, the respectively higher refute the lower through their superior understanding, and thus

the qualities of the lower [vows] are possessed "upwardly," [and the defects of?] the lower ones are outshone.

As it said [in the *Bodhicaryāvatāra*, ch. IX, v. 5d]:

Yogis and worldly [people] dispute (...)

and [furthermore, ch. IX, v. 4ab]:

mal 'byor pa yang blo khyad kyis//
gong ma gong ma mams kyis gnod//
ces gsungs pa ltar rten gyi gang zag blo na 'phar ba'i stobs kyis 'og ma'i yon tan
mams <303r> gong mar 'dus shing mam par dag pas na/ sngags kyi dam tshig ma
nyams par thub na/ so byang gi bsrung bya mams zhar la thub pas logs su bsrung
mi dgos te/ ci'i phyir na/ so byang gi bslab bya mtha' dag sngags kyi spyi'i dam
tshig dang / khyad par rigs lnga'i dam tshig las mam snang dang don grub kyi dam
tshig tu 'dus pa gang zhig/ rtsa ltung gnyis pa'i nang du'ang 'du ba'i phyir te/ bre
gsum gyi nang du bre do 'du ba bzhin no// de ltar yang gsal bkra las/
theg chen dam tshig la gnas na⁹¹⁰//
'og ma'i 'dul sdom dam tshig kun⁹¹¹//
bsrung ba⁹¹² med par thub pas na//

⁹¹⁰ P: *shing*.

⁹¹¹ P: *gsum*.

⁹¹² P: *bsrungs pa*.

And the yogis, too, [are such that one] is disproven by the respective higher one by means of superior understanding.

Accordingly, because of the fact that the qualities of the lower [vows] are included and completely pure within the higher ones by virtue of [their] increase within the mind [of] the person who is the basis [of the vows], it is not necessary [for such a person] to observe separately that which is to be observed in the prātimokṣa and the bodhisattva [vows], because he is able [to observe them] incidentally if he is capable of keeping the Mantra pledges intact. Why is that so? Because all the rules of training of the prātimokṣa and of the bodhisattvas are such that they are included within the pledges of the general Mantra [pledges] and especially within the pledges of Vairocana and Amoghasiddhi from among the five [Buddha]-families, and because they are included also in the [pledge of guarding against] the second fundamental transgression, as two pounds are included within three pounds.⁹¹³ Thus it is said in the [*Dam tshig gsal bkra*].⁹¹⁴

If one observes the pledges of Mahāyāna,
then one is able to keep the pledges of the lower Vinaya [and]⁹¹⁵ all the
pledges,⁹¹⁶
without [consciously] observing [them].

⁹¹³ This is also the main point expressed in Klong-chen-pa, *bSam gtan ngal gso*, fol. 141r, when dealing with this topic: "The two other [vows] are included within Mantra, as two pounds are included in three" (*sngags su gzhan gnyis 'du ba ste/ bre gsum du do 'du ba bzhiñ no*). He also quotes the *Great Magical Net* (for which see fn. 854), which says: "The morality empowered by the Vinaya and all the trainings of the bodhisattvas are all without exception included [and] summarized pure within the vow of the highest supreme" (*bla med mchog gi sdom pa ru// 'dul ba'i dbang gis tshul khriṃs dang // byang chub sems dpa'i bslab pa kun// ma lus kun 'dus nam par dag//*); and the *Padma cod pan*: "The yogi who has gone through the three initiations is taught as [being] the great full monk; by him everything can be done" (*dbang gsum bgrod pa'i mal 'byor pa// de ni dge slong chen por gsungs// de yis thams cad bya ba nyid*).

⁹¹⁴ Vilāsavajra (Tib.: sGeg-pa'i-rdo-rje), *Dam tshig gsal bkra*, P no. 4744, vol. 83, p. 147-9 (in square brackets the variants of Lo-chen Dharma-shrī): *theg chen dam tshig la gnas shing [na]// 'og ma'i 'dul sdom dam tshig gsum [kun]// bsrungs pa [bsrung ba] med par thub pas nal// dge slong byang chub sems dpa' dang // de ni [mal 'byor] mal 'byor chen po yin*.

⁹¹⁵ Or: "up to ..."

⁹¹⁶ My colleague Dorji Wangchuk pointed out to me that the *Dam tshig gsal bkra* explains the pledges according to the mahāyoga tantras and that "the pledges of Mahāyāna" may need to be interpreted accordingly. This certainly needs to be investigated in the future.

dge slong byang chub sems dpa' dang //
mal 'byor⁹¹⁷ rnal 'byor chen po yin//
zhes so// de yang so thar gyis gzhan gnod gzhi bcas spong ba de nyid byang sdom
gyis gzhan la phan 'dogs pa'i nang du tshang zhing / de gnyis ka'ang sngags kyis
sangs rgyas kyi mdzad pa bsgrubs te mnyam pa chen po'i ngang nas gzhan don rtsol
med du mdzad pa'i thabs mkhas kyi nang du yon tan yar ldan gyi sgo nas tshang
zhing 'dus la rnam par dag pa yin te/ gsang snying las/
bla med mchog gi dam tshig tu//
'dul ba'i dbang gis <303v> tshul khrims dang //
ji snyed sdom pa bsam yas pa//
ma lus kun 'dus rnam par dag //
ces pa dang /
ji bzhin nyid dang 'dul ba'i thabs//
ji snyed sdom pa bsam yas pa//
ma lus rnam dag lhun gyis grub//
ces so// mdor na sngags kyi sdom pa gtso bor nyams su blangs pas 'og ma gnyis kyi
dgag bya dang dgos pa'ang yar ldan gyi tshul du rdzogs pa yin te/ dper na sngags
kyi dbang gsum pa'i nyams len sbyor ba'i dam tshig lia bu la'ang rang rgyud kyi
chags pa dang ma bral na sngags kyi lam du mi 'gro mod/ rtogs goms kyi gdengs
kyis tha mal gyi 'dzin pa dang bral zhing thig le 'dzag med du brtan na mi tshangs
spyod kyi pham pas gos par mi 'gyur te/ dgag bya nyon mongs pa zil gyis non pas
'dod chags rang mtshan pa las log pa gang zhig / dgos pa nyon mongs pa'i bag
chags mi gsog gi steng du bsod nams dang ye shes kyi tshogs rdzogs par 'gyur ba'i
phyir

⁹¹⁷ P: *de ni*.

And therefore a great yogi

is a monk, a bodhisattva, and a yogi.⁹¹⁸

Moreover, that very abandoning through the prātimokṣa vows of harm for others, together with the [mental] basis [for that], is completely included within benefitting others through the bodhisattva vows, and both of these, too, accomplish the deeds of the Buddha through the Mantra [vows], and they are complete and included within the skill in means of the effortless activities [of] benefitting others from the state of great equanimity by way of the possession of the [lower] qualities "upwardly," and [they are] completely pure. In the *Guhya garbhatantra* (19.2.)⁹¹⁹ it is said:

Within the highest unsurpassable pledges

all the morality and the inconceivably many vows

are included without exception and [are] completely pure,

by virtue of the disciplining [of morally wrong behaviour].⁹²⁰

And [in the same text] (19.24.):

The state of being truly actualized as the thing is,⁹²¹ the means of disciplining,

[and] the inconceivably many vows

are all, without exception, completely pure and spontaneously manifest.⁹²²

In short, by practicing the vow of Mantra as the principle one, that which is to be prevented and the purpose, too, of both lower [vows] are complete in the manner of the [lower] qualities being possessed "upwardly." For example, if the practice of the third initiation of Mantra such as the pledge of sexual union is not free from desire as such,⁹²³ it will not become the [actual] path of Mantra. Yet, if through the assurance of realization and internalization one is free from ordinary perception⁹²⁴ and if the semen remains stable without its dripping, then [even a monk] is not sullied by the infraction of "unchastity" that entails expulsion, since someone who has turned away from concrete desire by outshining the defilements, [which are] that which is to be abandoned, will not only avoid the accumulation of the propensities of defilements, which is the purpose, but will also bring the accumulations of merit and gnosis to perfection,

⁹¹⁸ According to P.: "... full monks, bodhisattvas, and those (i.e. yogis?) are great yogis."

⁹¹⁹ Chapter and verse numbers are given here according to Klong-chen-pa, *dPal gsang ba'i snying po*.

⁹²⁰ See Klong-chen-pa, *dPal gsang ba'i snying po*, p. 628.1.

⁹²¹ Skt. *yathāvad bhāvikatā*, see Edgerton, p. 443, col. 1.

⁹²² See Klong-chen-pa, *dPal gsang ba'i snying po*, p. 647.3.

⁹²³ Or: "desire as independently existing ..."

⁹²⁴ Or, "free from grasping the ordinary three doors," see Klong-chen-pa, *bSam gtan ngal gso*, fol. 139r.

dang / so byang gi tshul khrims dang sdom pa thams cad phun sum tshogs pas yon
tan yar ldan du 'gyur ba'i phyir te/ de nyid las/
bla med theg par rab nges na//
nyon mongs las mams kun spyad kyang //
byas la mi gsog tshogs 'gyur te// <304r>
tshul khrims sdom pa phun sum tshogs//
zhes gsungs pa'i phyir ro//

lga pa sdom pa gsum gnad kyis mi 'gal ba ni/
'du shes gsum gyis 'khrig na rnal 'byor de//
yul bsam sbyor ba mihar thug ma tshang bas//
rmi lam ji bzhin gnad kyis 'gal mi srid//
ces pa ste/ de yang 'di snyam du/ sdom pa 'og ma gnyis gong mar 'dus pa mi 'thad
de/ ci'i phyir zhe na/ so thar gyi pham pa bzhi chab bcas spong ba dang / byang
sdom gyis sems can la 'tshe ba chab bcas las ldog nas gzhan la phan 'dogs pa'i ldog
cha gnyis po de/ 'dir sngags sdom gyi spyad par bya ba'i dam tshig lga dang dngos
su 'gal bar snang ba'i phyir snyam na/
de ltar 'og ma dang mam pa 'gal ba ltar snang yang lia ba rtogs shing ting nge
'dzin goms pa dang thabs mkhas kyi spyod pas zin pa'i gnad kyis mi 'gal bar 'og
ma'i sdom pa yar ldan du rdzogs pa yin te/ rgyu mtshan nyan thos kyi dge slong la
pham pa bzhi 'chab bcas byung na so thar gyi sdom pa zhig par gsungs pa las/ 'dir
mi tshangs spyod la/

and because [such a person] will possess in perfect completeness all moral disciplines and all vows of prātimokṣa and of the bodhisattvas, the qualities will be possessed "upwardly." In the same [source, i.e. *Guhyagarbhatantra* 19.1.⁹²⁵] it is said:

When [someone attains] ascertainment in the unsurpassable vehicle, even though [he] engages [in] all the deeds of defilements,⁹²⁶ [he appears] to have done [that], but [while he does] not accumulate [obscurations, etc., it] becomes the accumulation [of merit and gnosis], [because] the morality [and] vows are possessed in perfect completeness. <304r>

[Part 5: Lack of Incompatibility through the Vital Points of the Vows]

The three vows are not incompatible through their vital points:

If one [performs] intercourse while [maintaining] the three apperceptions, that yoga is not incompatible with the vital points [of the three vows], because object, volitional impulse, preparation, and [post]-completion are incomplete like a dream.⁹²⁷

[Doubt]: "It is not acceptable that the two lower vows are included in the higher vow. Why? Because it seems that the two distinctive aspects, i.e. abandoning the four infractions entailing expulsion [that are endowed] with the intention of concealment of the prātimokṣa, and benefitting others through the bodhisattva vows after turning away from harming sentient beings with the intention to conceal [that harming], are here directly incompatible with the five pledges that are the practice of the Mantra vows."⁹²⁸

[Answer]: It may seem that [the pledges] are utterly incompatible with the lower [vows] like that, but through the vital point of understanding the theory, having internalized *samādhi*, and the possession of conduct that is skilled in means, the lower vows are complete in [the way of their qualities being] possessed "upwardly," without being incompatible [with the higher vows]. The reason is [this]: If the four infractions entailing expulsion together with [the intention] to conceal [these infractions] arise in a full monk of the auditors, it is said [by the Buddha] that the prātimokṣa vows are destroyed. From [these four] here, [for example,] unchastity:

⁹²⁵ My understanding of this verse is based on Klong-chen-pa, *dPal gsang ba'i snying po*, p. 627.

⁹²⁶ *nyon mongs las mams*, *D* reads: *nyon mongs 'dod lnga*.

⁹²⁷ See Appendix C, "Object, Volitional Impulse, Preparation, and [Post]-Completion."

⁹²⁸ That is, when one understands the five pledges of "killing," etc., literally, and is without understanding, *samādhi*, and skill in means. For these five pledges, see for example the *Guhyasamājatantra* as quoted in chapter 14, section 6 of this book, vajra utterance 5.24.

bdag dang yul lha yab yum du gsal ba lha'i 'du shes dang / mkha' gsang rdo rje
 <304v> dang padmar shes pa sngags kyi 'du shes kyis gzhi'i yan lag ma tshang /
 thabs 'dis bde ba chen po'i ye shes bsgrub par bya'o snyam pa chos kyi 'du shes kyis
 bsam pa'i yan lag ma tshang / byed pa sdom pa'i thabs mkhas kyi spyod pas zin cing
 chags pa'i ro ye shes su gsal bas sbyor ba dang mthar thug gi yan lag ma tshang
 ba'i phyir dang / chos thams cad sems nyid kyi rang snang du shes pas rmi lam du
 spyad pa ltar nyes pas mi gos shing 'dzag med kyi ye shes 'grub pa sogs kyi don du
 'gyur bas dgag dgos gnad gcig pa'i phyir mi 'gal te/ de las/

ma chags pa la chags pa dang //
 chags pa nyid na chags pa med//
 de ni tshangs mchog rgyal po ste//
 shin tu chags pa chen po yin//

zhes gsungs pa'i phyir/ de la kha cig na re/ spyir de ltar yin kyang / mi tshangs
 spyad la ni dngos po gtso bas lpags rim las 'das pa'i phyir pham pa byung ngo zhe
 na/

Through the notion of the deity, [i.e.] the manifestation [of] oneself and the object [=the female Tantric consort] as the male and female deity, and through the notion of Mantra, [i.e.] the knowledge [of] the secret spaces [=the male and female organs]⁹²⁹ as vajra and lotus, the element of the object is incomplete. Through the notion of the Dharma, [i.e.] the thought "through these means I will manifest the gnosis of great bliss," the element of the volitional impulse is incomplete. By being endowed with a behaviour which is skilful in controlling the act and through the manifestation [of] the taste of desire as gnosis the elements of preparation and [post]-completion are incomplete. And because one knows all phenomena as the self-appearance of the ultimate nature of the mind, it is unsullied by moral fault just like a behaviour in a dream. And because the vital points [of] that which is to be prevented and the purpose are the same⁹³⁰ this becomes [a practice] of the gnosis of not dripping [the semen]. There is no incompatibility because of [the incompleteness of these four elements as just explained]. In the same text [*Guhyagharbhatantra* 19.6,⁹³¹ it is said]:

[One] is attached to [that which is by nature] unattached, and
if [there is] attachment [to that], there is no [ordinary] desire.

This is the king of highest desire,⁹³²
the great, very [pure] attachment.

[Objection]: "Though in general, it may be so, but since with regard to unchastity, the entity is most important,⁹³³ an infraction entailing expulsion has occurred because [one went] beyond the skin-level."⁹³⁴

⁹²⁹ Cf. Rong-zom, who clearly uses the term in this sense in the passage (*gSung 'bum, dKon mchog 'grel*, p. 222): *mkha' gsang nam gnyis dam tshig dang chos kyi phyag rgya gnyis su bying gyis rlabs*.

⁹³⁰ The vital point of that which is to be prevented is to prevent the arising of a defilement, the vital point of the purpose is not to be bound by a defilement. In the practice of the deity that includes the notions of the deity and the Dharma as well as skill in means, the above mentioned vital points are the same, namely that no defilement is involved in this practice.

⁹³¹ See Klong-chen-pa, *dPal gsang ba'i snying po*, p. 632.5.

⁹³² Judging from the commentary it appears that Klong-chen-pa's version must have read *de ni chags mchog rgyal po ste*, which seems to be correct. This is also how Vilāsavajra, *P* vol. 82, p. 276-2-4, reads the passage. But the present text reads *tshangs mchog* (also in bDud-'joms Rin-po-che's commentary and Rong-zom, *brDa dgröl gser gyi me long*, p. 716).

⁹³³ *dnogs po* as opposed to 'du shes, see for example Padma-dkar-po, *sDom gsum gyi rgyan*, vol. 5, p. 117.

⁹³⁴ bDud-'joms Rinpoche, *sDom gsum nam nges 'bru 'grel*, p. 115: *pags rim gyi mtshams*.

de ni thabs la mi mkhas shing shes rab med pa'i dbang du byas pa ste/ yang dag par
 na sngags med pa'i (! read: pa) dug gis 'chi yang sngags dang ldan pa la nyes pa
 med pa bzhin du thabs shes khyad par can gyis zin pa la nyes pa med de/ <305r>
 'dir mkha' gsang sngags kyi 'du shes kyi byin gyis brlabs pas 'da' bya'i dngos po
 ma grub pa'i phyir dang / sgyu ma'i skyes bus mig yor du snang ba'i bud med la
 sbyor ba brisams pa bzhin bdag gzhan yang ma grub pa'i phyir rol/
 de ltar yang de nyid las/

ye nas skye med de bzhin nyid//
 sgyu mar snang ba mig yor tshul//
 sbyor sgrol bya ba kun byas kyang //
 rdul cha tsam yang byas pa med//
 ces pa dang / ye shes rgyas pa'i mdo las kyang /
 ji ltar sgyu ma'i mkhan po dag //
 rang gis sprul pa'i sgyu ma la//
 dge dang mi dge 'du byed pa//
 nyes pa gang gis mi gos ltar//
 thabs la mkhas pas mam par spyod//

ces so// der ma zad dge slong ma ser skya bzang mo la rgyal po ma skyes dgras 'dod
 pa lan mang du spyad kyang dge slong ma la 'dod chags kyi sems med pa'i phyir/
 las des pham pa bskyed mi nus par nyan thos kyi theg par yang gsungs na theg chen
 du lta smos kyang ci dgos so//

de bzhin du srog gcod la'ang / bsgral bya mig yor lta bur shes pas gzhi ma
 tshang / de'i sdug bsngal bral 'dod kyi snying rjes bslangs pas bsam pa ma tshang
 / gsod par byed pa po bdag sgyu ma'i skyes bu lta bus bsad bya mig yor <305v>
 ltar srog dang skyes bur 'dzin pa med pas de bcad pa'ang med pa'i phyir sbyor ba
 ma tshang / rjes mnar sems kyi yi rang med pas mihar thug ma tshang ba'i phyir/

Answer: That has to do with the unskilful and those lacking in insight. In reality, however, there is no fault for someone who is endowed with the special means [and] insight—just as there is no harm for someone who possesses [the means of] magic spells, even though one who is without [the means] of magic spells [for neutralizing poison] dies through poison—because here [in the case of unchastity] the entity which might be passed is not established, since the "secret spaces" [i.e. the male and female organs] are blessed [i.e. controlled] through the notion of Mantra, and since [furthermore] the self and the other, too, are not established, as [in the example of] an illusory man who undertakes copulation with a woman that appears as an optical illusion. It is said in the same vein in the same text [*Guhyagharbhatantra* 11.13.⁹³⁵]:

Even though one has performed all [Tantric] activities [such as] sexual union and liberation [through killing]

in the way "from the beginning unborn,"⁹³⁶ "ultimate reality,"

"appearing as an illusion" [and] "optical illusion,"

not even as much as a dust particle has been performed.

And in the *Ye shes rgyas pa'i mdo* it is said:

Just as an illusory magician,

who commits deeds of virtue and non-virtue

with regard to the magical illusion which he himself has manifested,

is unsullied by any fault,

[one] conducts oneself through skill in means.

Not only that, but the king Ajātaśatru had many times intercourse with the nun Bhadrā, but since the nun had no thought of desire, an infraction entailing expulsion could not be produced through that deed.⁹³⁷ If [this] is taught even in the vehicle of auditors, it goes without saying that it is so for the Mahāyāna.

Likewise for [the case of] killing, too, because of one's realization of the [person] to be 'liberated' as like an optical illusion, the object [of the killing] is incomplete. Because of being motivated by compassion which desires [that the victim] may be freed from his suffering, the volitional impulse [of killing] is incomplete. Since I, the killer, who am like an illusory person have no apprehension of the victim, who is like an optical illusion, as a living [being] or as a person, there is no [real] killing him either, and hence the preparation is incomplete. And finally since there is no rejoicing of a malicious mind, the [post]-completion is incomplete.

⁹³⁵ See Klong-chen-pa, *dPal gsang ba'i snying po*, p. 628.

⁹³⁶ *ye nas skye med*, *D* reads: *ye shes*.

⁹³⁷ This is a story from the *Bhikṣuṇīvinayavibhaṅga*, see Panglung (1981: 162 f.).

nyes pas mi gos shing bsgral bya'i las ngan rgyun gcod pa'i thabs mkhas kyis tshogs
 rdzogs par 'gyur bas dgag dgos gnad gcig pa ste/ gsang snying las/
 yod med dbu ma mi dmigs shing //
 sgyu ma mig yor lta bu'i tshul//
 srog med srog kyang bcad du med//
 srog dang skyes bu log rtog tsam//
 zhes so// ma byin par len pa la'ang / bdag gzhan gnyis med du rtogs te rang snang
 gi rdzas 'phrul dga'i longs spyod ltar len pas don la blangs pa med pa'i phyir gzhi
 bsam sbyor ba ma tshang la/ thob bya thob byed kyi zhen pa las grol bas mthar thug
 thob blo yang ma grub pa'i phyir dgag dgos gnad gcig pa ste/ de las/
 bden pa gnyis ka dbyer med pas//
 'phrul dga'i tshul te tha dad min//
 gzhan dang ma byin med pa'i phyir//
 blangs med thams cad nyid kyi dbyings//
 zhes so// rdzun smra ba'i tshe yang chos thams cad bden par ma grub pas/

Therefore, [that person] is not sullied by moral fault, and since [at the same time through his perfect practice] through the skill in means of cutting off the stream of bad karma of the [person] to be liberated-by-killing his accumulations are completed, [that which is to be] prevented and the purpose are the same vital point. In the *Guhyagarbhatantra* (19.3.)⁹³⁸ it is said:

[On the absolute level⁹³⁹] existence, non-existence, and anything in between are not apprehended [as objects], and

[on the relative level] [things are] like an illusion or an optical illusion; [thus],

there is no life and there is no life to be taken.

Life and people are mere erroneous notions.

Concerning the taking of something which has not been given [i.e. stealing]: Because one has "self" and "other" as non-dual and one partakes of things like the enjoyment of the self-illuminating substances of the *nirmāṇarati* deities,⁹⁴⁰ therefore in truth one has not taken something. And for that reason neither object, nor volitional impulse, nor preparation are complete. And since one is devoid of clinging to [the notion of] object and subject of obtaining [i.e. the thing taken and the taker], the [post]-completion of the deed—namely the mental realization of having obtained something—is not established, and therefore [the pledges have] the same vital point [of that to be] prevented and the purpose. The same text [*Guhyagarbhatantra* 19.4.⁹⁴¹] states:

Because both [the relative and absolute⁹⁴²] truths are inseparable, there is no difference [between the thing taken and the taker], as with *nirmāṇarati*-[deities].

Since [both] "other [beings]" and "an object that was not given" do not exist,

there is no taking, [and thus] everything is the sphere of ultimate reality.

And concerning an occasion of telling lies: Since all phenomena are not established as true, a lie which depends on that is also not established,

⁹³⁸ See Klong-chen-pa, *dPal gsang ba'i snying po*, p. 628.

⁹³⁹ This and the following paraphrases in square brackets are taken from Klong-chen-pa, *dPal gsang ba'i snying po*, pp. 628.8 f.

⁹⁴⁰ Pāli: *nimmāṇarati*, lit. "enjoying magical creations (of their own)," n. of a class of *kāmāvacara* gods. See Edgerton (1985: 302).

⁹⁴¹ See Klong-chen-pa, *dPal gsang ba'i snying po*, p. 630.

⁹⁴² This and the following paraphrases in square brackets are taken from Klong-chen-pa, *dPal gsang ba'i snying po*, pp. 630.2. f.

de la ltos pa'i rdzun pa'ang ma grub pa'i phyir na gzhi pha rol po la rdzun pa'i
 <306r> 'du shes kyis smras shing des go ba'ang ma dmigs pa'i phyir/ smras kyang
 nyes pas mi gos shing ji liar phan pa liar smras pas dgag dgos gnad gcig pa ste/ de
 las/

chos rnams sgyu ma lta bu la//
 ming dang tshig tu btags pa rdzun//
 rdzun nyid la ni rdzun spyod pas//
 rdzun zhes btags tsam yod ma yin//

zhes so// mdor na/ de thams cad kyi skabs su/ bdag nyid sdom ldan yin pa/ yul de
 yin la yin par ma 'khrul ba/ sbyor ba brtsams shing mthar thug grub pa zhig dgos
 pa las/ 'dir rtogs goms kyi thabs kyis thams cad lhar shes pa'i phyir nyan thos kyi
 sdom pa dang 'gal ba med do// de bzhin du gzhan la phan 'dogs kyi snying rje dang
 thabs khyad par can gyis zin pas byang sdom dang yang 'gal ba med de/ de gnyis
 dang ldan pa ni byang chub sems dpa' rnams la'ang gnang ba'i phyir/ nyes pas mi
 gos kyi steng du phan yon tshad med pa thob pas dgag dgos gnad gcig pa yin te/
 phal po che las/

gang dag sems can don 'gyur ba'i//
 thabs de rgyal sras bslab pa'i mchog //
 sprin las char chen babs pa <306v> yis//
 lo tog phun sum tshogs par byed//

ces gsungs pa'i phyir/

and therefore since one does not apprehend either having spoken with the awareness of lying to the other person or his understanding [that lie], even though one has told [a lie] one is without moral fault, and one has spoken as if benefitting [the others]. Through that, [that which is to be] prevented and the purpose are the same vital point. Thus in the same text [*Guhyagarbhatantra* 19.5.⁹⁴³] it is said:

It is a falsehood to designate as names and words

[all] phenomena, [which are] like illusion.⁹⁴⁴

By speaking lies about what is by nature false,

not even the designation "lie"⁹⁴⁵ does exist.

In short, on all these occasions [of killing, stealing, sexual misconduct, and lying] there must be (1) an [apprehension of a] self that possesses the vows, (2) an object which is that and no mistake about what it is, (3) a preparation [of the actual deed], and (4) a [post]-completion of the action⁹⁴⁶ [for the vows to be broken], while here there is no conflict with the vows of the auditors, since everything has been understood to be the deity through the means of realization and internalization. Similarly they are also not incompatible with the bodhisattva vows, because [such activities] are endowed with loving kindness that wishes to benefit others and with special means, for [activities] possessing these two [i.e. loving kindness and special means] are allowed for bodhisattvas. Because in addition to not being sullied by a moral fault, one obtains limitless benefit, [that which is to be] prevented [and] the purpose are the same vital point. For as it is said in the [*Sangs rgyas*] *phal po che*-[sūtra collection]:

Whatever is a means for benefitting beings

[is] the unsurpassable training of the bodhisattvas.

A great rainfall from the clouds

makes the harvest rich.

⁹⁴³ See Klong-chen-pa, *dPal gsang ba'i snying po*, p. 631.

⁹⁴⁴ *btags pa rdzun*, *D* reads: *brtags pa brdzun*.

⁹⁴⁵ *rdzun zhes btags*, *P* reads: *brdzun zhes brtags*.

⁹⁴⁶ Usually the four elements ("*las kyi yan lag bzhi*") would include volitional impulse (*bsam pa*), object (*yul* or *gzi*), preparation (*sbyor ba*), and [post]-completion (*mthar thug pa*), see Appendix III. Why *bsam pa* was dropped here and replaced(?) by "a self that possesses the vows" is unclear. Most likely a later scribe wanted to reduce the elements back to the usual number of four elements and then dropped the wrong one. A more correct version of this might thus read: "For(?) a person who possesses the vows," and then would have listed the four elements, thus for the unskilled reader seeming to contain five elements.

*mdor na sdom pa gsum ka la skyon dang nyes pa bskyed na 'gog cing / yon tan dang
dge ba bskyed na bya bar gnang ba'i phyir/ don gcig pas gnad kyis mi 'gal ba yin
no//*

*drug pa dus skabs kyis gtso bor gang 'gyur spyad pa ni/
sdig to mi dge'i phyogs dang tshogs pa'i gseb//
'og ma gtsor byed 'dod pas dben pa dang //
spyod pa'i dus dang dben par gsang sngags spyad//
nang ma 'dom na ma 'dres yongs rdzogs bsrung //
'dom na dgag dgos brtsi zhes mkhas mams bzhed//
las dang po dang mal 'byor grub thob dang //
thams cad mkhyen pa'i spyod pa gang yin pa//
dus tshod 'brel bar dgos zhes dus 'khor bshad//*

*ces pa ste/ sdig to mi dge'i phyogs rang bzhin gyi kha na ma tho ba mams dang /
tshogs pa'i gseb tu sdom pa 'og ma nyan thos kyi lugs bzhin du gtso bor bsrung dgos
te/ gsang 'dus las/*

<306v> In short, there is no incompatibility in the vital points [of the vows], because they have the same aim, since for all three vows a thing is prohibited if it produces a [moral] defect or fault and it is allowed to be performed if it produces an excellent quality and virtue.⁹⁴⁷

[Part 6: Practicing Whatever is Preeminent According to Occasion]

The practice of that which is preeminent according to the occasion. [It is said in the *Clear Comprehension of the Three Vows*, fol. 18r]:

One follows as preeminent the lower [vows with regard to] sins, i.e. non-virtue,

and [when] in the midst of an assembly. [If] one is free from desire, and at the time of [Tantric] practice, and in solitude, one practices Mantra.

Wise men hold that when [the vows] do not contradict internally, one observes them unmixed and perfectly complete [according to each system], and

if they contradict, to consider [that which is to be] prevented [and] the purpose.

It is taught in the *Kālacakra* that practices of beginners, yogis, adepts, and omniscient ones,

each must be connected with its [respective] time.

[With regard to] sins, non-virtue, natural sins, and [when] in the midst of an assembly, one must observe as the main thing the lower vows according to the tradition of the auditors. For as it is said in the *Guhyasamājatantra*:⁹⁴⁸

⁹⁴⁷ Klong-chen-pa, *bSam gtan ngal gso*, fol. 140r, remarks: "If one practices something like the path of the third [initiation], the absorption of body and mind is enhanced through that experience of bliss, the desire of one's mental stream of consciousness is purified, and the semen is stable without its dripping. If no desire arises even though one perceives a woman, the prātimokṣa vow is perfected "upwardly," even though one cultivates the path of the third [initiation]" (*gsum pa'i lam lta bu dang sbyar na/ lus sems zhu bde'i nyams kyis rgyas/ rang rgyud kyi chags pa dag/ thig le 'dzag med du brtan/ bud med mthong yang chags pa mi skye na gsum pa'i lam bsgom yang / so thar gyi sdom pa yar ldan du rdzogs te/*). And: "Furthermore, when the enjoyments of the objects of the senses, such as alcohol, after-noon meals, songs, and dances are the perfection of the two accumulations and the supreme means of abandoning the two obscurations, their performance in the Mantra and their abandoning elsewhere [i.e. in the Vinaya] have the same aim" (*gzhan yang chang dang phyi dro'i kha zas glu gar la sogs 'dod yon spyod pa nams/ tshogs gnyis rdzogs shing sgrib gnyis spong ba'i thabs mchog tu gyur dus sngags su spyod la/ gzhan du 'dor bḥas don gcig ste/*).

⁹⁴⁸ See Go-rams-pa, *General Topics*, fol. 79v (*rang lugs* section), who correctly specifies (continued...)

phyi ru nyan thos spyod pa skyong //
nang du 'dus pa'i don la dga' //
zhes dang / sangs rgyas gnyis pa <307r> slob dpon chen po padma'i zhal nas/
phyi ltar lag len mdo sde'i lugs su spyad//
rgyu 'bras spang blang zhib pa'i dgos pa yod//
ces dang / byang sa'i tshul khrims le'ur yang / 'dul ba'i nang khrims bsrung na nyes
pa med do// zhes pa yang yang gsungs pa'i phyir ro//
yang theg pa chen por gzhan don du 'byung nges na gnang ba rang 'dod kyis
dben pa'i lung ba lus ngag gi bcas pa mams dang / gsang spyod kyi dus dang dben
pa'i gnas su gsang sngags gtso bor bsrung ste/ de'i tshe 'og ma nyams pa snyam
byed kyang de ni lung ba'i gzugs brnyan yin pa'i phyir ro// de ltar yang spyod 'jug
las/
thugs rje mnga' bas ring gzigs pas//
bkag pa mams kyang de la gnang //
zhes pa dang / sems kyi sgrib sbyong las/
nam shes ngan pas brten byas na//
'dod pas⁹⁴⁹ 'ching ba nyid du 'gyur//
de nyid mkhas pas brten⁹⁵⁰ byas na⁹⁵¹ //
'dod pas thar pa⁹⁵² rab tu sgrub//
ces pa dang /
rang lhar sbyor ba'i bdag nyid kyis//
'gro ba'i⁹⁵³ don⁹⁵⁴ byed brtson ldan⁹⁵⁵ pas//
mal 'byor 'dod yon⁹⁵⁶ longs spyod kyang //

⁹⁴⁸ (...continued)

the quote to be from the *gSang ba 'dus pa'i bshad rgyud*, and reads *bsrung* instead of *skyong*. See the *Śrīvajramālabhidhānamahāyogatantrasarvatantrahr̥dayarahasyavibhaṅga*, P vol. 3, no. 82, 203-2-1, this quote on 229-1-8: *nang gi gsang 'dus la rab dga' // phyi rol nyan thos la spyod pa//*.

⁹⁴⁹ P: *pa*.

⁹⁵⁰ P: *bstan*.

⁹⁵¹ P: *nas*.

⁹⁵² P: *par*.

⁹⁵³ P: *ba'o*.

⁹⁵⁴ P: *don ni*.

⁹⁵⁵ P: *om*.

⁹⁵⁶ P: *yul*.

Maintain the conduct of the auditors outwardly,
rejoice inwardly on the meaning of *samāja*;

and since the second Buddha, the great master Padmasambhava, said <307r>:

Outwardly one should behave according to the system of the Sūtra practice;
There is a need for detailed attention to moral cause and result, and to the
abandoning and committing [of actions];

and since the chapter on morality of the *Bodhisattvabhūmi*, too, repeatedly says:
"One is without moral faults if one observes the internal discipline of the Vinaya."⁹⁵⁷

Also, in the Mahāyāna there are [certain things] permitted if it is certain that
benefits will arise for others, [namely] transgressions devoid of selfishness, [i.e.]
acts [classified in the Vehicle of Auditors as] "prohibited for body and speech." And
at times of secret practice and in places of solitude one mainly observes [the vows
of] Mantra, because even though one is led to think that the lower [vows] have been
damaged at that time, it is [only] the semblance of a transgression entailing
expulsion. For it is said in the same vein in the *Bodhicaryāvatāra* (ch. V, v. 84):⁹⁵⁸

The Merciful-One through far-sightedness

allowed them [i.e. the bodhisattvas] even these [activities] which were
prohibited [for others];

and in the *Cittāvaraṇa-viśodhananāmaprakaraṇa*:⁹⁵⁹

When people with inferior perception rely on [desire],
they will be bound by desire.

[And] the same, when it is resorted to by those who know,
will bring about liberation through desire.⁹⁶⁰

and [in the same text (1-1-8)]:

By exerting himself in benefiting living beings through self-identification
with his own deity
the yogin, even though he partakes of the sense objects,

⁹⁵⁷ I have not found these exact words in the *śīla*-chapter of the *Bodhisattvabhūmi*, but Lo-chen Dharma-śrī perhaps refers to passages such as the following, which was pointed out to me by Dr. Maithrimurthi (Wogihara, 1930: 182, lines 19-21): "When the bodhisattva maintains his morality uninterruptedly, his activities of body, speech, and mind are pure, and he will not transgress and be exposed to sin as quickly" (*yathā 'pi tad bodhisattvaḥ śīleṣv a-khaṇḍa-kārī bhavati parīśuddha-kāya-vān-manah-samudācāro nābhikṣṇ'āpatiko vivṛta-pāpāś ca bhavati*).

⁹⁵⁸ P vol. 99, 250-1-5.

⁹⁵⁹ *Sems kyi sgrib pa nam par sbyong ba zhes bya ba'i rab tu byed pa* (*Cittāvaraṇa-viśodhananāmaprakaraṇa*), P no. 2669, vol. 62, 1-4-8; Cf. Patel v. 42, read v 42d: *kāmaṇokṣa* ... as a *taṭpuruṣa* with an instrumental relation (as in the Tibetan 'dod pas ...).

⁹⁶⁰ Read *bsten byas* for *brten byas*.

grol 'gyur gos par <307v> mi 'gyur ro//
 zhes pa dang / slob dpon chen pos/
 nang ltar gsang sngags thun mong lugs su spyad//
 bskyed rdzogs don dang 'brel ba'i dgos pa yod//
 gsang bar gsang chen a ti'i lugs su spyad//
 tshe gcig 'od skur grol ba'i dgos pa yod//
 ces gsungs pa'i phyir/ gzhan yang spyod 'jug las/
 'jig rten ma dad gyur pa'i cha//
 mthong dang dris te spang bar bya//
 zhes pas gzhan ma dad par 'gyur ba'i cha sdom pa gsum ka mihun pa rnams 'bad
 pas bsrung zhing // gal te gzhan 'jug pa'i rgyur 'gyur na theg pa chen po las gnang
 bas dgag dgos shes par bya'o//
 de dag kyang rab dbye'i theg chen so thar gyi skabs su/
 'di la sdig to mi dge'i phyogs//
 phal cher nyan thos lugs bzhin bsrung //
 'dod pas dben pa'i ltung ba 'ga'//
 byang chub sems dpa'i lugs bzhin bsrung //
 'jig rten ma dad gyur pa'i cha//
 gnyis ka mihun rnams 'bad pas bsrung //
 'jig rten 'jug pa'i rgyur gyur na//
 theg chen so sor thar la gnang //
 zhes gsungs pa ltar ro// tshogs dben gang yin kyang rung ste/ sdom pa gsum gyi
 <308r> ltung ba nang ma 'dom pa'i dus su ni rang rang so so'i lugs ltar ma 'dres
 yongs rdzogs su bsrung bar bya zhing / gal te 'dom na dgag dgos brtsi ste/ gang gi
 lugs la'ang nyes pa bskyed pa'i cha thams cad dgag bya yin pas dgog cing /

will be liberated, and will not be sullied [by moral fault],⁹⁶¹

<307v> and as the great master [Padmasambhava] said:

[You should] inwardly perform Mantra Vehicle practices according to the common system.

This is needed for becoming connected with the sense of [the stages of] production and perfection.

Secretly [you should] perform the Great Secret according to the *ati-fyoga* system.

This is needed for being liberated in the luminous body during a single lifetime.

Furthermore it is said in the *Bodhicaryāvatāra* (ch. V, v. 93cd):⁹⁶²

[Having] seen and inquired into everything causing the loss of faith among ordinary people, I should give it up.

Therefore, things that cause others to lose faith, [and] about which all three vow-systems agree, should be energetically guarded against, but if [something] causes others to enter [the path], it is allowed according to the Mahāyāna, and therefore one should understand [that which is to be] prevented and the purpose. These [vital points] were also taught in the Mahāyāna prātimokṣa section of the *Clear Differentiation [of the Three Vows]*.⁹⁶³

With regard to this, sins and non-virtuous [activities] are mainly guarded against according to the system of the auditors.

Some transgressions which are devoid of [selfish] desire are guarded against according to the system of the bodhisattvas.

That which would cause the loss of faith among ordinary beings is guarded against through efforts in both [systems] alike.

If something causes ordinary beings to enter [the path], it is permitted in the Mahāyāna prātimokṣa.

Whether in a group or in solitude, when transgressions [against] the three vows are <308r> not internally conflicting,⁹⁶⁴ the [vows] have to be observed unmixed [and] perfectly complete according to their respective systems, and if they are conflicting, one should consider [what is to be] prevented and the purpose. That is, since in any system whatsoever everything that produces moral faults is the thing to be prevented, one should prevent it,

⁹⁶¹ P: 'gro ba'o don ni; om. ldan.

⁹⁶² P vol. 99, p. 250-2-3.

⁹⁶³ Sa-pan, *Clear Differentiation of the Three Vows*, p. 298, fol. 4r.

⁹⁶⁴ I.e. when there is no conflict between the rules of the different sets of vows. bDud-'joms Rinpoche, *sDom gsum mam nges 'bru 'grel*, fol. 196v, glosses *ma 'doms pa* with *mthun par gyur* and *'doms pa* with *'gal bar gyur*.

*yon tan nam dge ba'i cha bskyed na bslabs pa'i dgos pa yin pas spyad par bya ste/
gnang bkag dang spyir biang dmigs bsal gyi gnad rnams ma 'dres par shes pa gal
che'o//*

*de yang gsum ldan rdo rje 'dzin pas spyod pa kha na ma tho ba med cing dus
tshod dang 'brel bar spyod dgos pas gnas skabs so so'i blang dor kyang shes par bya
ste/*

*las dang po pas so thar gyi dgag bya gtso bor bsrung zhing / brtan pa thob nas
bzung blo na 'phar ba'i rim pa ltar gong ma gong ma'i dgos pa gtso bor bsgrub ste/
'di ltar rnal 'byor pa dang grub thob dang / thams cad mkhyen pa'i gnas skabs kyi
spyod pa rnams ma 'dres par blang dor bya'o//*

de ltar yang 'dus 'khor 'grel chen las/

*de'i phyir las dang po pas rnal 'byor pa'i bya ba mi bya'o// rnal 'byor pas
grub pa'i bya ba mi bya'o// grub pas <308v> thams cad mkhyen pa'i bya
ba mi bya'o//*

zhes so//

and since if [a certain action] produces a good quality or anything virtuous, one should practice it because that is the purpose of the training. And thus, it is very important to know without confusion the vital points of what is permitted and prohibited, and what is the general case and what is a special exception.

Furthermore, the Tantric adept who possesses three [vows] should be free from natural sins and has to practice in connection with special occasions, and therefore he should know what is to be accepted or rejected on individual occasions. The beginner mainly guards against that which is to be prevented in the *prātimokṣa*, and after he has obtained stability, from that point on he mainly achieves the purpose of each higher [vow] according to the level of the increasing [realization] in his mind. In this way one should take up or desist from the conducts of the levels of the yogis, the adepts and the omniscient ones without mixing them up. In the same vein it is said in the large commentary on the *Kālacakra*:⁹⁶⁵

"Therefore the beginner should not perform the activities of a yogi, the yogi should not perform the activities of an adept, and the adept should not perform the activities of the Omniscient One."

⁹⁶⁵ A large commentary on the *Kālacakratantra*, the *bsDus pa'i rgyud kyi rgyal po dus kyi 'khor lo'i 'grel bshad rtsa ba'i rgyud kyi rjes su 'jug pa stong phrag bcu gnyis pa dri ma med pa'i 'od* (*Vimalaprabhānānamūlatantrānusāriṇī dvādaśasāhasrikālaghukālacakratantra-rājaṭikā*), P vol. 46. no. 2064. The same passage is also quoted by rDo-rje-shes-rab, *dGongs gcig*, vol. 2, fol. 329. For a Sanskrit edition, see *Śrīpuṇḍarīka*, p. 75, l. 25-26: *tasmādādīkarmikeṇa yogikṛtyaṃ na kartavyam, yoginā siddhakṛtyaṃ na kartavyam, siddhena sarvajñakṛtyaṃ na kartavyam*.

Outline of Go-rams-pa's *General Topics*

1. bstan bcos 'di gang zag gis brtsams pa - 1v
2. dgos pa ci'i phyir brtsams pa - 2v
3. de ltar brtsams pa'i brjod bya gtan la dbab pa - 3v
3. de ltar brtsams pa'i brjod bya gtan la dbab pa - 3v
- 3.1. bstan pa'i nyams len thams cad sdom gsum gyi nyams len du 'dus par bstan pa - 4r
- 3.2. de gzhung gis ji ltar bstan pa'i tshul - 4v
- 3.3. bstan bya sdom gsum gyi mtha' dpyad pa - 11r
- 3.2. gzhung 'dir ji ltar bstan pa'i tshul - 4v
- 3.2.1. skabs dang po so sor thar pa bstan tshul - 4v
- 3.2.2. skabs gnyis par byang sems kyi sdom pa'i nyams len ston tshul - 5v
- 3.2.3. skabs gsum par sngags sdom gyi nyams len bstan pa'i tshul - 6r
- 3.3. bstan bya sdom gsum gyi mtha' dpyad pa - 11r
- 3.3.1. sdom pa spyi'i rnam bzhag - 11r
- 3.3.2. skabs su babs pa'i sdom gsum ngos bzung pa - 13v
- 3.3.3. [gsum pa] de nyid kyi don gtan la dbab pa - 14v
- 3.3.1. sdom pa spyi'i rnam bzhag - 11r
- 3.3.1.1. sdom pa gsum gyi rnam grangs - 11r
- 3.3.1.2. sdom pa gnyis kyi rnam grangs - 12v
- 3.3.1.3. sdom pa gcig tu thams cad 'dus pa'i tshul - 13r
- 3.3.1.1. sdom pa gsum gyi rnam grangs - 11r
- 3.3.1.1.1. sde snod spyi la grags pa'i sdom gsum - 11r

(*so thar bsam gtan zag med kyi sdom pa*)

3.3.1.1.2. nyan thos kyi sde snod la grags pa'i sdom gsum - 11v

(*bslab tshigs gsum, lus ngag yid gsum gyis sdom pa*)

3.3.1.1.3. theg chen gyi sde snod la grags pa'i sdom gsum - 11v

(*nyes spyod spong ba'i - dge ba chos sdud kyi - sems can don byed kyi tshul khirms*)

3.3.1.1.4. gsang sngags kyi rgyud sde la grags pa'i sdom gsum - 12r

(*sems bskyed kyi sdom pa, bskyed rim gyi sdom pa, rdzogs rim gyi sdom pa; sku gsung thugs kyi sdom pa; so thar byang sems rig pa 'dzin pa'i sdom pa*)

3.3.1.2. sdom pa gnyis kyi rnam grangs - 12v

(*thun mong dang thun mong ma yin, bskyed rim dang rdzogs rim, phyi dang nang gi sdom pa*)

3.3.1.3. sdom pa gcig tu thams cad 'dus pa'i tshul - 13r⁹⁶⁶

(*sher phyin gyi bslab par bslab pa thams cad 'dus pa*)

3.3.2. skabs su babs pa'i sdom gsum ngos bzung pa - 13v

(*so thar byang sems rig pa 'dzin pa'i sdom pa gsum; bla na med pa'i byang chub sgrub pa la gtso bor sngags sdom dgos kyang de'i rten du yang byang sems dang so thar gnyis nges par dgos;*

3.3.3. gsum pa de nyid kyi don gtan la dbab pa - 14v

3.3.3.1. sdom pa gsum gyi ngo bo ngos bzung ba - 14v

3.3.3.2. ma thob pa thob par byed pa'i cho ga - 23r

3.3.3.3. thob pa mi nyams par bsrung ba'i bslab bya - 40v

3.3.3.4. nyams na phyir bcos pa'i tshul - 54 r

3.3.3.5. sdom pa gnas gtong gi tshul dpyad pa - 57r

3.3.3.6. gsum ldan gyi gang zag gis sdom gsum nyams su len pa'i tshul

3.3.3.1. sdom pa gsum gyi ngo bo ngos bzung ba - 14v

3.3.3.1.1. sdom gsum so so'i ngo bo - 14v

3.3.3.1.2. re re yang lnga lngas gtan la dbab pa'i tshul - 22r

3.3.3.1.1. sdom gsum so so'i ngo bo - 14v

3.3.3.1.1.1. so thar - 14v

3.3.3.1.1.2. [byang sems kyi sdom pa'i ngo bo] - 19r

3.3.3.1.1.3. [rig 'dzin gyi sdom pa'i ngo bo] - 20r

⁹⁶⁶ The text has mistakenly *gnyis pa ni*, read: *gsum pa ni*.

3.3.3.1.1.1. so thar - 14v

3.3.3.1.1.1.1. gzhan lugs dgag pa - 15r

(bye brag tu smra ba'i lugs; bod kha cig [dGa'-gdong-pa] theg pa chen po'i skabs su yang bye brag smra ba'i bzhin du ... 'di ni yang dag pa ma yin te ...)

3.3.3.1.1.1.2. rang lugs gzhas pa - 16v

(so sor thar pa'i sdom pa'i ngo bo ni nges 'byung gi bsam pas kun nas blangs tel gzhan gnod gzhir bcas spong ba'i sams pa mtshungs ldan dang bcas pa'o/ ...)

1. so thar la dbye na nyan thos kyi so thar dang - 18r

2. theg chen gyi so thar gnyis - 18r

2.1. cho ga nyan thos dang thun mong ba'i theg chen gyi so thar - 18v

2.2. cho ga thun mong ma yin pa'i theg chen gyi so thar - 18v

2.2.1. sngon gyi cho ga las thob pa - 18v

2.2.2. da ltar gyi cho ga las thob pa - 18v

2.3. cho ga gong ma dang thun mong ba'i theg chen gyi so thar - 18v

3.3.3.1.1.1.3. rtsod pa spong - 18v

(shi 'phos nas kyang rjes su 'brang ba?)

3.3.3.1.1.2. byang sams kyi sdom pa'i ngo bo - 19r

(spyi'i mtshan nyid/ rab tu dbye ba/ bslab par bya ba/; smon 'jug gnyis; so thar dang cho ga thun mong pa'i byang sams kyi sdom pa/ cho ga thun mong ma yin pa'i byang sams kyi sdom pa/ dbang bskur dang cho ga thun mong pa'i byang sams kyi sdom pa/; dbu sams kyi cho ga...; dbang bskur sta gon...;)

3.3.3.1.1.3. rig 'dzin gyi sdom pa'i ngo bo - 20r

(sdom pa'i ngo bo; rgyud sde 'og ma gsum la mtshan bcas kyi rnal 'byor ni lha'i rnam pas byin gyis brlab pa dang / mtshan med kyi rnal 'byor ni ye shes kyi byin gyis brlab pa; bla med bskyed rim ni lha'i rnam pas ... rdzogs rim ni ye shes kyi byin gyis brlab pa)

3.3.3.1.1.3.1. sngags sdom gyi mtshan nyid - 20v

3.3.3.1.1.3.2. sngags sdom gyi dbye ba - 21r

3.3.3.1.1.3.1. sngags sdom gyi mtshan nyid - 20v

(yid mtshan rtog las skyob pa'i thabs khyad par can gang zhig mi mthun phyogs spong ba'i sams pa mtshungs ldan dang bcas pa)

3.3.3.1.1.3.1.1. gang skyob pa - 21r

3.3.3.1.1.3.1.2. gang las skyob pa - 21r

3.3.3.1.1.3.1.3. gang gis skyob pa - 21r

3.3.3.1.1.3.2. sngags sdom gyi dbye ba - 21r

3.3.3.1.1.3.2.1. brjod bya don gyi rgyud kyi sgo nas dbye ba - 21r

3.3.3.1.1.3.2.2. brjod byed rgyud sde bzhi'i sgo nas dbye ba - 21v

3.3.3.1.1.3.2.3. gang las thob pa'i cho ga'i sgo nas dbye ba - 21v

3.3.3.1.1.3.2.1. brjod bya don gyi rgyud kyi sgo nas dbye ba - 21r

3.3.3.1.1.3.2.1.1. rgyu rgyud kyi sngags sdom - 21v

3.3.3.1.1.3.2.1.2. thabs rgyud kyi sngags sdom - 21v

3.3.3.1.1.3.2.1.3. 'bras rgyud kyi sngags sdom - 21v

3.3.3.1.1.3.2.2. rjod byed rgyud sde bzhi'i sgo nas dbye ba - 21v

(*bya rgyud kyi/ spyod rgyud kyi/ mal 'byor rgyud kyi/ bla med kyi sngags sdom*)

3.3.3.1.1.3.2.3. gang las thob pa'i cho ga'i sgo nas dbye ba - 21v

3.3.3.1.1.3.2.3.1. sta gon gyi skabs su thob pa - 22r

3.3.3.1.1.3.2.3.2. 'jug pa'i skabs su thob pa - 22r

3.3.3.1.1.3.2.3.3. dngos gzhi'i skabs su thob pa - 22r

3.3.3.1.2. re re yang lnga lngas gtan la dbab pa'i tshul - 22r

(*re re yang = so thar/ byang sems/ bskyed rim/ rdzogs rim gyi sdom pa; lnga lngas = sdom pa'i gnas/ grangs ji snyed cig sdom pa/ spang bya gang sdom pa/ thabs gang gis sdom pa/ sdom pa rang gi ngo bo*)

3.3.3.2. ma thob pa thob par byed pa'i cho ga - 23r

3.3.3.2.1. len pa'i cho ga - 23r

3.3.3.2.2. blang ba'i yul - 37r

3.3.3.2.3. rten gyi gang zag - 38v

3.3.3.2.1. len pa'i cho ga - 23r

3.3.3.2.1.1. [so thar] - 23r

3.3.3.2.1.2. [byang sems] - 26r

3.3.3.2.1.3. [sngags sdom] 28r

3.3.3.2.1.1. so thar - 23r

3.3.3.2.1.1.1. nyan thos kyi so thar gyi cho ga - 23r

3.3.3.2.1.1.2. theg chen gyi so thar gyi cho ga - 25v

3.3.3.2.1.1.1. nyan thos kyi so thar gyi cho ga - 23r

3.3.3.2.1.1.1.1. bsnyen gnas len pa'i cho ga - 23r

3.3.3.2.1.1.1.2. skyes pa'i bslab tshigs gsum len pa'i cho ga - 23v

3.3.3.2.1.1.1.3. de bud med kyi bslab tshigs bzhi la sbyar ba - 25r

- 3.3.3.2.1.1.2. theg chen gyi so thar gyi cho ga - 25v
- 3.3.3.2.1.2. byang sems kyi sdom pa len pa'i cho ga - 26r
- 3.3.3.2.1.2.1. dbu ma lugs - 26r
- 3.3.3.2.1.2.1. sems tsam lugs - 26r
- 3.3.3.2.1.2.1. dbu ma lugs - 26r
('jam pa'i dbyangs, klu sgrub, zhi ba lha, punya śrī, sa skya pa yab sras)
- 3.3.3.2.1.2.1. sems tsam lugs - 26r
(byams pa, thogs med, tsantra go mi, a ti sha)
(lugs gnyis po la khyad par ci yod ce na ...) - 26r
- 3.3.3.2.1.3. sngags sdom len pa'i dbang bskur gyi cho ga 28r
- 3.3.3.2.1.3.1. gang du bskur ba'i dkyil 'khor - 28r
- 3.3.3.2.1.3.2. ji ltar bskur ba'i cho ga - 29v
- 3.3.3.2.1.3.3. de ltar bskur ba'i dgos pa - 32r
- 3.3.3.2.1.3.4. de las sngags sdom thob pa'i dus - 34v
- 3.3.3.2.1.3.1. gang du bskur ba'i dkyil 'khor - 28r
- 3.3.3.2.1.3.1.1. ye shes kyi dkyil 'khor - 28r
- 3.3.3.2.1.3.1.2. sprul pa'i dkyil 'khor - 28r
- 3.3.3.2.1.3.1.3. ting nge 'dzin gyi dkyil 'khor - 28r
- 3.3.3.2.1.3.1.4. rdul tshon gyi dkyil 'khor - 29r
- 3.3.3.2.1.3.1.5. ras bris kyi dkyil 'khor - 29r
- 3.3.3.2.1.3.2. ji ltar bskur ba'i cho ga - 29v
- 3.3.3.2.1.3.2.1. nub dang po'i sa'i cho ga(?) - 29v
- 3.3.3.2.1.3.2.2. sta gon gyi cho ga - 30r
- 3.3.3.2.1.3.2.3. dngos gzhi'i cho ga - 30r
- 3.3.3.2.1.3.2.3. dngos gzhi'i cho ga - 30r
- 3.3.3.2.1.3.2.3.1. dkyil 'khor bri zhing rgyan dkram pa - 30r
- 3.3.3.2.1.3.2.3.2. sgrub cing mchod pa - 30r
- 3.3.3.2.1.3.2.3.3. bdag nyid 'jug cing dbang blang ba - 30r
- 3.3.3.2.1.3.2.3.4. slob ma 'jug cing dbang bskur ba - 30v
- 3.3.3.2.1.3.2.3.1. dkyil 'khor bri zhing rgyan dkram pa - 30r
- 3.3.3.2.1.3.2.3.1.1. thig gis bri ba - 30r
- 3.3.3.2.1.3.2.3.1.2. tshon gyis bri ba - 30r
- 3.3.3.2.1.3.2.3.2. sgrub cing mchod pa - 30r

(sgrub pa'i tshul gnyis, phyi nang gsang de kho na nyid)

3.3.3.2.1.3.2.3.3. bdag nyid 'jug cing dbang blang ba - 30r

3.3.3.2.1.3.2.3.4. slob ma 'jug cing dbang bskur ba - 30v

3.3.3.2.1.3.2.3.4.1. dbang bcu bzhir sbye ba - 30v

3.3.3.2.1.3.2.3.4.2. de bcu gcig tu bsdu pa - 31r

3.3.3.2.1.3.2.3.4.3. de bzhir bsdu ba - 32r

3.3.3.2.1.3.3. de ltar bskur ba'i dgos pa - 32r

3.3.3.2.1.3.3.1. dbang ma bskur ba'i nyes dmigs - 32r

3.3.3.2.1.3.3.2. dbang bskur ba'i phan yon - 33r

3.3.3.2.1.3.4. de las sngags sdom thob pa'i dus - 34v

3.3.3.2.1.3.4.1. mi 'thad pa'i phyogs dgag pa - 34v

*(sta gon 'am 'jug pa'i chos kyi gnas skabs su thob pa, de ni mi 'thad, dngos gzhi'i
cho ga la dgos pa med par thal ba'i phyir ro)*

3.3.3.2.1.3.2.2. 'thad pa'i phyogs rnam par bzhag pa - 34v

3.3.3.2.1.3.2.2.1. sngags sdom la khas blangs kyis thob pa - 34v

3.3.3.2.1.3.2.2.2. cho gas thob pa - 35r

3.3.3.2.2. blang ba'i yul - 37r

3.3.3.2.2.1. so thar blang ba'i yul - 37r

3.3.3.2.2.2. theg chen so thar [blang ba'i yul] - 37v

3.3.3.2.2.3. sngags sdom blang ba'i yul - 38r

3.3.3.2.2.2. theg chen so thar [blan ba'i yul] - 37v

3.3.3.2.2.2.1. cho ga thun mong ba blang ba'i yul - 37v

3.3.3.2.2.2. byang sems kyi sdom pa blang ba'i yul - 37v

(dbu ma ltar, sems tsam pa pa ltar; blang ba'i yul gyi mtshan nyid)

3.3.3.2.2.3. sngags sdom blang ba'i yul - 38r

3.3.3.2.3. gang gis blang ba'i rten [rten gyi gang zag] - 38v

3.3.3.2.3.1. so thar gyi rten - 38v

3.3.3.2.3.2. byang sems kyi sdom pa'i rten - 39r

3.3.3.2.3.3. sngags sdom gyi rten - 40r

3.3.3.2.3.1. so thar gyi rten - 38v

- 3.3.3.2.3.1.1. nyan thos so thar gyi rten - 38v
(*bye brag smra ba, mdo sde pa*)
- 3.3.3.2.3.1.2. theg chen so thar gyi rten - 39r
- 3.3.3.2.3.2. byang sems kyi sdom pa'i rten - 39r
- 3.3.3.2.3.2.1. dbu ma lugs kyi sems bskyed - 39r
- 3.3.3.2.3.2.2. sems tsam lugs kyi sems bskyed skye ba'i rten - 39v
- 3.3.3.2.3.3. sngags sdom gyi rten - 40r
- 3.3.3.2.3.3.1. skal dman rim 'jug pa - 40r
- 3.3.3.2.3.3.2. skal ldan gcig char ba - 40v
- 3.3.3.3. thob pa mi nyams par bsrung ba'i bslab bya - 40v
- 3.3.3.3.1. bslab bya so sor bshad pa - 41r
- 3.3.3.3.2. [bslab bya] phan tshun [nang] 'gal na ji ltar bsgrub pa['i tshul] - 53v
- 3.3.3.3.1. bslab bya so sor bshad pa - 41r
- 3.3.3.3.1.1. so thar gyi bslab bya - 41r
- 3.3.3.3.1.2. byang sems kyi sdom pa'i bslab bya - 42v
- 3.3.3.3.1.3. sngags sdom gyi bslab bya - 48v
- 3.3.3.3.1.1.1. so thar gyi bslab bya - 41r
- 3.3.3.3.1.1.1. nyan thos so thar gyi bslab bya - 41r
(*bsnyen gnas, dge bsnyen, dge tshul, dge slong*)
- 3.3.3.3.1.1.2. theg chen so thar gyi bslab bya - 42v
- 3.3.3.3.1.2. byang sems kyi sdom pa'i bslab bya - 42v
- 3.3.3.3.1.2.1. dbu sems kyi bslab bya so sor bshad pa - 43r
- 3.3.3.3.1.2.2. rtso ltung byung bas sdom pa gtong mi gtong dpyad pa - 46v
- 3.3.3.3.1.2.1.1. dbu sems kyi bslab bya so sor bshad pa - 43r
- 3.3.3.3.1.2.1.1.1. dbu ma'i lugs kyi bslab bya - 43r
- 3.3.3.3.1.2.1.1.2. dbang 'bring la bzhir bstan pa - 44r
- 3.3.3.3.1.2.1.1.3. dbang rtul la gcig tu bstan pa - 44r
- 3.3.3.3.1.2.1.2. sems tsam lugs kyi bslab bya - 44v

- 3.3.3.3.1.2.1.2.1. pham 'dra'i nyes byas bzhi spong ba - 44v
- 3.3.3.3.1.2.1.2.2. yan lag gi nyes byas bzhi bcu zhe drug spong ba - 45r
- 3.3.3.3.1.2.2. rtسا ltung byung bas sdom pa gtong mi gtong dpyad pa - 46v
(chags lo tsā ba'i dris len la lugs gnyis; theg chen la'ang gnyis, sems tsam dang dbu ma'i lugs)
- 3.3.3.3.1.3. sngags sdom gyi bslab bya - 48v
- 3.3.3.3.1.3.1. bya rgyud kyi bslab bya - 48v
- 3.3.3.3.1.3.2. spyod rgyud kyi bslab bya - 49v
- 3.3.3.3.1.3.3. rnal 'byor rgyud kyi bslab bya - 49v
(yo ga'i dam tshig la khas blangs kyis thob pa dang / cho gas thob pa; rigs lnga spyi'i dam tshig dang [- 50r]/ so so'i dam tshig dang [- 50v]/ sdom pa mdor bsdu [- 50v]; cho gas thob pa [- 50v]; rtسا ba'i ltung ba dang po ... bcu gcig pa [- 51v-52v])
- 3.3.3.3.1.3.4. bla med rgyud kyi bslab bya - 52v
(rtسا ltung bcu bzhi, yan lag brgyad)
- 3.3.3.3.2. bslab bya phan tshun nang 'gal na ji ltar bsgrub pa'i tshul - 53v
- 3.3.3.4. nyams na phyir bcos pa'i tshul - 54 r
- 3.3.3.4.1. so thar gyi sdom pa phyir bcos pa'i tshul - 54r
- 3.3.3.4.2. byang sems kyi sdom pa phyir bcos pa'i tshul - 54v
- 3.3.3.4.3. sngags kyi sdom [nyams] pa phyir bcos pa'i tshul - 56r
- 3.3.3.4.2. byang sems kyi sdom pa phyir bcos pa'i tshul - 54v
- 3.3.3.4.2.1. dbu ma lugs kyi phyir bcos pa - 54v
- 3.3.3.4.2.2. sems tsam lugs kyi phyir bcos pa - 55v
- 3.3.3.4.2.1. dbu ma lugs kyi phyir bcos pa - 54v
- 3.3.3.4.2.1.1. rtسا ba'i ltung ba phyir bcos pa'i tshul - 54v
- 3.3.3.4.2.1.2. ltung ba'i lhag ma zhi bar byed pa'i thabs - 55v
- 3.3.3.4.2.2. sems tsam lugs kyi phyir bcos pa - 55v
- 3.3.3.4.3. sngags kyi sdom [nyams] pa phyir bcos pa'i tshul - 56r
- 3.3.3.4.3.1. yan lag tshang ba'i rtسا ba'i ltung ba byung na sdom pa yang dag par blang ba - 56r
- 3.3.3.4.3.2. yan lag ma tshang ba'i rtسا ltung byung na ltung ba las ldang ba'i thabs - 56v

3.3.3.5. sdom pa gnas gtong gi tshul dpyad pa - 57r

3.3.3.5.1. gnas pa'i tshe gcig dang tha dad gang du gn

3.3.3.5.2. gtong ba'i tshe rim dang gcig char gang du §

3.3.3.5.1. gnas pa'i tshe gcig dang tha dad gang du gn

3.3.3.5.1.1. [gnas pa'i tshe gcig dang tha dad gang du

3.3.3.5.1.2. [rang lugs] bzhag pa

3.3.3.5.1.3. [rtsod pa] spang ba

3.3.3.5.1.1. gnas pa'i tshe gcig dang tha dad gang du §

3.3.3.5.1.1.1. nyi zla skar gsum gyi dpes 'og ma bag la r

3.3.3.5.1.1.2. skyes bu'i rgyan gyi dpes dus mnyam rc

3.3.3.5.1.1.3. chu gzhong du nor bu bzhag pa'i dpes r

'dod pa dgag - 67r

3.3.3.5.1.1.4. gzhan lugs la rang lugs su 'khrul nas gnas
pa

3.3.3.5.1.1.1. nyi zla skar gsum gyi dpes 'og ma bag la
57r

3.3.3.5.1.1.1.1. gzhan gyi gzhung dgod pa - 57v
(sdom pa gsum 'od kyi phreng ba)

3.3.3.5.1.1.1.2. de'i don bshad pa - 60v

3.3.3.5.1.1.1.3. mi 'thad pa'i cha dgag pa - 62v

3.3.3.5.1.1.1.1. gzhan gyi gzhung dgod pa - 57v

3.3.3.5.1.1.1.2. [de'i don bshad] - 60v

3.3.3.5.1.1.1.2.1. rtsom pa la 'jug pa klad kyi don - 60

3.3.3.5.1.1.1.2.2. brtsam par bya ba gzhung gi don - 6

3.3.3.5.1.1.1.2.3. rtsom pa mthar phyin pa mjug gi do

3.3.3.5.1.1.1.2.1. rtsom pa la 'jug pa klad kyi don - 60

3.3.3.5.1.1.1.2.2. brtsam par bya ba gzhung gi don - 6

3.3.3.5.1.1.1.2.2.1. thob gnas gtong tshul gsum gyi sgc
gtan la dbab pa - 61r

3.3.3.5.1.1.1.2.2.2. rgyud bzhi'i don bshad pa'i sgo nas

3.3.3.5.1.1.1.2.2.3. 'di phyi'i nyes dmigs gnyis kyi sg

3.3.3.5.1.1.1.2.2.4. gnas skabs dang mthar thug gi phan
phan yon bstan pa - 62v

3.3.3.5.1.1.1.2.2.1. thob gnas gtong tshul gsum gyi sgo nas sdom pa gsum gyi rang bzhin
gtan la dbab pa - 61r

3.3.3.5.1.1.1.2.2.1.1. so thar gyi thob gnas gtong tshul - 61r

3.3.3.5.1.1.1.2.2.1.2. byang sems kyi sdom pa'i thob gnas gtong tshul - 61r

3.3.3.5.1.1.1.2.2.1.3. sngags sdom gyi thob gnas gtong tshul - 61v

3.3.3.5.1.1.1.2.2.2. rgyud bzhi'i don bshad pa'i sgo nas gsum ldan gyi tshul dpyad pa - 61v

3.3.3.5.1.1.1.2.2.2.1. rgyud bzhi las gsum ldan gsungs pa'i tshul - 61v

3.3.3.5.1.1.1.2.2.2.2. gzhan gyi rgyud don 'chad pa dgag pa - 61v

3.3.3.5.1.1.1.2.2.2.3. rang lugs kyi gsum ldan gyi tshul bshad pa - 61v

3.3.3.5.1.1.1.2.2.2.4. gsum ldan gyi 'dod tshul gzhan dgag pa - 62r

3.3.3.5.1.1.1.2.2.2.4. gsum ldan gyi 'dod tshul gzhan dgag pa - 62r

3.3.3.5.1.1.1.2.2.2.4.1. rten dang brten par 'dod pa'i 'khrul gzhi/ de dang 'gal bar bstan pa
- 62r

3.3.3.5.1.1.1.2.2.2.4.2. sngags sdom kho na la gsum ldan sbyor ba dgag pa - 62v

3.3.3.5.1.1.1.2.2.2.4.3. sngags sdom kho na la gsum ldan bsngags pa'i dgongs pa bstan pa -
62v

3.3.3.5.1.1.1.2.2.3. 'di phyi'i nyes dmigs gnyis kyi sgo nas nyes dmigs bstan pa - 62v

3.3.3.5.1.1.1.2.2.4. gnas skabs dang mthar thug gi phan yon gnyis kyi sgo nas bsrungs pa'i
phan yon bstan pa - 62v

3.3.3.5.1.1.1.2.3. rtsom pa mthar phyin pa mjug gi don - 62v

3.3.3.5.1.1.1.3. mi 'thad pa'i cha dgag pa - 62v

3.3.3.5.1.1.2. skyes bu'i rgyan gyi dpes dus mnyam rdzas tha dad du 'dod pa dgag - 66r

3.3.3.5.1.1.2.1. 'dod pa brjod pa - 66r

3.3.3.5.1.1.2.2. de dgag pa - 66v

3.3.3.5.1.1.3. chu gzhong du nor bu bzhag pa'i dpes rten dang brten pa rdzas tha dad du
'dod pa dgag 67r

3.3.3.5.1.1.4. gzhan lugs la rang lugs su 'khrul nas gnas 'gyur rdzas gzhan du 'dod pa dgag
pa - 68r

3.3.3.5.1.1.4.1. 'dod pa brjod pa - 68r

3.3.3.5.1.1.4.2. de dgag pa - 69r

3.3.3.5.1.2. rang lugs bzhag pa - 70v

3.3.3.5.1.2.1. bshad bya rdo rje'i tshig 'god pa - 70v

3.3.3.5.1.2.2. de'i don tshul bzhin du bshad pa - 72r

3.3.3.5.1.2.2. de'i don tshul bzhin du bshad pa - 72r

3.3.3.5.1.2.2.1. rim gyis blangs pa'i tshe gnas 'gyur ba

3.3.3.5.1.2.2.2. gsum char ldan pa'i tshe ngo bo gcig r

3.3.3.5.1.3. rtsod pa spang ba - 75v

3.3.3.5.2. gtong ba'i tshe rim dang gcig char gang du g

3.3.3.5.2.1. sdom pa gsum rim can du blangs pa'i dge s
tshul - 77v

3.3.3.5.2.2. dbang bskur gyi cho ga kho na las sdom pa
78v

3.3.3.5.2.1. sdom pa gsum rim can du blangs pa'i dge s
tshul - 77v

3.3.3.5.2.1.1. dge slong gi sdom pa btang nas gong ma

3.3.3.5.2.1.2. gong ma gnyis btang nas dge slong gi sd

3.3.3.5.2.1.3. dge slong gi sdom pa dang sngags sdom gn
pa mi btang ba - 78r

3.3.3.5.2.1.4. sngags sdom btang nas 'og ma gnyis mi

3.3.3.5.2.1.5. gsum char dus mnyam du btang ba - 78r

3.3.3.5.2.2. dbang bskur gyi cho ga kho na las sdom pa
78v

3.3.3.6. gsum ldan gyi gang zag gis sdom gsum nyams

3.3.3.6.1. so so'i bslab bya la slob tshul - 79v

3.3.3.6.2. re re yang gsum ldan du nyams su len pa'i t

Summary - 81v

Prayer - 82r

Colophon - 82r

Outline of Go-rams-pa's *Detailed Exposition*
(Including a Verse-Concordance to the *sDom gsum rab dbye*)

1. bstan bcos brtsom pa po'i che ba'i yon tan 2r
2. des bstan bcos ji ltar brtsams pa'i tshul 22r
- 2.1./2.2. dngos dang zhar la gzhung ji ltar 'chad pa'i tshul 22r
3. brtsam bya bstan bcos kyi brjod bya gtan la dbab pa 22v
- 3.1. brjod bya bde blag tu rtogs pa'i ched du mtshan gyi don 23r
- 3.2. bstan pa rnam dag tu bsgrub pa'i ched du gzhung gi don
- 3.2.1. bshad pa la 'jug pa'i yan lag
- 3.2.1.1. mchod par brjod pa (1-3) 23r-v
- 3.2.1.2. rtam par dam bca ba 23v
- 3.2.2. bshad pa rang gi ngo bo
- 3.2.2.1. don la 'khrul pa dgag pa
- 3.2.2.1.1. brjod bya'i gtso bo ngos bzung ba'i sgo nas lus mdor bstan 24r
- 3.2.2.1.2. sdom gsum gyi nyams len zhib tu bstan pa'i sgo nas yan lag rgyas par bshad
- 3.2.2.1.2.1.1 dngos (2. de la rtsod pa spang ba⁹⁶⁷)
- 3.2.2.1.2.1.1.1. so thar gyi nyams len bshad pa
- 3.2.2.1.2.1.1.1.1. brjod bya'i gtso bo so thar gyi rnam bzhag
- 3.2.2.1.2.1.1.1.1.1. dbye ba'i sgo nas mdor bstan 24r, 1.1.
- 3.2.2.1.2.1.1.1.2. so so'i rang bzhin rgyas par bshad
- 3.2.2.1.2.1.1.1.2.1. nyan thos kyi so thar
- 3.2.2.1.2.1.1.1.2.1.1. ris bdun gyi dus kyi rnam bzhag
- 3.2.2.1.2.1.1.1.2.1.1.1. rnam bzhag spyir bstan
- 3.2.2.1.2.1.1.1.2.1.1.1.1. dam bca' 'god pa 24v, 1.2-3
- 3.2.2.1.2.1.1.1.2.1.1.1.2. sgrub byed bshad pa
- 3.2.2.1.2.1.1.1.2.1.1.1.2.1. ris bdun 'chi 'pho ba'i tshe gtong ba'i sgrub byed 24v, 1.4-5
- 3.2.2.1.2.1.1.1.2.1.1.1.2.2. de'i yan lag tu sde snod kyi rnam dbye bstan pa 25r, 1.6
- 3.2.2.1.2.1.1.1.2.1.1.2. dus la log rtog dgag pa
- 3.2.2.1.2.1.1.1.2.1.1.2.1. phyogs snga ma brjod pa 25v, 1.7
- 3.2.2.1.2.1.1.1.2.1.1.2.2. de dgag pa
- 3.2.2.1.2.1.1.1.2.1.1.2.2.1. sgrub byed med pas mdor bstan 25v, 1.8
- 3.2.2.1.2.1.1.1.2.1.1.2.2.2. gnod byed yod pas rgyas par bshad
- 3.2.2.1.2.1.1.1.2.1.1.2.2.2.1. gnod byed kyi rigs pa dngos 25v, 1.9-10
- 3.2.2.1.2.1.1.1.2.1.1.2.2.2.2. de'i nyes spong gi lan dgag pa
- 3.2.2.1.2.1.1.1.2.1.1.2.2.2.2.1. nyes spong brjod pa 25v, 1.11
- 3.2.2.1.2.1.1.1.2.1.1.2.2.2.2.2. de dgag pa

⁹⁶⁷ See below, p. 523, 3.2.2.1.2.2. (f. 142v).

- 3.2.2.1.2.1.1.1.2.1.1.2.2.2.2.1. gtong rgyu gzhan yang mtshungs par thal ba 25v, 1.12
- 3.2.2.1.2.1.1.1.2.1.1.2.2.2.2.2. 'dod pa la gnod pa bstan pa 25v, 1.13
- 3.2.2.1.2.1.1.1.2.1.1.2.2.2.2.3. rtsa ba'i nyes spang la gnod pa bstan pa 26r, 1.14-16
- 3.2.2.1.2.1.1.1.2.1.1.2.2.2.2.4. bsnyen gnas la'ang mtshungs par thal ba 26r, 1.17
- 3.2.2.1.2.1.1.1.2.1.1.2.2.3. smad pa'i gnas su bstan pas mjug bsdu ba 26r, 1.18
- 3.2.2.1.2.1.1.1.2.1.2. bsnyen gnas bye brag tu bshad pa
- 3.2.2.1.2.1.1.1.2.1.2.1. rnam gzhas spyir bstan pa
- 3.2.2.1.2.1.1.1.2.1.2.1.1. nyan thos sde gnyis kyi bsnyen gnas kyi khyad par 26r, 1.19-20
- 3.2.2.1.2.1.1.1.2.1.2.1.2. theg pa che chung gi bsnyen gnas kyi khyad par 26v, 1.21-22
- 3.2.2.1.2.1.1.1.2.1.2.2. log rtog bye brag tu dgag pa
- 3.2.2.1.2.1.1.1.2.1.2.2.1. bsnyen gnas 'bul ba dgag pa 26v, 1.23
- 3.2.2.1.2.1.1.1.2.1.2.2.2. bcol pa dgag pa 27r, 1.25
- 3.2.2.1.2.1.1.1.2.1.2.2.3. lha sgom tha dad dgag pa
- 3.2.2.1.2.1.1.1.2.1.2.2.3.1. 'dod pa brjod pa 27r, 1.26
- 3.2.2.1.2.1.1.1.2.1.2.2.3.2. de dgag pa 27r, 1.27-28
- 3.2.2.1.2.1.1.1.2.2. theg chen gyi so thar
- 3.2.2.1.2.1.1.1.2.2.1. nyan par gdams pa 1.29
- 3.2.2.1.2.1.1.1.2.2.2. don dngos
- 3.2.2.1.2.1.1.1.2.2.2.1. len pa'i cho ga
- 3.2.2.1.2.1.1.1.2.2.2.1.1. cho ga mthun mong ma yin pa
- 3.2.2.1.2.1.1.1.2.2.2.1.1.1. da ltar gyi cho ga
- 3.2.2.1.2.1.1.1.2.2.2.1.1.1.1. cho ga nub pa 27v, 1.30
- 3.2.2.1.2.1.1.1.2.2.2.1.1.1.2. cho ga ma nub pa 27v, 1.31
- 3.2.2.1.2.1.1.1.2.2.2.1.1.2. sngon gyi cho ga 28r, 1.32
- 3.2.2.1.2.1.1.1.2.2.2.1.2. cho ga mthun mong ba 28r, 1.34
- 3.2.2.1.2.1.1.1.2.2.2.2. bslab bya'i khyad par
- 3.2.2.1.2.1.1.1.2.2.2.2.1. nyan par gdams pa 28r, 1.35
- 3.2.2.1.2.1.1.1.2.2.2.2.2. khyad par so sor bshad pa 28r, 1.36-39
- 3.2.2.1.2.1.1.1.2.2.2.3. gtong ba'i tshul 29v, 1.40
- 3.2.2.1.2.1.1.1.3. gdams pa'i sgo nas mjug bsdu ba 29r,
- 3.2.2.1.2.1.1.2.⁹⁶⁸ bslab bya'i rang bzhin las 'bras kyi rnam bzhas
- 3.2.2.1.2.1.1.2.1. las 'bras kyi rnam gzhas spyir bstan
- 3.2.2.1.2.1.1.2.1.1. nyan par gdams pa 29v, 1.41
- 3.2.2.1.2.1.1.2.1.2. dbye ba dngos
- 3.2.2.1.2.1.1.2.1.2.1. gsum du dbye ba
- 3.2.2.1.2.1.1.2.1.2.1.1. dbye ba dngos 29v, 1.42-43
- 3.2.2.1.2.1.1.2.1.2.1.2. dbye ba'i dgos pa 29v, 1.44

⁹⁶⁸ Go-rams-pa's *rNam bshad*, fol. 29r gsum pa(!).

- 3.2.2.1.2.1.1.2.1.2.2. gnyis su dbye ba **29v, 1.45**
 3.2.2.1.2.1.1.2.1.2.3. bzhir dbye ba **30r, 1.46-49**
 3.2.2.1.2.1.1.2.1.2.4. gnyis su dbye ba gzhan bstan pa
 3.2.2.1.2.1.1.2.1.2.5. gsum du dbye ba gzhan bstan pa
 3.2.2.1.2.1.1.2.1.3. mjug bsdu sba **30v, 1.58**
 3.2.2.1.2.1.1.2.2. 'khrul pa dgag pa rgyas pa bshad pa
 3.2.2.1.2.1.1.2.2.1. bsngo ba'i gnad la 'khrul pa dgag
 3.2.2.1.2.1.1.2.2.1.1. bsngo rgyu'i dge rtsa la 'khrul p
 3.2.2.1.2.1.1.2.2.1.1.1. phyogs snga ma brjod pa **30v,**
 3.2.2.1.2.1.1.2.2.1.1.2. de dgag pa
 3.2.2.1.2.1.1.2.2.1.1.2.1. chos dbyings bsngo rgyu'i s
 3.2.2.1.2.1.1.2.2.1.1.2.1.1. lung rigs gnyis kyis dgag
 3.2.2.1.2.1.1.2.2.1.1.2.1.1.1. mdor bstan **31v, 1.62**
 3.2.2.1.2.1.1.2.2.1.1.2.1.2. rgyas par bshad
 3.2.2.1.2.1.1.2.2.1.1.2.1.2.1. yid ches pa'i lung gis
 3.2.2.1.2.1.1.2.2.1.1.2.1.2.1.1. chos dbyings bsngo
 drang ba
 3.2.2.1.2.1.1.2.2.1.1.2.1.2.1.1.1. chos dbyings bsngo
 3.2.2.1.2.1.1.2.2.1.1.2.1.2.1.1.2. chos dbyings la dg
 3.2.2.1.2.1.1.2.2.1.1.2.1.2.1.1.3. zhar la snying po'
 dgag pa **33r, 1.72-74**
 3.2.2.1.2.1.1.2.2.1.1.2.1.2.1.2.2. de bzhin nyid la dge
 3.2.2.1.2.1.1.2.2.1.1.2.1.2.1.2.2.1. lung las ji ltar bsh
 3.2.2.1.2.1.1.2.2.1.1.2.1.2.1.2.2.2. de'i dgongs pa bsl
 3.2.2.1.2.1.1.2.2.1.1.2.1.2.1.2.2.3. de nyid dpe'i sgo
 3.2.2.1.2.1.1.2.2.1.1.2.1.2.1.2.2.4. gzhan du rtogs na
 3.2.2.1.2.1.1.2.2.1.1.2.1.2.1.2.2.5. zhar la gshis kyi d
 3.2.2.1.2.1.1.2.2.1.1.2.1.2.1.2.3. 'khrul gzhi'i lung d
 3.2.2.1.2.1.1.2.2.1.1.2.1.2.1.2.3.1. 'chad tshul mdor l
 3.2.2.1.2.1.1.2.2.1.1.2.1.2.1.2.3.2. gzhan gyi 'chad ts
 3.2.2.1.2.1.1.2.2.1.1.2.1.2.1.2.3.2.1. mdor bstan pa **3**
 3.2.2.1.2.1.1.2.2.1.1.2.1.2.1.2.3.2.2. rgyas par bshad
 3.2.2.1.2.1.1.2.2.1.1.2.1.2.1.2.3.2.2.1. ji snyed kyi s
 3.2.2.1.2.1.1.2.2.1.1.2.1.2.1.2.3.2.2.2. yod ces bya t
1.89-96
 3.2.2.1.2.1.1.2.2.1.1.2.1.2.1.2.3.2.2.3. 'gro kun gyi s
 3.2.2.1.2.1.1.2.2.1.1.2.1.2.1.2.3.3. rang nyid ji ltar 'c

⁹⁶⁹ Here: *log rtog bye brag tu dgag pa*.

- 3.2.2.1.2.1.1.2.2.1.1.2.1.1.2.1.3.3.1. lung don phyin ci ma log par bshad pa 35r, 1.98-100
- 3.2.2.1.2.1.1.2.2.1.1.2.1.1.2.1.3.3.2. de nyid dpe'i sgo nas bsgrub pa 35r, 1.101-102
- 3.2.2.1.2.1.1.2.2.1.1.2.1.1.2.1.3.3.3. lung gi sgo nas bsgrub pa 35r, 1.103
- 3.2.2.1.2.1.1.2.2.1.1.2.1.1.2.2. dngos stobs kyi rigs pas dgag pa 35r, 1.104-108
- 3.2.2.1.2.1.1.2.2.1.1.2.1.1.3. don bsdu ba 35v, 1.109
- 3.2.2.1.2.1.1.2.2.1.1.2.1.2. de'i nyes spong gi lan dgag
- 3.2.2.1.2.1.1.2.2.1.1.2.1.2.1. 'dod pa brjod pa 35v, 1.110
- 3.2.2.1.2.1.1.2.2.1.1.2.1.2.2. de dgag pa
- 3.2.2.1.2.1.1.2.2.1.1.2.1.2.2.1. dmigs bcas kyi bsngo ba dug can du bstan pa 35v, 1.111-112
- 3.2.2.1.2.1.1.2.2.1.1.2.1.2.2.2. dmigs med kyi bsngo ba blo sbyong du bstan pa 36r, 1.113
- 3.2.2.1.2.1.1.2.2.1.1.2.1.2.2.3. dmigs bcas kyi bsngo ba blo sbyong du mi rung ba'i rgyu mtshan 36r, 1.114-117
- 3.2.2.1.2.1.1.2.2.1.1.2.1.2.2.4. dmigs med dmigs par byas na ha cang thal ba 36v, 1.118-119
- 3.2.2.1.2.1.1.2.2.1.1.2.1.3. lung tshig snga phyi 'gal bas dgag pa 36v, 1.120
- 3.2.2.1.2.1.1.2.2.1.1.2.2. chos dbyings las gzhan pa'i khams bsngo rgyu'i snying por 'dod pa dgag pa
- 3.2.2.1.2.1.1.2.2.1.1.2.2.1. bde gshegs snying po'i rnam gzhag gtan la dbab pa
- 3.2.2.1.2.1.1.2.2.1.1.2.2.1.1. bka' dang bstan bcas las ji ltar bshad pa'i tshul 36v
- 3.2.2.1.2.1.1.2.2.1.1.2.2.1.2. bder gshegs snying po rang gi ngo bo ngos bzung ba 38r
- 3.2.2.1.2.1.1.2.2.1.1.2.2.1.3. de nyid grub mtha'i rim pa dang sbyar ba 38v
- 3.2.2.1.2.1.1.2.2.1.1.2.2.1.4. bdag nyid chen po'i dgongs pa dpyad pa 41v
- 3.2.2.1.2.1.1.2.2.1.1.2.2.2. gzhung gi 'gru la sbyar ba
- 3.2.2.1.2.1.1.2.2.1.1.2.2.2.1. phyogs snga ma bkod pa 42r, 1.121
- 3.2.2.1.2.1.1.2.2.1.1.2.2.2.2. de mtha' gsum du brtags pa 42r, 1.122
- 3.2.2.1.2.1.1.2.2.1.1.2.2.2.3. dang po rnam par brtags nas dgag pa 42r, 1.123-127
- 3.2.2.1.2.1.1.2.2.1.1.2.2.2.4. gnyis pa khas blangs 'gal bas dgag pa 42v, 1.128
- 3.2.2.1.2.1.1.2.2.1.1.2.2.2.5. gsum pa ltar na 'dod pa grub pa 42v, 1.129
- 3.2.2.1.2.1.1.2.2.1.1.2.3. chos dbyings la bsngo rgyu'i snying po yin min gyi dbye ba yod pa dgag pa 42v, 1.130-131
- 3.2.2.1.2.1.1.2.2.1.1.2.4. des na spros bral la snying por 'jog pa'i 'thad pa
- 3.2.2.1.2.1.1.2.2.1.1.2.4.1. 'thad pa dngos 43r, 1.132
- 3.2.2.1.2.1.1.2.2.1.1.2.4.2. shes byed kyi lung
- 3.2.2.1.2.1.1.2.2.1.1.2.4.2.1. klu sgrub kyi lung 43r, 1.133
- 3.2.2.1.2.1.1.2.2.1.1.2.4.2.2. byams pa'i lung 43r, 1.134-136
- 3.2.2.1.2.1.1.2.2.1.1.2.4.2.3. brgyad stong pa'i lung khungs 'god pa 43r, 1.137
- 3.2.2.1.2.1.1.2.2.1.1.2.5. snying po bsgrub pa'i tshul gyis yod yod pa drang don du bstan pa
- 3.2.2.1.2.1.1.2.2.1.1.2.5.1. chos gsum gyi sgo nas drang don du gtan la dbab pa 43v,

1.138-140

- 3.2.2.1.2.1.1.2.2.1.1.2.5.2. shes byed kyi lung khungs 'god pa 45r, 1.141-142
- 3.2.2.1.2.1.1.2.2.1.2. bsngo tshul gyi lag len la 'khrul pa dgag pa 46r, 1.143
- 3.2.2.1.2.1.1.2.2.1.3. bsngo ba'i 'bras bu la 'khrul pa dgag pa 46r, 1.144-148
- 3.2.2.1.2.1.1.2.2.1.4. don bsdu te las 'bras kyi gnad bstan pa
- 3.2.2.1.2.1.1.2.2.1.4.1. las 'bras spyi'i gnad bstan pa 46v, 1.149-150
- 3.2.2.1.2.1.1.2.2.1.4.2. theg pa che chung gi las 'bras kyi gnad bstan pa
- 3.2.2.1.2.1.1.2.2.1.4.2.1. mdor bstan pa 46v, 1.151
- 3.2.2.1.2.1.1.2.2.1.4.2.2. rgyas par bshad pa 46v, 1.152-155
- 3.2.2.1.2.1.1.2.2.2. 'bras bu dkar nag zang thal dgag pa
- 3.2.2.1.2.1.1.2.2.2.1. 'dod pa brjod pa 47v, 1.156
- 3.2.2.1.2.1.1.2.2.2.2. de dgag pa
- 3.2.2.1.2.1.1.2.2.2.2.1. dkar nag zang thal drang don du bsgrub pa 47v, 1.157-160
- 3.2.2.1.2.1.1.2.2.2.2.2. nges don yin pa la gnod byed bstan pa
- 3.2.2.1.2.1.1.2.2.2.2.2.1. thal ba gsum dgod pa 51v, 1.161
- 3.2.2.1.2.1.1.2.2.2.2.2.2. phyi ma rgyas par bshad pa
- 3.2.2.1.2.1.1.2.2.2.2.2.2.1. sbrul gzhi ngos bzung ba 51v, 1.162
- 3.2.2.1.2.1.1.2.2.2.2.2.2.2. sbrul pa ngos bzung ba 52r, 1.163
- 3.2.2.1.2.1.1.2.2.2.2.2.2.3. ji ltar sbrul ba'i tshul 52r, 1.164-166
- 3.2.2.1.2.1.1.2.2.2.2.2.2.4. skabs kyi don la sbyar ba 52v, 1.167-169
- 3.2.2.1.2.1.1.2.2.2.2.3. shes byed kyi lung rigs dang sbyar ba 53r, 1.170
- 3.2.2.1.2.1.1.2.2.3. nyams len ye bkag ye gnang dgag pa
- 3.2.2.1.2.1.1.2.2.3.1. 'dod pa brjod pa 54r
- 3.2.2.1.2.1.1.2.2.3.2. de dgag pa
- 3.2.2.1.2.1.1.2.2.3.2.1. gnang bkag tha dad du bstan pa
- 3.2.2.1.2.1.1.2.2.3.2.1.1. dam bca'i sgo nas mdor bstan 54v, 1.171
- 3.2.2.1.2.1.1.2.2.3.2.1.2. 'thad pa'i sgo nas rgyas par bshad
- 3.2.2.1.2.1.1.2.2.3.2.1.2.1. nyan thos nang phan tshun gnang bkag tha dad du bstan pa
- 3.2.2.1.2.1.1.2.2.3.2.1.2.1.1. tha dad du bstan pa dngos 55r, 1.172-175
- 3.2.2.1.2.1.1.2.2.3.2.1.2.1.2. de'i nyes spong gi lan dgag
- 3.2.2.1.2.1.1.2.2.3.2.1.2.1.2.1. gyis pa bco brgyad la bden brdzun gyi dbye ba yod pa'i lan dgag pa 55v, 1.176-177
- 3.2.2.1.2.1.1.2.2.3.2.1.2.2.2. bslob pa shes na gnang bkag gcig tu 'gyur ba'i lan dgag pa 57r, 1.178-183
- 3.2.2.1.2.1.1.2.2.3.2.1.2.1.3. gcig pa la gnod byed bstan pa 57v, 1.184-188
- 3.2.2.1.2.1.1.2.2.3.2.1.2.2. khyim pa dang rab byung gnang bkag tha dad du bstan pa
- 3.2.2.1.2.1.1.2.2.3.2.1.2.2.1. khas blangs brjod pa 57v, 1.189
- 3.2.2.1.2.1.1.2.2.3.2.1.2.2.2. de dgag pa 58r, 1.190-192
- 3.2.2.1.2.1.1.2.2.3.2.1.2.3. theg pa che chung gnang bkag tha dad du bstan pa 58r, 1.193
- 3.2.2.1.2.1.1.2.2.3.2.1.2.4. theg chen nang phan tshun gnang bkag tha dad du bstan pa 61r,

1.194

- 3.2.2.1.2.1.1.2.2.3.2.1.3. dpe'i sgo nas nas(?) don bsdu ba **61r, 1.195-198**
- 3.2.2.1.2.1.1.2.2.3.2.2. de la gnod pa spang ba
- 3.2.2.1.2.1.1.2.2.3.2.2.1. thub pas rab byung la snying nad byas par thal ba spang ba
- 3.2.2.1.2.1.1.2.2.3.2.2.1.1. rtsod pa **61r, 1.199-200**
- 3.2.2.1.2.1.1.2.2.3.2.2.1.2. lan
- 3.2.2.1.2.1.1.2.2.3.2.2.1.2.1. bcas ltung la rang bzhin gyi mi dge ba yod pa dgag pa
- 3.2.2.1.2.1.1.2.2.3.2.2.1.2.1.1. mgo mtshungs kyi rigs pas dgag pa **61v/62r, 1.201-203**
- 3.2.2.1.2.1.1.2.2.3.2.2.1.2.1.2. dngos stobs kyi rigs pas dgag pa **62r, 1.204-205**
- 3.2.2.1.2.1.1.2.2.3.2.2.1.2.1.3. ha cang thal bas dgag pa
- 3.2.2.1.2.1.1.2.2.3.2.2.1.2.1.3.1. rgyal ba sras bcas ltung ba can tu 'gyur ba **62r, 1.206-208**
- 3.2.2.1.2.1.1.2.2.3.2.2.1.2.1.3.2. grub thob brtul zhugs bor ba ltung ba can du thal ba **62r, 1.209**
- 3.2.2.1.2.1.1.2.2.3.2.2.1.2.1.3.3. lhas lhas kyi dge slong ltung ba can du thal ba **62r, 1.210**
- 3.2.2.1.2.1.1.2.2.3.2.2.1.2.1.3.4. dge bsnyen dge tshul ltung med mi srid par thal ba **62v, 1.211**
- 3.2.2.1.2.1.1.2.2.3.2.2.1.2.1.3.5. rtsa brgyud kyi bla ma smad par thal ba **62v, 1.212-213**
- 3.2.2.1.2.1.1.2.2.3.2.2.1.2.2. brtul zhugs la rang bzhin gyi dge ba yod pa dgag pa
- 3.2.2.1.2.1.1.2.2.3.2.2.1.2.2.1. dngos kyi don **62v, 1.214-215**
- 3.2.2.1.2.1.1.2.2.3.2.2.1.2.2.2. shes byed kyi lung **63r, 1.216-218**
- 3.2.2.1.2.1.1.2.2.3.2.2.1.2.2.3. rigs pas grub pa'i tshul **64r, 1.219-220**
- 3.2.2.1.2.1.1.2.2.3.2.2.2. bde sdug gi byed po sangs rgyas yin par thal ba spong ba
- 3.2.2.1.2.1.1.2.2.3.2.2.2.1. phyogs snga ma brjod pa **64v, 1.221-222**
- 3.2.2.1.2.1.1.2.2.3.2.2.2.2. de dgag pa
- 3.2.2.1.2.1.1.2.2.3.2.2.2.2.1. mgo bsgre'i lan dang **64v, 1.223**
- 3.2.2.1.2.1.1.2.2.3.2.2.2.2.2. rnal ma'i lan
- 3.2.2.1.2.1.1.2.2.3.2.2.2.2.2.1. spyir bslab pa 'cha' ba'i rgyu mtshan **64v, 1.224-226**
- 3.2.2.1.2.1.1.2.2.3.2.2.2.2.2.2. bslab pa mi 'dra ba 'cha' ba'i rgyu mtshan **65r, 1.227**
- 3.2.2.1.2.1.1.2.2.3.2.2.2.2.2.3. des grub pa'i don **65r, 1.228**
- 3.2.2.1.2.1.1.2.2.3.2.3. ma 'khrul pa'i gnang bkag ji ltar bsgrub pa'i tshul **65r, 1.229-237**
- 3.2.2.1.2.1.1.2.2.4. 'phral gyi lag len 'khrul pa dgag pa
- 3.2.2.1.2.1.1.2.2.4.1. ma 'khrul ba'i lag len bsgrub par gdams pa **65v, 1.238ab**
- 3.2.2.1.2.1.1.2.2.4.2. 'khrul pa'i lag len byung ba'i tshul **65v, 1.238cd**
- 3.2.2.1.2.1.1.2.2.4.3. de la gnod byed bstan pa
- 3.2.2.1.2.1.1.2.2.4.3.1. shes byed med par bstan pa **66r, 1.239a**
- 3.2.2.1.2.1.1.2.2.4.3.2. nyes dmigs che bar bstan pa **66r, 1.239cd**
- 3.2.2.1.2.1.1.2.2.4.3.3. smad pa'i gnas su bstan pa **66r, 1.240**
- 3.2.2.1.2.1.1.2.2.4.3.4. 'khrul ba gzhan la'ang mtshungs par bstan pa **66r, 1.241-243**
- 3.2.2.1.2.1.1.3. thos bsam sgom gsum ma nor bas mjug bsdu ba

- 3.2.2.1.2.1.1.3.1. nor ba spong bar gdams
- 3.2.2.1.2.1.1.3.1.1. spang bya ngos bzung **66r, 1.244-246**
- 3.2.2.1.2.1.1.3.1.2. spang dgos pa'i 'thad pa **66v, 1.247**
- 3.2.2.1.2.1.1.3.2. ma nor ba bsgrub par gdams pa **66v, 1.248-250**
- 3.2.2.1.2.1.2. byang sems kyi nyams len bshad pa
- 3.2.2.1.2.1.2.1. sems bskyed kyi dbye ba spyir bstan pa **67r/v, 2.1-3**
- 3.2.2.1.2.1.2.2. theg chen sems bskyed bye brag tu bshad
- 3.2.2.1.2.1.2.2.1. ma thob pa thob par byed pa'i cho ga
- 3.2.2.1.2.1.2.2.1.1. kun rdzob sems bskyed skye ba'i cho ga bshad pa
- 3.2.2.1.2.1.2.2.1.1.1. lugs gnyis rten gyi khyad par lung gis bsgrub
- 3.2.2.1.2.1.2.2.1.1.1.1. sems tsam lugs kyi sems bskyed skye ba'i yul nges par bstan
- 3.2.2.1.2.1.2.2.1.1.1.1.1. dngos kyi don **68v, 2.4**
- 3.2.2.1.2.1.2.2.1.1.1.1.2. de la log par rtog pa'i tshul brjod **68v, 2.5**
- 3.2.2.1.2.1.2.2.1.1.1.1.3. de nyid lung dang rigs pas dgag pa **69r, 2.6-9**
- 3.2.2.1.2.1.2.2.1.1.1.2. dbu ma lugs kyi sems bskyed kun la skye bar bstan pa **69r, 2.10-11**
- 3.2.2.1.2.1.2.2.1.1.2. de nyid dpe yi sgo nas gsal bar bshad **70r, 2.12-13**
- 3.2.2.1.2.1.2.2.1.1.3. lung gi don la log par rtog pa dgag **70r, 2.14-16**
- 3.2.2.1.2.1.2.2.1.1.4. lugs gnyis cho ga'i khyad par so sor bshad pa **70r, 2.17-19**
- 3.2.2.1.2.1.2.2.1.2. don dam sems bskyed cho gas skye ba dgag pa
- 3.2.2.1.2.1.2.2.1.2.1. mdor bstan **70v, 2.20**
- 3.2.2.1.2.1.2.2.1.2.2. rgyas par bshad
- 3.2.2.1.2.1.2.2.1.2.2.1. cho gas skye ba la gnod byed bstan **70v, 2.21**
- 3.2.2.1.2.1.2.2.1.2.2.2. cho gas skye ba'i sgrub byed med **70v, 2.22**
- 3.2.2.1.2.1.2.2.1.2.2.3. cho gas mi skye ba dpa'i sgo nas bsgrub **70v, 2.23-25**
- 3.2.2.1.2.1.2.2.1.2.2.4. de la lung dang 'gal ba spang ba **71r, 2.26-28**
- 3.2.2.1.2.1.2.2.1.2.3. don bsdu ba **71r, 2.29**
- 3.2.2.1.2.1.2.2.2. thob pa mi nyams par bsrung ba'i bslab bya
- 3.2.2.1.2.1.2.2.2.1. ltung ba'i rnam bzhag mu bzhi bstan pa
- 3.2.2.1.2.1.2.2.2.1.1. mu bzhi dbye ba **71v, 2.30-32**
- 3.2.2.1.2.1.2.2.2.1.2. bzhi 'gyur ba'i 'thad pa **71v, 2.33-35**
- 3.2.2.1.2.1.2.2.2.2. bslab bya'i gtso bo la log rtog dgag pa
- 3.2.2.1.2.1.2.2.2.1.2.1. bslab bya'i gtso bo 'gog pa'i log rtog brjod **72r, 2.36-38**
- 3.2.2.1.2.1.2.2.2.1.2.2. de nyid rigs pas rnam par brtags nas dgag
- 3.2.2.1.2.1.2.2.2.1.2.2.1. bsgrub bya la brtags nas dgag pa **72v, 2.39-40**
- 3.2.2.1.2.1.2.2.2.1.2.2.2. sgrub byed la brtags nas dgag pa **72v, 2.41-43**
- 3.2.2.1.2.1.2.2.2.1.2.3. bslab bya'i gtso bo yin pa'i sgrub byed 'god pa
- 3.2.2.1.2.1.2.2.2.1.2.3.1. yid ches pa'i lung gis bsgrub
- 3.2.2.1.2.1.2.2.2.1.2.3.1.1. klu sgrub kyi lung gis bsgrub **74r, 2.44-47**
- 3.2.2.1.2.1.2.2.2.1.2.3.1.2. zhi ba lha'i lung gis bsgrub **74r, 2.48**
- 3.2.2.1.2.1.2.2.2.1.2.3.1.3. mdo dang bstan bcos gzhan gyi lung gis bsgrub pa **74r, 2.49**

- 3.2.2.1.2.1.2.2.2.1.2.3.2. bsgom pa'i phan yon gyis bsgrub 74v, 2.50
- 3.2.2.1.2.1.2.2.2.1.2.3.3. ma bsgoms pa'i nyes dmigs kyi bsgrub 74v, 2.51-55
- 3.2.2.1.2.1.2.2.2.1.2.3.4. des grub pa'i don bstan pa 75r, 2.56
- 3.2.2.1.2.1.2.2.3. de dag dang 'brel ba'i thabs lam rnam dag tu bsgrub pa
- 3.2.2.1.2.1.2.2.3.1. spang bya ma dag pa'i lta spyod ngos bzung
- 3.2.2.1.2.1.2.2.3.1.1. bstan pa 75v, 2.57
- 3.2.2.1.2.1.2.2.3.1.2. bshad pa
- 3.2.2.1.2.1.2.2.3.1.2.1. ma dag pa'i sbyin pa 75v, 2.58
- 3.2.2.1.2.1.2.2.3.1.2.2. ma dag pa'i tshul khriims 76v, 2.59, 2.60⁹⁷⁰
- 3.2.2.1.2.1.2.2.3.1.2.3. ma dag pa'i bzod pa 76v, 2.61
- 3.2.2.1.2.1.2.2.3.1.2.4. ma dag pa'i brtson 'grus 76v, 2.62
- 3.2.2.1.2.1.2.2.3.1.2.5. ma dag pa'i bsam gtan 77r, 2.63-64
- 3.2.2.1.2.1.2.2.3.1.2.6. ma dag pa'i shes rab 77r, 2.65
- 3.2.2.1.2.1.2.2.3.1.2.7. ma dag pa'i dad pa 77r, 2.66
- 3.2.2.1.2.1.2.2.3.1.2.8. ma dag pa'i snying rje 77r, 2.67-68
- 3.2.2.1.2.1.2.2.3.1.2.9. ma dag pa'i byams pa 77v, 2.69
- 3.2.2.1.2.1.2.2.3.1.2.10. ma dag pa'i thabs lam 77v, 2.70-71
- 3.2.2.1.2.1.2.2.3.1.2.11. ma dag pa'i smon lam 77v, 2.72-73
- 3.2.2.1.2.1.2.2.3.2. de shes nas spong bar gdams pa 77v, 2.74
- 3.2.2.1.2.1.2.2.3. bstan pa rnam par dag pas mjug bsdu ba 78r, 2.75
- 3.2.2.1.2.1.3. sngags sdom gyi nyams len bshad pa
- 3.2.2.1.2.1.3.1. spyi'i rnam par bzhag pa
- 3.2.2.1.2.1.3.1.1. rjod byed rgyud sde'i rnam gzhag
- 3.2.2.1.2.1.3.1.1.1. pha rol tu phyin pa'i theg pa las rdo rje theg pa khyad par du 'phags pa'i tshul 78v
- 3.2.2.1.2.1.3.1.1.2. khyad par 'phags pa'i rgyud sde'i dbye ba
- 3.2.2.1.2.1.3.1.1.2.1. gnyis su dbye ba 79r
- 3.2.2.1.2.1.3.1.1.2.2. gsum du dbye ba 79v
- 3.2.2.1.2.1.3.1.1.2.3. bzhi ru dbye ba 79v
- 3.2.2.1.2.1.3.1.1.2.4. lnga ru dbye ba 79v
- 3.2.2.1.2.1.3.1.1.2.5. drug tu dbye ba 79v
- 3.2.2.1.2.1.3.1.1.3. dbye ba de ldan gyi grangs dang go rim nges pa
- 3.2.2.1.2.1.3.1.1.3.1. grangs nges pa 80r
- 3.2.2.1.2.1.3.1.1.3.2. de la rtsod pa spang ba 82r
- 3.2.2.1.2.1.3.1.1.3.3. go rim nges pa 82v
- 3.2.2.1.2.1.3.1.1.4. grangs nges pa'i rgyud kyi sgra bshad pa 82v

⁹⁷⁰ Verse 2.60 comes under the same heading, but is only mentioned two lines further down.

- 3.2.2.1.2.1.3.1.2. brjod bya nyams len gyi rim pa
- 3.2.2.1.2.1.3.1.2.1. bya rgyud kyi nyams len
- 3.2.2.1.2.1.3.1.2.1.1. 'jug pa'i spyod pa 83r
- 3.2.2.1.2.1.3.1.2.1.2. sbyor ba'i spyod pa 83r
- 3.2.2.1.2.1.3.1.2.1.3. bsgrub pa'i spyod pa
- 3.2.2.1.2.1.3.1.2.1.3.1. mi gnas kyi de nyid 83v
- 3.2.2.1.2.1.3.1.2.1.3.2. sgra gnas kyi de nyid 83v
- 3.2.2.1.2.1.3.1.2.1.3.3. sgra mtha'i de nyid 83v
- 3.2.2.1.2.1.3.1.2.2. spyod rgyud kyi nyams len 84r
- 3.2.2.1.2.1.3.1.2.3. rnal 'byor rgyud kyi nyams len 84r
- 3.2.2.1.2.1.3.1.2.4. bla med kyi nyams len 85r
- 3.2.2.1.2.1.3.2. gzhung gi don la 'jug pa
- 3.2.2.1.2.1.3.2.1. mdor bstan pa 87v, 3.1
- 3.2.2.1.2.1.3.2.2. rgyas par bshad pa
- 3.2.2.1.2.1.3.2.2.1. smin byed nor be med pa'i dbang bzhi
- 3.2.2.1.2.1.3.2.2.1.1. ma 'khrul pa'i smin byed bsgrub par gdams 87v/88r, 3.2-3
- 3.2.2.1.2.1.3.2.2.1.2. 'khrul pa'i smin byed dor bar gdams
- 3.2.2.1.2.1.3.2.2.1.2.1. smin byed ma yin pa smin byed du 'dod pa dgag pa
- 3.2.2.1.2.1.3.2.2.1.2.1.1. byin rlabs smin byed yin pa dgag pa
- 3.2.2.1.2.1.3.2.2.1.2.1.1.1. phyogs snga ma brjod pa 88r, 3.4
- 3.2.2.1.2.1.3.2.2.1.2.1.1.2. de dgag pa
- 3.2.2.1.2.1.3.2.2.1.2.1.1.2.1. byin rlabs smin byed yin pa la gnod byed bstan
- 3.2.2.1.2.1.3.2.2.1.2.1.1.2.1.1. shes byed med pa 88v, 3.5
- 3.2.2.1.2.1.3.2.2.1.2.1.1.2.1.2. nyes dmigs che ba 88v, 3.6-8
- 3.2.2.1.2.1.3.2.2.1.2.1.1.2.1.3. phan yon med pa 89r, 3.9
- 3.2.2.1.2.1.3.2.2.1.2.1.1.2.1.4. lung dang 'gal ba 89r, 3.10
- 3.2.2.1.2.1.3.2.2.1.2.1.1.2.2. byin rlabs smin byed yin pa'i sgrub byed dgag
- 3.2.2.1.2.1.3.2.2.1.2.1.1.2.2.1. lag len gyi bsgrub byed dgag pa 89r, 3.11
- 3.2.2.1.2.1.3.2.2.1.2.1.1.2.2.2. rang bzo'i sgrub byed dgag pa 89v, 3.12-16
- 3.2.2.1.2.1.3.2.2.1.2.1.1.2.3. byin rlabs chos skor byed na ha cang thal ba 89v, 3.17-18
- 3.2.2.1.2.1.3.2.2.1.2.1.2. grangs nges med pa'i dbang bskur smin byed yin pa dgag pa
- 3.2.2.1.2.1.3.2.2.1.2.1.2.1. grangs nges med pa gsang sngags nub pa'i dper bstan pa 90r, 3.19-21
- 3.2.2.1.2.1.3.2.2.1.2.1.2.2. de nyid rgyud las dkag pa'i tshul 90r, 3.22-25
- 3.2.2.1.2.1.3.2.2.1.2.1.2.3. rgyud de'i don bshad pa 91r, 3.26-27
- 3.2.2.1.2.1.3.2.2.1.2.1.2.4. gzhan gyi dogs pa spang ba 91v, 3.28-30
- 3.2.2.1.2.1.3.2.2.1.2.1.3. dkyil 'khor ma dag pa'i dbang bskur smin byed yin par dgag pa 90v, 3.31-33
- 3.2.2.1.2.1.3.2.2.1.2.1.4. cho ga ma dag pa'i dbang bskur smin byed yin pa dgag pa
- 3.2.2.1.2.1.3.2.2.1.2.1.4.1. ma dag pa'i dbang bskur byung tshul brjod 90v, 3.34-35

- 3.2.2.1.2.1.3.2.2.1.2.1.4.2. de yi byin rlabs bgegs kyis yin par bstan 90v, 3.36
- 3.2.2.1.2.1.3.2.2.1.2.1.4.3. cho ga dag pa'i byin rlabs rnam dag tu bstan pa 90v/91r, 3.37
- 3.2.2.1.2.1.3.2.2.1.2.2. smin byed kyi dbang mi dgos par 'dod pa dgag pa
- 3.2.2.1.2.1.3.2.2.1.2.2.1. dbang bskur med par zab lam bsgom pa dgag 91r, 3.38-40
- 3.2.2.1.2.1.3.2.2.1.2.2.2. dbang bskur med par dbang rab smin pa dgag
- 3.2.2.1.2.1.3.2.2.1.2.2.2.1. 'dod pa brjod pa 91r, 3.41
- 3.2.2.1.2.1.3.2.2.1.2.2.2.2. de dgag pa 91r, 3.42-44
- 3.2.2.1.2.1.3.2.2.1.2.2.3. sems bskyed tsam gyis gsang sngags sgom pa dgag
- 3.2.2.1.2.1.3.2.2.1.2.2.3.1. 'dod pa brjod pa 91v, 3.45
- 3.2.2.1.2.1.3.2.2.1.2.2.3.2. de dgag pa
- 3.2.2.1.2.1.3.2.2.1.2.2.3.2.1. bya rgyud la rnam par phyed ste lan btap pa 91v, 3.46-49
- 3.2.2.1.2.1.3.2.2.1.2.2.3.2.2. lhag ma gsum la mgo gcig tu lan btap 92/93r,⁹⁷¹ 3.50
- 3.2.2.1.2.1.3.2.2.1.2.2.3.2.3. sgrub byed kyi sgo nas mjug bsdu ba 92/93r, 3.51-52
- 3.2.2.1.2.1.3.2.2.1.2.2.4. zhar la smin byed nor ba gzhan yang dgag pa 92/93r, 3.53
- 3.2.2.1.2.1.3.2.2.1.2.3. dgos kyang 'khrul par spyod pa dgag pa
- 3.2.2.1.2.1.3.2.2.1.2.3.1. dbang bskur ba'i dus la 'khrul pa dgag pa 92/93r, 3.54-55
- 3.2.2.1.2.1.3.2.2.1.2.3.2. slob ma'i rgyud la 'khrul pa dgag pa 92/93v, 3.56-60
- 3.2.2.1.2.1.3.2.2.1.2.3.3. skur byed kyi dkyil 'khor la 'khrul pa dgag pa
- 3.2.2.1.2.1.3.2.2.1.2.3.3.1. 'dod pa brjod pa 92/93v, 3.61
- 3.2.2.1.2.1.3.2.2.1.2.3.3.2. de dgag pa
- 3.2.2.1.2.1.3.2.2.1.2.3.3.2.1. cho ga med pa la gnod byed bstan 92/93v, 3.62-64
- 3.2.2.1.2.1.3.2.2.1.2.3.3.2.2. cho ga yod pa'i sgrub byed brjod 92/93v, 3.65-67
- 3.2.2.1.2.1.3.2.2.1.2.3.3.2.3. bden gnyis kyi sgo nas cho ga thams cad mtshungs par bstan pa 94r, 3.68-73
- 3.2.2.1.2.1.3.2.2.1.2.3.4. rgyud sde'i khyad par 'khrul pa dgag pa
- 3.2.2.1.2.1.3.2.2.1.2.3.4.1. phyogs snga ma brjod pa 94r, 3.74
- 3.2.2.1.2.1.3.2.2.1.2.3.4.2. de dgag pa 94r, 3.75-78
- 3.2.2.1.2.1.3.2.2.1.2.3.5. chos sgo'i ming la 'khrul pa dgag pa
- 3.2.2.1.2.1.3.2.2.1.2.3.5.1. mos pa chos sgo yin pa dgag
- 3.2.2.1.2.1.3.2.2.1.2.3.5.1.1. 'dod pa brjod pa 94v, 3.79
- 3.2.2.1.2.1.3.2.2.1.2.3.5.1.2. de dgag pa 94v, 3.80-82
- 3.2.2.1.2.1.3.2.2.1.2.3.5.2. dbang las gsang sngags logs na yod pa dgag 94v, 3.83-86
- 3.2.2.1.2.1.3.2.2.1.2.3.5.3. dbang las gsang sngags logs na med pa'i rgyu mtshan
- 3.2.2.1.2.1.3.2.2.1.2.3.5.3.1. mdor bstan 94v, 3.87
- 3.2.2.1.2.1.3.2.2.1.2.3.5.3.2. rgyas par bshad 94v, 3.88-90
- 3.2.2.1.2.1.3.2.2.1.2.3.5.3.3. don bsdu ba 95v, 3.91
- 3.2.2.1.2.1.3.2.2.1.2.4. dbang bsku mu bzhi 'dod pa dgag pa

⁹⁷¹ Two folio nos. on one page.

- 3.2.2.1.2.1.3.2.2.1.2.4.1. 'dod pa brjod pa 95v, 3.92
- 3.2.2.1.2.1.3.2.2.1.2.4.2. de dgag pa
- 3.2.2.1.2.1.3.2.2.1.2.4.2.1. mu bzhi sgrub byed kyi lung med pa 95v, 3.93
- 3.2.2.1.2.1.3.2.2.1.2.4.2.2. rigs pas sgrub na kun la mtshungs pa 95v, 3.94-96
- 3.2.2.1.2.1.3.2.2.1.2.4.2.3. mu bzhi yod kyang shes par mi nus pa 95v/96r, 3.97
- 3.2.2.1.2.1.3.2.2.1.2.4.2.4. nus na dbang bskur dgos par grub pa 96r, 3.98-100
- 3.2.2.1.2.1.3.2.2.1.3. dbang las thob pa'i dam tshig la 'khrul pa dgag pa
- 3.2.2.1.2.1.3.2.2.1.3.1. 'dod pa brjod pa 96r, 3.101
- 3.2.2.1.2.1.3.2.2.1.3.2. de dgag pa 96r, 3.102-104
- 3.2.2.1.2.1.3.2.2.2. grol byed 'khrul pa med pa'i rim pa gnyis
- 3.2.2.1.2.1.3.2.2.2.1. lam gyi gtso bo mi dgos par 'dod pa dgag
- 3.2.2.1.2.1.3.2.2.2.1.1. 'dod pa brjod pa 96v, 3.105-108
- 3.2.2.1.2.1.3.2.2.2.1.2. de dgag pa
- 3.2.2.1.2.1.3.2.2.2.1.2.1. phyogs re'i thabs kyiis grol ba dgag pa 96v, 3.109-110
- 3.2.2.1.2.1.3.2.2.2.1.2.2. rim gnyis thabs kyi gtso bor bstan pa 96v, 3.111
- 3.2.2.1.2.1.3.2.2.2.1.2.3. gtso bo yin pa'i sgrub byed dgod pa 97r, 3.112-115
- 3.2.2.1.2.1.3.2.2.2.1.2.4. phyogs re'i thabs kyi dgos pa bstan pa 97r, 3.116-118
- 3.2.2.1.2.1.3.2.2.2.1.2.5. sgro skur spangs nas gtso bor bsgrub par bstan pa 97r, 3.119
- 3.2.2.1.2.1.3.2.2.2.2. lam gyi gtso bo ngos bzung ba
- 3.2.2.1.2.1.3.2.2.2.2.1. dpe'i sgo nas 'bras bu 'grub pa'i dus bstan pa 97v, 3.120-121
- 3.2.2.1.2.1.3.2.2.2.2.2. nyams len gyi sgo nas lam bgrod tshul so sor bshad pa
- 3.2.2.1.2.1.3.2.2.2.2.2.1. pha rol tu phyin pa'i lam gyi bgrod tshul 97v, 3.122-126
- 3.2.2.1.2.1.3.2.2.2.2.2.2. rdo rje theg pa'i lam gyi bgrod tshul 98r, 3.127-130
- 3.2.2.1.2.1.3.2.2.2.3. sangs rgyas thob par 'dod pas de la bsblab par gdams pa 100r, 3.131-132
- 3.2.2.1.2.1.3.2.2.2.4. de dag dang ma 'brel ba'i chos lugs dgag pa
- 3.2.2.1.2.1.3.2.2.2.4.1. bstan pa dang ma 'brel ba'i chos pa dgag pa 100v, 3.133-136
- 3.2.2.1.2.1.3.2.2.2.4.2. sdom pa dang ma 'brel ba'i thar lam dgag pa
- 3.2.2.1.2.1.3.2.2.2.4.2.1. 'dod pa brjod pa
- 3.2.2.1.2.1.3.2.2.2.4.2.1.1. smad pa'i ched du mu stegs kyi dpe brjod pa 100v, 3.137-138
- 3.2.2.1.2.1.3.2.2.2.4.2.1.2. bod blun gyi 'dod pa'i don la sbyar ba 100v, 3.139-140
- 3.2.2.1.2.1.3.2.2.2.4.2.2. de dgag pa
- 3.2.2.1.2.1.3.2.2.2.4.2.2.1. sdom gsum gyis dben pa'i dge bas sangs rgyas mi 'grub par bstan pa
- 3.2.2.1.2.1.3.2.2.2.4.2.2.1.1. dpe bsgrub pa 101r, 3.141
- 3.2.2.1.2.1.3.2.2.2.4.2.2.1.2. don la sbyar ba 101r, 3.142-143
- 3.2.2.1.2.1.3.2.2.2.4.2.2.2. sdom gsum gyi dge bas sangs rgyas 'grub par bstan pa 101r, 3.144
- 3.2.2.1.2.1.3.2.2.2.4.3. nges 'byung dang ma 'brel ba'i so thar dgag pa 101v, 3.145
- 3.2.2.1.2.1.3.2.2.2.4.4. sde snod dang ma 'brel ba'i sems bskyed dgag pa 101v, 3.146

- 3.2.2.1.2.1.3.2.2.2.4.5. rgyud sde dang ma 'brel ba'i gsang sngag dgag pa
 3.2.2.1.2.1.3.2.2.2.4.5.1. mdor bstan pa **101v, 3.147**
- 3.2.2.1.2.1.3.2.2.2.4.5.2. rgyas par bshad pa
 3.2.2.1.2.1.3.2.2.2.4.5.2.1. rgyud sde dang ma 'brel be'i dbang bskur dgag pa **102r, 3.148**
 3.2.2.1.2.1.3.2.2.2.4.5.2.2. sbyang gzhi mi sbyong ba'i bskyed rim dgag pa **102r, 3.149**
 3.2.2.1.2.1.3.2.2.2.4.5.2.3. rten 'brel mi shes pa'i rdzogs rim dgag pa **102r, 3.150**
 3.2.2.1.2.1.3.2.2.2.4.5.2.4. spang bya dang mi phyed pa'i ye shes dgag pa **102v, 3.151**
 3.2.2.1.2.1.3.2.2.2.4.6. dbang bskur dang ma 'brel ba'i bla ma dgag pa
 3.2.2.1.2.1.3.2.2.2.4.6.1. dbang ma bskur ba la bla ma dam pa mi 'byung ba bstan **102r, 3.152-153**
- 3.2.2.1.2.1.3.2.2.2.4.6.2. dam pa ma yin pas sangs rgyas sbyin mi nus par bstan **103r, 3.154-155**
- 3.2.2.1.2.1.3.2.2.2.4.6.3. mdo sngags kyi bla ma'i khyad par bstan pa **103r, 3.156-157**
 3.2.2.1.2.1.3.2.2.2.4.7. dam chos dang ma 'brel ba'i chos pa dgag pa **103v, 3.158-159**
 3.2.2.1.2.1.3.2.2.3. dbang dang rim gnyis las byung ba'i ye shes phyag rgya chen po
 3.2.2.1.2.1.3.2.2.3.1. rtogs byed phyag chen gyi ye shes 'khrul pa dgag pa
 3.2.2.1.2.1.3.2.2.3.1.1. mtshon byed dpe'i phyag chen la 'khrul pa dgag pa
 3.2.2.1.2.1.3.2.2.3.1.1.1. stong rkyang du lta ba'i lhag mthong ltar snang la phyag chen du 'dod pa dgag pa
 3.2.2.1.2.1.3.2.2.3.1.1.1.1. gzhan lugs kyi phyag chen dgag pa dngos **104r, 3.160-163**
 3.2.2.1.2.1.3.2.2.3.1.1.1.2. rang lugs kyi phyag chen ngos bzung ba **105r, 3.164-166**
 3.2.2.1.2.1.3.2.2.3.1.1.1.3. gzhan lugs kyi phyag chen rgya nag lugs su bstan pa **105v, 3.167-175**
- 3.2.2.1.2.1.3.2.2.3.1.1.1.4. rang lugs kyi phyag chen rgya gar gyi lugs su bstan pa
 3.2.2.1.2.1.3.2.2.3.1.1.1.4.1. nā ro dang mai tri pa'i lugs dang mthun pa **110v, 3.176-177**
 3.2.2.1.2.1.3.2.2.3.1.1.1.4.2. 'phags pa klu sgrubs kyi lugs dang mthun pa **111v, 3.178**
 3.2.2.1.2.1.3.2.2.3.1.1.1.4.3. rgyud dang bstan bcos gzhan dang mthun pa **111v, 3.179**
 3.2.2.1.2.1.3.2.2.3.1.1.1.4.4. de lta bu'i phyag chen rtogs pa'i phan yon **112r, 3.180**
 3.2.2.1.2.1.3.2.2.3.1.1.2. mos gus kyi sems bsgyur ba'i zhi gnas ltar snang la phyag chen du 'dod pa dgag pa
- 3.2.2.1.2.1.3.2.2.3.1.1.2.1. 'dod pa brjod pa **112v, 3.181**
 3.2.2.1.2.1.3.2.2.3.1.1.2.2. de dgag pa **112v, 3.182-184**
 3.2.2.1.2.1.3.2.2.3.1.1.3. zhar la phyag chen gyi rgyu la log rtog dgag pa
 3.2.2.1.2.1.3.2.2.3.1.1.3.1. khas blangs brjod pa **113r, 3.185-186**
 3.2.2.1.2.1.3.2.2.3.1.1.3.2. mgo mtshungs kyi dgag pa **113r, 3.187-188**
 3.2.2.1.2.1.3.2.2.3.1.1.3.3. smad pa'i gnas su bstan pa **113r, 3.189**
 3.2.2.1.2.1.3.2.2.3.1.2. mtshon bya don gyi phyag chen la 'khrul pa dgag pa
 3.2.2.1.2.1.3.2.2.3.1.2.1. yon tan med pa'i mthong lam dgag
 3.2.2.1.2.1.3.2.2.3.1.2.1.1. phyogs snga ma brjod pa **113r, 3.190-191**
 3.2.2.1.2.1.3.2.2.3.1.2.1.2. de dgag pa **113v, 3.192**

- 3.2.2.1.2.1.3.2.2.3.1.2.1.3. nyes spong gi lan dgag pa 114r, 3.193-197
- 3.2.2.1.2.1.3.2.2.3.1.2.2. de la lung dang 'gal ba spang
- 3.2.2.1.2.1.3.2.2.3.1.2.2.1. nā ro pa'i lung dang 'gal ba spang ba 115r, 3.198-199
- 3.2.2.1.2.1.3.2.2.3.1.2.2.2. 'phags pa lha'i lung dang 'gal ba spang ba 115r, 3.200
- 3.2.2.1.2.1.3.2.2.3.1.2.3. des na 'phags pa'i mkhyen par grub pa 115v, 3.201
- 3.2.2.1.2.1.3.2.2.3.1.3. zhar la theg pa gsum gyi lag len la 'khrul pa dgag pa
- 3.2.2.1.2.1.3.2.2.3.1.3.1. mdor bstan pa 115v, 3.202
- 3.2.2.1.2.1.3.2.2.3.1.3.2. rgyas par bshad pa
- 3.2.2.1.2.1.3.2.2.3.1.3.2.1. lam gyi rtsa ba bla ma bsten tshul
- 3.2.2.1.2.1.3.2.2.3.1.3.2.1.1. bla ma'i mtshan nyid 115v, 3.203-206
- 3.2.2.1.2.1.3.2.2.3.1.3.2.1.2. gsol btap pa'i tshul 117, 3.207-209
- 3.2.2.1.2.1.3.2.2.3.1.3.2.2. lam gyi go rim thod rgal du spyod pa dgag pa 117r, 3.210-213
- 3.2.2.1.2.1.3.2.2.3.1.3.2.3. lam gyi yan lag mchod gtor gyi tshul
- 3.2.2.1.2.1.3.2.2.3.1.3.2.3.1. gtor ma'i mtshan sngags la 'khrul pa dgag pa 117r, 3.214-215
- 3.2.2.1.2.1.3.2.2.3.1.3.2.3.2. rgya sbyin gyi rdzas la 'khrul pa dgag 117v, 3.216-217
- 3.2.2.1.2.1.3.2.2.3.1.3.2.3.3. phud mchod kyi dbyibs la 'khrul pa dgag
- 3.2.2.1.2.1.3.2.2.3.1.3.2.3.3.1. phud mchod gsungs pa'i tshul 117v, 3.218-219
- 3.2.2.1.2.1.3.2.2.3.1.3.2.3.3.2. de'i lag len 'khrul tshul 118r, 3.220
- 3.2.2.1.2.1.3.2.2.3.1.3.2.3.3.3. de nyid 'khrul bar bstan pa 118r, 3.221-222
- 3.2.2.1.2.1.3.2.2.3.1.3.2.3.3.4. ma 'khrul bar bsgrub par gdams pa 118r, 3.223
- 3.2.2.1.2.1.3.2.2.3.1.3.2.4. lam gyi dmigs rten sku gzugs bzhengs tshul
- 3.2.2.1.2.1.3.2.2.3.1.3.2.4.1. phyag mtshan la 'khrul pa dgag pa 118r, 3.224
- 3.2.2.1.2.1.3.2.2.3.1.3.2.4.2. kha dog la 'khrul pa dgag pa
- 3.2.2.1.2.1.3.2.2.3.1.3.2.4.2.1. dngos 'gal spang ba 118r, 3.225-228
- 3.2.2.1.2.1.3.2.2.3.1.3.2.4.2.2. lung 'gal spang ba 118v, 3.229
- 3.2.2.1.2.1.3.2.2.3.1.3.2.5. lam gyi ngo bo mdo sngags 'chol pa dgag pa
- 3.2.2.1.2.1.3.2.2.3.1.3.2.5.1. rang bsgom pa'i yan lag 'chol pa dgag pa 119r, 3.230-231
- 3.2.2.1.2.1.3.2.2.3.1.3.2.5.2. gzhan rjes su 'dzin pa'i yan lag 'chol pa dgag pa 119r, 3.232-234
- 3.2.2.1.2.1.3.2.2.3.1.3.2.5.3. de gnyis ka 'chol pa dgag pa
- 3.2.2.1.2.1.3.2.2.3.1.3.2.5.3.1. 'khrul pa brjod pa 119v, 3.235
- 3.2.2.1.2.1.3.2.2.3.1.3.2.5.3.2. de dgag pa
- 3.2.2.1.2.1.3.2.2.3.1.3.2.5.3.2.1. rab gnas mdo lugs dgag pa
- 3.2.2.1.2.1.3.2.2.3.1.3.2.5.3.2.1.1. mdor bstan pa 119v, 3.236-240
- 3.2.2.1.2.1.3.2.2.3.1.3.2.5.3.2.1.2. zhar las byung ba 120r, 3.241-242
- 3.2.2.1.2.1.3.2.2.3.1.3.2.5.3.2.1.3. rgyas par bshad pa 120v, 3.243-248
- 3.2.2.1.2.1.3.2.2.3.1.3.2.5.3.2.1.4. don bsdu ba 121r, 3.249
- 3.2.2.1.2.1.3.2.2.3.1.3.2.5.3.2.2. phyag rdor mdo lugs dgag pa 121r, 3.250
- 3.2.2.1.2.1.3.2.2.3.1.3.2.5.3.2.3. ltung bshags sngags lugs dgag pa 121r, 3.251
- 3.2.2.1.2.1.3.2.2.3.1.3.2.5.3.3. ma 'chol pa bsgrub par gdams pa 121r, 3.252

- 3.2.2.1.2.1.3.2.2.3.2. rtogs bya spros bral gyi lta ba la 'khrul pa dgag pa
 3.2.2.1.2.1.3.2.2.3.2.1. dngos (la rgyud sde bzhi'i sgrub pa la 'khrul pa dgag pa)?
 3.2.2.1.2.1.3.2.2.3.2.1.1. theg pa rim dgu la lta ba tha dad yod pa dgag pa 121v, 3.253-256
 3.2.2.1.2.1.3.2.2.3.2.1.2. rgyud sde bzhi la lta ba tha dad yod pa dgag pa
 3.2.2.1.2.1.3.2.2.3.2.1.2.1. 'dod pa brjod pa 122v, 3.257-259
 3.2.2.1.2.1.3.2.2.3.2.1.2.2. de dgag pa
 3.2.2.1.2.1.3.2.2.3.2.1.2.2.1. lta sgom gyi khyad par spyir bstan 123r, 3.260-261
 3.2.2.1.2.1.3.2.2.3.2.1.2.2.2. bsgom pa'i khyad par bye brag tu bshad
 3.2.2.1.2.1.3.2.2.3.2.1.2.2.2.1. sgom tshul dmigs pa'i khyad par 123r, 3.262-266
 3.2.2.1.2.1.3.2.2.3.2.1.2.2.2.2. bsgom gzhi kun rdzob kyi khyad par
 3.2.2.1.2.1.3.2.2.3.2.1.2.2.2.2.1. rgyud sde 'og mar kun rdzob lhar mi bsgom pa 124a⁹⁷²r,
 3.267-272
 3.2.2.1.2.1.3.2.2.3.2.1.2.2.2.2.2. rnal 'byor chen por kun rdzob lhar bsgom pa 124av,
 3.273
 3.2.2.1.2.1.3.2.2.3.2.1.2.2.3. des kun rdzob lta bar mi 'thad pa 124br, 3.274
 3.2.2.1.2.1.3.2.2.3.2.1.3. rnal 'byor bzhi theg pa'i rim par 'dod pa dgag pa
 3.2.2.1.2.1.3.2.2.3.2.1.3.1. snga 'gyur gyi lugs brjod pa 124bv, 3.275-276
 3.2.2.1.2.1.3.2.2.3.2.1.3.2. phyi 'gyur gyi lugs brjod pa 124bv, 3.277
 3.2.2.1.2.1.3.2.2.3.2.1.3.3. phyi 'gyur ba'i lugs 'thad par sgrub pa 125r, 3.278-281
 3.2.2.1.2.1.3.2.2.3.2.1.3.4. des snga 'gyur ba'i lugs mi 'thad par sgrub pa 125r, 3.282
 3.2.2.1.2.1.3.2.2.3.2.1.4. des na dbu ma yan chad lta ba gcig tu bstan pa 125v, 3.283
 3.2.2.1.2.1.3.2.2.3.2.2. zhar la rgyud sde bzhi'i sgrub pa la 'khrul pa dgag pa
 3.2.2.1.2.1.3.2.2.3.2.2.1. 'khrul pa dgag pa mdor bstan pa 125v, 3.284
 3.2.2.1.2.1.3.2.2.3.2.2.2. ma 'khrul pa'i sgrub pa rgyas par bshad pa
 3.2.2.1.2.1.3.2.2.3.2.2.2.1. bya ba'i rgyud kyi sgrub pa
 3.2.2.1.2.1.3.2.2.3.2.2.2.1.1. spyi'i don
 3.2.2.1.2.1.3.2.2.3.2.2.2.1.1.1. rgyud las ji ltar gsungs pa'i tshul 125v
 3.2.2.1.2.1.3.2.2.3.2.2.2.1.1.2. de nyid grub chen rnam kyis bkral ba'i tshul
 3.2.2.1.2.1.3.2.2.3.2.2.2.1.1.2.1. bya rgyud bla med ltar bkral ba 126r
 3.2.2.1.2.1.3.2.2.3.2.2.2.1.1.2.2. rnal 'byor rgyud ltar bkral ba 126v
 3.2.2.1.2.1.3.2.2.3.2.2.2.1.1.2.3. spyod rgyud ltar bkral ba 127r
 3.2.2.1.2.1.3.2.2.3.2.2.2.1.1.2.4. bya rgyud rang lugs ltar bkral ba 127v
 3.2.2.1.2.1.3.2.2.3.2.2.2.1.1.3. de las sa skya pa'i bzhed pa ngos bzung ba 127v
 3.2.2.1.2.1.3.2.2.3.2.2.2.1.1.4. rtog pas brtags pa'i lugs dgag pa 128v
 3.2.2.1.2.1.3.2.2.3.2.2.2.1.1.5. gnad kyi don bsdu te bstan pa 131v
 3.2.2.1.2.1.3.2.2.3.2.2.2.1.2. gzhung gi don 132r, 3.285-290
 3.2.2.1.2.1.3.2.2.3.2.2.2.2. rgyud sde bar pa gnyis kyi sgrub pa 132r, 3.291-293

⁹⁷² Two folios with the same number.

- 3.2.2.1.2.1.3.2.2.3.2.2.2.3. rnal 'byor chen po'i sgrub pa bshad pa 132v, 3.294-295
- 3.2.2.1.2.1.3.2.2.3.2.2.3. de dag dkrugs pa smad pas don bsdu ba 132v, 3.296
- 3.2.2.1.2.1.3.2.2.4. phyag chen goms pa las 'khor 'das bsre ba'i spyod pa spyad pa
- 3.2.2.1.2.1.3.2.2.4.1. spyi'i don
- 3.2.2.1.2.1.3.2.2.4.1.1. spyod pa'i sngon du 'gro ba drod
- 3.2.2.1.2.1.3.2.2.4.1.1.1. ngo bo 132v
- 3.2.2.1.2.1.3.2.2.4.1.1.2. dbye ba 132v
- 3.2.2.1.2.1.3.2.2.4.1.1.3. so so'i mtshan nyid
- 3.2.2.1.2.1.3.2.2.4.1.1.4. ma thob pa thob par byed pa'i thabs 133r
- 3.2.2.1.2.1.3.2.2.4.1.1.5. thob pa brtan par byed pa'i dus 133r
- 3.2.2.1.2.1.3.2.2.4.1.1.6. drod dang spyod pa mtshams sbyor ba 133r
- 3.2.2.1.2.1.3.2.2.4.1.2. drod thob pas spyad pa'i spyod pa dngos
- 3.2.2.1.2.1.3.2.2.4.1.2.1. ngo bo 133r
- 3.2.2.1.2.1.3.2.2.4.1.2.2. dbye ba 133r
- 3.2.2.1.2.1.3.2.2.4.1.2.3. mtshan nyid 133v
- 3.2.2.1.2.1.3.2.2.4.1.2.4. spyad pa'i dus 133v
- 3.2.2.1.2.1.3.2.2.4.1.2.5. rten gyi gang zag 133v
- 3.2.2.1.2.1.3.2.2.4.1.2.6. spyad pa'i dgos pa 133v
- 3.2.2.1.2.1.3.2.2.4.2. gzhung gi don
- 3.2.2.1.2.1.3.2.2.4.2.1. spyod pa'i rnam gzhang spyir bstan pa 134r, 3.297-300
- 3.2.2.1.2.1.3.2.2.4.2.2. log rtog dgag pa bye brag tu bshad pa
- 3.2.2.1.2.1.3.2.2.4.2.2.1. gang gis rgyu ba'i gang zag la 'khrul pa dgag pa
- 3.2.2.1.2.1.3.2.2.4.2.2.1.1. mtshan nyid dang mi ldan pa'i gang zag spyod pa spyad pa dgag pa 135r, 3.301-305
- 3.2.2.1.2.1.3.2.2.4.2.2.1.2. mtshan nyid dang ldan pa'i gang zag spyod pa spyad pa'i dgos pa 135r, 3.306
- 3.2.2.1.2.1.3.2.2.4.2.2.1.3. de grub pa'i don bstan pa 135r, 3.307
- 3.2.2.1.2.1.3.2.2.4.2.2.2. gang du rgyu ba'i gnas la 'khrul pa dgag pa
- 3.2.2.1.2.1.3.2.2.4.2.2.2.1. ti se gangs can du 'dod pa dgag pa
- 3.2.2.1.2.1.3.2.2.4.2.2.2.1.1. dgag pa spyir bstan pa 135v, 3.308-310
- 3.2.2.1.2.1.3.2.2.4.2.2.2.1.2. lung 'gal bye brag tu bshad
- 3.2.2.1.2.1.3.2.2.4.2.2.2.1.2.1. dus kyi 'khor lo'i lung dang 'gal ba 135v, 3.311-315
- 3.2.2.1.2.1.3.2.2.4.2.2.2.1.2.2. mngon pa'i lung dang 'gal ba 136v, 3.316-318
- 3.2.2.1.2.1.3.2.2.4.2.2.2.1.2.3. mu stegs byed kyi lung dang 'gal ba 137r, 3.319-320
- 3.2.2.1.2.1.3.2.2.4.2.2.2.1.2.4. rma bya chen po'i lung dang 'gal ba 137r, 3.321
- 3.2.2.1.2.1.3.2.2.4.2.2.2.1.2.5. phal po che'i lung dang 'gal ba 137r, 3.322-328
- 3.2.2.1.2.1.3.2.2.4.2.2.2.1.3. nyes spong gi lan dgag pa
- 3.2.2.1.2.1.3.2.2.4.2.2.2.1.3.1. nyes spong brjod pa 137v, 3.329
- 3.2.2.1.2.1.3.2.2.4.2.2.2.1.3.2. de dgag pa
- 3.2.2.1.2.1.3.2.2.4.2.2.2.1.3.2.1. 'chad tshul gnyis kyi rang bzhin bshad

- 3.2.2.1.2.1.3.2.2.4.2.2.2.1.3.2.1.1. sgro skur skyon du 'gyur mi 'gyur dpyad 137v, 3.330-332
- 3.2.2.1.2.1.3.2.2.4.2.2.2.1.3.2.1.2. de yi dper brjod so sor bshad pa 137v, 3.333-338
- 3.2.2.1.2.1.3.2.2.4.2.2.2.1.3.2.2. de nyid skabs kyi lan la sbyar ba 137v, 3.339-340
- 3.2.2.1.2.1.3.2.2.4.2.2.2.2. tsā ri gnas chen du 'dod pa dgag pa 138r, 3.341-344
- 3.2.2.1.2.1.3.2.2.4.2.2.3. de gnyis ka'i mjug bsdu 138v, 3.345-346
- 3.2.2.1.2.1.3.2.2.5. de la brten nas sa lam bgrod de 'bras bu mngon du byed pa'i tshul
- 3.2.2.1.2.1.3.2.2.5.1. mthar thug gi 'bras bu la 'khrul pa dgag pa
- 3.2.2.1.2.1.3.2.2.5.1.1. rgyu 'bras rigs mi mthun dgag pa
- 3.2.2.1.2.1.3.2.2.5.1.1.1. chig thub las sku gsum 'byung ba dgag pa 138v, 3.347
- 3.2.2.1.2.1.3.2.2.5.1.1.2. chig thub rang gi ngo bo dgag pa
- 3.2.2.1.2.1.3.2.2.5.1.1.2.1. chig thub thabs dang bcas na khas blangs 'gal ba
- 3.2.2.1.2.1.3.2.2.5.1.1.2.1.1. dngos 'gal spong ba 138v, 3.348-349
- 3.2.2.1.2.1.3.2.2.5.1.1.2.1.2. lung 'gal spong ba 139r, 3.350-351
- 3.2.2.1.2.1.3.2.2.5.1.1.2.2. thabs dang bral na lung rigs gnyis dang 'gal ba
- 3.2.2.1.2.1.3.2.2.5.1.1.2.2.1. rigs pa dang 'gal ba 139v, 3.352-353
- 3.2.2.1.2.1.3.2.2.5.1.1.2.2.2. lung dang 'gal ba 139v, 3.354-362
- 3.2.2.1.2.1.3.2.2.5.1.1.2.3. des na thabs mkhas lam gyi gtso bor bsgrub pa
- 3.2.2.1.2.1.3.2.2.5.1.1.2.3.1. rnam grol bzang ngan thabs kyi byed par bstan 140r, 3.363-366
- 3.2.2.1.2.1.3.2.2.5.1.1.2.3.2. de la yid ches lung gi sgrub byed 'god
- 3.2.2.1.2.1.3.2.2.5.1.1.2.3.2.1. byams pa'i lung 140r, 3.367-368
- 3.2.2.1.2.1.3.2.2.5.1.1.2.3.2.2. dpa' bo'i lung 140v, 3.369
- 3.2.2.1.2.1.3.2.2.5.1.1.2.3.3. rnam grol bzang po 'dod pas thabs la 'bad par gdams pa 140v, 3.370-371
- 3.2.2.1.2.1.3.2.2.5.1.1.2.4. stong nyid kho naa bsgom pa'i nyes dmigs bstan pa 140v, 3.372-374
- 3.2.2.1.2.1.3.2.2.5.1.2. rgyu 'bras phyin ci log dgag pa 140v, 3.375
- 3.2.2.1.2.1.3.2.2.5.1.3. rgyu med par 'bras bu 'byung ba dgag pa 140v, 3.376-379
- 3.2.2.1.2.1.3.2.2.5.1.4. rgyu ma tshang bar 'bras bu 'byung ba dgag pa 141r, 3.380
- 3.2.2.1.2.1.3.2.2.5.1.5. 'bras bu'i ngo bo la 'khrul pa dgag pa 141r, 3.381-382
- 3.2.2.1.2.1.3.2.2.5.2. gnas skabs kyi 'bras bu la 'khrul pa dgag pa
- 3.2.2.1.2.1.3.2.2.5.2.1. grub thob las rtogs ldan bzang ba dgag pa
- 3.2.2.1.2.1.3.2.2.5.2.1.1. 'dod pa brjod pa 141v, 3.383
- 3.2.2.1.2.1.3.2.2.5.2.1.2. de dgag pa 141v, 3.384-38
- 3.2.2.1.2.1.3.2.2.5.2.2. nyams las go rtogs bzang ba dgag pa
- 3.2.2.1.2.1.3.2.2.5.2.2.1. 'dod pa brjod pa 141v, 3.389
- 3.2.2.1.2.1.3.2.2.5.2.2.2. de dgag pa 141v, 3.390-395
- 3.2.2.1.2.1.3.2.2.5.2.3. rnal 'byor bzhi po 'phags pa'i sa la sbyar ba dgag pa
- 3.2.2.1.2.1.3.2.2.5.2.3.1. 'dod pa brjod pa 142r, 3.396

- 3.2.2.1.2.1.3.2.2.5.2.3.2. de dgag pa
- 3.2.2.1.2.1.3.2.2.5.2.3.2.1. so skye la chos mthun brtsi na dpyad par bya 142r, 3.397-403
- 3.2.2.1.2.1.3.2.2.5.2.3.2.2. 'phags pa'i sa la sbyar na mdo rgyud dang 'gal ba 142v, 3.404
- 3.2.2.1.2.2. (dngos dang⁹⁷³) de la rtsod pa spang ba
- 3.2.2.1.2.2.1. rtsod pa 142v, 3.405
- 3.2.2.1.2.2.2. lan
- 3.2.2.1.2.2.2.1. smras tshad bden pa dgag pa 142v, 3.406
- 3.2.2.1.2.2.2.2. grub mtha' thams cad bden pa dgag pa 142v, 3.407-410
- 3.2.2.1.2.2.2.3. grangs nges thams cad bden pa dgag pa
- 3.2.2.1.2.2.2.3.1. sangs rgays kyi gsung la gnyis su phye ba 143r, 3.411-414
- 3.2.2.1.2.2.2.3.2. de dag ji ltar len pa'i tshul 143v, 3.415-417
- 3.2.2.1.2.2.2.4. de la rtsod pa spong ba
- 3.2.2.1.2.2.2.4.1. rtsod pa 144r, 3.418-419
- 3.2.2.1.2.2.2.4.2. lan 144r, 3.420-422
- 3.2.2.1.3. gnad ma 'khrul par bsgrub par gdams pa'i sgo nas mjug bsdu ba
- 3.2.2.1.3.1. ma 'khrul pa'i gnad bsgrub par gdams pa
- 3.2.2.1.3.1.1. gnad bcos pa nyes dmigs che bas spang bar gdams pa
- 3.2.2.1.3.1.1.1. tshig gi gnad bcos pa la sngon byung gi dpe dang sbyar 144v, 3.423-430
- 3.2.2.1.3.1.1.2. don gyi gnad bcos pa da ltar gyi grub mtha' dang sbyar
- 3.2.2.1.3.1.1.2.1. mdor bstan pa 145r, 3.431-434
- 3.2.2.1.3.1.1.2.2. rgyas par bshad pa 145r, 3.435-447
- 3.2.2.1.3.1.1.3. des na nyes dmigs che bas spang bar gdams pa 145v, 3.448-451
- 3.2.2.1.3.1.2. gnad bcos pa'i bdud shas nas spang bar gdams pa
- 3.2.2.1.3.1.2.1. gnad 'chos pa'i bdud ji ltar byung ba'i tshul
- 3.2.2.1.3.1.2.1.1. rnam pa ji ltar ston pa 145v, 3.452-453
- 3.2.2.1.3.1.2.1.2. thabs gang gis bsdu ba 145v, 3.454-461
- 3.2.2.1.3.1.2.1.3. sngon byung gi dpe dang sbyar ba 145v, 3.462-468
- 3.2.2.1.3.1.2.2. des gnad ji ltar bcos pa'i tshul 146r, 3.469-474
- 3.2.2.1.3.1.2.3. de shes nas spang bar gdams pa 146r, 3.475-478
- 3.2.2.1.3.2. 'khrul pa'i grub mtha' sun dbyung gdams pa
- 3.2.2.1.3.2.1. sngon byung mkhas pas 'khrul ba'i grub mtha' sun 'byin pa'i tshul 146r, 3.479-486
- 3.2.2.1.3.2.2. rjes 'jug mkhas pas 'khrul pa'i grub mtha' sun dbyung ba'i tshul
- 3.2.2.1.3.2.2.1. lung rigs kyis gnod tshul spyir bstan pa 146v, 3.487-492
- 3.2.2.1.3.2.2.2. lung gis gnod tshul bye brag tu bshad
- 3.2.2.1.3.2.2.2.1. gzhan gyi lung gis 'gog mi nus pa 146v, 3.493-494
- 3.2.2.1.3.2.2.2.2. rang gi lung gis 'gog nu pa 146v, 3.495-499

⁹⁷³ See above, p. 510, 3.2.2.1.2.1. (f. 24r).

- 3.2.2.1.3.2.2.2.3. de'i dper brjod gsal bar bshad pa 146v, 3.500-509
- 3.2.2.1.3.2.2.2.4. des rigs can gzhan yang mtshon pa 147r, 3.510
- 3.2.2.1.3.2.2.3. lung khas mi len pa la ji ltar bya
- 3.2.2.1.3.2.2.3.1. lung khas mi len pa gdod ma nas yod pa la ji ltar bya ba 147r, 3.511-513
- 3.2.2.1.3.2.2.3.2. lung khas mi len pa glo bur du byas pa la ji ltar bya ba 147r, 3.514-516
- 3.2.2.1.3.2.3. dgag sgrub kyi lung sbyor ji ltar bya ba'i tshul
- 3.2.2.1.3.2.3.1. skabs ma phyed pa'i lung sbyor blun po'i lugs su bstan pa 147r, 3.517
- 3.2.2.1.3.2.3.2. skabs phyed pa'i lung sbyor gyi dpe brjod dgos pa
- 3.2.2.1.3.2.3.2.1. lta sgom spyod pa'i lung sbyor 147v, 3.518-522
- 3.2.2.1.3.2.3.2.2. 'jig rten las 'das ma 'das kyi lung sbyor 147v, 3.523-525
- 3.2.2.1.3.2.3.3. de gnyis la'i don bsdu ba 147v, 3.526
- 3.2.2.1.3.2.3.4. mkhas rmongs kyi 'khrul pa'i khyad par dpes bstan pa 147v, 3.527-531
- 3.2.2.1.3.3. glo bur gyi chos la brtag dpyad bya bar gdams pa
- 3.2.2.1.3.3.1. glo bur gyi man ngag la brtag dpyad bya ba 148r, 3.532-537
- 3.2.2.1.3.3.2. glo bur gyi mdo rgyud la brtag dpyad bya ba 148r, 3.538-544
- 3.2.2.1.3.3.3. glo bur gyi rten la brtag dpyad bya ba 149v, 3.545-549
- 3.2.2.1.3.3.4. glo bur gyi ltas la brtag dpyad bya ba 150r, 3.550-555
- 3.2.2.2. tshig la 'khrul pa dgag pa
- 3.2.2.2.1. dor bya nor ba'i bshad pa dgag pa
- 3.2.2.2.1.1. bod skad la bshad pa nor tshul 150r, 3.556-560
- 3.2.2.2.1.2. rgya gar la bshad pa nor tshul 150v, 3.561-582
- 3.2.2.2.2. blang bya ma nor ba'i bshad pa bsgrub pa 151r, 3.583-585
- 3.2.3. bshad pa yongs su rdzogs pa'i bya ba
- 3.2.3.1. bstan bcos brtsam pa'i rgyu ngos bzung ba
- 3.2.3.1.1. dmigs rkyen bstan pa'i 'phel 'grib byung tshul
- 3.2.3.1.1.1. 'phags pa'i yul du 'phel 'grib byung tshul
- 3.2.3.1.1.1.1. nyan thos kyi bstan pa la bka' bsdu byung tshul
- 3.2.3.1.1.1.1.1. bka' bsdu dang po byung tshul 151v, 3.586
- 3.2.3.1.1.1.1.2. bka' bsdu gnyis pa byung tshul 152r, 3.587-588
- 3.2.3.1.1.1.1.3. bka' bsdu gsum pa byung tshul 152v, 3.589-599
- 3.2.3.1.1.1.2. theg chen gyi bstan pa la 'phel 'grib byung tshul
- 3.2.3.1.1.1.2.1. 'grib pa'i tshul 153v, 3.600-601
- 3.2.3.1.1.1.2.2. dar ba'i tshul 154r, 3.602
- 3.2.3.1.1.1.2.3. de'i rjes su byung tshul 154r, 3.603
- 3.2.3.1.1.2. bod kyi yul du 'phel 'grib byung tshul
- 3.2.3.1.1.2.1. bstan pa snga dar gyi byung tshul 154v, 3.604
- 3.2.3.1.1.2.2. phyi dar gyi byung tshul 155r, 3.605-609
- 3.2.3.1.1.2.3. skabs kyi dgag bya'i chos log byung tshul 155v, 3.610-612
- 3.2.3.1.1.3. des na chos log sun dbyung dgos pa
- 3.2.3.1.1.3.1. phyogs chos bsgrub pa 155v, 3.613-614

- 3.2.3.1.1.3.2. khyab pa bsgrub pa 155v, 3.615
- 3.2.3.1.1.3.3. rtags 'god pa 155v, 3.616
- 3.2.3.1.1.3.4. don bsdu ba 156r, 3.617
- 3.2.3.1.1.4. gtan gyi bya ba brtags nas blangs pa
- 3.2.3.1.1.4.1. gtan gyi nyams len brtags nas blang ba 156r, 3.618-621
- 3.2.3.1.1.4.2. gtan gyi skyabs gnas brtags nas blang ba 156r, 3.622-624
- 3.2.3.1.2. kun slong zang zing med pa'i byams pa
- 3.2.3.1.2.1. phan par bsam pas zang zing med pa
- 3.2.3.1.2.1.1. mdor bstan pa 156r, 3.625-627
- 3.2.3.1.2.1.2. rgyas par bshad pa
- 3.2.3.1.2.1.2.1. phan pa sdang sems yin na ha cang thal
- 3.2.3.1.2.1.2.1.1. bstan 'dzin la ha cang thal ba 156r, 3.628
- 3.2.3.1.2.1.2.1.2. ston pa la ha cang thal ba 156v, 3.629
- 3.2.3.1.2.1.2.1.3. long 'khrid la ha cang thal ba 156v, 3.630-631
- 3.2.3.1.2.1.2.1.4. sman pa la ha cang thal ba 156v, 3.632
- 3.2.3.1.2.1.2.1.5. don bsdu ba 156v, 3.633
- 3.2.3.1.2.1.2.2. bdud rigs pham pa sdang sems min par bstan pa 156v, 3.634-637
- 3.2.3.1.2.1.2.3. kun slong zang zing med par bstan pa dngos 156v, 3.638-642
- 3.2.3.1.2.2. by ba 'os pas zang zing med pa 156v, 3.643-647
- 3.2.3.1.2.3. gzhan la bskul bas zang zing med pa 157r, 3.648-649
- 3.2.3.1.3. bdag rkyen phyogs lhung med pa'i shes rab
- 3.2.3.1.3.1. tha snyad mang du thos pa 157r, 3.650
- 3.2.3.1.3.2. mdo sde man du thos pa 157v, 3.651
- 3.2.3.1.3.3. rgyud sde man du thos pa 157v, 3.652
- 3.2.3.1.3.4. man ngag man du thos pa 158r, 3.653-659
- 3.2.3.2. 'bras bu bstan bcos gzung bar gdams pa 159r, 3.660
- 3.2.3.3. gzung bya'i chos kyi che ba brjod pa 159r, [4].1-2
- 3.2.3.4. brtsams pa'i dge ba gzhan don du bsngo ba 159v, [4].3
- 3.2.3.5. bka' drin rjes su dran pa'i phyag 159v, [4].4
- 3.3. byas shes drin bzo bskyed pa'i ched du mdzad byang smos pa 159v, colophon

Object, Volitional Impulse, Preparation, and [Post]-Completion

In the *Tibetan-Chinese Dictionary*, four aspects of a complete action are found under the heading "the four branches of karma" (*las kyi yan lag bzhi*), namely *gzhi*, *bsam pa*, *sbyor ba*, and *mthar thug*, but this seems to be a later terminological usage, perhaps as late as the Tibetan commentarial literature. Before that one finds some of these terms in the *Abhidharmakośabhāṣya*, for example that in the case of "killing" the activity of killing must not miss the victim that is aimed for, i.e. the "basis" (Tib. *gzhi*), there must be a clear volitional impulse (*bsam pa*)—the murderer kills deliberately (Skt. *saṃcintya*, Tib. *ched su bsam nas*, for which see IV, 73ab), the preparations (*sbyor ba*) must be complete, that is, for example, someone desiring to kill an animal gets up to buy one, leads it home, etc., and finally raises the sword and beats it on the head. All this is "preparation" (Skt. *prayoga*). The stroke that actually deprives the animal of its life is the actual act (of killing) (Skt. *maula*). Anything he does after that—cooking, eating, praising the taste, etc.—is the action of [post]-completion (Skt. *prṣṭha*). For these three, see *Abhidharmakośabhāṣya*, after IV 68c, (Pradhan, 239, 11 ff., P vol. 115, 232a7 ff.).

Tibetan authors seem to refer mainly to the vinaya. Kong-sprul makes a few interesting remarks on these concepts in *Shes bya mdzod*, vol. 2, p. 52 ff. On pp. 53 f. he mentions nine branches: "introduction" (*gleng gzhi*), "disadvantages" (*nyes dmigs*), "result" (*'bras bu*), (p. 54) "volitional impulse" (*bsam pa*), "preparation" (*sbyor ba*), "[post]-completion" (*mthar thug*), "cure" (*sel ba*), "without infraction" (*lung med*), and "training" (*bslab bya*). These are, according to him, to be known chiefly from five works:

- 1) Bu-ston Rin-chen-grub (1290-1364), *'Dul ba'i mdo nam par 'byed pa*;
- 2) Mi-bskyod-rdo-rje, Karma-pa VIII (1507-1554), *'Dul ba nyi ma'i dkyil 'khor*;
- 3) Lo-chen Dharmashrī, our present text;
- 4) 'Brug-chen Padma'-dkar-po (1527-1592), *sDom pa gsum gyi rgyan*;
- 5) "rJe-dbon Karma's *sDom gsum*," i.e. dPal-spungs dBon-rgan Karma-nges-legs-bstan-'dzin? (See chapter 12).

In his account, however, Kong-sprul, too, describes in more detail only that which is "absolutely necessary to be known" (pp. 54-55), i.e. what is seemingly a summary of the above nine concepts from the great vinaya commentaries, namely the four *gzhi*, *bsam*, *sbyor ba*, and *mthar thug*, as we also find them in Lo-chen Dharmashrī's text. Padma-dkar-po, *sDom pa gsum gyi rgyan*, vol. 5, p. 102, also has a section

where he explains the four infractions entailing expulsion in detail. He begins the first, "unchastity" (*mi tshangs spyod pa*), with a detailed "introduction" (*gleng gzhi*, p. 102.4—it presents the reason why the rule was pronounced by the Buddha, i.e. the *bslab pa bca' ba'i rgyu*). On p. 108 he enumerates eleven (sub)-branches (*yan lag*) in total, but these are clearly based on our previous four (main?)-branches:

1. *gzhi'i yan lag*, which consists of two sub-branches, i.e. "support" and the "supported" (*rtan dang brten gyi yan lag*), which again includes respectively, four and two sub-branches, i.e. a total of six sub-branches;
2. *bsam pa'i yan lag*, consisting of three sub-branches;
3. *sbyor ba'i yan lag*, and
4. *mthar thug gi yan lag*, each being without further sub-branches, i.e. eleven branches altogether.

Of the other branches mentioned by Kong-sprul, I could so far only identify a short discussion on "disadvantages" (*nyes dmigs*) on p. 117. Instances of being without infraction (*lung med*) are discussed throughout that passage without being a distinct topic.

The second infraction entailing expulsion, stealing (*ma byin pa len pa*), begins with the *gleng gzhi*-section on p. 119. On p. 122 ten(?) branches are listed, which are again based on the four (main?)-branches:

1. *gzhi'i yan lag*, which consists of five sub-branches;
2. *bsam pa'i yan lag*, which consists of three sub-branches;
3. *sbyor ba'i yan lag*, and finally
4. *mthar thug pa'i yan lag*, (without sub-branch?).

Thus Padma-dkar-po bases his explanation of the four infractions entailing expulsion (*phas pham pa*) on the same four branches that were later utilized also by Lo-chen Dharmashrī in order to explain the state of harmony of the vows of a Tantric adept with the prātimokṣa vows. See also Bu-ston, '*Dul ba'i mdo mam par 'byed pa*, p. 288 ff., and bDud-'joms Rinpoche, *sDom gsum mam nges 'bru 'grel*, pp. 114/115.

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INDEXES

1. English Terms and Sanskrit Proper Nouns and Terms

abandoning

alcohol 37 85, 276; as such 311; continuation and sameness of ~ 312; harm for others 429; killing 312, 345, 345 732; of actions 443; on the level of each vow 312; one's own peace and happiness 91 249, 311; not ~ the greater for the smaller 207; stealing 312; the four infractions entailing expulsion 431; the greater for the smaller 207, 211; the higher 207; the lesser in favour of the greater 318; the lower volitional impulse of an auditor 317; the two obscurations 441 947

abbot 181 492, 182 493, 195, 217 f., 231, 231 578, 326, 332, 335, 351 748

Abhayākara Gupta 18 52, 31, 34, 52 162, 57, 220, 278, 278 619, 279, 279 620, 284, 289, 297, 299, 346 f., 347 735

Abhidharma 28, 77, 217, 221, 396 upper and lower ~ 218

Abhidharmikas 243

ābhiprāyika (see: intentional)

abhisamḍhi (see: intentions, four hidden)

absolute

level 437; truth (*paramārthasatya*) 5, 51, 59 178, 437

absorption of body and mind enhanced 441 947

abusing women 69, 69 203, 72 f., 109, 111, 223, 228, 235, 245, 251

ācārya (see: master)

Ācārya dMar-po (see: Red Master)

accretions, later 305, 318 ff.

accumulation (of merit and gnosis) 327, 429, 431, 441 947

adravyāntaratva (see: entity that is not distinct)

adventitious possession of faults 371 affliction 2 1

afternoon-meal 37 85, 222, 224, 247, 276, 320, 441 947

Ajātaśatru 435

Ajñāta 47 133

akaniṣṭha 50

akṣarārtha (see: meaning, literal)

Akṣobhya 383 836

ālayavijñāna (see: consciousness, basic)

alcohol

abandoning ~ 37 85, 276; as enjoyment 441 947; as impure gift 161; craving for ~ 352; drinking ~ 326, 379, 381, 385; drunk with ~ 351, 379, 383; forbidden in Tantric rituals 351 748; in Mantra practice 351, 381; incompatible with the Vinaya 375; prohibited 373; transformation of ~ 84, 351 f., 367, 379, 381; use of ~ 351 748, 377

allusion 377

allusive words 379

alms bowl 226, 249

amanasikāra (see: mental inactivity)

Amithāba 161, 383 836

Amoghasiddhi 427

analogy 234

argue by ~ 69, 74, 109, 111, 247, 251

Ānanda's two nephews 43, 43 121

anāsraveṇa saṃvara 9 20

- Anāthapindada 38
 Ancient Tradition 393
 Ancient Translation School 391 f.
 androgyny, developing 167
 animals 37, 359
 antidote 159, 284, 299, 314
 accomplishing the ~ 361; against
 basic consciousness 82; making use
 of ~ 355; producing ~s 235,
 267; to be practiced 299
 appearances
 controlling of ~ 385; power over
 ~ 383
 apperceptions, three 431
 application (*sbyor ba*) 209
 apprehending mode for vows 79, 117
 arhat 62, 173, 385, 387, 387 850
 Ceylonese ~ 333
arthāntarābhiprāya (see: intention that
 has in mind a different meaning)
 artificial teachings 331 682
 Āryadeva 157 394
asaṃmukhībhūta (see: "unmanifested")
 Asaṅga 38 93, 49, 80, 117, 182
 asceticism, limb of 276
 Aśvaghōṣa 34, 60, 69 204, 85, 85
 238, 86, 127
 Atiśa 12 f., 13 33, 44 f., 50 f., 53,
 55, 59, 64 194, 80, 93 253, 117,
 182, 276, 348 738, 413 893
 attachment
 pure ~ 433; [to] ordinary appear-
 ances 419; to [that which is by
 nature] unattached 433
 attentiveness, limb of 276
 auditors 10, 159, 209, 233, 235, 238,
 249, 317, 346, 387, 409, 419,
 431, 441
 conduct of ~ 96, 155, 443; outfit
 of ~ 219, 226; *prātimokṣa* of ~
 89 ff., 91 249, 92, 92 250, 137,
 139, 141, 143, 145, 228, 232,
 316, 417
 not suitable as basis 135
 ritual of ~ 38 f.; system of ~ 157,
 226, 237, 445; spiritual result of
 ~ 346; training of ~s 92 251,
 135, 145, 187 508, 235, 251, 316
 f.; vehicle of ~ 191, 243, 359,
 435
 austerity 355
 authoritative statements (*lung*) turned
 around 375
 Avalokiteśvara 157, 332
avatāranābhisamāhi (see: intention
 with regard to introducing, hidden)
avijñaptirūpa (see: non-information)
āyatana 59
avyāmiśra (see: three vows unmixed)
 awareness 89, 267
bases, three 68, 68 200, 107, 409
 882
 benefit (for others) 3, 55, 80, 80 222,
 85, 89, 94, 117, 133, 135, 143,
 191, 193, 221, 236, 243, 267, 275
 f., 295, 307, 311, 323, 343 724,
 385, 403, 409 884, 415, 419, 429,
 431, 439, 443
 benefit for sentient beings 91 249, 92
 250, 93 256, 94 ff., 147, 149, 155,
 157, 188, 190, 203, 205, 276, 276
 612, 343 724, 345 732, 355, 385,
 443
 Bhadrā 435
 Bhadravargas, sixty 47
bhagavant 359, 371
Bhavyakīrti 350 743
bhikṣu (see: monk)

bīja (see: seed)

blessing 89 243, 135, 161, 222, 247, 322, 381, 435

bliss

and emptiness 161, 280, 291;
drunk with ~ 351, 379; enjoyment
of ~ 173; experiencing ~ 188,
203, 291, 441 947; gnosis of great
~ 433; *samādhi* of ~ 367; taste
of great ~ 377; transformed into
the path 280, 291

Bodhibhadra 52

elder ~ 80, 117

bodhicitta (see: resolve for awaken-
ing)

bodhimāṇḍa (see: seat of awakening)

bodhisattva 15, 55, 92, 139, 157,
159, 187 508, 196, 201, 205, 207,
215, 234 ff., 265, 267, 316 f., 342
f., 346, 355, 357, 385, 409, 409
884, 419, 429, 439, 443, 445
beginner ~ 123; ethics 3; extra-
ordinary ~ 232; volitional impulse
of ~ 94 258, 235, 317; of uncer-
tain family 123; *piṭaka* 197, 215;
prātimokṣa 89 ff., 91 249, 92 250,
133, 137, 139, 145, 228, 235,
311, 317, 317 656

as the basis for the Mahāyāna
vows 133

relation of ~ practice with Vinaya
4; system of ~ for taking vows
39; three aspects to ~ ethics 3;
training 135, 251, 283, 316, 340,
394, 427, 427 913, 439; wider
scope of ~s 311

bodhisattva vows 2, 7, 9 ff., 30, 36,
39, 56, 68, 68 202, 79 f., 84 f., 89
f., 91 249, 92, 93 254, 94, 96,
101, 103, 107, 117, 123, 125,

127, 133, 139, 141, 143, 145,
165, 205, 221, 225, 227, 232 f.,
238, 243, 251, 259, 261, 265,
267, 275, 287, 291, 295, 297, 317
656, 325 ff., 355, 359, 361, 403,
411, 415 896, 417, 419, 419 903,
421, 423 909, 425, 429, 431, 439
accepted until full awakening 407;
adverse conditions for ~ 53; aris-
ing as section of morality of Man-
tra and as nature of Mantra vows
403 877; as a particular instance of
the *prātimokṣa* vows 80 222, 94,
94 258, 143; as basis for Mantra
practice 96; a specific feature of
the mind 205; as arising from mind
50 144; as non-material 50, 50 144;
as self-obtained 91 249; as the
practice of the Perfections Tra-
dition 145; as the same nature as
the *prātimokṣa* vows 311; as the
support for the Mantra vows 287,
297; as the uncommon vow 261; as
the wish to benefit others and to
enter into beneficial activities 89,
133; basis for ~ 51, 93 253; 133
ff.; becoming improved 222, 245,
321; beings who are the support of
the ~ 50; benefits of ~ 127, 173;
blessed though the deity 135;
causes for losing ~ 54 f., 96, 149,
151, 407; continuation of ~ 15;
defects of transgressing ~ 84;
duration of ~ 53 f., 60, 101, 189,
203, 299; endowed with the means
that take the fruit as the path 145;
four roots of ~ 91 249; for the
sake of others alone 44; first trans-
mission of ~ in Tibet 11 26; fun-
damental transgression against ~

95, 123, 147; harm through damaged ~ 84, 169; ignoring ~ 207; improving ~ 222, 245, 321; in action and practice tantras 60 181; in their most rudimentary sense 71; included (in the pledges of Mantra) 74, 427; internal conflict between *prātimokṣa* and ~ 207; loss of ~ 70, 73, 103, 111, 123, 151; maintaining ~ 159; morality of ~ 81, 235, 265, 267, 317; nature of ~ (see: nature); nineteen roots of ~ 91 249; not contravening ~ 209; not losing ~ after losing monk and Mantra vows 149, 151; not lost through death 407; not maintained 84; not preceded [by] *prātimokṣa* 139, 153; not observed separately ~ 427; observing the ~ 291, 322; observing the ~ incidentally 326 f., 425, 427; obstacle for ~ 191; obtained by meditating the presence of Buddhas and bodhisattvas 52; obtained through an initiation 53, 71, 147 380; obtained through the ritual of a master 51, 101; obtained without preceding *prātimokṣa* 93, 93 253, 139; obtaining ~ 50, 53, 265; of the same nature as the Mantra vows 53; of the three traditions 396, 396 871; opposing factors of ~ 267; pervaded by the *prātimokṣa* vows 85; persistence of ~ 101, 167; possession of ~ 222, 245; practice of ~ 161; preeminence of the higher ~ 291, 322; qualities of ~ 269, 322; repairing ~ 209; ritual of ~ (see: ritual); ritual of ~ of the Mādhyā-

mikas and of the followers of Cītamātra 51, 53, 137; special characteristics of ~ 276; supported by the *prātimokṣa* vows 287, 297; support of the ~ 50, 190, 287, 297; taken until awakening is obtained 50, 53; to be carefully maintained and continued in Mantra 15, 70; training of ~ 324; transformed from the *prātimokṣa* vows (see: transformation); transformed through initiation 94, 135, 147 380; transgression against ~ 209; turning into Mantra vows 13 36, 84; twenty roots of ~ 91 249; quality of ~ 92 250, 322; vital common point between ~ and the *prātimokṣa* vows 188

body, worshipping the 63

bone trumpet 249, 257

Bon-po 281

brahmacārīn (see: householder, celibate)

Buddha-*bhūmi* 340

Buddhaguhya 57

Buddha-nature (*tathāgatagarbha*) 348, 369 782, 371 786, 373

butter 276, 383 838

Cakrasaṃvara, five-deity 347

Candragomin 51, 91 249

Candrakīrti 43, 350 743

Candraprabhākumāra 261, 280 (see: sGam-po-pa)

capacity

higher ~ 14, 393; inferior ~ 13 33; lower ~ 393; medium ~ 393, 403 877

castes of India, four (*catvāro varṇāḥ*)

56

catuṣpariśa 67 198*catvāri vaiśāradyāni* (see: four kinds of fearlessness)

Causal Vehicle of Non-Tantric Doctrine 367

cause of the birth of beings 343 f.

chomā (see: symbolic language)

Cittamātra 46, 51 ff., 101, 243, 396 871

code language 350

colophon 182, 184, 274, 274 607, 393, 394 863, 397, 397 874

commemoration of the guru's passing away 223 558

common basis (in logic) 423 909

compassion 159, 178, 291, 322, 332, 417, 435

compilation of fragments 194

conception (*saṃjñā*) 40

condition (for liberation) 347, 367

confession 193, 209 539, 307, 319, 323

bimonthly ceremony of ~ 191;

formal ~ 193; public (*poṣadha*) 137, 137 373, 387, 393; ritual of ~ 223 558, 277

confidence 359, 361

conflict

between vows 15, 192, 197, 207, 211, 215, 227, 236, 238 f., 261, 267, 278, 281, 284, 287, 289, 291, 299, 301, 303, 307, 319, 321 f. (see also: internal contradiction); with vows 222, 224, 247, 322

consciousness (*vijñāna*) 50 144basic ~ (*ālayavijñāna*) 42 f., 78 ff., 82 f., 115, 119, 121, 229, 253, 411, 421, 421 906; mental stream of ~ 41 f., 75 ff., 79, 83,94, 101, 113, 115, 119, 121, 143, 145, 147, 155, 161, 207, 209, 211, 215, 233, 235, 239, 261, 267, 277, 287, 295, 297, 317, 325, 395, 397, 401, 403, 405, 407, 411, 413, 421, 421 905, 425, 441 947; of a bodhisattva 261, 317; of an ordinary being 74, 113; of a saint (*ārya*) 74, 113; only one ~ 190, 205; previous ~ 74; sentient being's ~ 74 f., 113; thought-perceiving ~ 190; transference of ~ 332consequence (*prasaṅga*) 72 f., 77, 79, 87, 119, 119 331

three ~ s 115

constituents of the person, five (*skandha*) 59, 167, 188 509, 373, 377

continent

four ~ s 37; Northern ~ 37, 205;

three ~ s 36 f., 99, 133, 205

convention, complying with 236

conventional level 222 ff., 225, 236,

247, 281, 307, 321

corrections, secondary 25

creator 343

crown ornament of skulls 249, 257

cultivation of realization 87

cycle of the bKa'-gdams-pa's teachings 392

dagger (*kīla*) 249, 257

Dalai Lama

Fifth ~ 14 38, 396, 396 870; (Fourteenth) ~ 8, 177 477

daśatathāgatabalāni (see: ten powers of the Tathāgata)

death, moment of ~ 64

debate 11, 78, 87, 397

deceiving the preceptor 54
 deceit, approaching others with 54
 dedication of virtue 159
 defeat, (total logical or dialectical) 76,
 78, 86, 115, 127
 defilement (*kleśa*) 2 1, 4, 6, 59, 59
 177, 159, 161, 361, 407, 409, 433
 930
 abandoning ~s 407, 409; act with
 ~ 3; actions motivated by ~ 361;
 adventitious ~ 348, 369; arising
 as ~ 433 930; as the basis of great
 gnosis 348; as the cause for birth
 343; as the three poisons 359, 361;
 avoiding each ~ 163; "being com-
 pletely wrapped up" (*paryava-*
śhāna) 77; concrete ~ 301; con-
 trolled in a more specific way 94
 258; deeds of ~ 431; elimination
 of ~ 345; free from ~ 159; iden-
 tifying, controlling, and overcom-
 ing of ~ 2; in an "awakened
 mode" (*prabuddha*) 77; in a
 "sleeping mode" (*prasupta*) 77;
 involved with ~ 3; latent (*anuśā-*
ya) 77; "manifested" (*sammukhī-*
bhāva) ~ 77; māra of ~ 345,
 359, 371; moral defects of ~ 159;
 not abandoning ~s 359; not bound
 by ~s 301, 409, 433 930; of a
 temporary nature 341; of desire
 355; of hatred 355; of ignorance
 343, 357; ordinary ~ 417; out-
 shining ~s 327, 429; propensities
 of ~ 159, 327, 429; ridden exis-
 tence 367; stained through ~ 327;
 taking ~s as the path 407, 409;
 temporary ~ 348; [that which has]
 the ability to arouse ~

(*kleśotpādanas'akti*) 77; three ~s
 389; to be prevented 391; transfor-
 mation of ~s 342, 347, 407, 409,
 409 884; "unmanifested" (*asammu-*
khībhūta) ~ 77; viewing ~ as
 gnosis 409

deity

and gnosis 161; appearance of the
 ~ 89 243; body of the ~ 161,
 361; everything is understood as
 ~ 439; form manifested as ~
 188; form of ~ 135; maṇḍala of
 ~ 373; *nirmāṇarati* ~s 437;
 notion of ~ 433, 433 930; of me-
 ditation (*yi dam kyi lha*) 63, 159,
 169, 332; practicing the ~ 373,
 433 930; realizing ~ 351; self-
 identification with ~ 443; three
 ~s 383

delimitation (from other traditions)
 305, 324 f.

delusion 357

dependent origination (*pratītyasmut-*
pada)

absolute nature [of] ~ [of] all
 phenomena 337 709; insight into ~
 332; of causes and results 348,
 373; outer and inner ~ 59, 332
 686; vital points of ~ 340

desire 351, 359, 361, 377, 409

arising of ~ 159; as establishing
 liberation 443; as such 429; be-
 coming fettered through ~ 443;
 clear visible nature of ~ 161;
 concrete ~ 327, 429; defilement
 of ~ 355; for sense-objects 387;
 free from ~ 303, 441; king of
 highest ~ 433; not abandoning ~
 373; of others taken upon oneself;

- ordinary ~ 433; path of ~ 12;
 purified 441 947; taste of ~ 433;
 thought of ~ 435; transformation
 of ~ 367
 destroyer of the four mārās 371
dharmas 101
 characteristics of ~ 350; sameness
 of all entities or ~ 333
Dharma
 abandoning ~ 60; name 226;
 notion of ~ 433, 433 930; robes
 226, 249; root of ~ , 62, 103;
 wheels of ~ 339, 363; wheel of
 definite meaning 371
 *Dharmadāsa 21
 Dharmadinnā 47
dharmakāya 63, 103 282, 159, 349,
 367
 Dharmakīrti 336
 Dharmapāla (Indian Vinaya master)
 396 870
dharmatā 159, 348, 371, 409, 409
 884
 Dharmodgata 385, 385 845
dhātu and gnosis 159
dhyanasamvara 9 20
 dialectician (*mushan nyid pa*) 367 771
 Dingpa 183
 Dipaṅkara (see: Atiśa)
 discursive development 336
 disgusting things 387
 dispraising those who have entered
 the Mahāyāna 54
 distinctive aspect 230 576, 279, 289,
 399, 405 880, 415, 421 905, 431
 common basis for ~ 7; lost
 through death 407; not lost at the
 time of death 407; of the duration
 407, 421 905; of the prātimokṣa
 vow 407; of the resolve for awak-
 ening 407; unmixed ~s 7, 230,
 238, 249, 257, 279 ff., 289, 293,
 301, 313, 395, 401, 405, 421, 423
 909; without common basis 405,
 425
 distinctive factor 310, 313
 distinctive identity 306, 310
 distraction and unconsciousness 42
 doctrinal
 influence 347; interpretation and
 restatement 317
 doctrine, developed or refined 71,
 273, 329 (see also: theory, refined)
 doer (*byed pa po*) 161 396
 Dombhiheruka 183
 dreamlike behaviour 433
drṣṭadharmā (see: stage of the present
 life)
 drunk 351, 379, 383
Earth-throne 218
 ecumenical leaning 218
 ecumenical movement 282, 282 627
 editorial activity 184, 194
 effortless activities 429
 eight "smaller" bKa'-brgyud-pa
 schools 182 495
 elapse of night 167
 elements (*dhātu*), eighteen 59
 elixir (see: example of the elixir)
 emphasis 307, 312 f., 326, 345
 emotions, violent unwholesome 149,
 151
 emptiness 4, 161, 307, 343, 347 f.,
 357, 367, 373
 enemies of the teachings 12
 enjoyment 173
 alcohol as ~ 441 947; of great
 bliss 173; of magical creations 437
 940; of the objects of the senses

441 947; of the self-illuminating substances 437

entities (*rdzas*)

distinct ~ 14 f., 50, 72, 75, 78 f., 86 f., 113, 115, 129, 415, 419, 419 903; essentially identical ~ 79; of the lower vows 323; particular ~ 215; sameness of all ~ or *dharma*s 333; three distinct (vow) ~ 77, 115; transformation of ~ 405 880; vow ~ 421; vows as ~ 223

entity

increasing ~ 421; is most important 433; is not established 435; obtained through a ritual 76 213; of its own 77; of monk vows 423; of the conditioned factor 49; same entity in Mantra 405 880; single ~ 72, 75 f., 113, 229 571, 295; superior ~ 323; that is not distinct (*adravyāntaratva*) 77; that is the nature of a vow 421 905; vow ~ 75 f.

equanimity 429

equipment (of practitioners) 226

etymological

explanation 371 789; sense 369

etymology 345, 367 771, 371 790

evocation 38 95

example

of a jewel, a tub, and water 147, 231, 257, 279, 289, 303, 413; of a turquoise or a gold amulet (see: example of an ornament); of an ornament 147, 255, 278, 279 620, 289, 299, 313, 346 (f.), 365; of an illusory man who undertakes copulation with a woman that appears as an optical illusion 435; of food

that is eaten by different persons 347, 365; of getting barley from the seed of buckwheat 369 781; of iron (transformed into) gold 229; of prohibited meals after noon and tantric feasts at night 224, 247; of tactile contact with and abuse of women 223; of the basic consciousness (transformed into) the mirror-like gnosis 229, 253; of the elixir 68, 75, 85, 92 251, 107, 125, 135, 145, 147, 228, 232, 234, 251, 253, 265, 301, 308, 311, 314 651, 315, 318, 419, 423; of the goose 190, 191 511, 205; of the sun, moon, and stars 79, 81, 117, 121, 147, 279, 289, 299, 325, 411, 413, 413 892; of the three-thousand rolls of silk-cloth 369; of water and milk (mixed together) 190, 205

excrement 352, 381

exegesis, "four ways" of Tantric 350, 350 743, 375

existence, non-existence and anything inbetween 437

expulsion from the order (*pārājika*) 52, 52 161, 167

factors, four 59

faculties, sharp or dull 59, 59 177

faith 445

final meditative concentration (*vajropamo nāma samādhi*) 50 f.

five certainties 231 577, 257

five founders of the Sa-skyapa tradition 227

five kinds of flesh 367

five kinds of fluids 367

five treasures

(of Kong-sprul) 282; of Maitreya 26

Fonds Migot 179

form manifested as deities 188, 203

forms, pleasing 385

four black factors 54 f., 101

four brahmic states 351, 377

four kinds of fearlessness (*catvāri vaiśāradyāni*) 343 ff., 357, 387

four major and eight minor [schools] 301

four tenets 393

four types of persons 64

four ways (see: exegesis, four ways of)

fresh [wet] skin 249, 257

fruit (= result) 87 f., 129, 153

of arhatship 173; of desire 161; of Mantra 192; of resolve 407; of the lower vehicles 320; of the one vehicle 192; taking the ~ as the path 71, 92 250, 93 257, 94, 94 258, 139, 143, 145, 295, 348, 367 771, 373; three types of ~ 64; ultimate ~ 348, 369

full monk (see: monk)

garbhin (see: hidden meaning)

generosity 161

gestures 350

Ghaṇṭāpāda 347

gifts 161

gloss 191 511, 215 542, 357 754

gnosis 159, 347 f., 375, 433

accumulation of merit and ~ 327, 429, 431; attainment of ~ 343; connate ~ 62, 105; deity and ~ 161; *dhātu* and ~ 159; highest ~ 105; mirror-like ~ 229, 253; of

great bliss 433; of not dripping [the semen] 433; of the appearance of the deity 89 243, 135; of the Buddha 74 f., 113, 352, 387, 421, 421 906; play of ~ 367; simultaneously arisen ~ 190, 205, 379; that perceives what is and what is not possible (*sthānāsthānājñānabalam*) 343, 352, 357, 371, 373 794, 387

guhyābhiṣeka (see: initiation, secret) guru

compiling the teachings of ~ 335; instruction of ~ 143, 161, 342; intact lineage of ~ 59; offending the ~ 383; qualities of ~ 59

harm

abandoning/avoiding ~ 40, 80, 85, 91 249, 92 250, 94, 117, 127, 143, 295, 419, 425, 429; abstaining from ~ 93 256, 133, 135; because of damaged vows 169; completely adverse to ~ing others 188, 203; elimination of 127; gifts that cause ~ 161; restraint with regard to ~ 36, 99; to ~ others/sentient beings 60, 80 222; turning away from ~ing sentient beings 431

hatred 355, 359, 361, 409

not abandoning ~ 373; transformation of ~ 367

headings 185, 188 f., 191 ff., 196 ff., 203, 306, 318 ff., 323

hell 123, 171, 351, 359, 377, 381, 383, 387 850

hidden

intentions (see: intentions); (mean-

ing) (*garbhin*) 349 f., 375, 387;
 pith instructions 375
 Hīnayāna 44, 85, 123, 247, 261, 265,
 275, 291, 316
 historical
 connection 347; development 1,
 16, 192, 381; interpretation 15 f.;
 key relationships 220; perspective
 305; process 2; truth 19
 history, early Tibetan Buddhist 12
 householder (*upāsaka*) 42, 107, 178,
 221, 226, 277 614
 as basis for Mantra practice 409
 882; celibate ~ (*brahmacārin*) not
 allowed to take *guhya* and *praj-*
 nābhīṣekha 13 33; male and female
 67 198-199, 68, 68 200, 275 f., 243
 592; monks (*ser khyim pa*) 13, 14
 37, 324, 325 665; practice of ~ 37
 85; qualities of ~ 44; taking
 higher vows 10, 10 22, 201; taking
 Mantra vows 141; vows 9, 48, 71,
 82 233, 159, 243, 275 f., 277 615,
 331, 391, 396, 403 877, 425; yel-
 low ~ (see: householder monks)
 "howness" [ultimate reality] 357
 hungry ghosts 359
 Ignorance 351, 357, 359, 361, 377
 not abandoning ~ 373; trans-
 formation of ~ 367
 illusion
 appearing as an ~ 435; magical ~
 435; optical ~ 435, 437
 illusory
 body 64; magician 435
 image of the Buddha 51 f., 101
 impediments 387
 inclusion

of abandoning harm in benefitting
 others 429; of abandoning harm
 and benefitting others in accom-
 plish the deeds of the Buddha 429;
 of all morality and vows in the
 pledges 429; of all vehicles within
 one 192; of lower fruits within
 higher 320; of lower pledges
 within higher 427; of lower vows
 within higher 10, 186, 186 505,
 187, 287, 297, 321, 326 f., 427
 913, 431
 incompatibility 1, 80, 135, 245, 247,
 271
 internal ~ 223, 245; lack of ~
 through the vital points of the vows
 431, 441; of a sentient being's
 consciousness with the Buddha's
 gnosis 75, 113; of auditor and bo-
 dhisattva *prātimokṣa* 145; of con-
 sciousnesses 74, 113; of entities
 113; of higher and lower vows 79,
 113; of manifested and dormant
 vows 81; of outfits 226, 231, 257;
 of *prātimokṣa* and third initiation
 409 882; of support and inclusion
 87; of the apprehending mode 79,
 117; of the lower vows with the
 higher 81, 191; of the natures (of
 vows) 188 ff., 205; of the things to
 be abandoned 345 f., 363; of the
 things to be obtained 363; of the
 three vows 9 f., 189, 203, 223,
 301, 306 f.; of transformation and
 same nature 229, 238, 253, 308; of
 things 74, 111, 113; with a perma-
 nent Buddha-nature 373; with
 "same nature" 293; with the bodhi-
 sattva's resolve 55; with the Bud-
 dha's gnosis 371, 373 794; with the

- five pledges 431; with the (highest) tantras 226, 249, 237; with the Mantra 301, 322 f.; with the nature of cause and effect 373; with the prātimokṣa 191, 301, 323; with the Vajra Vehicle 224 f.
- Indian
compositions 35; opinion/system/tradition (of the three vows) 232 579, 259, 278, 284, 287, 297, 319, 321; works 17
- Indrabodhi 385, 387
- Indrabodhi, the lesser 183
- Indrabhūti 393, 403 877
- indranīla* [jewel] 257, 279, 289, 303
- information (*vijñaptirūpa*) 41, 41 108
- infractions
entailing expulsion 95, 95 261, 96, 123, 147, 149, 151, 167, 236, 269, 322, 357, 429, 431, 435; fundamental ~ 169, 171, 357, 375; of lower vows 327; of "unchastity" 429; outshining ~ (see: outshining)
- initiation (*dbang bskur*) 59 178, 71, 90, 93 254, 94, 96, 135, 147 380, 217, 221, 227 f., 234, 251, 263, 308, 310 f., 363, 392, 396 f.
entering part of an ~ 62; for celibates 13 33; four ~s 13 36, 84, 159, 163, 245, 324; fourth ~ (*catvāṛthābhiṣekha*) 62, 62 188, 105; in the Vinaya 46; obtaining ~ 64, 105, 141, 215, 265, 309, 315, 393, 403 877, 411, 419; obtaining ~ first 403 877; obtaining vows through ~ 149, 153, 222, 245, 245 593, 259 603, 261; of the nine vehicles 11; of the vajra master 80, 119; preparation [of an ~] 62, 71; repairing transgressions against Mantra with ~ 209; requesting ~ 141; secret ~ (*guhyaābhiṣekha*) 13 33, 62 188; third ~ 105, 409 882, 429, 441 947; three ~s 427 913; vase ~ (*kalasābhiṣekha*) 62 188; wisdom ~ (*prajñābhiṣekha*) 13 33; wisdom-gnosis ~ (*prajñājñānābhiṣekha*) 62 188
- inner heat 178
- innovation introduced into the teachings 323
- inseparability 188
- insertion(s) 184, 187, 196, 198, 305
- insight (*prajñā*) 15, 194 f., 196, 211, 276, 301, 320, 417, 435
and compassion 417; direct ~ into the nature of the mind 178; functioning of ~ 198; highest training of ~ 283; into dependent origination 332; lacking in ~ in reality 435; mentality of great means and ~ 417; Perfection of ~ 88; superior ~ 306; that does not objectify the three aspects 161; through which the conflict is "weighed up" 194, 211, 307, 319 ff., 323; women whose nature is ~ 223, 235, 236 585
- instruction 181 ff., 217, 306, 329, 333, 335, 337, 342, 392
cycles of ~ 391; *Eight* ~ 392; four ~s 183; general ~ 336; introduction to the mind ~ 335; lineages for ~ 181; of the bKa'-gdams-pas 330; on *Guhyasamāja* 163; on "inner heat" 178; on Mahāmudrā 180, 336; on the non-duality of "wind" and mind 218;

- on the six yogas 180, 218, 225
 562; oral ~ 184; *Path with Its Fruit* (*lam 'bras*) ~ 331; personal 329, 336; pith ~ 375; public ~ 329, 329 672; true pith ~ 349;
 intention 72 f., 157, 211, 387
 Buddha's ~ 192, 207; completely pure ~ 65; four ~s 349, 350 742; four hidden ~ (*abhisamḍhi*) 350, 375; genuine ~ 352, 387; hidden ~ with regard to introducing (*avataṛaṇābhisamḍhi*) 350; hidden ~ with regard to the antidote (*pratipakṣābhisamḍhi*) 350; hidden ~ with regard to the characteristics (*lakṣaṇābhisamḍhi*) 350; hidden ~ with regard to transformation (*pariṇāmanābhisamḍhi*) 350, 375; higher ~ 15, 193; inferior ~ 91, 91 249, 92 250, 94, 137, 233, 311 (see also: volitional impulse); of all Buddhas of the three times 340 716; of concealment 431; of praising 87, 129; of the teaching 65; same ~ as the Buddha's (*dgongs pa gcig pa*) 340, 340 716; specific ~ of higher vows 194; superior ~ 306; that has in mind a different meaning (*arthāntarābhiprāya*) 349; that has in mind a different time (*kālāntarābhiprāya*) 349; that has in mind the individual's mental disposition (*pudgalāśayābhiprāya*) 349; that has in mind the sameness (*saṃatābhiprāya*) 349; with regard to transformation (*pariṇāmanābhisamḍhi*) 349 f.
 intentional (*ābhiprāyika*) 349, 351, 375, 377, 397
 intercourse 84, 431, 435
 intermediate state 64, 225 562
 internal contradiction 51, 54, 60, 83, 101, 133, 191 f., 221, 223 f., 243, 245, 320, 413, 413 892, 441, 445 (see also: conflict between vows)
 internalization 167, 429, 439
 interpolations 184
 interpretation 342
 becoming crucial 394; sectarian ~ 331 682; specific ~ 54; validity of ~ 348
 intoxication 379, 383
 irony 74
 isolates, different 281, 281 625, 293
isvāra 344
J*ātakas* 37
 jealousy, not abandoning 373
 Jētāri 51
K*ālāntarābhiprāya* (see: intention that has in mind a different time)
kāmāvacara gods 437 940
kāmāvacaraṃ śīlam 9 20
kapāla (see: skull cup)
 karma 343, 361, 437
 Karmavajra 185 503
 karmic
 result 83 f., 121, 123, 345, 359;
 result in which one's actions agree with the cause 359, 361; result (or ripening) in which the experience agrees with the cause 359, 361
 Karṇaripa 184
kāya of spontaneity and transformation 159
 killing 37 85, 211, 236, 267, 269, 312, 343 724, 344, 349, 351, 359,

373 795, 375, 435, 437, 439
 abandoning 41, 91 249, 205, 233
 f., 263, 276, 312, 343, 345, 345
 732, 361, 363, 419; an enemy of
 the teachings 12 31; as a cause for
 Buddhahood 236, 269, 322; a
 means to attain supramundane
 achievements 343; through wrath-
 ful mantras [and] black magic 12;
 understanding ~ etc. literally 431
 928

kleśa (see: defilement)

kleśotpādanas'akti (see: defilement,
 [that which has] the ability to
 arouse)

kliṣṭamanas 421, 421 906

kolikam (see: meaning, ultimate)

kuśaladharmasaṃgraha (see: virtuous
 factors, collecting; and: vows of
 gathering virtuous factors) 10 24

Lakṣaṇābhisaṃdhi (see: intention
 with regard to the characteristics,
 hidden)

Later Translation School 411

Lavapa 183

law decreed by the king Khri-srong-
 lde'u-btsan 11

learning, reflection and meditation 81
 f., 119

levels (*bhūmi*) 283

liberation 347, 367, 387, 393, 403,
 417, 443, 445

[through killing] 435, 437

Līlavajra 36 83 (see: Vilāsavajra)

lineage(s) 181, 218

of the four instructions 183

literal (*yathārūta*) 349, 379

literal meaning (see: meaning)

literally (vs. interpretation) 342, 431

928

logical

entailment 425; sign 127, 169, 421
 906, 423 907; proof 303

lokasaṃvṛti (see: mundane surface-
 convention level)

Lord of Secrets 259

lotus (= vagina) 433

love for living beings 60

loving kindness 343 724, 439

lower realms 169

Luhīpa 183

luminous body 445

mactation 12 31

Madhyamaka 28, 46, 51 f., 93, 93
 253, 101, 137 373, 139, 217, 243,
 392, 396

Mādhyamika 39, 43, 51 ff., 61 185,
 91 249, 393, 396 871

magic

powers 193; spells 435

magical

emanation 352, 387; magical
 manifestation 385

Mahākaśyapa 47

Mahāmudrā 217, 331, 336

a path that knows the defilements
 as the basis of the great gnosis
 348; the realization of the mind
 itself 379; four yogas of ~ 331;
 highest insight of ~ 178; instruc-
 tions on ~ 180; of the highest
 Mantra 348; outside of the tradi-
 tional framework of Mantra vehicle
 178; supramundane achievement of
 ~ 93, 141; teachings according to
 the system of Saraha 331; within
 the framework of Mantra 180

Mahāmudrā and rDzogs-pa-chen-po,

fusion of 11

Mahāprajāpatī 47

Mahāyāna 3 f., 44 f., 54, 91 249, 243, 275, 345, 348, 359, 367, 367 772, 387, 437, 443

authoritative scriptures of ~ 267; basket [of scriptures] of ~ 10; conventionel ~ 180; early ~ work 187 508; entering ~ 224; falling from ~ 85; family 209; general ~ 348; General Non-Mantric ~ 369; Mantra 215, 221; volitional impulse 81; path of preparation 129; path of seeing 129; Perfections 367; point of view 91 249; practice 80 225; prātimokṣa 38 f., 48 f., 89 f., 91, 91 249, 92, 92 250, 137, 139, 141, 143, 153, 157, 275, 295, 313, 317 656, 405 880, 407, 445; ritual 39; sūtra section 189, 306; vow (see: bodhisattva vow)

Mahāyānist 38, 46, 236, 247, 267

Maitreya 39, 49, 182

five treatises of ~ 217 f.

Maitripa 182 f.

Mañjuḥśa 165

maṇḍala 67 199, 68, 90, 107, 135, 139, 141 375, 227 f., 234, 251, 265, 308, 310 f., 315 f., 348, 369, 373, 375

manifestation

effortless spontaneous 417; of oneself as the deity 433; of the gnosis of great bliss 433; of the taste of desire as gnosis 433

Mañjuśrī 39, 49, 417

Mantra 21, 28, 63 189, 190, 197, 205, 207, 215, 224, 267, 309, 319 f., 341 f., 344 729, 347-350, 361, 363, 367, 369, 371, 373, 373 794,

375, 379, 381, 385, 387, 389, 419, 441, 441 947

activities of ~ 191; all vows having the nature of ~ 93, 93 257, 94 258, 141, 143, 145; Ancient and New ~ Traditions 273; as functioning through taking the result as the path 348, 369, 373; as restrictions of incorrect states of minds 223; as the path that abandons the basis 347 f., 367; as the path that purifies the basis 348, 369; as the path that transforms the basis 347, 367, 369; as the path that understands the basis 348; authenticity of ~ practices 12; basis of ~ 409 882; devision 10; excellent means of ~ 192, 320; four initiations of ~ 13 36, 84, 324; fruit of ~ 192; fundamental transgression of ~ 69 204, 71, 95 f., 125, 147, 149, 151, 153, 197, 203, 249; greater qualities of ~ 269, 322; hidden meanings of 387; incompatibility with Mantra 301, 322 f.; incorrect ~ doctrines 14; intentional and non-literal ~ teachings 351; inwardly rejoicing on the meaning of ~ practices 96; keeping ~ practices secret 13 33; Mahāmudrā within the frameworks of ~ 180; Mahāyāna ~ 215, 221; method 137 373; morality of ~ 396, 403 877; not abandoning defilements in ~ 359; notion of ~ 433, 435; rNying-ma-pa ~ 226; observing ~ by night 223 558; obstacle for the ~ path 191; path 344, 429; pledge of ~ (see:

pledge); practice 14 f., 70, 193, 303, 322, 341, 347, 387; practice of the third initiation of ~ 429; practitioner 159, 324; resolve of ~ 263; Rin-chen-bzang-po as practitioner of ~ 12 32; superiority of ~ 14, 348; supramundane achievements of ~ 375, 383; symbolic language of ~ 349, 375; teachings on ~ 180; three vows in the ~ system 163; transgresses against the branch vows of ~ 95, 147; true ~ 348, 369; two stages of ~ practice 30; use of alcohol in ~ practice 351; Vehicle 178, 425, 445; vows of the four initiations of ~ 159

Mantra vows 44, 68, 188, 190, 205, 221 f., 231, 233, 243, 245, 245 593, 259, 259 603, 265, 278, 287, 291, 297, 325, 415 896, 417, 419, 421, 422 906, 423 907, 909, 425, 429, 443

as a particular instance of the bodhisattva vows 151; as a particular instance of both lower vows 80 222, 94, 94 258, 143; as a state of inseparability of bliss and emptiness 280; as endowed with profound means and insight 276, 295; as innate simultaneously arisen gnosis 205; as the preeminent ones 325; basis for both the taking and continuation of ~ 133; bodhisattva vows turning into ~ 13 36, 84, 324; branches of the ~ 259; completeness of ~ 63; conflict between bodhisattva and ~ 207; contradicting ~ through depreciat-

ing women 224; duration of ~ 54, 60, 101, 102 279, 103; dwelling in ~ 121, 141; emphasis on ~ 2; fundamental transgression against ~ (see: fundamental transgression of Mantra); greater power of ~ 15; lower vows included withing ~ 427 913; loss of ~ 73 f., 96, 149, 151; maintaining ~ 123; monks with ~ 13; nature of ~ (see: nature); of "transforming bliss into the path" 280; obtaining ~ 7, 15, , 56, 62 f., 71, 90, 103, 245, 261, 265. 407; outshining the two lower vows 15, 413 892; persistence of the ~ 64, 89, 101, 103, 105; possessing all vows when possessing ~ 94 f. 95 260, 145, 145 378, 147 380; possessing only ~ 82, 129, 141; possession of ~ 117, 222, 225, 245, 295, 321; practice of ~ 161, 431; practice restricted 13; practice kept secret 13 33; practiced as the principle vow 429; purpose of ~ 44, 103, 345; qualities of ~ 85; repairing transgressions of ~ 209; ritual of ~ 67 199; superiority of ~ 2, 83; supported through bodhisattva vows 287, 297; taking first ~ 85, 93, 141; taking of ~ 89 f., 92 f., 137, 139, 141, 263; taking the three vows through ~ alone 92 250, 143; three vows as merely aspects of ~ 96, 153; transformation of earlier vows into ~ 139, 141, 143, 301, 310, 316, 318, 419, 423, 425; two ways of taking up ~ 92, 139

mantras, wrathful 12

māra

four ~s 345, 359, 371; of defilement (*kleśamāra*) 345, 359, 371

mārgākhyaṇa 344

markata jewel 86

marks 227, 257

good ~ 56; of ordination 226, 249; of the *sambhogakāya* 249, 257; phenomenal 159, 222, 245

master (*ācārya*) 36, 39 96, 41, 43, 46, 50 f., 54, 99, 215, 218, 331 682

mastering of the armour 163

masters

of the Early Spreading 398, 415;

of the Later Spreading 398, 417

mat (of ordained persons) 226

Matanḡipa 183

material 41, 50, 50 144

matter 43

meaning

common ~ (*samastāṅgam*) 350; definitive ~ (*nītārtha*) 348 f.; intended ~ 69, 403; literal ~ (*akṣarārtha*) 350 (see also: literal); provisional (*neyārtha*) 349; requiring further interpretation (*neyārtha*) 50; ultimate ~ (*kolikam*) 350

means 15, 92 250, 93 257, 94, 139, 143, 145, 192, 194, 207, 211, 222, 284, 295, 301, 303, 320 f., 323, 343 724, 385

complex ~ consisting in visualizations, etc. 224; for training living beings 352; greater ~ 236, 239, 284, 320, 322 f., 327; of great sameness 419; of superior understanding 224; of taking vows 261; path of ~ 180; pure ~ 232; pro-

found ~ 276; superior ~ 306; special ~ 435, 439; special ~ of protecting the mind 222, 245; special ~ of the higher vows 409

meat 351 748, 375

media of skill in means 373

meditating 65, 159, 161, 337, 387

not ~ 65, 173

meditation 173, 336, 367

one-taste of ~ 332 686, 333

meditators 326, 385, 425

meditative concentration, highest training of 283

meditative exchange of oneself and others 324

mental

construction 62, 105, 159, 222, 245, 346, 348, 367, 373; factors 415; inactivity (*amanasikāra*) 342 723; products 167; realization 437

mentality of [skill in] means and great insight 417

merit 4

accumulation of ~ and gnosis 327, 429, 431; acquisition of ~ 54; of the four classes 56, 103

metre 228

milk 276, 383 838

mind 55, 77, 167, 193, 195 f., 205, 217, 415

arising from ~ 50 144; as long as ~ exists 76 213; associated (*samprayukta*) with ~ 77 f.; bliss and emptiness of ~ 161; controlled by ~ 157; equality with the ~ of all Buddhas 332 686; introduction to (the nature of) ~ 335, 337; nature of ~ 348; non-duality of mind and ~ 218; particularities of ~ 68, 90, 92, 107, 135, 139, 228, 251,

311, 315, 316; realization of ~ 379; specific feature of ~ 190, 205; ultimate nature of ~ 433; unimpaired 53
 mindful of time 222, 223 558, 247, 322
 modes of existence 297
 moment
 earlier and later ~s 113; first 41; second 41
 monk (*bhikṣu*) 9, 44, 92 250, 107, 119, 135, 149, 151, 153, 159, 161, 201, 221, 224, 224 559, 226, 231, 243, 243 592, 275 f., 332, 396
 associating with women 13; blue-robed ~ 13 34; drinking alcohol 326; fully ordained ~ 48, 67 198-199, 68, 68 200, 82 233, 351 748, 385, 397, 409 882, 413, 425, 427 913, 429, 431; not allowed to take *guhya* and *prajñābhīṣekha* 13 33; producing the resolve for awakening 90, 227, 251, 310; scholastic ~ 367 771;
 moral
 cause and result 443; complete freedom from ~ faults 3; conduct 81; defects 159; discipline 327, 411, 419, 431; discipline of gathering virtuous factors 425; discipline of performing the benefit of beings 425; fault(s) 169, 187, 191 f., 207, 211, 278, 280 f., 284, 287, 289, 291, 299, 301, 320 f., 323, 325, 327, 355, 373, 411, 433, 437, 439, 441, 443, 445; purity 4; qualities 159; strict adherence to ~ code of auditors 4; three ~ observances 9

morality (*śīla*) 4, 37, 41, 119, 195, 276, 429, 431, 443
 "advanced" ~ of the bodhisattva 4; empowered by the Vinaya 427 913; flexibility in the practice of ~ 4; highest training of ~ 283; limbs of ~ 276; natural ~ 3; of abandoning morally wrong behaviour 80, 80 223, 117, 276, 419; of collecting virtuous factors 276; of Mantra 396, 403 877; of renunciation 295; of the beings of the spheres of desire 9 20; of the bodhisattva vows 235, 267, 317; of the prātimokṣa and the bodhisattva vows 81; of the spheres of form 9 20; threefold ~ (of the bodhisattvas) 80 223, 117, 276;
 morally wrong behaviour 411, 417, 419, 425, 429
 volitional impulse 209, 222, 225, 234, 263, 291, 299, 313, 407, 411, 431, 431 927, 435, 439 946
 (for taking vows) 7, 43 f., 46, 54 f. 83, 99, 103, 117, 121, 153, 309, 405; greater/higher ~ 236, 239, 284, 322 f.; incomplete ~ 435, 437; ~ inferior 75, 81, 94 258, 139, 233, 311, 419 (see also: intention); lower ~ 235, 317; Mahāyāna ~ 81; of the bodhisattva 94 258, 235, 317; of the prātimokṣa vows (see: prātimokṣa vows); special ~ 284, 301, 317, 321, 323
 mudrā 161
 Mūlasarvastivādins 46 126

Ṇāga (see: serpent demon)

Nāgārjuna 51, 58, 183, 333, 393
 "in Tibet" 333
 Na-lendra 217 f.
 name, losing one's former 265
 Nanda 387 850
 Nāropa 163, 179 f., 182 ff., 218, 225
 562, 342, 347 735
 nature 306, 310, 314, 367, 373
 nature (of vows) 7, 15, 30, 35 f., 40,
 40 103, 41-43, 62, 188 f., 197,
 219, 223, 226, 233, 238, 245,
 306, 312 ff., 419
 as a specific feature of the mind
 190; as consciousness 245; as dis-
 tinct entities 415; as innate simul-
 taneously arisen gnosis 190, 205;
 as matter/material 190, 245; basic
 ~ 203; different ~ of vows 14,
 30 f., 86, 189 f., 194, 222, 232 f.,
 235, 237 f., 245, 249, 259, 261,
 263, 269, 277, 279 f., 284 f., 287,
 289, 293, 299, 306, 309 f., 314,
 405 880, 411; existing as some-
 thing incompatible 188, 205; not
 existing as one 188 f., 203; not on
 all occasions different 188, 190,
 205; not to be even slightly dif-
 ferentiated 230, 253, 309 f., 346,
 365; of outfits 219; of the bodhi-
 sattva vows 71, 75, 90, 91, 91
 249, 92 250, 94, 127, 135, 137,
 139, 145, 188, 203, 233, 310 f.;
 of the Mahāyāna prātimokṣa 139;
 of the Mantra vows 62, 93 f., 105,
 135, 141, 143, 188, 188 509, 203,
 396, 403 877; of the mind 348; of
 the prātimokṣa (vows) 36, 41, 89,
 92 250, 94, 133, 135, 188, 203,
 205, 243 592, 421 905; of the re-
 spective vows alone 189; of the

rūpakāya and *dharmakāya* 159; of
 the two (lower) vows 89 242, 187,
 203; perceived to appear as three
 different consciousnesses 190, 205;
 same ~ of vows 15, 30, 34, 53,
 67 f., 69 203, 71 f., 74 ff., 79, 90,
 91 249, 92 f., 93 256, 94, 107,
 109, 111, 115, 139, 141, 143,
 145, 188, 191 511, 222, 224 560,
 228, 229 571, 230, 230 575, 231,
 232 579, 233 f., 238, 245, 249,
 251, 253, 255, 257, 259, 263,
 265, 279, 279 621, 280 f., 289,
 291, 293, 295, 308-314, 398 f.,
 411, 415, 419, 421, 425; in-
 compatible with transformation
 229; totally different but mixed on
 occasion 190, 205; very different
 ~ 188, 205, 307

nature

that is mental non-construction
 188, 203; understanding the ~ of
 the basis as the path 367

na yathāruta (see: non-literal)

nectar 84, 352, 367, 379, 381

Nepal-German Manuscript Preserva-
 tion Project (see: NGMPP)

neyārtha (see: meaning requiring fur-
 ther interpretation)

New and Ancient Tradition 226

New Translation School 392, 411

NGMPP 19, 26 65, 329 672, 329 672,
 331 682, 338 712, 339

nidānaviśeṣād viśeṣa (see: vow, dif-
 ferent occasions of transgression)

nirmāṇakāya 63, 103 282, 257, 367

nīrodhāpti 345

nūthārtha (see: definitive meaning)

non-contravening 307, 321

six (elements of) ~ 193, 209, 211,

223 558
 non-duality 348, 373
 non-information (*avijñaptirūpa*) 40 f.,
 41 108, 42 f.
 non-intentional 349
 non-literal (*na yathāruta*) 349, 351,
 375, 377
 non-material 50
 non-virtue 93 256, 157, 193, 230 576,
 233, 303, 310 f., 344, 349, 361,
 363, 375, 389, 435, 441, 445
 abandoning (or removing of the
 ten) ~s 15, 92 250, 233, 313,
 341, 345 f., 355, 359, 361, 363;
 basic ~ 373; controlling of ~
 284, 299, 314, 407; in the Vinaya
 342, 373, 375; of body and speech
 346; of Mantra 341 f., 347, 367,
 373; of mind 346; practice of the
 ten ~s 359; removing ten ~s (=
 abandoning non-virtue); seven ~s
 (of body and speech) 190, 205,
 342, 355; ten ~s 80 223, 205,
 230, 253, 255, 309, 342, 345 f.,
 357, 359, 361; three ~s of the
 mind 40, 205, 355; transformation
 of ~ 373; viewing ~ as enemy
 359
 notes (*zin bris*, etc.) 1, 181, 194
 notion
 erroneous ~s 437; of object and
 subject of obtaining 437; of the
 deity 433, 433 930; of the Dharma
 433, 433 930; of Mantra 433, 435
 nourishments incompatible with the
 Vinaya 375
 novice monk/nun (*śramaṇera/ śrama-*
ṇerikā) 9, 10 22, 42, 44, 68 200,
 107, 201, 243, 243 592, 396
 ten precepts of ~ 10 22, 275 f.

nun 67 198-199, 68 200, 107, 201,
 243, 275 f., 435
 probation ~ 243 592
 rNying-ma-pas of a very early period
 11

Object 51 f., 431, 431 927, 439 946
 and the subject [of cognition] 167;
 element of the ~ being incomplete
 433; (from which the vows are
 received) 234, 261, 263, 291, 299,
 309, 313, 405; incomplete ~ 435,
 437; not apprehended as ~s 437;
 of knowledge 357; of the action
 (*bya ba'i yul*) 161 396; of the
 senses 59, 63, 105, 352, 361, 385;
 outer ~ 385; pure ~ 232; sense
 ~s 385, 387; sense ~s of yoga
 443; three ~s (*yul gsum*) 381 822;
 to be abandoned 299; which is that
 and no mistake about what it is 439
 objectifying (*dmigs pa*) 161
 obscuration 371, 431, 441 947
 of Buddhahood 373
 obscured by adventitious defilements
 348, 369
 observance

mainly of the lower vows 441;
 mainly of the Mantra vows 443;
 mixed ~ 191; of concentrative
 absorption 9, 243; of *dhyaṇa* 9 20;
 of [guarding against] evil influ-
 ences 9, 243; of individual libera-
 tion 9; of pledges of Mahāyāna
 427; of prātimokṣa 9 20; of prāti-
 mokṣa and bodhisattva [vows] inci-
 dentally 326 f., 425; of the higher
 vow 207; of the lower vow 207; of
 vows (in general) 215, 299; of

- individual liberation 9, 243; that is without evil influence 9 20; three ~s 30; unmixed ~ 441, 445;
- observed
as entrusted 191 f., 196, 207, 232, 235, 261, 267, 278, 280, 284, 287, 289, 291, 299, 318, 346, 363; thing to be ~ being the same 255, 346
- obstacle(s) 207, 211, 343, 357
- obtaining the result 54, 101
- one-day fast (see: vow of approximation)
- oral tradition 70, 76
- one's own (selfish) benefit 54 f., 101, 103, 167
- one's own purpose 275
- ordination 46 f., 178, 217 f., 387 850
by gnosis (*jñāna*)-realization 99; by uttering the words "come hither!" 99; common ~ 48 135;
conferring ~ 276; full ~ 276, 332, 336, 392, 396; limb of ~ 387; of the first seven Tibetans 11 26; self-arising ~ 46, 46 128, 47, 99
- orthographical variants 24, 228 569, 341
- outfits 37 85, 226 f., 231, 249, 257, 276, 385
- outshining 84, 236 586, 280, 284, 322 f., 326 f., 425
as the function of a superior entity 323; defects of infractions 236, 236 586, 269; defects of the lower vows 326, 425; entities of the lower vows 323; in the manner of possessing qualities 'upwardly' 284; not ~ the higher vows 82, 121; of defilements 327, 429; of earlier vows 279, 289, 299; of higher vows 77 216; of infractions of the vows 82, 236, 236 586, 239, 269, 322; of lower vows 15, 236 586, 297, 324, 411, 413 892, 415; of moral faults 278, 280 f., 284, 287, 289, 291, 299, 301, 320-323, 327, 411
- overextension of a term 69, 109, 228, 247, 251, 280, 291
- over-interpretation 191 511
- over-literal 74
- own benefit 311
- own-emptiness 43
- own nature
empty of ~ 307; established through ~ 409 884; purity of ~ 193, 209; without 167
- own tradition (*rang lugs*) 16, 21, 30 f., 35, 49, 67, 73, 75, 86, 89, 103, 131, 289 631, 291, 307, 340 716
- Padmapāṇi** 157
- Padmasambhava 11, 64, 443, 445
- pañcākrama* (see: five stages)
- pārājika* (see: expulsion from the order)
- paramārthasatya* (see: absolute truth)
- pariṇāmanābhisaṃdhi* (see: intention with regard to transformation, hidden)
- Paris Musée Guimet 179
- paryavasthāna* (see: defilement "being completely wrapped up")
- passion
cause of ~ 409; longing for ~ 383
- path 283
antidotes of ~s are specific 407;

- causing to enter ~ 445; common ~ 361; General Non-Mantric [Mahāyāna] ~ 369; higher ~ 157, 193, 207, 211; individual ~ s seeming to be dissimilar forms 409; leading beings on ~ 351; Mantra ~ 344, 429; of application 87; of desire 12; of gradual engagement 137; of liberation 403; of means 180; of preparation 87, 129; of seeing 87, 129; of the four initiations 159, 163; of the third initiation 441 947; pure ~ 357; taking defilements as ~ 407; taking (prohibited activities) as ~ 190, 205; taking the fruit as ~ (see: fruit); taking the result as ~ 348, 367 771; Tantric adept's ~ 343; that abandons the basis 347 f., 367, 373; that eliminates the basis 347; that knows the defilements as the basis of the great gnosis 348; that purifies the basis 348, 367, 369, 371; that transforms the basis 347, 367, 369, 371; that understands (the nature of) the basis 348, 373; time (or level) of the ~ 86 f., 129, 245
- Path with Its Fruit (Lam 'bras)* 27, 331, 392
- patience 355
- pātimokkha* 2 2
- penis 291
- perception
inferior ~ 443; ordinary ~ 429;
six sensory ~ s 59
- Perfection
Great ~ 348, 393; of Insight (*prajñāpāramitā*) 163
- perfection
of everything desired or needed 56, 63, 103, 169; of the thirty-seven elements of awakening 367; of wisdom 385 845
- Perfections 348, 387
- Mahāyāna ~ 367; Non-Tantric ~ 371, 387; Six ~ 163; Tradition 145, 385; Vehicle (*prajñāpāramitā*) 26, 28, 87, 129, 161, 217 f., 265, 347, 369, 392, 396;
- period
final ~ of the Buddha's doctrine 165; of achieving 45 125; of the decline of the doctrine 45; of the fruit 45 125; of the teachings 39; of time of scriptures 45, 45 125;
- permission 105, 205, 211
great absolute ~ 379
- persistence of the vows 7 ff., 15, 35 f., 40, 49 f., 54, 188 ff., 197, 205
- person
as basis for vows 155, 157, 243, 326, 343 724; changing 235, 239, 265, 267, 317, 322 (see also: turning); different types of ~ 232, 234, 314; (from whom vows are taken) 7, 48, 291, 309 (see also: object from which the vows are taken); Hīnayāna-type ~ 234, 265, 267, 295; of little fortune 393; as the support of the vows 314, 322; with higher abilities 317
- perspective
changed ~ 223; of the practitioner 222 f., 225
- pervaded and pervader 127
- pervasion 78 f., 86, 94, 117, 143
incompatible ~ 421, 423, 423 907; (logical) ~ 119, 125; over ~ 293; positive ~ 423 907; uncertain ~

421, 421 906; under ~ 293
 phenomena
 as an illusion 193, 209, 319 f., 323, 439; as magical emanations 352, 387; as the self-appearance of the ultimate nature of the mind 433; duality of ~ 159; full control over ~ 352; not established as true 437; primordial complete purity of the ultimate reality of all ~ 343, 357
 philosophical schools of Buddhism 41
 philosophical studies 35
 pledge (*samaya*) 5, 60, 62-65, 69, 69 203, 70-74, 80 f., 95, 105, 109, 111, 119, 147, 149 381, 151, 153, 155, 171, 209, 223, 228, 235 585, 247, 251, 283, 301, 320, 322, 326, 345, 352, 359, 361, 363, 377, 379, 383, 419, 425, 427, 429, 437
 poison 161, 361, 381, 383, 435
 abandoning ~ 411; eating ~ 411; lacking an established basis 373; purifying ~ 411; three ~s 345, 357, 359, 373, 389; transformation of ~ ~ 411 886
 polemical 26, 29, 45, 74
poṣadha (see: confession, public)
 possession (*prāpti*) 41, 49 f., 99, 101
 basis of ~ 115; difference between the ~ of one and three vows 87; non-simultaneous ~ 76, 78 f.; of any of the vows 169; of auditor *prātimokṣa* 141; of bodhisattva vows 222, 245; of conduct that is skilled in means 431; of each vow individually 84; of Mantra vows 82, 222, 245; of the vows of *prātimokṣa* alone 265; of the five cer-

tainities 257; of the highest vow 123; of the mental stream of consciousness 261; of the qualities of vows 'upwardly' 227, 232, 236, 238, 261, 269, 278, 280 f. 284, 287, 289, 291, 299, 301, 303, 305, 321 ff., 326 f., 395, 401, 405, 425, 429, 431 (see also: possession of vows 'upwardly'); of the same vital point 'upwardly' 342 721; of the separation-result of buddhahood 369; of three vows (together or by a single person) 9, 31, 67 ff., 80, 87, 94, 96, 109, 113, 115, 117, 123, 127, 129, 133, 143, 145, 145 378, 149, 155, 155 387, 159, 161, 163, 185, 188 ff., 194, 197, 201, 211, 251, 269, 278, 283, 295, 297, 306, 323, 397, 421, 421 906; of three vows impossible 86; of vows in an admixture 194, 205, 306; of vows in an upward hierarchy 192, 197; of vows (in general) 197, 215, 315; of vows 'upwardly' 192, 197, 207, 212, 221 f., 224 561, 243, 245, 297, 318 f., 319 661, 326 (see also: possession of the qualities ...); simultaneous ~ 76, 78 f., 394; single ~ 295; threefold ~ 55, 76, 84, 86 f., 165, 189, 191, 196 517, 225, 245, 278, 295, 405; twofold ~ 153, 165, 295
 possessors
 of *gotra* 68 202; difference between the three ~ [of the vows] 255
 [post]-completion 431 927, 433, 435, 437, 439, 439 946
 potency 352, 379, 379 817, 381
 powers of the antidote, four 209 539

power over life 363 (see also: ten powers)

prajñā (see: insight)

prajñābhīṣekha 13 33

prajñāpāramitā (see: Perfections Vehicle and Perfection of Insight)

prabuddha (see: defilement in an "awakened mode")

pramāṇa (see: epistemology)

prāṇa (see: wind)

prāpti (see: possession)

prasaṅga (see: consequence)

prasupta (see: defilement in a "sleeping mode")

prātimokṣa 80, 93, 93 253-254, 94 258, 95 261, 99, 123, 196, 235, 344, 346, 357, 409 882

accepting ~ 7; accepting ~ for as long as one lives 407; approximation vow of ~ (see: vow of approximation); arising as section of Mantra 403 877; as an obstacle for the bodhisattva vows 191; as basis for the bodhisattva vows 93 253; as renunciation 43-46; as something material 50; as the purport of the Hīnayāna-Vinaya 275; aspect of bodhisattva ethics 3; auditor ~ 5, 9, 89 ff., 91, 91 249, 92, 92 250, 133, 135, 137, 139, 141, 143, 228, 232, 311, 331; beings excluded from ~ 190; bodhisattva ~ 89 ff., 91 249, 92 250, 94, 133, 137, 139, 145, 228, 235, 311, 317, 317 656; carefully maintained 11, 15, 70; conflict between bodhisattva ethics and "orthodox" ~ 3; cutting the root of ~ 167; devaluation of ~ 5; discipline 37; duration of ~ 7, 39 f., 76 213, 80,

189, 203, 225 562, 310, 313, 407; eight classes of ~ 67 199, 153, 221, 243; endowed with the production of resolve 411; endowed with the production of the bodhisattva's resolve 275, 301; following ~ closely and conflicting with Mantra 224; four fundaments of ~ 205, 345, 345 732, 363; four infractions entailing expulsion of ~ 151; Hīnayāna ~ 261, 265, 276 612, 291, 295; ignoring ~ 207; integration of ~ with the higher vows 2; Mahāyāna ~ (see: Mahāyāna prātimokṣa); maintained more effectively 322; not contravening ~ 209; not observing ~ if it endangers one's life 191; observance of ~ 9 20, 326 f., 425; observed by day 223 558; observing ~ vows without having received them 11; of solitary Buddhas 92; of the Vaiśāṅghika tradition 36, 41, 49; opposing factors of ~ 267; ordination 11; "orthodox" ~ 3; persistence of ~ 49 f., 99, 101; practitioner of ~ 197; prescribed ~ 3; qualities of ~ 269; recurrent ceremonies of ~ 223 558; restricted to human beings 37; ritual (see: ritual of the prātimokṣa vows); rules of ~ 80 223, 91 249, 301, 320 f., 323; *saṃvara* 9 20; seven classes of ~ 52, 80, 80 223, 93 256, 117, 137, 153, 221, 243, 243 592, 247; simple ~ becoming improved 222, 245, 321 f.; taking up of ~ 43-46, 54 f., 83, 83 234, 91 249, 99, 103, 121, 133, 135, 153, 315; "tradi-

tional" ~ 2; training of ~ 267, 427; two aspects of ~ 133; unmanifested for the bodhisattva 80, 117; Vinaya ~ 2, 39, 215
 prātimokṣa vows 10, 30, 62, 68, 79, 107, 115, 117, 119, 133, 135, 139, 141, 143, 145, 165, 190, 243, 247, 259, 280, 283, 287, 295, 325, 327, 355, 361, 396, 403, 407, 419, 419 903, 423 909, 425, 429, 431
 abandoning harm through ~ (see: harm); ability to pass on ~ 55; as of the same nature as the bodhisattva vows 311; as the basis of higher vows 93 253; as the support of bodhisattva vows 287, 297; as the support of both higher vows 186 505, 275, 281; automatically possessing ~ 47 128; becoming the common vow 261; benefits of ~ 173; damage to ~ 169, 291; destroyed 431; benefits of ~ 173; continuation of ~s 15, 42; continued as Mahāyāna prātimokṣa 405 880; damaged ~ 169, 322; demarcations of obtaining ~ 243 592; differentiation of ~ 243 592; distinctive aspect of ~ 407; eight ~ 48; imparting of ~ 67 199; in their most rudimentary sense 71; included in the second pledge of Mantra 74; loss of ~ 69 203, 153, 167, 205, 224 f., 228, 236, 247, 269, 312; lost at death 119, 133, 247, 313; means for repairing ~ 243 592; morality of ~ 41, 81; volitional impulse of ~ 43-46, 54 f., 83, 99, 117, 121; nature of ~

(see: nature); necessary for the continuation of the higher vows 281; not lost even if one practices the path of desire 12; not lost even though higher vows are lost 205; not observing separately ~ 427; not transformed into bodhisattva vows 94 258; observing the ~ incidentally 427; obtained from initiation 71, 147 380; obtained gradually 297, 403 877; obtaining of ~ 50, 101, 159, 191 511; of abandoning tactile contact with women 69, 69 203, 71, 109, 223, 228, 245, 247, 251; ordinary ~ 232; practitioner of ~ 343; perfection of ~ "upwardly" 441 947; persistence of ~ (see: prātimokṣa); person from whom ~ are received 48; pervading the bodhisattva vows 85; possession of ~ 115, 119, 215, 295; possession of ~ alone 265, 295; practice of ~ 161, 344, 409; propensities of ~ 119; purpose of ~s 44; repairing defects of ~ 215; ritual of ~ (see: ritual); revaluation of ~ 281; seven classes of ~ 52; support of ~ 36; taught through scriptures 30; transformation of ~ 75, 94, 139, , 143, 228, 228 568, 308, 310; transgression against ~ 95, 147, 209; turning into bodhisattva vows 13 36, 84, 90, 135, 227, 235, 251, 267, 310, 315, 317; turning into the Mahāyāna vows 39; upholding ~ 159; vital common point between bodhisattva and ~ 188
pratipakṣābhisamḍhi (see: intention

with regard to the antidote, hidden)
prañītyasmūtpada (see: dependent origination)
 preceptor (*upadhyāya*) 36, 39 96, 41, 43, 46, 54, 99, 215
 precepts 155
 Distant Lineage of Transmitted ~ 415
 predicate (*sādhya-dharma*) 421 906, 423 907
 preeminence of the higher (in cases of conflict) 125, 192, 197 f., 215, 221, 223 ff., 232, 236, 239, 243, 247, 261, 267, 269, 278, 280 f., 284, 287, 289, 291, 299, 301, 303, 318 f., 321 ff., 325, 413 892
 preeminent, practicing whatever is 395, 401, 405, 441
 preliminaries (of the ritual of evocation) 161
 preparation 431, 431 927, 439 946
 element of ~ being incomplete 433; incomplete ~ 435, 437; of the actual deed 439
 pride, not abandoning 373
Profound Dharmas 330, 329 673, 330 674
 prohibited (activities) 157, 190, 211, 222, 224, 343, 352, 357, 381, 385, 441, 443
 prohibiting certain yogic practices 193
 prohibiting sexual acts 280
 propensities (*vāsana*) 42, 42 114, 76, 78 217, 80, 83, 115, 121
 lost at death 81 f., 119; of defilements 159, 327, 429; of listening (*śrutavāsana*) 81 f.; of the monk vows 119, 413, 413 891
 provisional teaching 86
 psycho-physical constituents of the

person (see: constituents of the person)
pudgalās'ayābhiprāya (see: intention that has in mind the individual's mental disposition)
 purification of obscuration 371 786
 purpose, special 95 f., 147, 149, 151, 153 385, 155, 157, 343 724

Qualities

greater ~ (of higher vows) 125, 236, 239; greater ~ of Mantra vows 269; higher ~ of bodhisattva vows 269; of awakening 341 f.; of prātimokṣa alone 269; of the lower [vows] included and completely pure within the higher 326, 427; of the teacher and student 283; of vows possessed "upwardly" (see: possession of the qualities of vows "upwardly"); possessed [by] nature 371

Radiance, bodily 375

Rāhulabhadra 385, 387
 rainy season retreat 22
 reality 167, 193, 343, 357, 371, 377, 435
 ultimate ~ 357, 435, 437
 realization 64, 74, 87, 113, 129, 155, 193, 319, 331 f., 332 686, 336, 343, 348, 352, 367, 373, 379, 381, 393, 429, 439, 447
 records of lineages 184
Redaktionsgeschichte 184
 Red Master (*Ācārya dMar-po*) 13 f., 13 34-35, 14 38, 83, 191, 324 f.
Red Yamā 392
 relative

- date 306; level 437; truth 59 178, 351, 377, 409, 437
- Religious History 178 478
- religious systems of Tibetans (not taught by Indian masters) 231 f., 261
- renunciation 43 ff., 46, 65, 91 249, 99, 335
- repairing (damaged) vows 30, 53, 171, 188, 197, 209, 215, 299, 307, 319
- replies to questions 29, 179, 181 f., 218, 305
- resolution to abandon 233 ff., 263, 278, 284, 289, 297, 299, 311 f., 314, 346
- arising even after death 313; continuity of ~ 238; harm 91 249, 425; killing 419; maintaining ~ 235, 317; (non-virtue) 42 f., 65; not discarding ~ 139, 233, 235, 311, 317; of the bodhisattvas 311; of the prātimokṣa 311; opposing factors 71, 75, 91, 137, 233, 311; sameness of ~ 314; that controls wrong behaviour 425
- resolution to achieve benefit for beings 425
- resolution to renounce saṃsāra 43
- resolution to train in all pledges 425
- resolve for awakening (*bodhicitta*) 60, 103, 133, 153, 221, 267, 313, 363, 377
- abandoning ~ 54 f., 60 ff., 101, 149, 151; absolute 36, 167; actualization of ~ (*prasthāna*) 61, 61 185, 117, 119, 159, 167, 243, 345, 363; arising after death 407; cause and nature for the two kinds of ~ 345; distinctive aspect of ~ 407; of auditors 45, 221; of Mantra 263; of solitary Buddhas 45, 221; preliminary ~ (*praṇidhi*) 61, 61 185, 62, 70, 73, 90, 117, 119, 133, 159, 167, 243, 345 732; production of ~ 3, 13 36, 38 f., 43, 45 f., 71, 83, 90, 91 249, 99, 135, 137, 139, 159, 167, 215, 217, 224, 227, 233 ff., 251, 261, 263, 265, 275, 301, 309 f., 317, 324, 351, 394, 407, 411; relative ~ 36, 153, 167, 221, 308, 315; ritual of ~ 243; the bodhisattva's ~ 3, 53, 92 250, 103, 135; training of ~ 324; vow for producing ~ 10, 115
- restraint
- from mental construction 105; of body and speech (and mind) 36, 40, 99, 167
- restriction
- of acts of body and speech 343 724; of bodily acts 223; of incorrect states of minds 223; of the yogi 193
- result 88, 169, 361, 367, 373, 383
- cause and ~ 348, 359, 361, 367, 373; spiritual ~s 346, 363; taking the ~ as path (see: taking the fruit ...); three ~s separated [and] individually obtained 255; ultimate ~ 283, 367
- retines, four 67, 67 198-199, 105
- reviving the dead 193, 211, 323
- ripening
- karmic ~ 359; of the ten non-virtues 345; of the ten virtues 345
- ritual 46, 59, 63, 67 199, 71, 76 213, 82, 91 249, 92 250, 137, 141 375, 149, 201, 217, 222, 231, 234, 245, 263, 313, 379, 393, 403 877,

421, 421 905

actual ~ 59; *amoghapāś'a* ~ 38 95; ancient ~ 48, 276; common ~ 38 f., 48; conclusion of ~ 59; consecration ~ 337; correct ~ 46, 50, 99; died-out ~ 38 f.; different from the Buddha's teachings (see: other ~); for losing vows 259; (for obtaining/conferring vows) 7, 30, 35 f., 38, 39 96, 41, 59, 74, 76 213, 82, 86, 133, 153, 259, 261, 263, 265, 299, 309 f., 312, 331, 405, 421 905; for simultaneously conferring ordination and full ordination 276; functioning of the powerful ~s 192, 207; in the proper sense 39 96; lower ~ 207; oblation ~ 38 95; of a master 51, 101; of ancient times 39, 39 96; of auditors 38 f.; of confession 223 558, 277; of evocation (*sādhana*) 161; of obtaining, losing, and maintaining the lower vows 86; of slaughter 12 31; of the bodhisattva vows 51, 53, 67 199, 72, 141, 153, 190, 331; of the *prātimokṣa* (vows) 39, 67 199, 71 f., 310; of the resolve 243; of the three vows being not in common 86, 127; of the vow of approximation 38, 38 95, 276 f.; other ~ than taught by the Buddha 73, 111; powerful ~ (of Mantra) 319; *prātimokṣa* (vow) ~ (s) 39, 71 f., 153; preparation of ~ 59; present-day ~ 39, 39 96; pure ~s 232, 383; sections of the teachings in which ~s are established are not the same 189, 203, 309; specific ~ 53, 86, 93 256, 95 261, 96, 121, 127, 151, 153, 189,

222, 235, 313; of the Mahāyāna system 191; performed in different sessions 189; single ~ 53, 72, 141; still existant ~ 38; Tantric ~ 84, 180, 320; uncommon ~ 38 f., 39 96, 49; unimpaired ~ 59 178; without proper ~ 87;

rope 41, 49, 99

rules

different and unmixed ~ 226; five 10 22; sixteen ~ of men 363

rūpakāya 159

rūpāvacaraṃ śīlam 9 20

Ṣaḍaṅgayoga (see: six-limbed yoga)

sādhana (see: ritual of evocation)

saffron robes (see: Dharma robes)

Śākyamuni Buddha 39, 46 128, 182, 195, 276, 349

Śākyaśrībhadrā 21 f., 38 95, 70, 93 253, 175 476, 325, 333, 396 870

samādhi 431 928

internalizing ~ 431; of bliss 367; of clarity 367; of [mental] non-construction 367

samāja, meaning of 443

samastāṅgam (see: meaning, common)

samatābhiprāya (see: intention that has in mind the sameness)

sambhogakāya 231, 231 577, 257, 367

characteristics of ~ 257

saṃgha 38, 181

saṃjñā (see: conception)

saṃketa(?) (see: symbolic language of Mantra)

Sammitīya school 13 34

saṃmukhībhāva (see: defilement,

"manifested")
sampannakrama (see: stage of perfection)
saṃprayukta (see: mind, associated with)
 Śāntarakṣita 11 26
 Śāntideva 51 f., 54, 182, 224
 Śāntipa 58
 Saraha 182 f., 352, 379
 Śāriputra 47 133
ṣaṭkoṭi (see: six positions)
 Sautrāntika 37 f., 42, 48, 77, 77 215,
 82 233, 137 373, 196 518, 277 614,
 393
 saint 9 20, 387
samaya (see: pledge)
 saṃsāra 36, 44 f., 53, 99
 Śāntarakṣita 396 870
 Saraha 331
saikāya[drṣṭi] 167
 Śavaripa 21, 23
 scholastic
 argumentation 69, 72; debate 2;
 dialectics 78; logic 75
 scholastics 48
 scriptures, correct [authoritative] 121
 seat of awakening (*bodhimaṇḍa*) 7,
 50, 53, 101, 189, 203, 310, 407
 secret spaces [=the male and female
 organs] 433, 435
 seed (*bīja*) 42, 77
 of the four spheres 59
 self 167, 344, 437, 439
 and the other not established 435
 self-appearance of the ultimate nature
 of the mind 433
 self-cognizing direct perception 190,
 205
 self-fabrications 169
 self-liberation 36, 235

semen 429, 433, 441 947
 sense organs engaging the impure
 sense objects 385
 senses, six 59
 serpent demon (*nāga*) 37 f., 38 92,
 205, 332
 sex, craving for 383
 sexual acts 280
 sexual misconduct 37 85, 276, 343
 724, 355, 373 795, 439
 sexual union 12 31, 13 34, 409 882,
 429, 435
 shaved head 226
siddhi (see: supramundane achieve-
 ments)
 Sīmha 387
 simile 68
 sin 4, 22, 157, 209, 211, 303, 355,
 441, 445
 natural ~ 441, 447
 sinner 267
 six-limbed yoga (*ṣaḍaṅgayoga*) 21, 23
 six positions (*ṣaṭkoṭi*) 349, 375
 six topics 6, 192 512, 280 623, 395,
 403, 405 879, 415 896
 six yogas 180
 skilful and well-motivated activities
 223
 skilful in controlling the act 433
 skill 178
 skill in means 4, 211, 225, 307, 327,
 347 ff., 367, 371, 373, 385, 413,
 417, 429, 431, 431 928, 433 930,
 435, 437
 skin-level, beyond 433
 skin of an enemy [of the teachings]
 249, 257
 skull cup (*kapāla*) 379
 skull filled with blood 226, 249, 257
 slander 188 509

smelting ore 68, 75, 90, 107, 135,
234, 251, 265, 315, 417, 419
social norms 12
Sodāyin 47 134
solitary Buddha 45, 46 128, 47, 62,
68 202, 92, 135, 139, 251, 316,
359
solitude 303, 441, 443, 445
space, existence of 56, 60, 101, 103
Special Dharmas 330, 330 674
specific (*bye brag*) 94 258
specific particularities 68, 107
spell, reciting a 411
sphere (*dhātu*)
 higher ~s (of existence) 343 f.;
 lower ~s 344; of all phenomena
 51; of saints 39, 49; three (or tri-
 ple) ~s 78 f., 115, 163
spiritual levels 211
śramaṇera (see: novice monk)
śrutavāsana (see: propensities of lis-
 tening)
stage
 five ~s (*pañcakrama*) 163; of
 [karmic] consequences [in future
 existences] 169; of perfection
 (*sampannakrama*) 21, 30, 63 f.,
 159, 180, 350, 352, 385, 425,
 445; of production (*utpannakrama*)
 30, 63 f., 180, 352, 385, 425,
 445; of the present life (*dr̥ṣṭa-*
 dharma) 169; two ~s of Mantra
 practice 30; two ~s (of medita-
 tion) 64, 105; vow of ~ of per-
 fection 10; vow of ~ of produc-
 tion 10; ~s of learning 283; ~s
 of the doctrine 180 f., 330; ~s of
 the path 330; ~s of the practice
 161
state of being truly actualized as the

thing is (*yathāvadbhāvikatā*) 429,
429 921
sthānāsthānajaṇ'ānabalam (see: gnosis
 that perceives ...)
Sthapati 385
stealing 37 85, 91 249, 234, 236, 263,
267, 276, 291, 312, 322, 343 724,
349, 351, 355, 373 795, 375, 377,
381, 437, 439
stinginess 60
strands, tracing different 16
substance
 different 86, 413 894; one 85
"substantialistic" connotation 323
Sumati 184
summary of Tibetan systems of vows
 11 28
supplements (*lhan thabs*) 339
support 125
 and supported 85 f., 127; for or-
 dination 277; for taking novice or
 full monk vows 277 615; lower
 vows as the ~ for the higher ones
 278, 289; of bodhisattva vows 50,
 287, 297; of both higher vows 186
 505, 279, 281; of Mantra vows
 186, 287, 297; of prātimokṣa vows
 36; (of vows) 35 f., 85, 188, 190,
 196, 205, 215, 234, 239, 263,
 265, 291, 303, 306, 309 f., 313,
 314, 315 654
supramundane achievements (*siddhi*)
 23, 64 f., 93, 141, 343 f., 352
 750, 357, 375, 383, 393
Sūtra 60, 95 260, 103, 145 378, 226,
273, 348, 350, 392
 differentiation between Tantra and
 ~ 219; practice 443
sūtras of definite meaning 348, 371
svabhāva 350

sylogism 421 906

symbolic language (of Mantra) (*saṃ-
keta?*, *chomā*) 349 f., 375

systematization of the teachings 305,
323

Tantra 8, 60, 95 260, 103, 145 378,
147, 180

Ancient ~ Followers 289; differ-
entiation between Sūtra and ~
219; section 189, 237, 271, 306;
study of ~ 9, 178

tantra

action ~ (*kriyātantra*) 56-60, 60
181, 63; basic ~ 187; classes 245;
combined (*gnyis ka*) ~ 57-59;
communication ~ (Skt. *ākhyāna-
tantras*) 227 564; disordering ~s
375; diverging interpretations of
~s 27; four classes of ~s (*rgyud
sde bzhi*) 56, 59; fundamental (*rtsa
ba*) ~ 58, 377; great yoga ~ 58
f.; highest ~ 58, 61 185, 81, 105,
226, 237, 249, 271; highest great
yoga ~ 58, 249; highest yoga ~
(*niruttarayogatantra*) 56, 58-60, 63
f., 222, 393; investigation (*kalpa*)
~ 59; limit of the secret (*gsang
ba'i mtha'*) ~ 58; lower ~ classes
105; rNying-ma-pa ~ 342, 342
723, 343, 355 754, 357 756, 391;
of sūtra investigation (*mdo sde rtog
pa'i rgyud*) 58; of the way of the
perfections 57; outer and inner ~
5, 57; outer and secret ~ 57;
practice ~ (*caryātantra*) 56, 58
ff., 60 181, 63, 187 508; pure ~
161; root ~ (*mūlatantra*) 226 564;
secret yoga ~ 58; three lower ~s

393; without evil 58; yoga ~ 56-
59, 61, 61 183, 61 185, 63; yoga-
practice ~ 57; yoginī ~ 58

Tantras of the Ancient Tradition 12
32, 396, 415

Tantric

abuses or mispractices of the Bud-
dhist ~ traditions in Tibet 12 32;
activities 435; adept(s) 9, 15, 55,
68, 80 ff., 86 f., 89, 89 243, 90,
105, 121, 127, 129, 133, 135,
165, 182 496, 186, 196 f., 201,
219, 225, 227 f., 233 f., 236, 243,
251, 265, 269, 309, 311, 316,
322, 327, 343, 346, 375, 383,
387, 397, 409, 419 903, 421, 421
906, 441, 447; adept monk 67, 95
f., 105, 147, 155, 224, 231, 245,
295, 415, 417; adept vows (see:
Mantra vows); authenticity of ~
teachings 12 32; avoiding discuss-
ion of ~ themes 62 189; consorts
211; feast (*gaṇacakra*) 191, 222,
224, 247, 301, 320, 322, 351 748;
literal ~ practice 12; literature 56;
nature of ~ path 8; practice 12 31,
303, 346 f., 419, 441; songs (*gur*)
57, 350; studies 5, 35; teachings
12 31; theory 347; tradition 9;
work 36; writings 347; yogi 14

Tārā 332 686

Tāranātha 22 ff., 35, 40

tathāgatagarbha (see: Buddhanature)
teachings to the assembly 179 482,
181, 185, 194, 305

telling lies 269, 276, 343 724, 349,
351, 373 795, 375, 377, 437, 439

ten fields 12

ten powers of the Tathāgata (*daśa-
tathāgatabalāni*) 343, 345, 363

terminological differences 307, 310, 312

terminology 14, 70, 307, 399

Thanglopa 184

theory

refined 2, 70 (see also: doctrine, developed or refined); supplemented and developed 2

thing to be abandoned 341 f., 345 f., 355, 363

thing to be achieved 255, 346, 363, 365

thing to be observed 357, 363

thing to be obtained 363

three activities of the wise 396 f.

three links obtained gradually 276, 403 877

three vows

abandoning ten non-virtues 357; achieving the ten virtues 363; all the Buddha's teachings included within ~ 342; apparent incompatibility in contents 223; as a basis or support 125; as different 263, 265, 291, 303, 411 898; as incompatible through their vital points 395, 401, 405; as like the support and the supported 67, 411, 415; as mutually coextensive 145; as of a similar kind 299, 346; as unmixed [with respect to their] distinctive aspects 395, 401, 405; ascertained as separate 405; basis for ~ 59; being gradual 278, 287, 297; being not the same 257, 291; being the same 284, 299, 312, 314, 407; bringing ~ to maturity 159; causes for losing ~ 303, 309; complete with respect to the things to be prevented and the purpose 279 f.,

289, 301, 395, 401, 405, 407, 429; continuation and sameness of avoiding within ~ 234; continuation of ~ 155 386; continued individually 363, 405; differentiated into three 96, 153, 222; differentiated through means and volitional impulse 224 f., 247, 320; durations of the ~ 263, 309 f., 312, 405; enumerations of ~ 10; established in different sections of the teachings 14; exemplification for ~ 261; general introduction to ~ 6; general meaning of ~ 283, 295; gradual *and* successive obtaining of ~ 90, 93 257, 95 261, 225, 234; gradually received (or obtained) ~ 12, 73, 137, 155, 297, 393, 403, 403 877; having the same vital point 342; importance of ~ for Tibetan Buddhism 11 ff.; in Indian literature 9; key concepts of ~ 14 ff.; loss of all ~ 96, 109, 151, 153; main topics of ~ 30; meaning of the term ~ 9; mixed observance of ~ 346; mode of existence of ~ 76; not lost until awakening 247; rNying-ma-pa's doctrine of ~ 6; observed unmixed 303; observing ~ as a threefold possession 403; obtained from a single ritual 71, 73, 141, 222, 245; obtained from the respective/different rituals 222, 245, 257; obtained in the Mantra vows alone (or through initiation) 92 250, 94, 96, 143, 153; obtaining and maintaining of ~ by medium type persons 393; parts of the ~

remain unmixed 405 880; persistence of ~ as separate 9, 205; possessed by a properly ordained person 68; possessed together 90, 94, 95 260, 393 f.; possessing a support 293, 297, 303; possessing/remaining in the mental stream of consciousness 277, 287, 297; possessor of ~ 403; practice of ~ 30, 155, 161; producing ~ simultaneously 393, 403 877; receiving ~ merely by obtaining the initiations of the nine vehicles 11; removing the ten non-virtues 363; results of ~ individually obtained 347; rules of ~ 277; sameness of the ~ 15, 19, 70; sameness of the abandoning in all ~ 313; sets of ~ 10; seven ways of taking up ~ 90 ff., 137, 143, 147 380; simultaneous possession of ~ 394; specifics (*khyad par*) of ~ 346, 363; spread in mNga'-ris 13; successions of taking up ~ 137, 403 877; system of ~ ascribed to Red Master 14 38, 83; system of ~ in India 18 52, 278; taken individually(?) 363; taken up in a succession 53 165, 93 254, 139; theme of ~ 185; theories of ~ 275; three different distinctive mental aspects of ~ 421; three obstacles [for] ~ 207; treatise of Indian origin 18; treatment of ~ 184; two essentially different positions concerning ~ 15; value and importance of ~ 345; way of observing ~ 287; ways of losing ~ 263; ways of obtaining ~ 263, 303, 312

three white offerings 383

Tibetan composition 35

tiger skin underskirt 226, 249, 257

Tilopa 179, 182 ff., 342, 347 735

time

of the basis 373; of the cause 245;

of the path 245

topical outline 342

tracing

concepts chronologically 305;

terms 327

tradition of others 291

trainees 86 f., 129

training 53, 201

individual ~s 155; ~s of three vehicles 145; three ~s (of morality, concentration, and insight) 194 f., 195 516, 196

transference (see: transmission)

transference of the supramundane insight of the saints 81

transformation 74 ff., 92 251, 113, 352 750, 373, 419 (see also: turning)

ability for ~ 381; between incompatible things 74, 111, 113; concept of ~ 74, 314, 318; impossible ~ 113, 369 781; into the *dharmakāya* 367; magical ~ 75; meaningless ~ 74, 113; meaning of ~ 113; of a sentient being's consciousness into the gnosis of the Buddha 113; of alcohol 84, 351 f., 367, 379, 381; of all possible appearances 403; of aspects 419; of bliss into the path 280; of defilements 159, 342, 347, 352 750, 373, 407, 409, 409 884; of desire into the path 367; of entities 405 880; of hatred into the path 367; of

- ignorance into the path 367; of non-virtue into virtue 373; of persons 235, 308, 314, 317, 318 658; of poison 411 886; of prātimokṣa into bodhisattva vows 75, 91, 133, 135, 137, 139, 143, 228, 228 568, 301, 308, 310, 315 ff., 324, 419, 421 906, 423 907; of resolutions 419; of something earlier into something later 421, 425; of the basis 347, 367, 369, 371, 373 794; understanding of ~ 74; undesirable ~ 318; used in a very conventional way 423 907; vow holder's ~ 317
- transformation (of the nature of vows) 7, 301, 314, 395, 401, 405, 405 880, 411, 419, 421 905
- transformation (of vows) 13, 15, 19, 34, 42, 42, 67-71, 74 f., 85, 90 f., 91 249, 92 250, 93, 93 257, 94, 107, 109, 113, 125, 133, 135, 137, 139, 141, 143, 145, 147 380, 222 f., 226, 228 f., 231, 232 579, 233 ff., 238 f., 251, 253, 259, 265, 275, 278 f., 279 621, 287, 289, 297, 301, 308-311, 315 653, 316 ff., 318 658, 326, 398 f., 407, 411, 415, 417, 421, 421 906, 423 907, 909, 425 (see also: turning)
- transgressing the teachings of (or rules enacted by) the Sugata 74, 111, 345, 363
- transgression 40
being without ~ 64 f., 173, 284, 301, 323; damaged by ~ 83; devoid of selfishness 443, 445; different occasions of ~ of vows 10; fundamental ~ 53, 60 f., 68 f., 69 203-204, 70, 70 205, 71-74, 83, 95 f., 96 262, 103, 107, 109, 111, 123, 125, 147, 149, 151, 155, 167, 188, 197, 203, 215, 228, 245, 247, 249, 251, 363, 427; in reality no ~ 320; losing vows through ~ 82; nature of ~ 61; occurring against all three vows alike 363; of bodhisattva vows 84, 123, 209; of higher vows 69 f., 85, 123; of lower vows 83 f., 121, 123, 147, 323, 413 892; of Mantra vows (or of pledges) 60 f., 69, 69 203-204, 70, 70 205, 71, 73 f., 95 f., 96 262, 103, 109, 111, 125, 147, 149, 151, 188, 197, 203, 209, 228, 245, 247, 249, 251, 363, 427; of prātimokṣa 209, 245; of the three vows 363, 445; semblance of ~ 443; that is sinful by nature 4; uncommon ~ 413
- translating
into Tibetan 22, 35; Vibhūticandra ~ by himself 23, 175
- translation
improving of ~ from the Sanskrit 25; original ~ later revised 25; restricting *vajrayāna* ~s 12 32
- transmission
correct ~ 11; direct ~ 178; incorrect ~ of three vows 11; lineages of ~ 398; textual ~ 19, 24 f.; to Tibet 186; of special and profound Dharmas 337; oral ~ 41; period of oral ~ 25
- treasure-finder (*gter ston*) 396
- Treasure Teachings 392
- treasures, northern 392
- truth (see: absolute truth; relative truth; two truths)
- turning (see also: transformation)

all vows ~ into vows endowed with the means that take the fruit as the path 139; into a person with higher abilities 317; into a Tantric adept 234, 265, 316; into Mantra vows 13 36, 39, 84, 90, 228, 311, 324; into the nature of the bodhisattva vows 71; into the vows of a Tantric adept (see: into Mantra vows); of lower into higher vows 70; person ~ into a bodhisattva 234, 265, 267, 322; prātimokṣa ~ into bodhisattva prātimokṣa 90; prātimokṣa ~ into bodhisattva vows 84, 135, 227, 235, 251, 265, 267, 310, 315, 317, 324; specific volitional impulse of bodhisattvas ~ into prātimokṣa (vows) of bodhisattvas 235, 317; the opponent's critique back 73 f., 76, 78, 423

two truths 5, 359, 361

viewed as an existing substance 409;

Uḍḍiyāna 385

unborn from the beginning 435

unchastity 409, 429, 431, 433, 435

unconsciousness 42, 42 113

understanding

- superior ~ 326, 326 668, 425, 427; the nature (of the basis) 347, 373; without ~ (of the tantras) 431 928

unifying factor 310, 313

universal (*spyir*) 94 258

universal emperor 385

universalist leaning 218

universalist movement (see ecumeni-

cal movement)

unskilful 435

upadhyāya (see: preceptor)

upavāsa (see: vow of approximation)

upāsaka (see: householder)

upāsikā (see: householder)

utpannakrama (see: stage of production)

ultimate level 4

upāsaka (see: householder)

Vaibhāṣika 36 ff., 41, 46-49, 82 233, 99, 101, 137 373, 277, 393

Śrāvaka ~ 41, 45, 99

Vairocana 383 836, 419, 427

vajra (= penis) 433

vajra application 377

Vajradhara 182 ff., 352, 375, 387

Vajrapāṇi 58, 183

- the adept ~ 342 723

vajra utterance 15 44-45, 40, 89 f., 94, 225 562, 253, 337-342, 345 ff., 348 738, 349, 355, 363, 367, 373, 387 850, 431 928

- meaning of the term ~ 337 709

vajrayāna translations, restricted 12 32

vajropamo nāma samādhi (see: concentration, final meditative)

Varendra 21

vāsana (see: propensities)

Vasubandhu 37, 42 114, 67 199, 81

vehicle 234, 263, 309, 344

- bodhisattva ~ 425; causal ~ 5, 367; Causal ~ of Definition [or the Defining Marks] 367 771; deliverance of the three ~s 192, 207, 320; fruit of the one ~ 192, 207, 320; hierarchy of ~s 192,

- 207, 319; highest ~ 192; lower ~ 87; Mahāyāna-Perfections ~ 387 850; nine ~ s 5, 11, 393; of auditors 91 249, 191, 359, 361, 435, 443; of Mantra 347, 361, 425, 445; of solitary Buddhas 359, 361, 423; Perfections ~ (see: Perfections); the Non-Tantric Doctrine 367; one ~ 319 f.; Resultant Mantra (Vajra) ~ 367, 367 771; three ~ s 1, 129, 145, 192, 320, 361; unsurpassable ~ 431; Vajra ~ 135, 145, 223, 225, 228, 228 568, 247, 251, 311, 322, 377; which practices as the path the cause 367 771
- venues, three 389, 417, 419
- vibandhapraṭisēdhana* 344
- Vibhūticandra 1, 2, 14 f., 18, 21-177, 217, 219 f., 228, 228 569, 229, 229 573, 234, 236 586, 237, 245, 251, 279, 284, 289, 297, 299, 308, 313 f., 318, 323-325, 327, 333, 405 880, 411 889, 413 892
- view
- correct ~ 284, 299, 303, 314, 361; false ~ s 167; higher ~ 320; of others 31; that everything is virtuous after realizing ultimate reality 343; wrong ~ 357, 359, 361
- view, practice, and conduct 340
- vijñāna* (see: consciousness)
- Vijñānavādins 42
- vijñaptirūpa* (see: information)
- *Vijñaptivādins 42
- Vikhyāta-deva 21
- Vikramaśīla 21
- Vilāsavajra 34, 36, 36 83, 57, 85, 85 238, 86, 127
- Vinaya 2, 9, 28, 39 96, 46, 84, 178, 187 508, 215, 217, 221, 267, 332, 332 688, 349, 352, 367, 367 772, 373, 375, 377, 379, 385, 387, 387 850, 389, 392, 396, 441 947
- code of ~ 4; commentaries on ~ 49; corrupting ~ 14 37; four fundamentals of ~ 373; Hīnayāna ~ 275; history of the Buddhist ~ 3; internal discipline of ~ 443; Lower ~ Lineage 396, 396 870; Middle [or Central] Vinaya Lineage 396 870; morality empowered by ~ 427 913; non-virtue in ~ 342, 373, 375; pledges of ~ 427; prātimokṣa 2, 39, 197, 215, 340, 394; relation of ~ with bodhisattva practice 4; rules of ~ 13 36, 84, 324 ff., 333, 351 748; section 189, 306; sūtra 277; Upper ~ Lineage 396 870; vādins 243; Vibhāṣikas (*sic!*) 47
- Vinayasūtra* commentary 49
- Vinītadeva 46, 46 126
- vīra* 375, 379 817
- virtue 54, 65, 92 250, 93 256, 159, 161, 230, 230 576, 253, 255, 309 f., 341, 344-348, 361, 363, 367, 373, 435, 441
- virtuous 231, 257, 343, 357, 375, 389, 447
- actions 157, 361; collecting ~ factors (*kuśaladharmasamgraha*) 3, 276; intermediate ~ act 277; ten rules of ~ conduct 137 373; through skill in means 373
- Virūpa 163, 183, 379, 387
- visualization 159, 224
- vital common point between the bodhisattva and the prātimokṣa vows

188

vital point 307, 339-345, 355, 357, 363

of that which is to be prevented and the purpose 433, 433 930, 437, 439; of the antidote 285, 299, 314; of the practice 303; of the three vows 6 16, 15, 230 575, 231, 233, 238, 247, 301, 309-313, 361, 395, 431, 441; of understanding the theory 431; of what is permitted and prohibited 447; same ~ 409, 433 930; the term ~ 361

volition 55, 103

vow

abandoning lower ~s 13, 192, 194 at death 39 f., 71, 82, 119, 133, 149, 153; for the sake of the higher 187; if they endanger one's life 191; if they obstruct higher vows 191; not ~ at death 40

acquiring ~s through initiation 53, 59; admixture of ~ 190, 205, 306; as continued without the other vows 190; as the property of the basic consciousness 82; aspect(s) of ~ (s) 71 f., 153, 284, 313 f. (see also: distinctive aspects); assemblies of all three classes of ~s 191; becoming an obstacle 343 f., 357; benefits of ~s 283 f., 295, 299, 301, 323; *Brahmacārya* ~ 277; carefully maintaining and continuing the lower ~s 83, 313; causes for arising of ~s 189, 203, 306; ceasing when further ~s arise 78; circumstances for abandoning a ~ 193; completeness of ~s 62; continuation of a ~ when other

~s are lost 190, 307; continuation of lower ~s 85; cycle of ~s 197, 215; damaged ~s 169; demarcation of observing [and losing] the vows 223, 243 592, 245, 278, 280, 287, 299; destroyed by the enjoyment of the pleasure 291; disregard for the branches of ~ 95, 147; dormant (*anusāya*) ~s 15, 76, 76 214, 77 ff., 81 ff., 115, 117, 289, 299, 323, 411 (see also: unmanifested vows); duration of ~s 7, 14, 35 f., 39 f., 50, 53, 60, 82, 189, 203, 234, 263, 306, 309 f., 313 f.; each ~ as in substance vaster than the earlier one 421; emphasis on the higher ~s 193; existence of ~s 50, 53; extraordinary ~ 233; factors that oppose ~s 235, 267; (full) monk ~s 49, 153, 275, 313, 315, 403 877, 407, 413 892, 415, 421, 421 905-906, 423, 423 907; fully perfected householder ~ 277; *go mi upāsaka* ~ 277; greater number of rules of the higher ~s 10; greater power of the highest ~ 81; gradual obtaining of ~s 222, 324; having different aspects (*mam pa*) 297, 299, 346; higher and lower ~s incompatible 113, 117; higher ~s

being superior 194; emphasized 313; having the support of the lower 303, 413 894; including the fruits of the lower ones 320; lost when lower are lost 259; lost when monk vows are lost 415; more important 194; more

powerful 306, 327; maintained rather than the lower 192; more powerful 14; not abandoned in favour of the lesser 319; not lost even though *prātimokṣa* is lost 205; observed in cases of conflict 198; only arising for men and women of the three continents 415; preference of the ~ 319; support of both ~ 186 505, 279, 281

holder of the three refuges ~ 277; how the ~s are maintained 189, 203, 284, 395; how the ~s are maintained and lost 89; how the ~s are observed 188, 191, 197, 203, 207, 209, 215, 245; how the ~s are possessed 188, 203, 215; how the ~s are repaired 188, 193, 203, 215; how the ~s persist 8, 188 f., 197, 203, 215; improvement of the substance of ~s 419; increasing of lower ~s 278, 287, 297, 326, 425; individual rules of ~s 189; latent ~ 77 ff., 83; lower ~s

abandoned after the higher [vows] were obtained 280 623; abandoned in cases of conflict 281, 306, 319 f.; abandoned in cases of danger 319; arising independent from ritual 129; as a basis or support 85 f., 186 f., 279, 289, 411, 413 894; as common vows 263; as compared to stars and moon 15; as branches of the higher 86, 231, 232 579, 257, 259, 279, 289, 303, 413, 413 894; as the support for higher ones 278, 289; becoming

more pure 278, 287, 297; being of a single entity 86, 127; complete through qualities possessed "upwardly" 431; complete within the higher 278, 287, 297

breaking ~ 325; continued 94 258, 123, 223; disappearance of ~s entails no moral fault 319; existing within the higher 321; following ~ with regard to sins 441; holders of the two ~ 251; improving through the possession of the higher 222; leaving ~ intact and functioning 323; left unobserved 319; lost when fundamental transgression against a higher vow occurs 251; maintained incidentally 326 f.; neglected or even abandoned 318, 327; no condition for damage or cause for losing ~ when obtaining higher 407; no fault in abandoning ~ 320; no faults for ~ 223; non-observance of ~s does not entail a moral fault 323; not endowed with the higher [vows] are not a branches 259; not different from the Mantra vows 259, 259 603; not observed if they become an obstacle for the Mantra path 191; not observing ~s that endanger life or obstruct a higher vow 319; not remaining manifested 411; not transformed when a higher vow is obtained 233; obtained after Mantra vows 93, 121; obtained from the same ritual as the Mantra vows 231, 259; relation between the ~ and

the higher vows 318; remaining with the higher vows 81; returning ~ 269, 415; rules of ~ 191, 193; strategies for ~ 319; transformed into Mantra vows 310, 316, 318

loss of ~s 7, 10, 31, 35 f., 69, 69 203, 70-76, 82 ff., 95 f., 103, 107, 109, 111, 115, 165, 190, 229 571, 247, 251, 307, 324

at the time of death 50 144, 80, 82, 95, 113, 119, 133, 149, 153, 167, 224 f., 247, 313, 421 905; cause for ~ 41 f., 54 f., 69, 71 ff., 95, 111, 149, 189, 205, 234, 263, 306, 309; gradual ~ 149; no ~ when taking higher vows 10, 91 249; simultaneous ~ 69, 71 f., 75, 111, 149; that where obtained gradually 149; that where obtained simultaneously 149; time of ~ 234, 247, 263, 309

maintaining of ~s 30, 35 f., 82, 123, 165, 227, 238, 325; manifested ~ 78 f., 83; monastic ~s 324; monk ~s 71, 80, 93 256, 135, 143, 324, 396, 411

infracture of ~ 96; loss of ~ 96, 119, 149, 151, 153; lost at death 149, 153; not lost 12, 84, 149; surrendering ~ 149; surviving death 34

monk with Mantra vows 13; most fundamental ~ 133; volitional impulse for taking ~s 7, 43 f., 46, 83, 103, 153, 309, 405; non-observation of ~s 191; not considered to be proper 277; not losing higher ~s after losing monk ~s

149, 153; not losing lower ~s after losing Mantra ~s 149; not losing the other [two ~s] when one is lost 325; not perceived apart from the mind 77 f.; novice ~s 396, 425; observance of ~s 64, 215, 299; obtained through different rituals 231; obtaining ~s 74 ff., 82, 111, 113, 115, 165, 197, 234; obtaining higher ~s 50

by transformation 13; not a cause for losing lower 405 880 occasions for obtaining ~s are different 189, 232, 236, 261, 269; occasions for losing ~ are different 232, 236, 261, 269; of approximation (*upavāsa*) 37, 37 85, 38, 38 92, 39, 44, 48, 67 199, 243 592, 276 f.; of ordination 43, 82 233; of refuge 39, 324; ordinary ~ 233, 238; outer, inner, and secret aspect of a single ~ 278, 287, 297; particularities of ~s 314; persistence of ~s (see: persistence); possessing a certain capacity to be functional 77 f.; possessor changing 15, 230, 234, 253, 255, 275, 309, 315, 322, 341, 345 f., 363, 365; practice of higher ~s 191; preference for practicing higher ~s 15; preserving of the lower ~s 84, 193; pure ~s 65; purpose (of ~s) 6, 35 f., 44 f., 50, 56, 301, 327; purifying ~s 361; relation of ~s with one another 77; repairing ~s (see: repairing); residing in the state of the inseparability of bliss and emptiness 280, 291; restrictions of the ~s 155; returning ~s

10, 167, 236, 269; rudimentary ~s 71; ~s as a particular instance of lower vows 80 222, 153; ~s as consciousness 223, 320; ~s as different substances 413, 413 894; ~s as "distinct substances of their own" 77; ~s as matter 223; ~s becoming three 345 f., 363; ~s being different 231, 279, 284, 289, 297; ~s being distinct 278, 289; ~s being perfectly complete 278, 287, 297, 301; ~s being improved 322 f.; ~s being taught separately 189, 203; ~s cancelled out and annihilated 115; ~s conferred to a multitude of beings 39; ~s existing as the nature of the Mantra vows 94; ~s in reality purely non-contradictory 224 f., 247; ~s individually obtained and continued 346; ~s must be obtained in succession 189, 203; ~s not being incompatible 6 16, 9 f., 79; ~s of a superior person 318; ~s of auditors 50 144, 68 202, 94, 403 877, 407, 423, 439; ~s of body, speech, and mind 10, 357; ~s of establishing the benefit for sentient beings 10; ~s of gathering virtuous factors 10, 425; ~s of refraining from [morally] wrong behaviour 10; ~s of solitary Buddhas 423; ~s of the five Buddha-families 62; ~s of the four initiations 159; ~s of the production and perfection stages of meditation 425; ~s of the three families 68, 90, 92, 107, 135, 139, 227, 251, 311, 316, 417, 423; ~s remaining unmixed

278, 287, 297; self-obtained ~ 91 249; short introduction and some general teachings on ~s 185; specific ~s 71 f., 238; specific features of ~s 230 576; substantial ~s made out of the four elements 280, 291; support of ~s (see: support)

taken up by oneself 49; taking lower ~s after the Mantra vows 141; ten ~s of the novice monk 10 22; time for practicing a ~ 193; time when the ~s are obtained is not the same 189, 203, 306; two ~s 147; uncommon or extraordinary ~ 232 f., 238, 261; undifferentiated admixture of ~ 307, 314; unmanifested ~ 77, 81 f., 117, 323, 411 (see also: dormant vows)

vulva 291

Weapons 161

weighing up (conflicts) 194, 209 540, 211, 306 f., 319 ff., 323

white foods, three 276

wind (*prāṇa*) 12, 218

non-duality of ~ and mind 332 686

women 377

abusing ~ (see: abusing); associating with 13; as a sack of excrement 224 559; as something impure 224; as something very attractive 224; avoiding the approach of ~ 332; depreciation ~ 224; perceiving ~ 441 947; resorting to ~ 349, 351, 375, 377 809; tactile contact with women 69, 69 203, 72

f., 109, 111, 223, 228, 245, 247,
 251; touched by ~ 351 748
 Word Teachings 392
 wording
 difference in ~ 53; standard ~ 53
 worldly people 326, 425

Yaśas 47

yathābhūta 4

yathārūta (see: literal)

yoga 431 , 443

 freed from training and initiation
 155; of the deity 84;

Yogācāra 91 249, 93 253, 137 373,
 393

yoghurt 276, 383 838

yogi(s) 15, 157, 177 f., 192 ff., 209,
 223 ff., 226, 231, 247, 249, 257,
 307, 320, 323, 326 668, 327, 385,
 425, 427, 427 913, 429, 441, 447
 developed abilities of ~ 224, 322;
 fully realized ~ 352, 352 750; on
 higher levels 320, 322

yoginī 383

yogic perspective 320

2. Tibetan Terms

Ka bum gnyis 75

kun nas dkris pa 77
kun gzhi 42 115, 43 116
dkar gsum gyi bshos bu 383 838
dkyil 'khor chen po 315 f.
bka' babs bzhi'i brgyud pa 183
bka' srol gsum 396, 396 871
skad cig gnyis pa 42 110
skad cig dang po 42 110
skal dman 393
sku gsung thugs kyi sdom pa 10
skor 197
skyabs gsum 'dzin pa 277
skye brgyud 64
skye ba'i rgyu 189, 203
skyon brjod pa 73
bskyed rim 64, 180
bskyed rim gyi sdom pa 10, 63

kh yad chos 330, 337

khyad du gsod pa 149 381
khyab pa 'gal ba 423 907
khyab pa ma nges pa 421 906
khyab pa ma byung 78 f.
khyab bya 85 238
khyab byed 85 238
khyim pas bsrung bya'i sdom pa 276
khrims 4 11
mkha' gsang 433 929
'khor bzhi 67 198-199
'khor gsum 78
'khor gsum mi dmigs pa 161

Gang zag 41 109, 49 141

gang zag gi bsam pa la dgongs pa
349
go cha'i sgrub pa 163
gong ma gts'o bo 221, 223, 232
gong ma la tshang ba 278

gru gtong 55 171

grub pa'i sde 189, 203, 306
glo bur gyi dri ma 348
dgag dgos yongs rdzogs 6, 280
dge rgyun med 65
dge bsnyen pha ma gnyis 67 198-199
dge ba 157 394
dge ba chos sdud kyi tshul khrims 10,
276
dge ba'i bshes gnyen 52 159
dge slong rdo rje 'dzin pa 67 199
dge slong pha ma gnyis 67 198-199
dge slong sdom pa 12 31
dgongs pa can 349
dgongs pa tshad mar gyur ba 352
dgongs min 349
dgra bcom pa 62 187
'gal ba 75
'gal ba med 9 21, 10
rgyal rigs 56
rgyu mishan nyid kyi theg pa 367 771
rgyu'i theg pa 367 771
rgyud sde gong ma 63 191
rgyud sde bzhi 56
rgyud sde 'og ma 63 191-192
sgom ro gcig gi rtogs pa 332 686
sgyu lus 64
sgra ji bzhin pa 349
sgra ji bzhin ma yin pa 349
sgra mi snyan 37, 37 84
sgrub pa'i dus 45 125
bsgyur ba la ldem por dgongs pa 350
brgyud pa 'dzin pa bzhi 181, 182 494-
495

ngan mthus 12 31

nges pa lnga 231 577
ngan pa med pa'i rgyud 58
nges par 'jug pas 47 132
ngo bo 'gal bar gnas pa 188
ngo bo gcig 15, 19, 70, 76, 90 248,
94 259, 230 575

ngo bo tha dad 14, 232, 277, 279
 ngo bo shin tu tha dad 188
 dngos 'gal du gnas pa 409 882
 dngos grub 64, 65 196
 dngos po 433 933
 mngon gyur du med pa 117
 mngon sum du gyur pa 77
 mngon sum du bsgoms 52 159
 mngon sum du ma gyur pa 77
 snga 'gyur bka' gter 392
 snga 'gyur rnying ma'i chos lugs 11
 29
 sngags kyi tshul khirms las phye ba
 396
 sngags sdom pa 283
 sngon gyi cho ga 39 96, 276

gCig car skyes 393, 403 877
 cig tu gnas pa ma yin 188, 198, 203
 bcom ldan 'das 345, 359
 bcos pa 331 682
 lci ba'i chos brgyad khas blangs pa
 47

cha lugs 226

chags lam 12 31
 chu'i pha rol phyin pa 55 171
 cho ga 7 17, 63 190, 67 199, 73
 cho ga 'khrugs par ma gyur pa 59
 cho ga thun mong 38, 48
 cho ga nub pa 38
 cho ga ma nub pa 38
 chos kyi rtsa 60
 chos thams cad kyi dbyings 51
 chos spong ba 60 182
 chos 'byung 178 478
 chos bzhi 54 169
 mchod sbyin ma 47
 'chol par bsrung 191 f., 196, 232,

235, 278, 318, 346

ji ltar ldan 188
 ji ltar gnas 188
 ji ltar [mi 'gal bar] bsrung 188
 ji ltar bsrung 188
 ji srid 'tsho yi bar du 40 101, 53 168,
 76 213, 101 278
 'jug pa'i sems 61 185, 117
 'jug yul 381 822
 'jug sems spong ba 61
 rje rigs 56
 rjes su gnang 67 199

nyan thos kyi theg pa 367 772
 nyan thos sdom pa 50 144
 nyan thos rnams 45
 nyams na 'chos thabs 243 592
 nyams myong bde ba 188, 203
 nyal ba 77
 nye bar bzhas 60 181
 nyes pa las slar ldog pa 209 539
 nyes spyod sdom pa'i tshul khirms 10
 nyes spyod spong ba'i tshul khirms
 276
 nyes spyod spong ba 275 f.
 nyes spyod spong ba'i tshul khirms 80
 nyon mongs 'dod lnga 431 926
 nyon mongs pa skyes pa'i mihu 77
 nyon mongs pa chung ngu 59 177
 nyon mongs pa chen po 59 177
 nyon mongs las rnams 431 926
 gnyen po 209 539
 gnyen po kun tu spyod pa 209 539
 gnyen po la ldem por dgongs pa 350
 mnyam pa nyid la dgongs pa 349
 brnyas pa 188 509
 bsnyen par rdzogs 276

gtam rgyud 227 564
gtum mo 178
gtong thob tha dad 232, 278
gtong ba'i rgyu 189, 205
gton ba'i dus 221
gtong srid pa 73
rtag dngos gnyis 75
rtags 127
rtags ma grub 78
rten 85 238, 205
rten gyi stobs 209 539
rten can 278 f.
rten gsum 68 200
lung ba 40 104, 72 f.
lung ba'i ngo bo nyid 61 186
lung ba med 64
sta gon 62
ston par khas blangs pa 47
stobs bzhi 209
rten 190, 196, 281
brtul zhugs kyi yan lag 276
brten 85 238
bstan rim 180 f., 330

tha dad pa 9 21, 189, 278
tha dad du bshad pa 189, 203
thag pa 41 109, 49 141
thabs lam 180
thabs shes zab mos zin pa'i tshul
khirms 276
thal ('gyur) 73
thal 'gyur gsum gyi 'khor gsum 115
theg pa dgu 11
thug 'dom 197
thun mong ma yin pa'i theg chen gyi
cho ga 38, 39 96
thob pa 41 109, 49 141
thob pa'i dus 189, 203
thob tshul 63 192

thos pa'i rim pa 283
mtha' drug 349
mtha' dpyad 30
muhar thug pa 350, 439 946
mihong lam skyes tshe 47 132

da liar gyi cho ga 39
dam tshig 64
dud 'gro 38 92
dum sgrig ma 194
dus gzhan la dgongs pa 349
don dam pa 51
don gzhan la dgongs pa 349
drag sngags 12 31
drang (ba'i) don 50, 349
dran pa 267
dris lan 1
gdul bya 55 170
gdol rigs 56
bdag po 'phos pa 15
bde ba 188
bde bar gshegs pa'i bka' 96 262, 149
 381
mdo sde rtog pa'i rgyud 58
mdo sde pa mams 38 92
mdo dbang 11 29
'du shes 40 104
'dul ba pa mams 47
'dul ba lung sde bzhi 37 87
'du byed kyi rdzas 49 141
'du shes 433 933
'du khirms 11 29, 13 36
'dogs sa gsum gyi dbye[!] bas 255
'dod 78
'dod pa 279
'dom thug pa 221 553
'dom thug byung ba 191, 227, 232
rdo rje gur(?) 203
rdo rje mgur(?) 203

rdo rje lta bu'i ting nge 'dzin 51 149
rdo rje nal 'byor ma 180
rdo rje sbyor ba 377
rdo rje 'dzin pa 315 f.
rdo rje'i gsung 337 709
ldan min 49 141
ldem por dgongs pa 350
ldog cha 405 880
ldog cha so sor ma 'dres 405 880
ldog pa 230 576, 281 625, 405 880
ldog pa tha dad 279, 281
ldog pa ma 'dres pa 405 880, 423 909
sdig pa 209 539
sdom pa gtong 40 101, 42 110, 50 144, 72 f.
sdom pa med 65
sdom pa'i ngo bo 40 103, 41 109, 42 112, 115, 188
sdom par mi rtsi ba 277
sdom min 37 84
sdom gsum bstan bcos 1
sdom gsum spyi don 283
bsdebs pa 181

Nag po'i chos bzhi 55 171
nang 'gal 221, 223
nang thug byung na 15, 192
nā ro chos drug 218, 225 562
gnad kyis mi 'gal ba 6 16
gnad gcig 15, 230 575, 234, 342 721
gnas 'gyur 15, 19, 70, 76, 90 248, 93 257, 227, 235, 278
gnas 'gyur ngo bo gcig 279
gnas britan 195
gnas dang gnas ma yin pa mkhyen pa'i stobs 343, 352
gnas pa'i dus 189, 203
gnas tshul 8
nam thar 179, 181

nam pa lhar gsal ba 188, 203
nam par rig byed 41 109, 42 110
nam par rig byed ma yin pa'i gzugs 40 103, 41 109, 42 110, 43 119
nam par sun 'byin pa'i stobs 209 539
nam rig min (see: *nam par rig byed ma yin pa*)
nam rig smra ba 42, 42 115
nam shes kyi shes rgyud 79 219
nal 'byor (gyi rgyud) 56, 63 192
nal 'byor bla na med pa'i rgyud 56, 63 192
snang yul 381 822
snod ma yin pa la gsang ba sgrogs pa 151 383

Pags rim gyi mtshams 433 934
par byang 397
spang (ba'i) sems (pa) 42 115, 43 116, 65, 71, 278, 311
spyi'i don 350
spyir 94 258
spyod pa('i rgyud) 56, 63 192, 187 508

phas pham pa bzhi 357
phung po 188 509
phag gru bka' brgyud chung brgyad 182 495
phyag chen skor 180
phyag rdzogs zung 'jug 11
phyi dang nang gi rien 'brel 59
phyir bcos kyi tshul 53
phra rgyas 77
phrin gyis 47
'phen sems 53 167
'phel ba 278
'pho ba 332
'phri snan 181

bag chags 42 115, 76 214, 79 219,
80, 82 231, 119

bag yod kyi yan lag 276

bag la nyal ba 15, 76, 117, 323

bar do 64, 225 562

bar ma dge ba 277

bud med 69 204, 72 f.

bod rtsom 23

bya ba('i rgyud) 56, 63 192

byang chub kyi sems gtong ba 60 182

byang chub kyi sems smon pa btang

ba 149 381

byang chub snying po 50, 50 148

byang chub sems bskyed 13 36

byang chub sems pa'i sdom pa 13 36,

50 144, 73

byang chub sems dpa'i (spyod pa)

tshul khriims nam pa gsum 80 225

byang gter 392

byang sdom gyi khyad chos 276

byang sems kyi bslab pa 283

byin gyis brlabs 89 243

bye brag 94 258

bye brag tu gnas 196 f.

bye brag smra ba 41 109, 47, 49 141

bram ze rigs 56

bla ma brgyud pa ma nyams 59

blang yul 7 17, 48 138

blo gros 195 514

dbang bskur 46, 65 196

dbang po brtul po 59 177

dbang po mnon po 59 177

dbang rigs 209

dbu sems kyi cho ga 137

'bras bu sngags kyi theg pa 367 771

'bras bu lam byed 367 771

'bras bu lam byed kyi thabs 71

'bras bu'i dus 45 125

sbas pa 350

shyor sgrol 12 31

shyor ba 209, 439 946

ma bsgoms par 65 196

ma 'dres pa 9, 278

ma thob pa thob par byed pa'i cho ga

53

ma mo 215

mi dge ba 157 394

mi dge ba bcu po 80 223

mi mthun phyogs 53 167, 71

me chu gnyis 75

dmags rigs 56

dman sems 94 258

dmigs yul 381 822

smad 'dul 396 870

smod (par byed) pa 69 204, 72 f.

smon pa 363

smon pa'i sems 61 185, 117

smon sems spong ba 61, 61 186

rtSa (ba'i) ltung (ba) 53, 53 167, 72
f.

rtsa rlung 12 31

tshig gi don 350

tshigs gsum rim nod 276, 403 877

tshul khriims 4 11, 42 110, 81 229

tshul khriims kyi yan lag 276

tshul bzhi 350

tshogs chos 179 482, 181, 184 f., 305

mtshan nyid tha dad pa 10

mtshan nyid pa 367 771

mtshan nyid la ldem por dgongs pa
350

mtshan gnyis 37 84

mtshungs par ldan pa 77

mtshur lugs 274

mdzod lnga 282

rdzas 72, 76

rdzas (gzhan) tha dad 14, 78, 79 219,
82 231

rdzas gzhan ma yin pa 77

rdzogs pa chen po'i don 348

rdzogs rim gyi sdom pa 10, 63

zhal gdams 1

zhing bcu bsgral ba 12 31

zhen yul 381 822

zhum pa 62 187

zhus lan 1, 179, 181 f., 184, 305

gzhan phan sgrub pa 276

gzhi sgyur ba 347

gzhi mihun 423 909

gzhi sponge ba'i lam 347

gzhi sbyong ba'i lam 348

gzhi lam 'bras bu 29

gzhi'i khyad par 10

gzhug pa la ldem por dgongs pa 350

gzhung lugs chen po 392

bzhed pa 279

Za ma ning 37 84

zag med kyi sdom pa 9 20

zab chos 330, 337

zas dkar gsum 276, 383 838

zin bris 1, 180 486, 184

zil gyis gnön pa 15, 236, 278 f., 323,
326

zil mnan (see: *zil gyis mnon*)

gzugs 190

gzugs can 41 109, 42 110, 50, 50 144

gzugs (can) min 50, 50 144

gzugs su 'dod 223

gzung yul 381 822

bzang du song 222

'og ma gong ma'i yan lag 279

'og ma'i sdom pa 55 170

'og min gyi gnas 50

Yar ldan 192, 197, 221 f., 224 561,
236, 278, 318 f., 320 f., 326 (see
also: *yon tan yar ldan*)

yi ge'i don 350

yi ger bkod 182

yi dam kyi lha 159

ye nas skye med 435 936

ye shes kyis [kyi?] byin gyis brlabs
nas 89 243

ye shes khong du chud pa 47

yongs rdzogs 278

yongs rdzogs dge bsnyen 277

yon tan yar ldan 227, 232, 236, 278,
322, 326 (see also: *yar ldan*)

g.yeng ba 42 115

'rang gi lus la smad pa 151 383

rang rgyud 155 388

rang stong pa 43 116

rang don yid byed 44, 55 171

rang ldog 230 576, 405 880

rang ldog ma 'dres 7, 7 17, 279, 281,
313

rang byung gyis bsnyen par rdzogs 47

rang bzhin 4 11

rang bzhin mi rtog pa 188, 203

rang lugs 16, 49 141, 73, 86, 89,
131, 289 631

rang sangs rgyas 62 187

rab byung 276 f.

rab byung gi rtags 226

rig gnas 397

rig pa 190, 205

rig pa 'dzin pa 89 243
rig 'dzin [sngags] kyi sdom pa 62, 283
rig 'dzin sdom pa 227
rigs kyi bye brag 315
rigs lnga'i sdom pa 62
rigs can gsum 68 202, 227
rigs can gsum gyi sdom pa 311, 315 f.
rigs bzhi 56
rim gyis blangs pa'i tshe 90 248, 93 257
rim thob 393, 403 877
rim pa can 278
rim pa bzhin du thob dgos 189, 203
rim par nod pa 403 877
ris med 282
ro gcig 333
rlung sems gnyis med 218

***l**am 'bras* 27, 331, 331 682, 392
lam rim 330
las kyi yan lag bzhi 439 946
las rgyu 'bras bu 361 761
las lam 42 110
lus kyi lag len 209 538
lung gi dus 45
lus ngag yid gsum gyi sdom pa 10
longs spyod pa 89 243

***sh**ams thabs sngon po can* 13 34
shi ba'i tshe 50 144
shing rta chen po gnyis kyi lugs 52 162
shin tu gdul dka' 393
shes par 'dod 223
shes bzhin 267
shes rab kyi ngo bo 69 204
shes rab rang bzhin 69 204

bshags sdom 209
bshags pa 209 539

***Sa** bcad* 185
sa bon 42 115 77
sangs rgyas kyi ye shes 75
sad pa 77
sad mi mi bdun 11 ftn 26
sems kyi kun slong 209 538
sems kyi khyad par 190, 205
sems kyi rgyud 42 112
sems kyi bye brag 228, 311, 315 f.
sems kyi bslab pa 195
sems bskyed pa 55 1713
sems bskyed kyi sdom pa 10
sems can gyi shes pa 75
sems can don byed kyi tshul khrims 10, 276
sems can la gnod pa 60 182
sems pa 42 115
sems ma nyams 53 166
sems med pa 42 115
ser khyim pa 13, 14 37, 325 665
ser sna byed pa 60 182
so thar rkyang pa 222
so thar ris bdun gyi khrims 80 223
so thar gyi sdom pa (see: *so sor thar pa'i sdom pa*)
so thar blang ba'i yul 48
so sor thar pa'i 'dul ba 40 100
so sor thar pa'i sdom pa 9, 12 31, 13 36, 42 110, 283
srang la dbab 194, 209 540
srog gcod spong ba 42 110
srog chags rnam la byams pa'i sems 60 181
gsang sngags kyi rgyud sde 10
gsang sngags kyi sdom pa 13 36
gsang sngags rdo rje'i theg pa 369

gsang sngags rdo rje theg pa'i rim pa
gnyis kyi skor 183

gsang sngags dbang bzhi 13 36

gsar rnying bka' gter mdo sngags 226

gsum char ldan pa'i tshe 90 248, 94
 259

gsum char yang btang 10

gser 'gyur rtsi yi dngos po 315

gsol bzhi'i las 47

bsam gtan gyi sdom pa 9

bsam pa 7 17, 209, 439 946

bsod nams 61 185

bsrung ba 227

bslab pa phul 40 100

bslab pa'i gzhi 10

bslab par bya ba 53

bslab bya 155

bslab bya 'jug sdom 363

ha *cang thal* 280

lha rje 178

lha'i mam pa 89 243

lhag chad 181

lhag pa tshul khrims kyi bslab pa 283

lhag pa'i ting nge 'dzin gyi bslab pa
 283

lhag pa'i shes rab kyi bslab pa 283

lhan cig skyes pa'i ye shes 190, 205

lhan thabs 339

O *rgyan rje 'bangs [nyer lnga]* 11 30

3. Tibetan Proper Nouns

Kaḥ-thog Rig-'dzin Tshe-dbang-nor-bu 273

Karna-ri-[pa] 347 735

Karma bKa-'brgyud-pa 19, 82, 177, 182 495, 217 f., 273, 277 616, 281, 284, 305, 310, 313, 319, 321, 323 f., 326 f., 329

Karma bKra-shis-'od-zer 283

Karma-rgyal-mtshan 273 606

Karma-nges-don-snying-po gZhan-phan-chos-kyi-dbang-phyug 394 863

Karma-nges-don-bstan-rgyas, sMan-sdong-mtshams-pa 18

Karma-nges-don-bstan-pa-rab-rgyas 282, 394 863

Karma-nges-legs-bstan-'dzin 16, 19, 273 ff., 280, 403 877

Karma-chags-med 11, 11 29, 12 31, 13, 13 36, 24 62, 65 197, 84, 86, 324, 324 664, 325 f.

Karma-mtha'-yas 275

Karma-pa (eighth, see: **Mi-bskyod-rdo-rje**)

Karma-pa (first, see: **Dus-gsum-mkhyen-pa**)

Karma-pa (seventh, see: **Chos-grags-rgya-mtsho**)

Karma-pa (third, see: **Rang-byung-rdo-rje**)

Karma-pa (thirteenth, see: **bDud-'dul-rdo-rje**)

Karma Pak-shi, Grub-chen 183

Karma-'phrin-las-pa 16, 24 62, 68, 86, 192, 217-271, 273, 275 611, 277, 280 f., 305, 307-318, 320 ff., 326 f., 329, 341

Karma-sangs-rgyas-chos-'phel 19

Kun-dga'-rgyal-mtshan, Las-chen 13 33

Kun-dga'-rgyal-mtshan (see: **Sa-pan**)

Kun-dga'-chos-'phel, Nang-po Chos-mdzad 275

Kun-dga'-snying-po, Sa-skyā 57, 141 375, 157, 159 396

Kun-dga'-'bum 26

Kun-dga'-tshul-khrims-rgya-mtsho 397

Kun-dga'-bzang-po (see **Ngor-pa Kun-dga'-bzang-po**)

Kun-dga'-rin-chen 334

Kun-tu-bzang-po 184

Kun-bzang-bde-chen-'od-gsal-gling 282

Ko-brag-pa 23

Ko-shul-grags-pa-'byung-gnas 26 65

Kong-ston dBang-phyug-grub 26 65-66, 27

Kong-sprul Blo-gros-mtha'-yas 8, 41, 42, 42 110, 112, 115, 43 116, 119, 56-59, 61, 63, 63 190-191, 186 505, 193, 273, 280-285, 295-303, 305, 314, 318, 320 ff., 329, 346 f., 394 863, 398 f.

Klu-sgrub 347 735

Klu-sgrub-rgya-mtsho (see: **Mang-thos**)

Klong-chen Rab-'byams-pa Dri-med-'od-zer 2, 5, 5 15, 6, 13 33, 192, 217, 220, 280, 280 623, 289, 301, 321, 326 f., 327 670, 391, 395 f., 398 f., 403, 405 880, 415, 415 896, 417, 419 903

dKa'-bcu-pa sByin-bzang 27 68

dKon-mchog-bkra-shis, mKhan-po 17

dKon-mchog-rgyal-mtshan, mKhan-po 17, 337 709, 340 716

dKon-mchog-rgyal-mtshan, Mus-chen 27

dKon-mchog-thub-bstan, rTogs-ldan Rin-po-che 341

dKon-mchog-'phel 28

dKon-mchog-lhun-grub (see: Ngor-chen)

bKa'-brgyud-pa 217, 325 f., 347

bKa'-gdams-pa 24, 31, 34, 67, 86, 93, 253, 127, 177 f., 220, 330, 392, 405 880, 411 889, 413 894

bKra-shis-rnam-rgyal 217, 217 549, 218

sKyu-ra 330 675, 336, 336 700 (see also: 'Brug-rgyal sKyu-ra)

sKyed-tshal 27

sKyob-pa 'Jig-rten-mgon-po (see: 'Jig-rten-mgon-po)

Kha-che Paṇ-chen (see: Śākyaśrī-bhadra)

Kha-rab dKon-mchog-bstan-'dzin 396

Khams 26, 28

Khams-pa dBus-se (= Dus-gsum-mkhyen-pa)

Khu-dbon-gnyis-kyis (i.e. sGam-po-pa and sGom-pa?) 183

Khri-lde-srong-btsan 12 32

Khri-gtsug-lde-btsan 12 32

Khri-srong-lde'u-btsan 11, 12 31-32

Khro-phu Lo-tsa-ba Byams-pa'i-dpal 21

mKha'-spyod-dbang-po (see: Zhwa-dmar-pa)

mKha'-spyod-dbang-po, rTogs-ldan 183

mKhar-chu 337 f.

mKhas-grub-rje dGe-legs-dpal-bzang-po 86, 220, 231 578, 279, 289

mKhyen-brtse-dbang-po (see: 'Jam-dbyangs mKhyen-brtse-dbang-po)

Gu-ge 392

Gung-thang 391

Gung-ru Shes-rab-bzang-po 27, 27 72

Go-bo-rong 26 66

Go-'o-rong (see: Go-bo-rong)

Go-rams-pa 15, 19, 21-177, 217, 227, 229 573, 233, 237, 275 611, 311 ff., 317 656, 318 658, 329, 391

Grags-pa-rgyal-mtshan 1, 15, 17 f., 22, 31 79, 34, 51, 61, 64, 65 196, 68, 70, 71 208, 72, 75 f., 95 260, 135, 141 375, 217, 219 f., 227 f., 228 568, 233 f., 237, 249, 251, 271, 279, 301, 308-311, 314 f., 316 655, 318, 329

Grags-pa-'byung-gnas, sPyan-snga 333, 333 691, 334

Grub-pa'i-rdo-rje, dByangs-can 18

Gro-bo-lung 338 711

Glang-dar-ma 330 675

Gling-gseb 336

Glo-bo 391

Glo-bo mKhan-chen bSod-nams-lhun-grub 26 65, 392, 392 856

dGa'-gdong-pa Chos-rgyal-dpal-bzang 24 62, 31, 31 78, 34

dGa'-byed 387

dGe-'dun-rin-chen, dGe-bshes Brag-phug 18

dGe-ldan-(pa) 86, 219 f., 231, 257, 279, 289, 293, 303, 413 894

dGe-lugs-pa 18, 93 253, 281 625

dGe-legs-dpal-bzang-po (see: mKhas-grub-rje)

dGon-dkar 337

mGon-po-rgyal-mtshan, rTogs-ldan 183 f.

'Gyur-med-rdo-rje sMin-gling-gter-chen 395, 395 869, 396 872, 396 f., 397 875, 398, 415 899

'Gro-mgon-ras-chen 183

rGa Lo-tsā-[ba] 347 735
 rGod 336 700
 rGya-bsgom 182
 rGya-ston Chos-rje 221
 rGya-ston (Bya-Bral) Nam-mkha'-
 rgyal-mtshan 218
 rGya-ba-gser-re 332 683
 rGyal-ba-blo-bzang 26 65
 rGyal-ba-g.yung-ston 183 f.
 rGyal-mo-tsha-rong 275
 rGyal-sras-bstan-pa'i-nyi-ma 396
 rGyal-sras Pong(Pod?)-rag-pa (see:
 Pong-rag-pa)
 rGyu-ston gDan-sar sGrub-pa-snying-
 por-dril 221
 sGam-po 178
 sGam-po-pa Bla-ma Rin-po-che
 Dharmāranda 183
 sGam-po-pa bSod-nams-rin-chen 1, 2,
 13 33, 14 ff., 19, 177-215, 217,
 219-222, 230, 232, 234 f., 235
 584, 236, 239, 261, 263, 265, 267,
 269, 273, 279-281, 284, 289, 299,
 301, 305-310, 312-323, 325, 329
 ff., 332 683, 347 f., 348 738, 361
 761, 405 880, 411 889
 sGeg-pa'i-rdo-rje 36 83
 sGom 26 66
 sGom-chung (see: Shes-rab-byang-
 chub)
 bsGom-pa-legs-mdzes 181, 181 490

Ngag-dbang-kun-dga'-bsod-nams
 (see: A-mes-zhabs)
 Ngag-dbang-chos-kyi-dbang-phyug
 (sixth Zhwa-dmar-pa) 341
 Ngag-dbang-chos-grags 19, 29
 Ngag-dbang-dpal-ldan 64
 Ngad-phu-ba, dPal-ldan 336
 Ngo-khro mKhyen-rab 226 (see: Ngo-

 gro Bla-ma)
 Ngo-khro Rab-'byams-pa dBang-
 phyug-dpal 225 (see: Ngo-gro Bla-
 ma)
 Ngo-gro Bla-ma 218, 225
 Ngo-gro Bla-ma sGron-mdog-'bum-pa
 226 (see: Ngo-gro Bla-ma)
 Ngor-chen dKon-mchog-lhun-grub 26
 66, 27
 Ngor-chen Sangs-rgyas-phun-tshogs
 26 65-66
 Ngor-pa Kun-dga'-bzang-po 26
 Ngor Evans-chos-ldan 26 ff.
 dNgul-chu Dharmabhadra 18
 mNga'-ris Gu-ge 12, 13, 13 35
 mNga'-ris Pan-chen Padma-dbang-
 rgyal 2, 6, 16, 18 f., 192, 217,
 237 f., 280 623, 321, 326, 391,
 394 f., 397, 401, 403 877, 415 896
 mNga'-ris Pu-rang 22

gCung-mdzes-pa'i-dga'-bo 43
 ICang-skya Rol-pa'i-rdo-rje 180, 184,
 305
 ICags-ri Gong-kha-pa 178

Chag-lo-tsā-ba 93 253
 Chos-kyi-grags-pa, Rig-'dzin 119 327,
 225 562, 337 709, 341
 Chos-kyi-rgyal-po, gTer-chen 415
 Chos-sku-'od-zer 23
 Chos-skyong-rgyal-mtshan, mKhas-
 grub 396
 Chos-skyong-bzang-po, Zhwa-lu Lo-
 chen 218
 Chos-grags-rgya-mtsho, (seventh
 Karma-pa) 16, 68, 75 212, 217,
 219 f., 229, 231, 236, 253, 261,
 271, 284, 301, 308, 314, 327

Chos-grags-ye-shes, (fourth Zhwa-dmar-pa) 217

Chos-rgyal-dpal-bzang (see: dGa'-gdong-pa)

Chos-rnam-rgyal 29

Chos-'bangs 21

Chos-rin-pa, Bla-ma 184

mChog-gyur-gling-pa, gTer-ston 282

mChog-brnyes Ka-ta-na 347 735

Jo-gdan Legs-mdzes 183

Jo-sras bTsan-ting 331

'Jam-dbyangs mKhyen-brtse'i-dbang-po 282, 282 627, 394 863

'Jam-dbyangs Chos-skyong 392

'Jam-dbyangs Blo-gros-dpal 392

'Jam-dbyangs Rin-chen-rgyal-mtshan 391

'Jig-rten-mgon-po, ('Bri-gung-pa) 1, 13 34-35, 15, 18, 21-24, 40, 43, 84, 185, 191, 217, 219 f., 225 562, 230, 230 576, 253, 255, 275 611, 279 620, 284 630, 285, 326, 329 f., 330 675, 331, 331 682, 332, 333, 333 691, 334 f., 336, 336 702, 337, 340 716, 341 f., 342 723, 343 f., 346, 347 735, 348, 348 738, 349, 350 742, 351, 351 748, 352, 355, 357, 361, 363, 365, 369, 369 781, 375, 377, 381, 389, 391

rJe-btsun-rste-mo (see: bSod-nams-rtse-mo)

gNyal-pa 'Dul-'dzin (see: dMyal-pa 'Dul-'dzin)

gNyos 397

rNying-ma-pa 2, 18 f., 84, 217, 238, 273, 279, 281 f., 291, 293, 313,

318 f., 321, 324, 326 f., 329 f., 342 f., 348, 357 754, 367, 391, 397, 405 880

sNyan-ras 184

sNyi-sgom bSod-nams-rin-chen (= sGam-po-pa)

sNyug-rum-pa 178

Ti-se 23, 337

Til-yag 282 628

gTer-bdag-gling-pa (see: 'Gyur-med-rdo-rje)

rTa-mgrin-mgon-po 274

rTa-nag Thub-bstan-rnam-rgyal 26 65, 28, 28 73, 29

sTag-tshang Lo-tsā-ba [Shes-rab-rin-chen] 43

sTag-lung sKra-pa 396

sTag-lung-(bka'-brgyud)-pa 182 495

sTag-lung-thang-pa 333, 333 692

sTong-lungs-pa (see: sTod-lung -pa)

sTod-lung-pa 182, 182 497, 183 (= Dus-gsum-mkhyen-pa?)

bsTan-'dzin-chos-kyi-blo-gros 336 702

bsTan-'dzin-padma'i-rgyal-mtshan (fourth Che-tshang sPrul-sku) 335

Thub-bstan-ngag-dbang, dGe-shes 17

Thub-bstan-rnam-rgyal (see: rTa-nag)

Thub-pa bSod-snyoms 341 719

Thogs-med-bzang-po 350 742

Ding-ri 14 37

Dus-gsum-mkhyen-pa, first Karma-pa 182, 182 494, 497, 183 f., 333

De-bshin-gshegs-pa 184

Don-grub-dbang-rgyal, lDum-pa 396

Dol-gyi-rtsi-lung 331 682

Dwags-po 178, 333, 333 691
 Dwags-po bKa'-brgyud-pa 178, 180,
 217, 279, 289, 310
 Dwags-po sGom-tshul (see: Tshul-
 khriims-snying-po)
 Dwags-po 'Dul-'dzin 333 691
 D(w)ags-po-lha-rje (= sGam-po-pa)
 183, 284
 Dwags-la-sgam-po 333, 333 691
 Drag-shul-can 39
 Drang-po 392
 Dril-bu-pa 347, 347 735
 Drung Rin-po-che 225
 gDan-sa-mthil 178 479
 bDud-'joms 'Jigs-bral-ye-shes-rdo-rje
 391 853, 392 858, 394
 bDud-'dul-rdo-rje, thirteenth Karma-
 pa 273 f.
 mDo-khams 26 66
 rDo-rje-grags-pa 274
 rDo-rje-gdan-pa 347 735
 rDo-rje-dpal 330
 rDo-rje-brag 397
 rDo-rje-dbang-phyug 17
 rDo-rje-shes-rab 15 44-45, 76 213, 84
 236, 225 562, 340, 340 718, 341 f.,
 345, 348, 348 738, 350 742, 351
 sDe-srid Sangs-rgyas-rgya-mtsho (see:
 Sangs-rgyas-rgya-mtsho)
 bsDong-lungs-pa (see: sTod-lung-pa)

Dharmabhadra, dNgul-chu (see:
 dNgul chu Dharmabhadra)

Na-lendra 27, 27 72

Nam-mkha'i-rgyal-mtshan, La-phyi-pa
 183

Nam-mkha'-dbang-phyug 330 675

Nub-pa Rin-po-che 17

Nor-bstan-bzang-po 391
 gNam-mtsho'i-do 337
 gNas-brtan-byang-bzang 80 224
 rNam-rgyal-dpal-bzang 392
 rNal-'byor Chos-g.yung 182, 182
 496, 183
 rNal-'byor-pa rDo-rje 330 675
 sNar-ston Seng-ge-'od 398, 415

Padma-dkar-po, fourth 'Brug-chen
 18 f., 335, 350 742
 Padma-gar-dbang 22
 Padma-phrin-las 397
 Pu-rang (see mNga-ris)
 Pong(Pod?)-rag-pa 183 f.
 dPa'-bo-gtsug-lag-'phreng-ba 70
 dPal-chen 336 700
 dPal-ldan-lha-mo 14 38
 dPal-spungs 273, 281 ff., 394 863
 dPal-spungs Thub-bstan-chos-'khor-
 gling 274
 dPal-spungs dBon-rgan (see: Karma-
 nges-legs-bstan-'dzin)
 dPal-ba-dar 330 676
 sPos-khang-pa Rin-chen-rgyal-mtshan
 31 78
 sPyan-snga-ba 182

Phag-mo-gru 326, 332 f., 351 748
 Phag-mo-gru-pa rDo-rje-rgyal-po 182,
 182 495, 191, 230, 329 ff., 342,
 347 735, 348 738, 350 742, 351
 748

Phan-yul 27 70

Phreng-po dGa'-ldan-gling 226

Bu-ston Rin-chen-grub 23, 350 742
 Bu-ram-shing-'phel 385
 Bo-dong-pa 'Jigs-med-grags-pa (see:

Bo-dong Paṇ-chen)
 Bo-dong Paṇ-chen Phyogs-las-rnam-
 rgyal 48 136
 Byang-chub-gling 22
 Byang-chub-mchog, dPal-ldan 57
 Byang-chub-bzang-po 52 162
 Byams-chen Rab-'byams-pa Sangs-
 rgyas-'phel 27
 Byams-pa-phrin-las 273 606
 Byams-pa'i-dpal (see: Khro-phu Lo-
 tsā-ba)
 Bye-dkar-ba, Rin-po-che 183
 Blo-gros-mtha'-yas (see: Kong-sprul
 Blo-gros-mtha'-yas)
 Blo-gros-dbang-phyug 226
 Blo-gros-rin-chen 183
 Blo-gter-dbang-po 282 627
 dBang-phyug-grub (see: Kong-ston)
 dBus 13
 dByangs-can Grub-pa'i-rdo-rje (see:
 Grub-pa'i-rdo-rje)
 dBye-chung 332 684
 'Be-lo Tshe-dbang-kun-khyab 217
 548, 221
 'Bom-lung-mda' 26 66
 'Bras-yul rDzong-dkar sKyed-mo-
 tshal 27 f.
 'Bri-gung 23, 333, 337
 'Bri-gung Kun-dga'-rin-chen (see:
 Kun-dga'-rin-chen)
 'Bri-gung-gling 22
 'Bri-gung-gling-pa (see: Shes-rab-
 'byung-gnas)
 'Bri-gung-mthil 22, 341
 'Bri-gung-pa (see: 'Jig-rten-mgon-po)
 'Bri-gung (bKa'-brgyud)-pa 1, 17 f.,
 24, 34, 40, 76 213, 182 495, 225
 562, 230, 230 575, 233, 238, 255,
 273, 279, 283 630, 307-313, 329,
 336 702, 340 717, 342, 347

'Brug-rgyal sKyu-ra 330 (see also:
 sKyu-ra)
 'Brug-pa-(bKa'-brgyud)-pa 182 495
 'Bro-lcam Khrom-pa-rgyan 391
 'Brom-ston 12, 13 33, 182

Ma-thang 391

Mang-thos Klu-sgrub-rgya-mtsho 29
 Mar-pa Chos-kyi-blo-gros 179, 182
 ff.
 Mar-pa bKa'-brgyud-pa 1, 178, 282
 Mar-yul Blo-ldan 178
 Mi-bskyod-rdo-rje, eighth Karma-pa
 18, 185, 185 502, 217 f., 221, 225
 562, 277 616, 341
 Mi-pham 18, 24 62, 237 f.
 Mi-la bZhad-pa-rdo-rje (see: Mi-la-
 ras-pa)
 Mi-la-ras-pa 13 33, 177 f., 179, 182
 ff.
 Mus-chen dKon-mchog-rgyal-mtshan
 (see: dKon-mchog-rgyal-mtshan)
 Mus-chen Sangs-rgyas-rin-chen (see:
 Sangs-rgyas-rin-chen)
 dMyal-pa 'Dul-'dzin 332, 332 688
 sMin-gling-gter-chen (see: 'Gyur-
 med-rdo-rje)
 sMyug-la Paṇ-chen 218, 218 550

TSong-kha-pa Blo-bzang-grags-pa 17
 f., 24 62, 52, 86, 93 253, 231 578,
 350 742

gTsang 13, 26
 rTsi-lung-pa 331, 331 682

Tshul-khrims-snying-po, Dwags-po
 sGom-tshul 181, 181 492, 182, 182
 497, 184, 194 f., 331 f., 332 683
 Tshul-khrims-rnam-dag, Ācārya 17

Tshul-khrims-dpal 39
 Tshul-khrims-ye-shes 182
 Tshe-dbang-rgya-mtsho 226
 Tshe-dbang-nor-bu (see: Kaḥ-thog
 Rig-'dzin Tshe-dbang-nor-bu)
 mTshur-pu 182 497
 mTsho-sna-ba Shes-rab-bzang-po 49,
 49 141
 'Tshur lHa-lung 332 683
 'Tsho-byed Tshul-khrims-'od-gsal 274

DZa-landha-ra 347 735

Zhang Tshal-pa 343 727

Zhva-dmar-pa 184
 Zhwa-dmar-pa mKha'-spyod-dbang-po
 178 478
 gZhon-nu-tsam-pa 37
 gZhon-nu-tshul-khrims, Zangs-dkar
 185 503

Zangs-ri 332

Zur 396, 398, 415, 415 897, 498
 Zla-bzang sPrul-sku 282 628
 Zla-'od-rgyal-mtshan (see: bSod-
 nams-lhun-grub)
 Zla-'od-gzhon-nu (see: sGam-po-pa)

'O-de-gung-rgyal 178, 178 480
 'O-phrom 336 700
 'On-gyi-rtsi-lung 331 682
 'On sMon-thang 392
 'Ol-kha 178, 178 480
 'Ol-dga-bsam-gtan-gling 178 479

Ya-rgyal 338 711

Yar-'brog 338 711
 Ye-shes-mkha'-'gro 182 f.

Ye-shes-dngos-grub 347 735
 Ye-shes-'od 12, 12 32
 Yol Rin-chen-gling 226
 g.Yag-ston Sangs-rgyas-dpal 26
 g.Ye-chung 332 684

Ra-mo-che 221

Rang-byung-rdo-rje (third Karma-pa)
 16, 183 f., 218, 220, 273, 279 ff.,
 291, 327, 327 670, 396
 Rang-byung-pa (see: Rang-byung-rdo-
 rje)
 Ram-snyi-ba Chos-kyi-g.yung-drung
 (see: rNal-'byor Chos-'byung)
 Ral-pa-can 12 32
 Ras-pa-chen-po, 'Gro-mgon 184
 Rwa-sgreng sGom-chen 330
 Ri-bo dGe-ldan-pa (see: dGe-ldan-pa)
 Rig-'dzin Chos-kyi-grags-pa (see:
 Chos-kyi-grags-pa)
 Rin-chen-rgyal-mtshan (see: sPos-
 khang-pa)
 Rin-chen-dpal (see: lHo-pa)
 Rin-chen-dpal 331
 Rin-chen-phun-tshogs 335 698
 Rin-chen-byang-chub 341 319
 Rin-chen-bzang-po 12, 12 32, 301,
 398 f., 417, 417 901
 Rin-chen-ri-bo 219
 Rong-ston Shes-bya-kun-rig 26 f.,
 218
 Rong-zom Chos-kyi-bzang-po 301,
 396, 398 f., 415, 415 896
 Rol-pa'i-rdo-rje 183 f.

La-phyi-pa 184

Las-chen Kun-dga'-rgyal-mtshan (see:
 Kun-dga'-rgyal-mtshan)
 Lo-chen Dharma-shrī 6, 24 62, 84,

86, 155 389, 224 561, 237 f., 280
623, 321, 326, 329
rLung-mo-ba 331

Shākya-mchog-ldan, gSer-mdog
Paṇ-chen 17 f., 29, 37, 40 105, 50
146, 218, 225

Shākya-bzang-po, gTer-ston 392

Sha-ba-ri-dbang-phyu 183

Shes-bya-kun-rig (see: Rong-ston)

Shes-rab-dpal 27

Shes-rab-byang-chub, sGom-chung
182 493, 497, 183

Shes-rab-'byung-gnas (= 'Bri-gung
Gling-pa) 22 f., 40, 230 576, 329,
333-336, 336 702, 337 ff., 341 719

Shes-rab-gzhon-nu 182, 182 493

Shes-rab-bzang-po (see: Gung-ru)

Shes-rab-bzang-po (see: mTsho-sna-
ba)

Shes-rab-bzang-po (Tilopa) 347 735

Sho-sgom Byang-chub-ye-shes 181,
181 490, 183 f., 194

bShes-gnyen-rnam-rgyal, Gung-thang
Paṇ-chen 396

Sa-skya 22, 28, 70, 141 375, 301

Sa-skya-pa(s) 1, 2, 19, 22, 29, 31, 34
f., 39, 50, 67-73, 76, 90, 91 249,
93 253, 95, 109, 129, 217, 224
560, 227, 229 f., 230 576, 231,
233 ff., 237 f., 251, 255, 267,
273, 275 611, 279 621, 289, 291,
307 f., 310 ff., 314, 315 653, 317
f., 329

Sa-skya Paṇḍita Kun-dga'-rgyal-
mtshan (see: Sa-pan)

Sa-pan 19, 22, 27 f., 34, 38 92, 40,
48, 51, 51 154, 53, 178, 217, 279,

301, 312, 337, 398 f., 417

Sangs-rgyas-grags-pa 347 735

Sangs-rgyas-rgya-mtsho, sDe-srid 19
21, 24 62, 231 578

Sangs-rgyas-sgom-pa 183

Sangs-rgyas-chos-dar 396

Sangs-rgyas-bstan-'dzin, mKhan-po
29

Sangs-rgyas-dpal (see: g.Yag-ston)

Sangs-rgyas-dpal-grub 27

Sangs-rgyas-'phel (see: Byams-chen
Rab-'byams-pa)

Sangs-rgyas-phun-tshogs (see: Ngor-
chen)

Sangs-rgyas-ye-shes, sGom-pa 195

Sangs-rgyas-rin-chen, Mus-chen 218

Si-tu bKra-shis-dpal-'byor 218

Si-tu Paṇ-chen Chos-kyi-'byung-gnas
217 548, 221, 273 f., 276

Srin-po-ri 21, 24

Srong-btsan-sgam-po 363 764

gSang-phu Ne'u-thog 27

gSal-snang of Mang-yul 11 26

gSer-gling-pa 182

gSer-mdog-can 225

bSam-yas 333, 337

bSod-nams-grags-pa, Paṇ-chen 13 35

bSod-nams-rgyal-mthsan, Bla-ma-
dam-pa 31 78

bSod-nams-chos-'grub, Glag-bla 19

bSod-nams-rtse-mo 93, 141, 141 375

bSod-nams-rin-chen (see: sGam-po-
pa)

bSod-nams-lhun-grub Zla-'od-rgyal-
mtshan 178 478, 179

lHa-rgod 336 700

lHa-sgom 183

lHa-sgra 336 700

lHa-btsun Nam-mkha'-snying-po 383

836

lHo-pa Rin-chen-dpal 51, 51 154

lHo-brag 337

lHo-brag-pa-chen-po (see: Mar-pa)

A-phyi Chos-kyi-sgrol-ma 330 675

A-mes-zhabs Ngag-dbang-kun-dga'-
bsod-nams 26 65

U-(b)rgyan-pa, Grub-thob 183 f.

E-chung 332

O-dyan-dpal-'byor, dGe-slong 397,
397 874

O-dyan-smin-grol-gling 397, 397 875

4. Tibetan Book Titles

Kaṃ tshang yab sras dang dpal
phungs dgon pa'i lo rgyus ngo
mshar dad pa'i padma rgyas byed
273 606

Kaṃ tshang lo rgyus (see: Kaṃ
tshang yab sras ...)

Kar ſika 277

Kun mkhyen bsod nams seng ge'i
nam par thar pa 26 65

Kun mkhyen bsod nams seng ge'i
nam par thar pa dad pa rgya
msho'i rlabs phreng nam par g.yo
ba 26 65

dKyiil 'khor thams cad kyi spyi'i cho
ga gsang ba'i rgyud 60 180

bKa' brgyad bde gshegs 'dus pa 392

bKa' brgyud sngags mdzod 282

bKa' brgyud bla ma nams kyi nam
thar rin chen gser phreng 334

bKa' gdams kyi nam par thar pa 13
34

bKa' gdams chos 'byung 13 35

bKa' 'dus phyi ma rig 'dzin yongs
'dus kyi chos skor 392 857

bKa' mdzod 282

sKyob pa'i nam thar phyogs bcu dus
gsum ma 334

sKyob pa'i bka' 'bum 329 672

m**Kha**' 'gro snying thig gi myong
khrid 218

mKhas 'jug 9 20

mKhas pa'i dga' ston 178 478, 181
490-491

'Khrul spong gi brgal lan 17 f.

Gangs can mkhas grub rim byon
ming mdzod 26 65, 185 503

Gangs rdzongs gso rig bstan pa'i nyin

byed rim byon gyi nam thar
phyogs bsgrigs 273 606

Gangs ri chen po ti se lo rgyus 336
702

Grub pa'i miha' nam par bzhas pa
thub bstan lhun po'i mdzes rgyan
180

dGongs gcig 'grel pa nyi ma'i snang
ba 225 562, 337 709

dGongs 'dus 357

dGongs pa lung bstan pa 379

'Grel pa shing rta nam par dag pa
(see: rDzogs pa chen po bsam gtan
ngal gso)

rGyud chen sgyu 'phrul drwa ba 391
854

rGyud sde kun btus 282 627

rGyud phyi ma 226 564

rGyud 'bum pa'i lung de kho na nyid
ye shes grub pa 68

rGyud gzhung gsal byed 64

sGam po pa'i bka' 'bum dpags bsam
ljon shing 195

sGam po gsol 'debs ma 338

sGyu 'phrul drwa ba 397, 415

sGrub thabs kun btus 282 627

sGrub thabs mdo byas kyi dka' 'grel
24 63

Ngal gso skor gsum 395 867

sNgags don nam gzigs 57

sNgags sdom gyi dam tshig la rtsa
ltung bcu bzhi 60

bCom ldan 'das dpal 'khor lo sdom
pa lhan skyes lha lnga'i sgrub
thabs don gnyis mchog stsol 347
735

Cho 'phrul bstan pa'i mdo 38 93

Chos kyi rje karma 'phrin las pa'i
gsung 'bum las thun mong ba'i dri
lan gyi phreng ba rnams 219

Chos kyi rje dpal ldan sgam po pa
chen po'i nram par thar pa 178
478

Chos kyi rje dpal ldan sgam po pa
chen po'i nram par thar pa yid
bzhin gyi nor bu rin po che 178
478

Chos rje karma pa sku 'phreng rim
byon gyi mam thar mdor bsod
dpag bsam khri shing 282 628

Chos rje 'jig rten mgon po'i mam
thar rdo rje rin po che 'bar ba 334

Chos rje 'jig rten gsum gyi dgon la
nges pa don gyi bstod 339

Chos mngon pa'i mdzod kyi 'grel pa
rgyas par spros pa grub bde'i
dpyid 'jo 277 616

Chos 'byung bstan pa'i padma rgyas
pa'i nyin byed 335

Chos zhag nyer bdun 338

Jig rten mgon po'i bri gung pa chen
po mnyam med skyob pa rin po
che'i zab chos byin rlabs 'od stong
'bar ba'i gsang chen nor bu'i gter
mdzod 330 673

'Jig rten gsum mgon dgongs gcig
nram bshad 18

rJe spyan snga rin po che'i bka' 'bum
338 712

rJe btsun mkhas pa'i dbang po bsod
nams seng ge'i nram par thar pa
nyi ma'i 'od zer 26 65

rJe btsun rdo rje mal 'byor ma'i
sgrub thabs thar pa dam pa'i gru

'dzin 119 327

rJe btsun rin po che'i nram thar 22

rJe gsum ldan ma'i gsol 'debs 338

Nying ma bka' ma rgyas pa 391
854, 393

rNying ma rgyud 'bum 391 854, 392
855

Tan la phab pa 351 746, 375

Thar pa rin po che'i rgyan 180 485,
181, 234 f., 235 584, 239, 265,
314 651, 316 ff., 361 761

Thun mong ma yin pa'i mdzod 282

Theg pa chen po'i snying po 338

Theg pa chen po'i tshul 338

Dad ldan yid kyi dga ston nam yon
tan rin po che dpag tu med pa bsk-
byed par byed pa'i man ngag bsil
byed tsan dan gyi phreng ba 342
723

Dam chos dgongs pa gcig pa 338

Dam chos dgongs pa gcig pa'i khog
dbub 340 716

Dam pa'i chos kyi 'byung tshul legs
par bshad pa bstan pa rgya mishor
'jug pa'i gru chen zhes bya ba
rtsom 'phro kha skong 26 66

Dam pa'i chos dgongs pa gcig pa las
so so thar pa'i tshoms kyi kar tik
chen po 225 562

Dam tshig gsal bkra 36 83, 427, 427
914

De bzhin gshegs pa thams cad kyi sku
gsung thugs kyi gsang chen gsang
ba 'dus pa zhes bya ba brtag pa'i

- rgyal po chen po 375 798
 Dwags po thar rgyan (see: Thar pa rin po che'i rgyan)
 Dur khrod rmad du byung ba 56
 De kho na nyid ye shes grub pa [sās-tra] 237
 Deb ther sngon po 178 478, 181 490-492, 185 503
 Dwags po'i gsung tshogs chos yon tan phun tshogs 179
 Dris lan padma bzhad pa 29
 gDams ngag mdzod 282
 bDe mchog 'byung ba 381
 bDe mchog 'byung ba zhes bya ba'i rgyud kyi rgyal po chen po 381 824
 bDe mchog rtsa rgyud 58
 bDe mchog lha lnga'i brgyud 'debs 347 735
 bDe ba can ltar bstod pa 338
 mDo dgongs pa 'dus pa 391 854
 mDo rgyud kun gyi don bsduṣ pa snying po yid kyi mun pa nam par sel ba 29
 mDo sgyu sems gsum gtso bor gyur pa'i snga 'gyur bka' ma'i skor 391 854
 'Dul fik 39 96, 277 616
 'Dul ba nyi ma'i dkyil 'khor ('Dul ba mdo rtsa'i rgya cher 'grel spyi'i don miha' dpyad dang bsduṣ don sa bcad dang 'bru yi don mthar chags su gnyer ba bcas 'dzam bu'i gling gsal bar byed pa'i rgyan nyi ma'i dkyil 'khor) 277 616
 'Dul ba mdo rtsa'i nam bshad nyi ma'i 'od zer legs bshad lung gi rgya mtsho 49 141
 'Dul ba nam par 'byed pa 355 753
 'Dul ba lung 37, 37 87, 47, 407
 'Dus pa'i mdo 391 854, 397
 'Dus pa'i mdo skor 391 854
 rDo rje rnal 'byor gyi 'khrid yig mthong ba don ldan gyi lhan thabs 'od brgya 'bar 22 58
 rDo rje sems dpa' sgyu 'phrul drwa ba 391 854
 rDo shes ma 230
 sDom pa 'byung ba 381
 sDom pa gsum gyi rgyan 18 f., 433 933
 sDom pa gsum gyi snying po 18
 sDom pa gsum gyi bstan bcos dris shing rtsod pa'i lan sdom gsum 'khrul spong 28
 sDom pa gsum gyi mi mthun phyogs dran ishul 18
 sDom pa gsum gyi rab tu dbye ba'i kha skong gzhi lam 'bras gsum gsal bar byed pa'i legs bshad 'od kyi snang ba 29
 sDom pa gsum gyi rab tu dbye ba'i nam bshad rgyal ba'i gsung rab kyi dgongs pa gsal ba 28
 sDom gsum gyi dris lan nyi 'od snang ba 19
 sDom gsum gyi bsalab bya'i sdom tshig 18
 sDom gsum rgyan gyi mchan 'grel 18
 sDom gsum kha skong 19
 sDom gsum kha skong gi bsduṣ don 29
 sDom gsum kha khong gi namṣ bshad 19
 sDom gsum ngo bo gcig 18
 sDom gsum ngo bo gcig tu grub pa 237
 sDom gsum nyer mkho'i bum bzang 274
 sDom gsum ldan pas nyin zhag phrugs gcig 19

sDom gsum gnad gcig 13 33, 35, 191,
 342 721, 346 733
sDom gsum mam nges mchan 'grel 19
sDom gsum nram nges 'bru 'grel 6,
 394, 433 934, 445 964
sDom gsum nram nges tshig don legs
'grel 394, 409 884
sDom gsum mam par nges pa'i bstan
bcos 392
sDom gsum mam gzhaq 220, 231 578,
 279, 289, 413 894
sDom gsum rags bsdu 18
sDom gsum rab dbye la dri ba legs pa
 29
sDom gsum rab dbye'i bsdu don 29
sDom gsum rab dbye'i spyi don 27 72
sDom gsum rab dbye'i spyi don yid
bzhin nor bu 28
sDom gsum 'rags(l) bsdu byang chub
 18
bsDu pa'i rgyud kyi rgyal po dus kyi
'khor lo'i 'grel bshad rtsa ba'i
rgyud kyi rjes su 'jug pa stong
phrag bcu gnyis pa dri ma med
pa'i 'od 385 842

N*am mkha'i snying po mdo* 267 605
gNang bas gangs pa'i chos bcu 338
rNam thar phyogs bcu dus gsum gyi
don mdor bsdu 334
rNam thar phyogs bcu dus gsum ma'i
lha 338 f.
rNam par thar pa mthong ba don yod
 334, 339
rNam par thar pa rma bya seng ge
ma 334, 338 f.
rNam par thar pa zad mi shes pa'i za
ma tog gi bkod pa 334, 339
rNam shes ye shes kyi rab dbye 218

P*adma dkar po'i chos 'byung* 178
 478

Padma cod pan 427 913

dPal kyai rdo rje'i dkyil 'khor du slob
ma smin par byed pa'i cho ga
dbang gi chu bo chen mo 93 255,
 141 375

dPal ldan gso ba rig pa'i khog 'bugs
legs bshad bai dūrya'i me long
drang srong dgyes pa'i dga' ston
 231 578

dPal sdom pa 'byung ba'i rgyud kyi
rgyal po chen po'i dka' 'grel
padma can 381 824

dPal mchog dang po zhes bya ba theg
pa chen po'i rlog pa'i rgyal po 61
 183

dPal ldan rgyud bzhi sogs gso ba rig
pa'i bka' bstan man ngag kun gyi
gnad bsdu phan bde'i bsil zer
'phro ba'i zla ba gsar pa 274

dPal ldan gso ba rig pa'i khog 'bugs
 9 21

dPal gsang ba 'dus pa'i 'grel pa man
ngag gtan la dbab pa 351 746, 375
 800

dPal gsang ba'i snying po 429 919-
 920, 922, 431 925, 433 931, 435
 935, 437 938-939, 941-942, 439 943

sPyan snga 'bri gung gling pa'i mam
thar snyan pa'i 'brug sgra 335

sPyi rgyud 391 854

P*hyogs bcu mun sel* 5

Phyogs med ris med kyi bstan pa la
'dun shing dge sbyong gi gzugs
brnyan 'chang ba blo gros mtha'
yas kyi sde'i byung ba brjod pa nor
bu sna ishogs mdog can 281 626

'Phags pa mkha' 'gro ma rdo rje gur
zhes bya ba'i rgyud kyi rgyal po
chen po'i brtag pa 155 390

Ber ka gcig rten ma 338

Byang chub gzhung lam 52, 93 253

Byang chub sems dpa'i sdom pa gsal
bar ston pa shlo ka nyi shu pa'i
nam par bshad pa 51, 93 253 152,
133 368, 143 376

Bye brag tu bshad pa chen po 355 752

Bye brag bshad msho 355 752

Bye brag bshad mdzod 355

dBang bskur ba'i cho ga 71 208

dBang gi lde'u mig 186 505, 232 579,
278, 284, 287, 297, 321, 326

dBu ma gzhung lugs gsum 350 742

dBu ma lugs kyi sems bskyed kyi cho
ga 51 153

dBu ma'i lta khrid 218

'Bum phrag lnga pa (see: "Hundred-
Thousand Verse Tantra" [Treatise])

'Bri gung bka' brgyud kyi chos spyod
rab gsal 334

'Bri gung bka' brgyud gser phreng
331 682, 332 683, 340 718, 341
719

'Bri gung thel chos bdud rtsi'i thigs
pa 330 673, 346 733

'Bri gung pa chen po'i gdan rabs
chos kyi 'byung tshul gser gyi
phreng ba 335

Ma dros msho 'gram ma'i bstod pa
338

sMan bsdu e waṃ 274

rTsib ri par ma 179

rTsis gzhung nyer mkho bum bzang

274

Tshad ma rigs pa'i gter gyi nam
par bshad pa rigs pa ma lus pa la
'jug pa'i sgo 392 856

Tshad ma'i nam 'grel 218

Tshad ma'i rigs gter 218, 392 856

Tshig le'ur byas pa sum brgya pa'i
nam par bshad pa 46 126

Tshogs chos bkra shis phun tshogs
181, 184, 194, 305

Tshogs chos chen mo 182

Tshogs chos mu tig phreng ba 181

Tshogs chos yon tan phun tshogs 181

Tshogs chos legs mdzes ma 181

Dzangs blun zhes bya ba'i mdo 38
89

rDzogs pa chen po bsam gtan ngal
gso('i 'grel pa shing rta nam par
dag pa) 13 33, 280 623, 327, 327
669, 395, 395 866, 398, 403, 403
878, 405, 405 879, 405 880, 409
881-882, 415 896, 417, 417 902,
419 903, 421 906, 427 913, 429
924, 441 947

gZhung lugs bshad bya'i yan lag
sdom gsum zur rgyan 19

Zab chos sbas pa mig 'byed kyi chos
bskor las paṇ che sha wa dbang
phyug gi snyan rgyud rdo rje gsum
gyi bla ma brgyud pa'i nam thar
dad pa'i mnga chen 22 59

Zab mo nang don 396

'Og min ltar bstod pa 338

Ye shes kyi sgron ma 338

Ye shes rgyas pa'i mdo 435

*Rang stong gzhan stong mi 'gal bar
ston pa'i gzhung chung* 218

*Rang zhin(!) rdzogs pa chen po nram
nges bstan bcos* 18

Rab tu sgron gsal 58

Rab gnas sa bcu pa 338

Ri chos mshams kyi zhal gdams 11,
12 31, 13 36, 65 197, 83, 324 664,
325 666

Ri bo mchog ma'i bstod pa 338

Ri bo mchog rab ma 338

Rig 'dzin thugs thig 396

Rin chen gter mdzod 282

Rin byang ma 341

*La dwags 'bri gung dgon sgang
sngon bkra shis chos rdzong dgon
gyi phyag dpe 'bri gung gser
phreng gser chos bris ma* 335

Lam mchog rin chen phreng ba 180 f.

Lung nram 'byed 355, 357

Shing rta nram dag (see: *rDzogs pa
chen po bsam gtan ngal gso*)

Shes bya kun khyab kyi sa bcad 283
629

Shes bya kun khyab mdzod 282, 283
629

Shes bya'i gter mdzod (*Mi rigs dpe
mdzod khang gi dpe tho las gsung
'bum skor gyi dkar chag*) 185

bShad rgyud dgongs pa lung bstan

377, 377 808

Sangs rgyas phal po che 439

*Sangs rgyas kun gyi dgongs pa 'dus
pa'i mdo* 343 726, 355 756

*Sems kyi sgrib pa nram par sbyong ba
zhes bya ba'i rab tu byed pa* 443
959

*Sems kyi mtshan nyid gab pa mngon
du byung ba* 180

*Sems bskyed kyi cho ga bsil byed tsan
dan gyi phreng ba dge stong sher
'byung gis mdzad pa* 339

*gSang 'grel phyogs bcu mun sel dpal
gsang ba'i snying po de kho na
nyid nges pa'i rgyud kyi 'grel pa
phyogs bcu'i mun pa thams cad
nram par sel ba* 5 15

gSang sngags kyi tshul khrims 17 50,
18

*gSang chen rgyud sde bzhi'i sa lam
nram gzhag rgyud gzhung gsal
byed* 64 193

*gSang 'dus bshad rgyud dgongs pa
lung bstan zhes bya ba'i rgyud* 351
747

gSang ba cod pan 417 902

gSang ba 'dus pa'i bshad rgyud 441

gSang ba spyod pa'i rgyud 417, 417
901, 421 906

gSang ba'i mdzod 64 f.

bSam gtan ngal gso (see: *rDzogs pa
chen po bsam gtan ngal gso*)

bSlab khog gi rtsom pa 338

5. Sanskrit Book Titles

- Āṅuttara-Nikāya** 37 85, 67 198
Abhidharmakośa [bhāṣya] 9, 9 20, 21, 30, 37-39, 41 108, 43 f., 46 ff., 49 143, 67 199, 77, 81 228-229, 221 554, 277 616, 340, 344, 344 728, 344 731
Abhidharmasamuccaya 349, 350 744
Abhisamayālaṅkāra 88
Amoghapāśapoṣadhavidhyāmnāya 38 95
Amoghapāśabalividhi 38 95
Aṣṭasāhasrikā (Prajñāpāramitā) 385
Ākāśagarbhasūtra 91 249, 267
Ārya gṛhapatyugraparipṛcchā-nāmamahāyānasūtra 39 98
Āryaḍākiṇīvajrapaṇjaramahātāntrarājakaḷpanāma 155 390
Āryabuddhabalādhānaprātihāryavikurvāṇanirdeśanāmamahāyānasūtra 38 93
Āryamahāpratihāryanirdeśa 49 139
Upadeśaniścayanāmaśrīguhyasamājavṛtti 351 746, 375 800
Kālacakratāntra 21 f., 22 59, 107, 385 842, 409 882, 441, 447
Guhyagarbhatantra 5 15, 96, 429, 431, 433, 435, 437, 439
Guhyasamājatantra 155, 163, 227, 227 564, 237, 249, 271, 349, 350 742, 351, 375, 377, 377 808, 431 928, 441

Ghanavyūhasūtra 50

Cakrasaṃvaratantra 163, 331

Catuḥśataka 157

Catuḥśatakaśāstrakārika 157 394

Cittāvaraṇaviśodhananāmaprakaraṇa 443

Trīṣatakārikāvyaḥyāna 46

Trailokyavijayamahākālparājā 57

Damamūkonāmasūtra 38 89

Piṇḍikṛtasādhanaṇāṇīkā 24 63

Poṣadhakaraṇīya 38 95

Prajñāpāramitā 129, 352, 387

Pradīpoddhotanā 350 743

Pradīpoddhotanābhisaṃdhiprakāśikā 350 743

Pramāṇavinīśaya 336

Buddhāvataṃsakasūtra 348, 369

Bodhicaryāvatāra 51, 61 185, 155, 157, 224 559, 561, 247, 326, 343 724, 355, 425, 443, 445

Bodhicittotpādavidhi 51

Bodhicittotpādasamādhānavidhi 51

Bodhipāthapradīpa 13 33, 52, 52 161, 80 224, 93 253

Bodhimārgapradīpapaṇīkā 50

Bodhisattvabhūmi 4, 10 24, 51 f., 91 249, 259, 443, 443 957

Bodhisattvasaṃvara 10 24

Bodhisattvasaṃvaragrahaṇavidhi 52

Bodhisattvasaṃvaravidhi 52

Bodhisattvasaṃvaravimśaka 51, 91 249

Bhikṣuṇīvinayavibhaṅga 435 973

[Madhya?]pañcaskandhaprakaraṇa

43

Madhyamakāvatāra 4, 4 11, 379 817*Mahāyānasamgraha* 191 511, 344, 349, 350 744*Mahāyānasūtrālaṃkāra* 80 224, 349, 350 742, 744*Yogācārābhūmiviniś'cayasamgrahaṇī*
38 93, 49 140*Ratnakūṭa* 91 249*Ratnagotravibhāga* 344 728, 344, 344 731, 348, 371*Vajrajñānasamuccayatantram* 350 743*Vajrayānamūlāpatti* 60, 69 204, 96 262, 188 509, 236 585, 345 732*Vajrayānamūlāpattisamgraha* 60*Vinaya* 38 92*Vinayakṣudrakavastu* 37 87*Vinayavastu* 37 87, 47 130*Vinayavibhaṅga* 37 87, 46 126, 355 753*Vinayottaragrantha* 37 87*Vimalaprabhānāmamūlatantrānu-
sārīnīdvādaśasāhasrikālaghukāla-
cakra tantrarājajīkā* 385 842*Śaśajātaka* 37 88*Śikṣāsamuccaya* 52, 52 159, 54, 61 185, 91 249*Śricakrasaṃvaratantrarājādibhu-
taśmaśānālaṃkāranāma* 56*Śricakrasaṃvaramaṇḍalopāyikāratna-
pradīpodyotanāma* 58*Śrījñānatīlakayoginītantra* 64*Śrīḍākāṛṇavamahāyoginītantra* 58*Śrīparamādyanāmamahāyānakalparāja*
61, 103*Śrīmahāsaṃvarodayatantrarājānāma*
381 824*Śrīvajradākaguhyantrarāja* 119 328*Śrīvajradākamahātantrarāja* 119 328*Śrīsaṃvarodayamahātantrarajasya-
padmīnīnāmaparījīkā* 381 824*Samkṣiptāmoghapās'asādhana* 38 95*Sandhivyākaraṇanāmatantra* 351 747*Samayaparī ca[ka?]* 64*Samputī (Samputa?)nāmamahātantra*
119 328*Sarvatahāgatakāyavākcittarahasyo
guhya samājanām amahākalparāja*
373 798*Sarvamaṇḍalasāmānyavidhūnṃguhya-
tantra* 60, 103*Sarvarahasyonāmatantra* 57*Sarvasamayasaṃgraha* 44, 44 123, 53, 64 194*Sumagadhāvadāna* 385 843*Sūtrālaṃkāra* 173*Hevajratantra* 137, 137 373, 141 375, 155 390, 180, 238, 348, 350, 350 742, 369, 371, 375 798, 383, 387, 393, 393 861

6. Tibetan Book Titles in English Translation

All-Pervading Objects of Knowledge

8, 18 52, 41, 41 109, 42 112, 115-116, 119, 56, 56 176, 59 177, 60 181, 61 183-184, 63 190, 192, 186 505, 193 513, 232 579, 261 604, 273, 280, 282 f., 318, 320 ff., 346 734, 394 863, 399

Awakening of Vairocana 41, 60, 89 242, 103, 187, 187 508, 188, 203

Basic Hundred Thousand [Verse Tantra] (see: "Hundred-Thousand Verse Tantra")

Clear Differentiation of the Three Vows 19, 21, 27 ff., 31 78, 32, 34, 38 92, 94, 39 97, 99, 40, 40 101, 105, 43 f., 45 124, 46, 48, 48 138, 50 144, 51 155, 52 157, 53, 59 178, 65, 70, 76 213, 157 392, 395, 220, 279, 279 621, 289, 312, 340 717, 399, 405 880, 407, 445

Clear Comprehension of the Three Vows 280 623, 393 f., 397 f., 401, 401 876, 403, 403 877, 405, 407, 411, 425, 441

Fivehundred Thousand (see: Hundred-Thousand Verse Tantra" [Treatise])

Fourteen Fundamental Transgressions Against the Pledges of the Mantra Vows 103

Golden Key 29, 37, 40 105, 50 145

History of the Karma bKa'-brgyud-pa Sect 217 548, 221, 225

"Hundred-Thousand Verse Tantra"

[Treatise]: The Establishment of the Gnosis of Suchness 67 199, 68, 68 201, 90, 92, 107, 109, 125, 125 352, 135, 139, 145 379, 225 562, 227, 232, 234, 237, 251, 265, 271, 311, 314 651, 315, 315 653, 411, 417

Key to Initiation 186 505, 232 579, 278, 284, 287, 297, 321, 326

Later Requesting of the Mantra Pledges by the Lord of the Secrets [Vajrapāṇi] from the Exalted One 365

Removing Errors Regarding the Fundamental Transgressions 61 186, 64, 65 196, 68 201-202, 69 204, 70, 71 208, 76, 89 243, 92 251, 96 262, 153 370, 143, 143 377, 149 381, 151 382-384, 186 504, 220, 227, 227 565, 229, 233 f., 237, 249, 279, 279 621, 289, 310, 310 646, 315, 315 653

Rosaries of the Common Replies to Questions from the Collected Writings of the Lord of Dharma Karma-'phrin-las-pa 219

Same Intention 18, 40, 40 103-105, 76 213, 185, 217, 220, 225 562, 230, 233, 253, 284 630, 329, 335, 337, 337 709, 338 f., 339 714, 340, 340 716-717, 341 f., 348, 350 742, 355

Songs of Esoteric Practice (mgur) and Replies to Doctrinal Questions (driś)

lan) of Karma-'phrin-las-pa 219
551

Three-Hundred [Verses] 99, 105

Vajra-Peak Tantra 57, 107, 119,
133, 141, 185, 185 503, 186, 186
504, 201, 307
Vajra Tent(?) 203